

PUBLIC NOTICE

Springfield Redevelopment Authority (SRA)

**Disadvantaged Business Enterprise (DBE) Goals
For**

Federal Fiscal Years 2016-2018

In accordance with FTA Regulations, SRA has established goals for participation by certified Disadvantaged Business Enterprise (DBE) firms on the Authority's federally assisted transit project anticipated for Federal Fiscal Years 2016-2018.

SRA recommends an overall DBE goal of 2.35% for all construction and construction related FTA projects.

The methodology used for this goal is available at the SRA Office (Office of Planning & Economic Development), Richard E. Neal City Hall Annex, 70 Tapley Street, Springfield MA 01104 or online at www3.springfield-ma.gov/planning/480.0.html.

Additionally, it is federally mandated that the SRA collects, documents and considers stakeholders input during this process. The SRA is accepting written comments, by mail or fax (413) 787-7740, for a 45 days period from the date of the notice. For questions or additional information please contact the SRA at (413) 787-7663.

Springfield Redevelopment Authority

FFY 2016-2018 DBE Goal Update

(Goal Period - October 1, 2015 through September 30, 2018)

Project Sponsor: Springfield Redevelopment Authority

Project: Springfield Union Station Regional Intermodal Transportation Center

Goal Period: October 1, 2015 to September 30, 2018 (FFY 2016-2018)

Overall Goal: 2.35 percent (1.18 percent race neutral + 1.17 percent race conscious)

The Springfield Redevelopment Authority (SRA) is receiving direct financial assistance from the U.S. Department of Transportation (DOT) through the Federal Transit Administration (FTA) for the Springfield, Union Station Regional Intermodal Transportation Center Project (Springfield Union Station), and has established a Disadvantaged Business Enterprise (DBE) program in accordance with DOT regulations, 49 CFR Part 26. The current DBE program goal covers the period ending September 30, 2015, and the SRA is required to submit a three-year overall annual DBE goal and methodology update of participation by certified DBE firms on DOT-assisted construction and construction-related contracts at the Union Station project for Federal Fiscal Years 2016-2018.

In accordance with the regulations contained in 49 C.F.R Part 26.45, and following the *Tips for Goal-Setting in the Disadvantaged Business Enterprise (DBE) Program* published by the U.S. DOT Office of Small and Disadvantaged Business Utilization, the SRA has developed its methodology for determining the DBE goal for the next three federal fiscal years (FFYs). The specific timeline is laid out in 49 C.F.R Part 26.45(e)(2) and reads in part, "You should express your overall goal as follows... as a percentage of all FTA funds you will expend in FTA-assisted contracts in any of the next three fiscal years."

The SRA has an approved DBE program for the Springfield Union Station project and intends to support this program and document its efforts in achieving its overall DBE goal.

SRA DBE PROGRAM POLICY

It is the policy of the SRA to ensure that DBEs have an equal opportunity to receive and participate in DOT-assisted contracts. It is also our policy:

1. To ensure nondiscrimination in the award and administration of DOT-assisted contracts.

2. To create a level playing field on which DBEs can compete fairly for DOT-assisted contracts.
3. To ensure that the DBE program is narrowly tailored in accordance with applicable law.
4. To ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs.
5. To help remove barriers to the participation of DBEs in DOT-assisted contracts;
6. To assist the development of firms that can compete successfully in the marketplace outside the DBE program.

OVERALL GOAL

The SRA does not expect to receive additional direct FTA funding assistance during federal fiscal years 2016-2018 and it does not anticipate awarding any additional DOT-assisted prime contracts with a cumulative total value exceeding \$250,000 in FTA funds in any year during FFYs 2016-2018. The current overall goal of the Springfield Union Station DBE program for the period ending September 30, 2015 is 2.35 percent of the FTA-funded grant program. The SRA is recommending that it maintain its overall DBE goal of 2.35 percent for the FTA-funded contracts.

RATIONALE

The SRA is recommending that it maintain its overall DBE goal of 2.35 percent for DOT-assisted construction and construction-related contracts at the Springfield Union Station project. The rationale used to maintain this overall goal is summarized below:

1. The SRA is receiving DOT-funding assistance for one project only, the Springfield Union Station project.
2. The DBE program regulations provide flexibility to recipients of federal financial assistance in establishing and providing opportunities for DBEs.
3. The SRA is committed to providing opportunities for DBEs and to successfully implementing its approved DBE program for the Springfield Union Station project.
4. Springfield Union Station is being constructed using a state-authorized alternative delivery method known as Construction Manager at Risk (MGL Chapter 149A). Under that method, the owner selects the CM at risk firm, which will later serve as the project general contractor, at the outset of or early in the design stage. The CM at risk firm provides construction management services, such as constructability reviews of the design, construction scheduling, and project cost estimates, to the owner during the design phase. At some point during the design stage, the owner and the CM at Risk firm negotiate a guaranteed maximum price (GMP) for the project.
5. The Construction Manager (CM) for the Union Station project was selected by the SRA on July 27, 2012 through a competitive process and executed a prime contract

with the SRA on October 2, 2012. This contract contains the 2.35 percent approved DBE goal established by the SRA, in accordance with 49 CFR Part 26, for that period.

6. The CM is currently advancing construction activities at Springfield Union Station and is expected to complete construction by December 31, 2016.
7. The SRA is a current FTA direct grant recipient although it does not anticipate awarding any additional direct DOT-assisted prime contracts with a cumulative total value exceeding \$250,000 in FTA funds in any year during Federal Fiscal Years 2016-2018.

The SRA already has executed its agreement with the prime construction contractor in accordance with state law and does not anticipate awarding any additional DOT-assisted prime contracts with a cumulative total value exceeding \$250,000 in FTA funds in any year during Federal Fiscal Years 2016-2018. The SRA therefore believes that maintaining the 2.35 percent overall goal will ensure that the DOT-assisted construction contracts currently being implemented under that goal amount will be fully completed in accordance with the SRA's DBE program.