

Fiscal Year 2009-2010 Consolidated Annual Performance and Evaluation Report (CAPER)



Forest Park Apartments
HOME Rental Rehabilitation, 2010
Successful Renovation after Building Condemnation



U.S. Department of Housing and Urban Development

MASSACHUSETTS STATE OFFICE, NEW ENGLAND AREA
Office of Community Planning and Development
Thomas P. O'Neill, Jr. Federal Building
10 Causeway Street - Fifth Floor
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Visit our website at <http://www.hud.gov/local/bos/boscpd.html>

Cathy Buono, Director of Finance
Community Development Department
Springfield City Hall, 36 Court Street
Springfield, MA 01103

OCT 28 2010

Dear Ms. Buono:

Subject: FY 2009 Consolidated Annual Performance and Evaluation Report (CAPER)

We have reviewed and approved the City of Springfield's Consolidated Annual Performance and Evaluation Report (CAPER) for your program year July 1, 2009 to June 30, 2010. Our review also included statistical and narrative data captured in HUD's Integrated Disbursement and Information System (IDIS).

Based on the information provided, we find Springfield's FY 2009 CAPER to be consistent with the City's Consolidated Plan for 2005 - 2009 and Action Plan for 2009 - 2010. The narrative describes how the City is working to meet the goals identified in the plans as well as proposed versus actual outcome results. We also find the City to be within the 15% cap for public services and the 20% cap for planning and administration. We are pleased to note the City's progress in the continued production of Energy Star rated units as well as the progress of the South End Neighborhood Revitalization Strategy Area (NRSA).

As a result of our review, we have determined that the City of Springfield has carried out its activities and certifications in a timely manner, consistent with the requirements and primary objective of the Housing and Community Development Act of 1974, as amended, and with other applicable laws and program requirements. Pursuant to 24 CFR 570.905, we find that the City of Springfield has the continuing capacity to carry out its activities in a timely manner.

The City is reminded that a copy of this letter should accompany all copies of their CAPER. We look forward to continuing to work with you and your staff in the coming year. If you have any questions regarding this letter, please contact Paula Newcomb at 617-994-8378.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Robert D. Shumeyko".

Robert D. Shumeyko
Director

**CITY OF SPRINGFIELD, MASSACHUSETTS
OFFICE OF COMMUNITY DEVELOPMENT Consolidated Annual
Performance and Evaluation Report (CAPER)**

Program Year: July 1, 2009 - June 30, 2010

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Executive Summary

Purpose

The City of Springfield's Consolidated Annual Performance and Evaluation Report (CAPER) is designed to illustrate the accomplishments of projects and programs funded by the U.S. Department of Housing and Urban Development. These programs include the Community Development Block Grant (CDBG) program, the Home Investment Partnership (HOME) program, the Emergency Shelter Grant (ESG) program, the McKinney-Vento funds, and Housing Opportunities for Persons with AIDS (HOPWA) program.

The goal of this report is to compare the anticipated benefits projected in the City's Annual and Five year plans with the actual accomplishments achieved. Every attempt is made to provide a programmatic and financial analysis in a meaningful, user-friendly format comprehensible to all Springfield's residents.

Executive Summary

A DRAFT of the CAPER for the fiscal year that commenced on July 1, 2009 and ended on June 30, 2010 (FY 09-2010) was posted online and available for public review from Tuesday, August 31st through Thursday, September 23, 2009 and a public hearing was held on Tuesday, September 14, 2010 at 6:00 PM in room 220 in City Hall. During the review period copies of the Draft CAPER are available to all Springfield residents at the following locations:

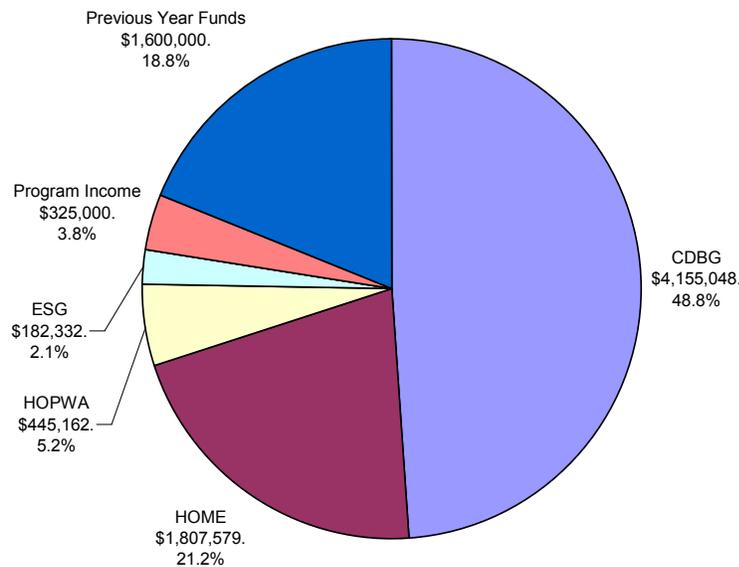
- Office of Planning & Economic Development, 70 Tapley Street
 - Office of Community Development, City Hall, 36 Court Street, Room 101
 - Office of Housing, 1600 E Columbus Avenue, 1st Floor
 - Department of Health and Human Services, 95 State Street
 - Central Library, 220 State Street
 - Springfield Neighborhood Councils, including the: South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, McKnight Neighborhood Council.
- [-http://www.springfieldcityhall.com/cos/Services/dept_cd.htm](http://www.springfieldcityhall.com/cos/Services/dept_cd.htm)

An announcement about the hearing and the availability of the draft document was published in English and Spanish in the Springfield Republican on August 17, 2010 and a flyer was mailed to persons and organizations included on the Office of Community Development's extensive mailing list. The advertisement also solicited written feedback from Springfield residents. A summary of comments received will be included in the final version of the CAPER.

Introduction

In FY09-10, the Department of Housing and Urban Development (HUD) awarded the City of Springfield a total of \$6,590,121 in entitlement funding. The City received \$4,155,048 through the Community Development Block Grant (CDBG) Program, \$182,332 through the Emergency Shelter Grant (ESG), \$1,807,579 through the HOME Investment Partnership (HOME) Program, and \$445,162 through the Housing Opportunities for Persons with AIDS (HOPWA) Program. Prior year funds of \$1,600,000, as well as estimated program income totaling \$325,000, were also available. Therefore, total entitlement funding available for the program year was \$8,515,121.

Total Sources of Funds FY09-10: \$8,515,121



During this program year, 85.58 percent of the City's CDBG funds were used to benefit low- to moderate-income persons. The majority of CDBG funding was allocated for activities classified as economic development, housing, public services, or public infrastructure and facilities. Details of the services, programs, and accomplishments and an analysis of expenditures are provided throughout the CAPER.

Geographic Distribution, Location of Investments and Families and Persons Assisted

Within the City of Springfield's Five Year Consolidated Plan, the City committed to the overarching goal of undertaking activities that would result in substantial public benefit through the revitalization of depressed areas and in assistance to low/moderate income residents.

CDBG funds were used to serve persons residing in CDBG eligible area, which, in total, is comprised of almost 103,000 persons, an estimated 73.5 percent of which are deemed low- or moderate-income by the 2000 US Census. In 2000, these residents represented many races and ethnicities. Of these persons, approximately 44.0 percent were White, 25.5 percent were Black or African American, 0.4 percent were American Indian/Alaska Native, 2.1 percent were Asian, 0.1 percent were Native Hawaiian and Other Pacific Islander and 29 percent were Other/Multi Racial. In terms of ethnicity, approximately 37% of these persons were Hispanic.

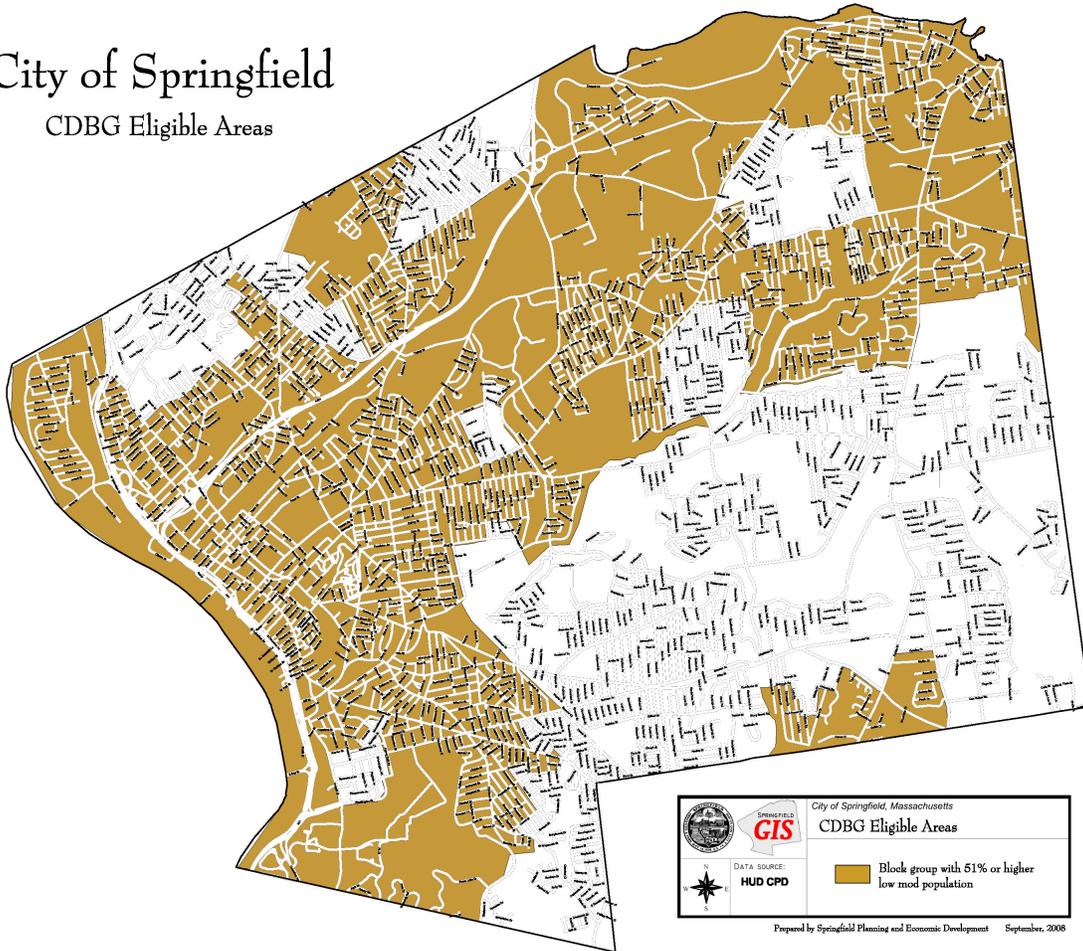
Note that the CDBG and NRSA areas include the following block groups and census tracts (recently added census tract/block groups due to an administrative change implemented by HUD as detailed above are noted in red type).

CDBG Eligible Census Tract / Block Groups in Springfield, MA effective 7/1/07

TRACT	NRSA	BLK GRP	LOW MOD PCT	TRACT	NRSA	BLK GRP	LOW MOD PCT	TRACT	NRSA	BLK GRP	LOW MOD PCT
8026.01		3	64.8	8017.00		1	59.7	8011.01		2	100.0
8026.01		4	60.6	8017.00		3	80.3	8009.00		1	86.0
8026.01		5	74.7	8017.00		4	64.5	8009.00		2	84.7
8023.00		1	61.7	8017.00		5	68.6	8009.00		3	96.8
8023.00		2	57.4	8017.00		6	73.4	8009.00		4	70.3
8023.00		4	87.4	8016.05		2	57.9	8009.00		5	90.3
8023.00		5	76.2	8016.03		1	55.8	8008.00	X	1	91.4
8023.00		6	78.2	8016.02		1	60.4	8008.00	X	2	84.5
8022.00		1	69.5	8015.03		1	68.0	8007.00	X	1	88.0
8022.00		2	68.9	8015.03		2	68.9	8007.00	X	2	79.5
8022.00		3	79.1	8015.02		1	60.6	8006.00	X	1	89.3
8021.00		1	80.9	8015.02		2	51.5	8006.00	X	2	96.6
8021.00		4	59.5	8015.02		4	73.0	8006.00	X	3	99.4
8021.00		6	57.2	8015.01		3	78.2	8005.00		1	67.5
8021.00		9	69.1	8015.01		4	60.9	8005.00		2	62.2
8020.00	X	1	87.6	8014.02		1	59.3	8004.00		2	62.8
8020.00		2	86.5	8014.02		4	60.7	8004.00		4	61.5
8020.00	X	3	84.2	8014.01		5	76.5	8004.00		5	67.1
8019.00	X	1	85.5	8014.01		6	79.5	8004.00		6	69.4
8019.00	X	2	85.7	8013.00		1	76.6	8003.00		1	64.9
8019.00	X	3	85.4	8013.00		2	87.8	8003.00		2	54.7
8019.00	X	4	84.6	8013.00		3	70.2	8002.02		1	57.2
8019.00	X	5	88.7	8013.00		5	65.5	8002.01		3	62.2
8019.00	X	8	89.0	8012.00		1	94.1	8002.01		4	53.1
8018.00	X	1	79.0	8012.00		2	86.7	8002.01		6	75.5
8018.00	X	2	75.9	8012.00		3	67.1	8001.00		1	82.9
8018.00	X	3	85.2	8011.02	X	1	64.6	8001.00		2	60.5
8018.00	X	5	78.6	8011.02	X	2	87.1	8001.00		4	76.2
8018.00	X	6	91.0	8011.01		X	88.0	8001.00		5	76.2
Source: HUD CPD								8001.00		8	70.9

City of Springfield

CDBG Eligible Areas



HOME and ESG funds were allocated citywide providing persons and/or households assisted who met the eligibly criteria of the applicable program. HOPWA funds were allocated throughout the EMSA, which includes the tri-county area.

Assessment of Five Year and Annual Goals and Objectives

The five-year Consolidated Plan for the entitlement programs covers the period July 1, 2005-June 30, 2010. The City has completed the fifth year covered by the FY06-10 Consolidated Plan. Within each priority area, a brief synopsis of objectives outcomes of the activities in the Consolidated Plan and a report on the accomplishments achieved as of the end of FY06-10 is provided. Further detail about each activity is provided in the Integrated Disbursement and Information System (IDIS) Reports included as appendices to this report. Ahead of HUD's schedule, the City incorporated HUD's new performance measurement system into its Consolidated Planning Process as detailed below.

A. Background Information: HUD's Performance Measurement System

In order to better quantify the impacts that HUD-funded programs and projects are having on communities, HUD has developed and is in the process of implementing nationwide a performance measurement system to help determine how well programs and activities are meeting established needs and goals. Performance measurement is now a requirement for all federal programs, and performance is a key consideration in program funding decisions.

HUD's new Outcome Performance Measurement System contains three main components: Objectives, Outcomes and Indicators. This system tracks the City's progress meeting three objectives. Descriptions of these objectives are excerpted from the CPD Manual and Guidebook below:

1. **Providing Decent Housing.** This objective "covers the wide range of housing activities that are generally undertaken with HOME, CDBG or HOPWA funds. This objective focuses on housing activities whose purpose is to meet individual family or community housing needs. It does not include programs where housing is an element of a larger effort to make community-wide improvements, since such programs would be more appropriately reported under Suitable Living Environments."
2. **Creating Suitable Living Environments.** This second objective is "related to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment. This objective related to activities that are intended to address a wide range of issues faced by low- and moderate-income persons, from physical problems with their environment, such as poor quality infrastructure, to social issues such as crime prevention, literacy or elderly health services."
3. **Creating Economic Opportunities.** This third and final objective "applies to activities related to economic development, commercial revitalization, or job creation."

The system also establishes the following three **outcomes** to show the anticipated result of the activity:

1. **Availability/Accessibility.** This first outcome "applies to activities that make services, infrastructure, public services, public facilities, housing or shelter available or accessible to

low and moderate-income people, including persons with disabilities. In this category, accessibility does not refer only to physical barriers, but also to making the basics of daily living available and accessible to low- and moderate-income people where they live.”

2. **Affordability.** This outcome “applies to activities that provide affordability in a variety of ways to low- and moderate-income people. It can include the creation or maintenance of affordable housing, basic infrastructure hook-ups, or services such as transportation or day care. Affordability is an appropriate objective whenever an activity is lowering the cost, improving the quality, or increasing the affordability of a product or service to benefit a low-income household.”
3. **Sustainability.** This third and final outcome “applies to activities that are aimed at improving communities or neighborhoods, helping to make them livable or viable by providing benefit to persons of low- and moderate-income or by removing or eliminating slums or blighted areas, through multiple activities or services that sustain communities or neighborhoods.”

The following table overviews the link between objectives and outcomes.

	Availability/ Accessibility (1)	Affordability (2)	Sustainability (3)
Decent Housing (DH)	DH-1	DH-2	DH-3
Suitable Living Environment (SL)	SL-1	SL-2	SL-3
Economic Opportunity (EO)	EO-1	EO-2	EO-3

City of Springfield’s Implementation of HUD’s new Performance Measurement System

The City implemented this system early; it was fully implemented into the FY05-06 Action Planning Process. A progress summary is detailed below. HUD mandated that their Performance Measurement system be fully implemented during FY 06-07. Ahead of schedule, the City of Springfield fully incorporated HUD’s new performance measurement system into the FY05-06 CAPER. The FY 09-2010 CAPER is the fifth caper to include data broken down by HUD’s Performance Measurement categories. The CAPER identifies objectives and outcomes for each activity listed in the Annual Action Plans.

B. Assessment of Annual and Five Year Goals and Objectives

Within the Annual Action Plan, the City proposes to operate a number of programs to further the goals identified in the Consolidated Plan. This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan in Performance Measurement Objective Tables and in a table that overviews Annual Accomplishments as detailed in the FY 09-2010 Action Plan. Additional detail about each accomplishment is provided in the IDIS Reports included as appendices to this document.

Performance Measurement Objective Tables

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Federal Year	Expected Number	Actual Number	Percent Completed
DH-1 Availability/Accessibility of Decent Housing							
DH-1.1	Produce affordable rental housing units	HOME	Housing units	2005	30	55	18%
				2006	30	0	0%
		Other private		2007	50	53	106%
				2008	50	52	104%
		Other Public		2009	90	22	24%
				MULTI-YEAR GOAL		250	182
DH-1.2	Provide rehabilitation financing to existing homeowners	HOME	Housing units	2005	15	16	107%
				2006	15	27	100%
		CDBG		2007	15	16	106%
				2008	25	12	48%
		Other Private		2009	10	21	210%
				MULTI-YEAR GOAL		80	92
DH-1.3	Increase energy efficiency for existing homeowners	CDBG	Housing units	2005	200	749	375%
				2006	200	1070	535%
		Other Public		2007	300	1117	372%
				2008	300	1334	445%
		Other Public		2009	200	1032	516%
				MULTI-YEAR GOAL		1,200	5302
DH-1.4	Evaluate and eliminate lead based paint hazards	CDBG	Housing units	2005	500	570	114%
				2006	500	613	123%
		HOME		2007	150	946	631%
				2008	500	550	110%
		Other Public		2009	850	4077	480%
				MULTI-YEAR GOAL		2500	6,756
DH-1.5	Targeted Code Enforcement	CDBG	Housing units	2005	500	3,249	650%
				2006	700	1,828	261%
		Other Public		2007	700	1,442	206%
				2008	700	750	107%
		Other Public		2009	500	4530	906%
				MULTI-YEAR GOAL		3,100	11,799
DH-1.6	Redevelop blighted properties into homeownership opportunities	HOME	Housing units	2005	15	10	67%
				2006	10	6	60%
		CDBG		2007	10	7	70%
				2008	10	10	100%
		Other public/private		2009	10	16	160%
				MULTI-YEAR GOAL		55	49

Specific Obj. #	Outcome/Objective		Performance Indicators	Federal Year	Expected Number	Actual Number	Percent Completed
	Specific Annual Objectives	Sources of Funds					
DH-1 Availability/Accessibility of Decent Housing							
DH-1.7	Acquisition/Disposition	CDBG	Housing units	2005	56	31	55%
				2006	20	216	1,080%
		Other Public		2007	30	205	683%
				2008	100	131	131%
				2009	44	178	405%
				MULTI-YEAR GOAL		250	761
DH-1.8	Board & Secure: Operation and repair of foreclosed properties	CDBG	Housing units	2005	15	43	43%
				2006	100	77	77%
		Other public		2007	75	87	116%
				2008	75	106	141%
				2009	50	87	174%
				MULTI-YEAR GOAL		315	400
DH-1.9	Residential Historic Preservation		Housing units	2005	2	2	100%
				2006	0	0	100%
				2007	2	22	110%
				2008	2	0	0%
				2009	2	1	50%
				MULTI-YEAR GOAL		8	25
DH-1.10	Develop special needs housing units	HOME	Housing units	2005	-----	-----	-----
				2006	-----	-----	-----
		Other public		2007	10	10	100%
				2008	10	10	100%
				2009	20	9	45%
				MULTI-YEAR GOAL		40	29

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Federal Year	Expected Number	Actual Number	Percent Completed		
DH-1 Availability/Accessibility of Decent Housing									
DH-1.11	Ensure sufficient capacity at emergency shelters so individuals can come off the streets and be engaged around housing options <i>* Note that in this category accomplishment data may count individuals more than once versus unique individuals served</i>	ESG Other Public	People served annually	2005	335	2,643	789%		
				2006	300	2,872	957%		
				2007	500	2,684	537%		
				2008	140	3677	2626%		
				2009	225	3520	1564%		
				MULTI-YEAR GOAL		1,500	15,396	103%	
		CDBG Other Public	People served annually	2005	1,400	1,291	86%		
				2006	900	696	77%		
				2007	900	1327	147%		
				2008	900	1242	138%		
				2009	900	1163	129%		
				MULTI-YEAR GOAL		5,000	5719	114%	
		DH-1.12	Increase range of housing options and related services, including rental assistance, short term subsidies and support services in the tri county area for persons with HIV/AIDS	HOPWA Other Public	Households	2005	270	642	238%
						2006	250	578	231%
2007	272					214	79%		
2008	272					215	79.0%		
2009	200					322	161%		
MULTI-YEAR GOAL				1264	1971	156%			

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed	
DH-1 Availability/Accessibility of Decent Housing								
DH-1.13	Public Facilities: Homeless	CDBG	Public Facility	2005	-----	-----	-----	
				2006	-----	-----	-----	
		Other Public		2007	TBD	-----	-----	
				Other Private	2008	TBD	-----	-----
					2009	1	1	100%
		MULTI-YEAR GOAL					1	1
DH-1.14	Create permanent supportive housing opportunities for chronically homeless individuals and other vulnerable populations	HOME	People	2005	25	41	164%	
				Other public	2006	24	36	150%
		2007			25	31	124%	
		2008			100	26	26%	
		2009		25	9	36%		
		MULTI-YEAR GOAL					199	143

Specific Obj. #	Outcome/Objective		Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
	Specific Annual Objectives	Sources of Funds					
DH-2 Affordability of Decent Housing							
DH-2.1	Direct homebuyer down payment assistance	ADDI	Households	2005	15	22	147%
				2006	15	34	233%
		2007		15	40	267%	
		2008		15	109	727%	
		2009		40	98	245%	
		HOME		MULTI-YEAR GOAL		100	303
DH-2.2	Homebuyer education/counseling	CDBG	Households	2005	50	223	446%
				2006	50	189	378%
		2007		150	129	86%	
		2008		100	305	305%	
		2009		100	84	84%	
		Other private		MULTI-YEAR GOAL		450	930

Specific Obj. #	Outcome/ Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed				
SL-1 Availability/Accessibility of Suitable Living Environment											
SL-1.1	Prevent homelessness	ESG	People served through tenant mediation and legal assistance	2005	130	300	231%				
				2006	115	121	105%				
				2007	150	282	188%				
				2008	150	215	143%				
				2009	150	218	145%				
		MULTI-YEAR GOAL					695	1136	163%		
		CDBG	Other public/private	People receiving housing placement assistance	2005	20	69	345%			
					2006	20	73	364%			
					2007	100	1586	1586%			
					2008	100	372	372%			
					2009	100	283	283%			
				MULTI-YEAR GOAL					340	2383	701%
				People served through HomeSavers	2005	-----	-----	-----			
					2006	-----	-----	-----			
					2007	0	0	-----			
					2008	0	0	-----			
		2009	0		0	--					
MULTI-YEAR GOAL					0	0	0%				
SL-1.2	Provide essential services to assist homeless people to become housed	ESG	Households	2005	200	476	238%				
				2006	300	411	137%				
				2007	200	297	149%				
				2008	200	278	139%				
				2009	200	209	105%				
		MULTI-YEAR GOAL					1100	1671	152%		
SL-1.3	Employment training	CDBG	People	2005	190	442	233%				
				2006	200	358	179%				
				2007	141	474	336%				
				2008	122	586	480%				
				2009	120	38	32%				
		MULTI-YEAR GOAL					773	1898	245%		

Specific Obj. #	Outcome/ Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
SL-1 Availability/Accessibility of Suitable Living Environment							
SL-1.4	Health services	CDBG	People	2005	200	50	25%
				2006	-----	-----	-----
		Other public/ private		2007	-----	-----	-----
				2008	50	133	27%
				2009	100	100	100%
				MULTI-YEAR GOAL		350	283
SL-1.5	Senior services	CDBG	People	2005	575	1,463	254%
				2006	800	1,074	134%
		Other public/ private		2007	250	1,096	438%
				2008	250	249	100%
				2009	300	283	94%
				MULTI-YEAR GOAL		2175	4165
SL-1.6	Childcare Services	CDBG	People	2005	3	2	67%
				2006	3	3	100%
		Other public/ private		2007	3	2	66%
				2008	3	2	66%
				2009	3	3	100%
				MULTI-YEAR GOAL		15	12
SL-1.7	Services for disabled persons	CDBG	People	2005	145	195	383%
				2006	220	305	139%
		Other public/ private		2007	330	300	91%
				2008	260	207	80%
				2009	210	280	110%
				MULTI-YEAR GOAL		1165	1287
SL-1.8	Fair Housing	CDBG	People	2005	140	536	383%
				2006	200	204	102%
		Other public/ private		2007	200	295	148%
				2008	200	247	124%
				2009	180	211	117%
				MULTI-YEAR GOAL		920	1493

Specific Obj. #	Outcome/ Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1 Availability/Accessibility of Suitable Living Environment							
SL-1.9	Youth Services	CDBG	People	2005	635	1,739	2%
				2006	1,275	1,524	120%
		Other public/ private		2007	1,320	3,006	228%
				2008	2,140	3,909	182%
				2009	2,121	4252	200%
				MULTI-YEAR GOAL		7491	14,430
SL-1.10	Battered & abused spouses	CDBG/ ESG	People	2005	100	-----	-----
				2006	100	-----	-----
		public private		2007	100	162	162%
				2008	100	113	113%
				2009	100	133	133%
				MULTI-YEAR GOAL		500	408
SL-1.11	Public service general	CDBG	People	2005	5,050	15,764	312%
				2006	1,000	3,316	332%
		Other public/ private		2007	2,325	1,830	79%
				2008	925	2033	220%
				2009	2,610	13,632	522%
				MULTI-YEAR GOAL		11,910	36,575
SL-1.12	Mental Health Services	CDBG	People	2005	40	-----	-----
				2006	40	-----	-----
		Other public/ private		2007	40	417	1043%
				2008	40	-----	-----
				2009	40	-----	-----
				MULTI-YEAR GOAL		200	417
SL-1.13	Substance Abuse Services	CDBG	People	2005	200	-----	-----
				2006	200	-----	-----
		Other public/ private		2007	200	545	273%
				2008	200	-----	-----
				2009	200	88	44%
				MULTI-YEAR GOAL		1,000	633
SL-1.14	CDBG Non-profit Organization Capacity Building	CDBG	Organization	2005	9	9	100%
				2006	9	9	100%
				2007	9	9	100%
				2008	9	9	100%
				2009	9	13	144%
				MULTI-YEAR GOAL		9	13

Specific Obj. #	Outcome/ Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
SL-3 Sustainability of Suitable Living Environment							
SL-3.1	Parks, Recreational Facilities	CDBG	Public Facilities	2005	3	7	233%
				2006	2	2	100%
		Other Public/ Private		2007	3	4	133%
				2008	6	5	83%
				2009	6	2	33%
		MULTI-YEAR GOAL				20	20
SL-3.2	Street Improvements		People	2005	----	----	----
				2006	----	----	----
				2007	5,000	6,038	120%
				2008	10,000	5,850	59%
				2009	10,000	12,971	129%
			MULTI-YEAR GOAL				25,000
SL-3.3	Sidewalks		People	2005	----	----	----
				2006	----	----	----
				2007	----	6,038	----
				2008	1,000	0	0%
				2009	1,000	3223	322%
			MULTI-YEAR GOAL				2000
SL-3.4	Urban Reforestation		Units	2005	25	316	1264%
				2006	200	---	---
				2007	200	709	355%
				2008	0	0	0%
				2009	0	229	0%
			MULTI-YEAR GOAL				425
SL-3.5	Clearance and Demolition	CDBG	Housing Units	2005	20	18	90%
				Other public	2006	15	30
		2007			15	29	19%
		2008			15	14	93%
		2009			35	12	34%
		MULTI-YEAR GOAL				100	103

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed		
SL-3 Availability/Accessibility of Suitable Living Environment									
SL-3.6	Graffiti	CDBG	Businesses	2005	50	50	100%		
				2006	50	70	114%		
				2007	50	169	338%		
				2008	50	137	274%		
				2009	50	252	504%		
		MULTI-YEAR GOAL					250	678	271%
		People	2005	----	----	----			
			2006	----	----	----			
			2007	-----	-----	-----			
			2008	-----	-----	-----			
			2009	-----	-----	-----			
		MULTI-YEAR GOAL					-----	-----	0%
		SL-3.7	Vacant Lot Cleanup	CDBG	Units	2005	50	234	468%
						2006	100	286	286%
2007	100					347	347%		
2008	100					416	416%		
2009	100					460	460%		
MULTI-YEAR GOAL					450	1743	387%		
		Other public		2005					
				2006					
				2007					
				2008					
				2009					
MULTI-YEAR GOAL									

Specific Obj. #	Outcome/ Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
EO-1 Availability/Accessibility of Economic Opportunity							
EO-1.1	Cleanup of Contaminated Sites		Jobs	2005	3	0	0%
				2006	0	0	0%
				2007	3	3	100%
				2008	20	60	300%
				2009	26	0	0%
				MULTI-YEAR GOAL		52	63
EO-1.2	Relocation		Businesses	2005	----	----	----
				2006	----	----	----
				2007	1	2	200%
				2008	1	1	100%
				2009	0	1	0%
				MULTI-YEAR GOAL		2	4
EO-1.3	CI Land Acquisition		Jobs	2005	----	----	----
				2006	----	----	----
				2007	TBD	----	----
				2008	100	100	100%
				2009	100	0	0
				MULTI-YEAR GOAL		200	100
EO-1.4	CI Infrastructure Development		Feet of Public Utilities	2005	----	----	----
				2006	----	----	----
				2007	2200	2,600	118%
				2008	0	0	0%
				2009	0	0	0%
				MULTI-YEAR GOAL		2,200	2,600
EO-1.5	CI Building Acquisition, Construction, Rehabilitation		Jobs	2005	----	----	----
				2006	----	----	----
				2007	TBD	34	----
				2008	250	250	100%
				2009	0	0	0%
				MULTI-YEAR GOAL		250	284
EO-1.6	Direct Financial Assistance to For Profits		Businesses	2005	----	----	----
				2006	----	----	----
				2007	TBD	0	----
				2008	TBD	0	----
				2009	5	3	60%
				MULTI-YEAR GOAL		5	3

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed			
EO-1 Availability/Accessibility of Economic Opportunity										
EO-1.7	ED Technical Assistance		Businesses	2005	0	7	----			
				2006	----	----	----			
				2007	15	12	80%			
				2008	30	30	100%			
				2009	5	42	84%			
			MULTI-YEAR GOAL					50	91	182%
			Jobs	2005	24	0	0%			
				2006	----	----	----			
				2007	TBD	----	----			
				2008	50	250	500%			
				2009	25	0	0%			
			MULTI-YEAR GOAL					75	250	333%
			EO-1.8	Micro-Enterprise Assistance		Jobs	2005	----	----	----
2006	----	----					----			
2007	TBD	0					----			
2008	0	0					----			
2009	0	0					----			
MULTI-YEAR GOAL						0	0	0%		
Businesses	2005	----				----	----			
	2006	----				----	----			
	2007	TBD				0	----			
	2008	0				0	----			
	2009	0				0	0%			
MULTI-YEAR GOAL						0	0	0%		
EO-1.9	Clearance and Demolition					Businesses	2005	1	1	100%
			2006	1	0		0%			
			2007	TBD	0		0%			
			2008	4	14		351%			
			2009	1	0		0%			
			MULTI-YEAR GOAL					7	15	214%

Assessment of Annual Goals and Objectives

Within the Annual Action Plan, the City proposes to operate a number of programs to further the goals identified in the Consolidated Plan. This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan. Additional detail about each accomplishment is provided in the IDIS Reports included as appendices to this document.

Project No.	Obj. No.	Project Name	Accomplishment	
			Proposed	Actual
1	N/A	ESG Administration	N/A	N/A
2	DH-1	ESG Homeless Shelter Operations	1400 People	3878 People
3	SL-1	ESG Homeless Essential Services	200 People	221 People
4	SL-1	ESG Homeless Prevention	150 People	218 People
5	N/A	HOPWA Planning & Administration	N/A	N/A
6	N/A	HOPWA Project Sponsor Administration	N/A	N/A
7	DH-1	HOPWA-Non Homeless Special Needs	272 Households	322 Households
8	N/A	HOME Administration	N/A	N/A
9	DH-2	First Time Homebuyer Financial Assistance	49 Households	64 Households
10	DH-1	Tenant Based Rental Assistance (TBRA)	72 Households	68 Households
11	DH-1	Project based Homeownership	5 Housing Units	13 Housing Units
12	DH-1	Rental Production	11 Housing Units	22 Housing Units
13	N/A	CDBG Planning & Administration	N/A	N/A
14	EO-1	Economic Development Program Delivery	4 Jobs	7 Jobs
15	DH-1	Housing Program Delivery-Rehabilitation	10 Households	21 Households
16	DH-1	Housing Program Delivery-Direct Homeownership Assistance	35 Households	98 Households
17	SL-3	Graffiti Removal	20 Businesses	252 Businesses
18	SL-1	Neighborhood Capacity Building	10 Organizations	13 Organizations
19	EO-3	Public Facilities-Rehabilitation Non-Profits	18 Public Facilities	12 Public Facilities
20	DH-1	Acquisition/Disposition	20 Units	178 Units
21	SL-3	Clearance & Demolition Program Delivery	10 Housing Units	12 Housing Units
22	DH-3	Targeted Code Enforcement	150 Housing Units	4530 Housing Units
23	SL-1	Human Capital-Public Service	6206 People	20,346 People
-----	SL-1	5A	279 People	225 People
-----	SL-1	W.E.B. Dubois Academy-Black Men of Greater Springfield	32 People	57 People
-----	SL-1	Bilingual Community Liaison-Boat People SOS	30 People	100 People
-----	SL-1	Hungry Hill Senior Services-City of Springfield	100 People	158 People
-----	SL-1	Pine Point Senior Services-City of Springfield	100 People	125 People
-----	SL-1	CJO Hampden County Correctional Facility	50 People	113 People

-----	SL-1	Fuel Assistance Program-Council of Churches	100 People	74 Families/226 People
-----	SL-1	Teen Pregnancy Prevention-Dunbar Community Center	60 People	19 People
-----	SL-1	Worthington Street Shelter Program-Friends of the Homeless	100 People	1163 People
-----	SL-1	Greater New Life Christian Center-Youth Empowerment Services	40 People	42 People
-----	SL-1	Community Education Support Program-The Gray House	60 People	131 People
-----	SL-1	Visually Impaired Elders Program-Mass Association for the Blind (MAB)	80 People	151 People
-----	SL-1	Youth Development Program-Martin Luther King Community Center	650 People	296 People
-----	SL-1	Fair Housing Project-Mass Fair Housing Center	180 People	211 People
-----	SL-1	Springfield Foreclosure Relief Program-Mass Fair Housing Center	225 People	419 People
-----	SL-1	Culinary Arts Training Program-MCDI	6 People	4 People
-----	SL-1	Emergency Food Program-Open Pantry	900 People	11,860 People
-----	SL-1	Loaves & Fishes-Open Pantry	900 People	471 People
-----	SL-1	Parents & Friends of Camp Star	130 People	129 People
-----	SL-1	Springfield Park Department- Pool Program	1000 People	1383 People
-----	SL-1	Springfield Park Department-District Recreation Supervisors	1975 People	1223 People
-----	SL-1	Salvation Army-Bridging the Gap	125 People	143 People
-----	SL-1	South End Community Center-Summer Activities	40 People	50 People
-----	SL-1	Indian Orchard Unit-Springfield Boys & Girls Club	80 People	152 People
-----	SL-1	Summer Youth Development-Springfield Boys & Girls Club	40 People	80 People
-----	SL-1	Springfield College-Community Engagement Youth Services	75 People	350 People
-----	SL-1	Springfield Girls Club-Pathway to Success	30 People	37 People
-----	SL-1	Springfield Vietnamese American Civic Association-Family Empowerment	100 People	151 People
-----	SL-1	Vietnamese American Civic Association-Housing Options Mean Empowerment Program	60 People	139 People
-----	SL-1	Children of Incarcerated Parents-Square One	3 People	3 People
-----	SL-1	Urban Achievement- Urban League of Springfield	30 People	30 People
-----	SL-1	Safe Summer Streets-YMCA	30 People	33 People
-----	SL-1	YouthBuild-YWCA	32 People	34 People
-----	SL-1	After School/Summer Fun-Gerena-New North Citizens' Council	30 People	101 People

-----	SL-1	Brightwood After School-New North Citizens' Council	49 People	60 People
-----	SL-1	Recovery Support-New North Citizens Council	50 People	88 People
-----	SL-1	Homeless Supportive Case Management-New North Citizens' Council	106 People	223 People
-----	SL-1	Youth Leadership-Puerto Rican Cultural Center	10 People	58 People
-----	SL-1	ESOL-Puerto Rican Cultural Center	5 People	50 People
-----	SL-1	Youth Education & Health Awareness-Puerto Rican Cultural Center	16 People	58 People
24	DH-3	Receivership Program	10 Housing Units	Underway
25	SL-3	Bond Payment	1 Unit	1 Unit
26	DH-1	HEARTWAP Program	300 Housing Units	1032 Housing Units
27	SL-3	Abandonment Response Program	TBD	7 Units
28	SL-1	Neighborhood Façade Program	6 Jobs	0 Jobs
29	SL-1	Public Improvements- Streets/Sidewalks	TBD	5701 People
30	SL-1	Historic Preservation-Rehab Blight Reduction	2 Housing Units	Projects Underway
31	SL-3	South End Revitalization	TBD	Project Underway
32	EO-1	Small Business Loan	3 Jobs	1 Job
33	SL-3	Keep Springfield Beautiful	2000 People	12,254 People
34	SL-3	Park Reconstruction	4485 People	Projects Underway
-----	SL-3	Rebecca Johnson Park		Underway 2010
-----	SL-3	Walsh Playground		Underway 2010
-----	SL-3	Johnny Appleseed Park		Underway-2010
35	SL-3	DeBerry School Outdoor Classroom	1 Public Facility	1 Public Facility

Multi Year Activities

As part of the City's work to track projects that have been in progress for more than one year, the City has developed the following status of pre-FY07-08 multi year projects that are currently listed as activities in IDIS. Further details about multi year activities funded through CDBG are provided in the IDIS report attached as an appendix to this document.

IDIS Activity#	Project
823	Former Cottage Street Landfill. The City continues to work on a reuse strategy with Waste Management, Cottage Street LLC, Massachusetts DEP, and the East Springfield neighborhood to develop a reuse strategy for the landfill. Currently the City is working with a private entity to redevelop the former Cottage Street landfill into a solar farm. Closure of the landfill continues with monitoring by the State.

- 1313 **Former York Street Jail.** The former York Street Jail was demolished in early 2008 leaving a 3.5 acre site along the Connecticut River and adjacent to I-91 available for development. The City began seeking developers in the Spring of 2009 to complement the Naismith Memorial Basketball Hall of Fame and the recent successful retail, restaurant, and hotel development existing on the Riverfront. The city is undertaking a strategic planning process which will include this site for the upcoming year.
- 1332 **Indian Orchard Urban Renewal Site.** Located in the Indian Orchard Neighborhood of Springfield this site was formerly the location of the Crane/Chapman Valve manufacturing facility. The site consists of fifty-four acres and will consist of a combination of public and privately held sites to be developed in coordination with the existing Indian Orchard Business Park urban renewal plan. As of Spring 2010, all demolition activities on the publicly held sites have been completed. Based on the due diligence of the Springfield Redevelopment Authority it was determined that the property acquisitions would not move forward, and are currently seeking an amendment from the Commonwealth to the existing urban renewal plan. The Indian Orchard Business Park urban renewal area which will now include both public and private parcels is available and will consist of development controls and design review.
- 1504 **Public Market.** The city identified a site at 1592 Main Street in downtown Springfield, known as the former Asylum building, as a location for a public market. This Public Market could potentially include an open food market, office space, and a restaurant. The city is able to access a \$400,000 state earmark for the continued pursuit of a public market for this project, and has successfully been awarded additional funding through the state's Growth District Initiative program and entered into a Pioneer Valley Planning Commission for an EPA funded Brownfield Revolving Loan Fund. The project includes environmental remediation, partial demolition of a building, and new construction including a small parking area.
- 1628 **Former Bing Theater.** The X Main Street Corporation received a \$100,000 funding allocation from the State for the rehabilitation and conversion of this former theater into a multi-use arts center. The two store fronts and new marquee have been completed and with use of a recent \$75,000 CDBG award the Bing had a grand reopening in 2010.
- 671 **Former Technical High School and ancillary historic structure.** Located in the downtown Springfield adjacent to the site of the new Federal Courthouse and the main branch of the Springfield Public Library and the Springfield Museums, this site was selected by the State of Massachusetts as the location for a state data center at this location. Construction begin in June 2010, with completion slated for late 2012.

1712 **Former Gemini Site.** Formerly the site of the Gemini Manufacturing building, this 3-acre site is a prime site for development in the City's South End Neighborhood. It is also one of the City's largest tax-foreclosed brownfield sites. The City of Springfield foreclosed on this property for non-payment of taxes in 1998, and the building burned down in 2003. Environmental remediation was completed in 2009, the site has been regarded, seeded, new sidewalks and is now part of the South End Revitalization Plan. The site is being contemplated for reuse through public and local input, utilizing the South End Revitalization Committee and the South End Citizens Council as venues for input.

Multi **Redevelopment of the Springfield Riverfront.** Completed and opened in September 2002, the Naismith Memorial Basketball Hall of Fame is the key element in the City of Springfield's Riverfront Development Plan. In March, 2008 the Rivers Landing complex opened in the former Basketball Hall of Fame on the Riverfront, featuring a 60,000 square foot LA Fitness Center and Onyx Restaurant & Fusion Bar. This complex represents over \$15 million worth of private investment with no public subsidies. The City completed the relocation of the William Sullivan Visitors Information Center to the Basketball Hall of Fame complex. The move allowed the 4,100 square foot former VIC building on the Riverfront to be available for reuse or sale. Interested parties have submitted proposals in the Summer of 2010.

Other multi year projects include:

Downtown Revitalization

Federal Building(Main Street)

The city partnered with Mass Development in Mass Development's efforts to purchase the old Federal Building on Main Street for a redevelopment project. The City committed the School Department headquarters to the redevelopment project, as they will lease space in the building as well as Bay State Health and multiple existing federal tenants. The property sale completed in 2009, the school department moved in during July, 2010, and the building renovations will be complete in Fall, 2010.

Court Square Redevelopment

In June 2008, Connolly & Partners was named out of 7 original proposers as the preferred developers for the Court Square Redevelopment Project, which will redevelop 3-7 Elm Street and 13-31 Elm Street, two historic buildings on Court Square Park. This project will include 42 apartments, 8 artist lofts, 82 units of extended stay hotel, 2629 square feet of office space and a 122 space inner parking facility, however due to economic concerns was shelved in 2009. A reworked plan is currently under consideration with the assistance of the National Development Council. New plans and cost estimates with a planned participation of local education institutions is expected to be proposed in early Fall, 2010.

Union Station Rehabilitation Project

The Union Station rehabilitation project is slated to involve the rehabilitation and conversion of Union Station into an inter-modal transportation facility with ancillary uses that will support the station project. This facility will be the hub for bus, rail, and freight transportation services for Western New England. The Springfield Redevelopment Authority has now taken on the lead development role in the project and has been designated a direct recipient of federal FTA funding. The SRA is currently seeking responses in request for an Owners Project Manager prior to designer selection later this year. The project is expected to be completed in late 2014. The redevelopment of Union Station will be a major catalyst for the redevelopment of the North Blocks of the city's downtown.

South End Project

Noted by ULI as the top priority neighborhood in the City, redevelopment efforts are focused on infrastructure improvements on the Hollywood district, Main Street, and Gemini site. The expected \$10 million project was recently funded through the city bond of \$6.6 million, a \$1.1 million CDBG commitment, and \$3.0 million of grant applications. The funding is being used to construct new streets and sidewalks, and create new open space connections and support the proposed rehabilitation of historic apartment buildings, while connecting the neighborhood more effectively to Main Street.

Construction of South End Main Street begins in September, 2009. The public improvements have helped spur private development including an office development nearing completion on Arlington Court. Main Street improvements are on target to be completed August, 2010.

Liberty Mutual

Liberty Mutual has secured a new Customer Response Center at the Springfield Technical Community College Technology Park on State Street. Liberty Mutual has hired nearly 300 new employees with the office opening in July, 2008. Total investment has been \$3.5 million with potential for further expansion.

Friends of the Homeless: Worthington Street Homeless Resource Center

Friends of the Homeless Inc. has completed rehabilitation of its existing facility and development of a new public facility, the Homeless Resource Center. The Homeless Resource Center was developed in conjunction with 32 new supportive housing units, in a campus setting. This project was a major component of the City's 10-Year Plan to End Long-Term Homelessness, and supports the plan by providing both supportive housing for chronically homeless individuals and rapid rehousing assistance for individuals becoming newly homeless.

Narrative and Financial Summary by Program

The City has completed the fifth year covered by the current Consolidated Plan.

The analysis contained within this CAPER demonstrates that within most program areas the City has already met the goals described. Where results have not been achieved, the analysis provides guidance for the City in the remaining year.

A. CDBG Narratives

In order to comply with the reporting requirements laid out in the CDBG regulations, the City utilizes this CDBG Narrative section to incorporate CDBG-specific information into the CAPER that is not easily included in the Five Year and Annual Report sections included above. This section is broken into the following four components:

- A. FY 09-2010 CDBG Expenditures Breakdown by Category (commences on pg 30)**
- B. Required narratives pertaining to the administration of the CDBG program (pg. 30);**
- C. Status Report on Neighborhood Revitalization Strategy Area (NRSA) (pg. 34).**

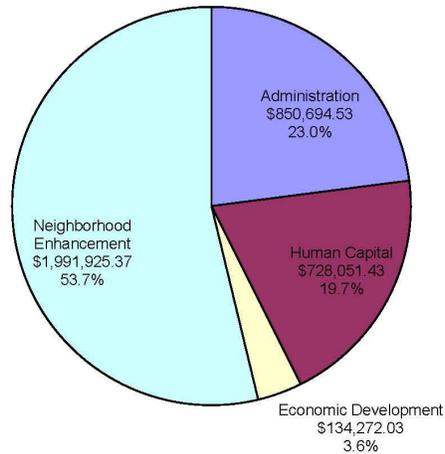
A. FY 09-2010 CDBG Expenditures Breakdown by Category

In FY 09-2010, the City's CDBG allocation was **\$4,155,048**. During this fiscal year the City expended \$ 4,583,025.76 of CDBG entitlement funding.

The following pie chart codifies these expenditures into three major categories, including Human Capital, Neighborhood Enhancement and Economic Development plus Administration. These categories line up with the priorities, needs, goals, and specific objectives identified in the Consolidated Plan and the FY 09-2010 Action Plan.

FY 09-2010 CDBG Expenditures by Category
Total Expended: \$ 4,583,025.76

Priority Categories



B. Required narratives pertaining to the administration of the CDBG program.

Nature and Reason for any Changes in Program Objectives

The City amended the FY08-09 Action Plan three times to reflect additional funding from HUD. Amendment one was for the Neighborhood Stabilization Program (NSP) for \$2,566,272 with the State awarding an additional \$1,000,000 for the program. The second amendment increased the Action Plan by \$1,700,802 for the Homeless Prevention and Rapid Re-housing Program (HPRP). The third amendment was for Community Development Block Grant Recovery Funds (CDBG-R) for \$1,111,756. All three amendments were submitted and approved by HUD.

Certification Narrative/Plan Implementation

The City strongly supports the receipt of assistance from various organizations regarding the furtherance of the Consolidated Plan goals. The City views these organizations as our partners. During the course of the year, the City provided certification for numerous programs including those for funding applications for the Springfield Housing Authority and the New North Citizens Council. The City of Springfield did not hinder the implementation of the Action Plan by action

or willful inaction. The City of Springfield pursued all resources it indicated it would. A summary of leveraged resources is located in the table starting on page 85.

Compliance with National Objective

During FY09-2010 the City used its CDBG funds exclusively for the benefit of low and/or moderate income persons or to eliminate or prevent slum and blight. Of funding expended, 85.58 percent was directed toward low and/or moderate income persons.

During the FY 09-2010 program year, extremely low income, low income and moderate income persons were served by CDBG-funded activities. A summary of accomplishment for activities that require a determination of income by family to determine the eligibility of the activity is provided on the following table. These accomplishments are for Program Year 2009 (FY 09-2010) Summary of Accomplishments based on the PR23 report in the HUD database system.

	Persons		Households	
	Total #	# Hispanic	Total #	# Hispanic
White	7,287	493	78	54
Black/African American	7,358	138	58	0
Asian	957	5	0	0
American Indian/Alaskan Native	51	0	0	0
Native Hawaiian/Other Pacific Islander	64	0	0	0
American Indian/Alaskan Native & White	8	0	0	0
Asian & White	27	0	0	0
Black/African American & White	157	0	0	0
American Indian/Alaskan Native & Black/African	25	0	0	0
Other Multi Racial	15,526	9,628	0	0
Total	31,460	10,264	136	54

CDBG BENEFICIARIES BY INCOME CATEGORY

Category	Type	Income Category				Total
		Extremely Low Income	Low Income	Moderate Income	Total LMI	
Housing – Owner Occupied	Households	1322	1068	137	2527	2575
Housing – Rental Occupied	Households	6	5	0	11	11
Housing Total	Households	1328	1073	137	2538	2586
Non-Housing	Persons	24,498	4,119	2,365	30,982	31,460
	Households	27	0	0	27	27
Total	Persons	24,498	4,119	2,365	30,982	31,460

Relocation Narrative

The City of Springfield ensures proper relocation for all revitalization projects. For economic development projects resulting from implementation of urban renewal plans, the City through its redevelopment authority uses a private qualified consultant. For housing projects, the City’s Office of Housing oversees and monitors project developer compliance with the Uniform Relocation Act. No housing initiatives resulted in permanent displacement.

Limited Clientele Narrative

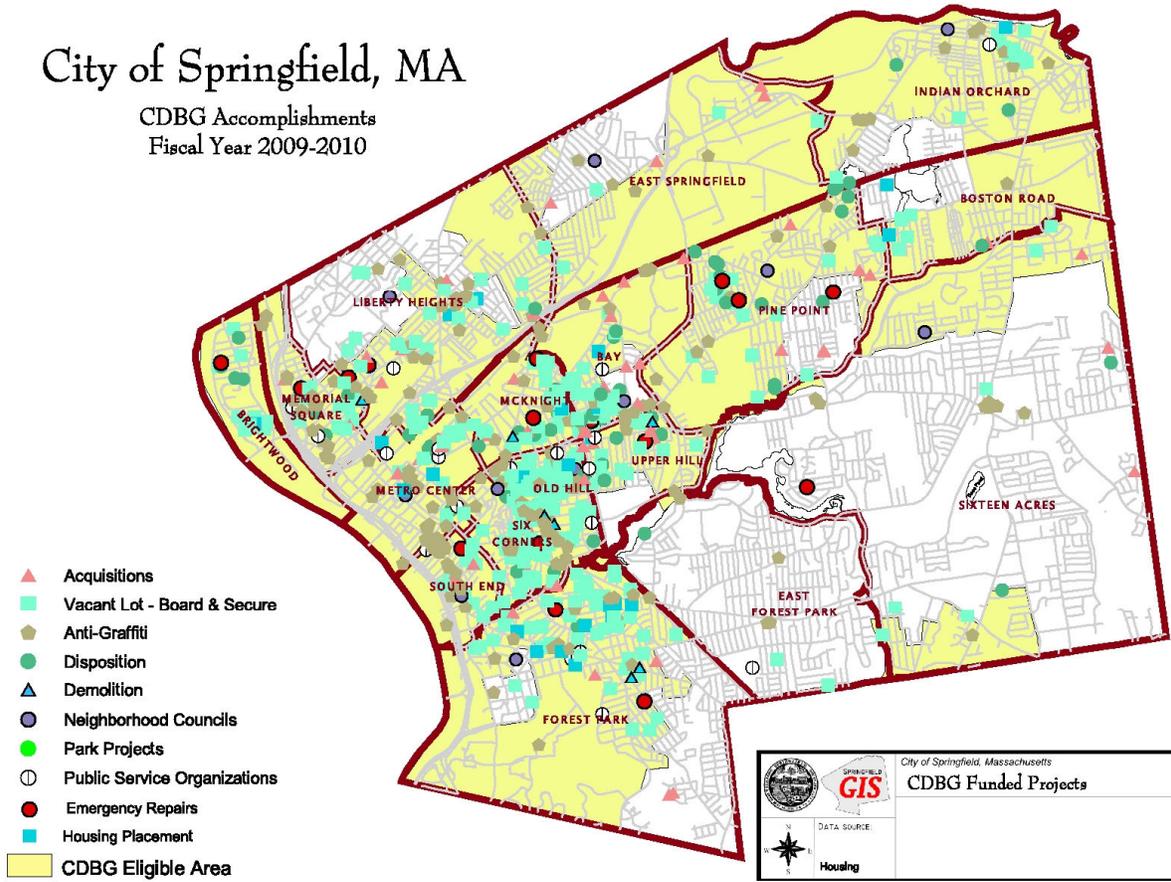
Programs funded by CDBG are required to demonstrate that their activities are serving low to moderate income persons/households. The City does permit presumed benefit from some public service programs that serve hard-to-reach sub-populations and/or are located in qualified census tracts. In those special circumstances, the City, prior to funding, considers who the targeted beneficiaries are intended to be, the nature of the program, and its location. This evaluation must result in the determination that at least 51% of the intended beneficiaries will be low and moderate income persons.

Program Income Narrative

During the course of the year, the City realized \$298,002.63 in CDBG program income and \$19,585.61 in HOME program income. Program Income funds are utilized to operate programs identified in the Action Plan. A summary of realized program income and its utilization is contained within the Financial Summary on page 105 for CDBG and 88 for HOME.

City of Springfield, MA

CDBG Accomplishments
Fiscal Year 2009-2010



Springfield, MA Neighborhood Revitalization Strategy Area
July 1, 2006 through June 30, 2010
Year 5 Accomplishment Narrative

The following accomplishments were achieved during the second year of the City of Springfield's 2006-2010 Neighborhood Revitalization Strategy Area (NRSA). A map that indicates the locations of the activities described below immediately follows this narrative.

NRSA Need I: Investing in residents through community-based organizations

NRSA residents are lagging behind the balance of residents of the city and region on a number of key socio-economic and health indicators. Poverty, unemployment, teen births, single parent households are all prevalent within the NRSA. Given the complexity of the issues that face the NRSA, a coordinated, concentrated effort utilizing result oriented models carried out by capable community-based organizations is needed to effect positive change.

Year 5 Results:

During the second program year, the City worked on a number of activities to increase the organizational capacity of organizations in the NRSA, including the following:

- Members of four NRSA organizations, the Old Hill Neighborhood Council and Maple High Six Corners Neighborhood Council, South End Neighborhood Council and New North Citizens Council participated in capacity building trainings regarding project management and project assessments. All these organizations were involved in the implementation of a citywide project to clean and revitalize their neighborhoods.
- The City worked to meet its goal to enroll 200 NRSA households in home buying counseling, small business development and other programs to expand opportunities for financial stability. Accomplishments during this program year included:
 - Homebuyer education classes provided by the New North Citizens Council were attended by 2295 NRSA residents,
 - Homebuyer education classes in Spanish were provided by the New North Citizens Council and 1721 NRSA residents participated,
 - Credit/home buying counseling was provided to 2295 NRSA residents,
 - Financial assistance for homeownership was provided to 809 NRSA residents, and
 - Technical assistance was provided to 25 businesses located in NRSA by New North Citizens Council
- Two comprehensive commercial district road and sidewalk redevelopment initiatives continued on State Street and Walnut Streets in the NRSA during this period as well. These projects are considered to be a major component of the City's work to improve the physical environment and bolster the City's focus on economic stability in the Six Corners and Old Hill NRSA neighborhoods.

- 4 homes are scheduled to be demolished on Marble Street as part of the South End Revitalization Project
- 76 trees were planted in Old Hill, Maple High Six Corners; South End, Memorial and Brightwood Sections of the city

NRSA Need II: Neighborhood infrastructure/blighted properties

A high percentage of housing in NRSA neighborhoods was built before 1940. Blighted and abandoned properties are concentrated in NRSA neighborhoods. Sidewalks, roads, tree belts and public facilities are generally in poor condition.

Year 5 Results:

To meet this established need, the NRSA Strategy seeks to improve neighborhood infrastructure, housing stock and the overall aesthetics of NRSA neighborhoods to bring back civic pride and encourage private investment. During the fifth year of the NRSA, the City undertook the following actions:

- Worked with each of the neighborhood councils located in the NRSA and completed the task of identifying the top ten priorities for each. As of the end of the program year, said priorities were identified by the five NRSA neighborhoods and the status assessment and implementation of the redevelopment projects included on these lists are underway.
- Continue to provide website mechanism to allow neighborhoods to track code enforcement issues. An additional 1650 actions were resolved in the 5 NRSA neighborhoods during the fifth year due to increased activity in regard to targeted areas surpassing the NRSA goal to resolve 1500 during the 5 year NRSA period.
- Took major strides toward achieving its NRSA goal to accomplish the redevelopment of 10 NRSA properties. During this fifth of five years, 8 properties were demolished, and 4 properties were redeveloped. A total of 53 properties demolished and 36 properties redeveloped in the first five years of the NRSA strategy.
- 95 properties were acquired and 25 properties were awarded for redevelopment
- 109 lots were cleaned and 26 properties were boarded up in NRSA areas

The New North Citizens' Council, a NRSA organization located in the Memorial Square neighborhood, continues the process of completing predevelopment activities related to the development of one additional public facility in the NRSA. The completion of this predevelopment work is the first step toward the five year NRSA goal to develop one additional public facility in the NRSA.

NRSA Need III: Engaging key stakeholders/building partnerships

NRSA Neighborhoods lack partnerships between key businesses, governmental bodies, and CBOs. As a result, there are missed opportunities relative to job growth, neighborhood commercial district enhancements and support for improving educational attainment and vocational training for NRSA residents.

Year 5 Results:

To engage all NRSA neighborhood stakeholders and the governing body of the City to form a partnership to make the NRSA neighborhoods a better place to live, work and recreate, the City identified and brought together key neighborhood stakeholders and CBOs in NRSA neighborhoods. During this program year five NRSA neighborhood councils partnered with a total of 27 stakeholders to participate in a citywide project to clean and revitalize their neighborhoods. The project involved the clean up of lots in their respective neighborhoods, the removal and recycling of metal and tires as well as revitalizing entryways. These partnerships continue beyond this project to develop plans for other activities to improve the quality of life in these NRSA neighborhoods.

Partner Organizations:

- | | |
|------------------------------------|---|
| 1. YMCA of Greater Springfield | 14. Maple High Six Corners Neigh..Council |
| 2. Springfield Museums | 15. New North Citizens Council |
| 3. Mass Mutual | 16. Old Hill Neighborhood Council |
| 4. The Republican | 17. South End Citizens Council |
| 5. Pride Gas Stations | 18. Assembly Baptist Church |
| 6. American International College | 19. El Pueblo Latino |
| 7. Springfield Chamber of Commerce | 20. Avery Sebastian Garden & Outdoor Living |
| 8. Springfield College | 21. The Reminder |
| 9. Baystate Health | 22. United Way |
| 10. Waste Management | 23. Keller Williams |
| 11. Springfield Schools Department | 24. ReStore |
| 12. Step Up Springfield | 25. The McDuffie School |
| 13. Sheriff's Department | 26. Basketball Hall of Fame |

NRSA Need IV: Promote meaningful job creation for NRSA residents

NRSA neighborhoods have high rates of unemployment. Residents generally lack educational attainment and vocational training in these neighborhoods. This makes it difficult for local businesses to recruit qualified employees from within the neighborhood. Economic activities in these neighborhoods do not target local residents for employment opportunities.

Year 5 Results

To improve jobs and economic opportunities for NRSA residents, the city continues to address negative influences in NRSA neighborhood business districts by fostering economic development activities that promote the hiring of local residents. These include:

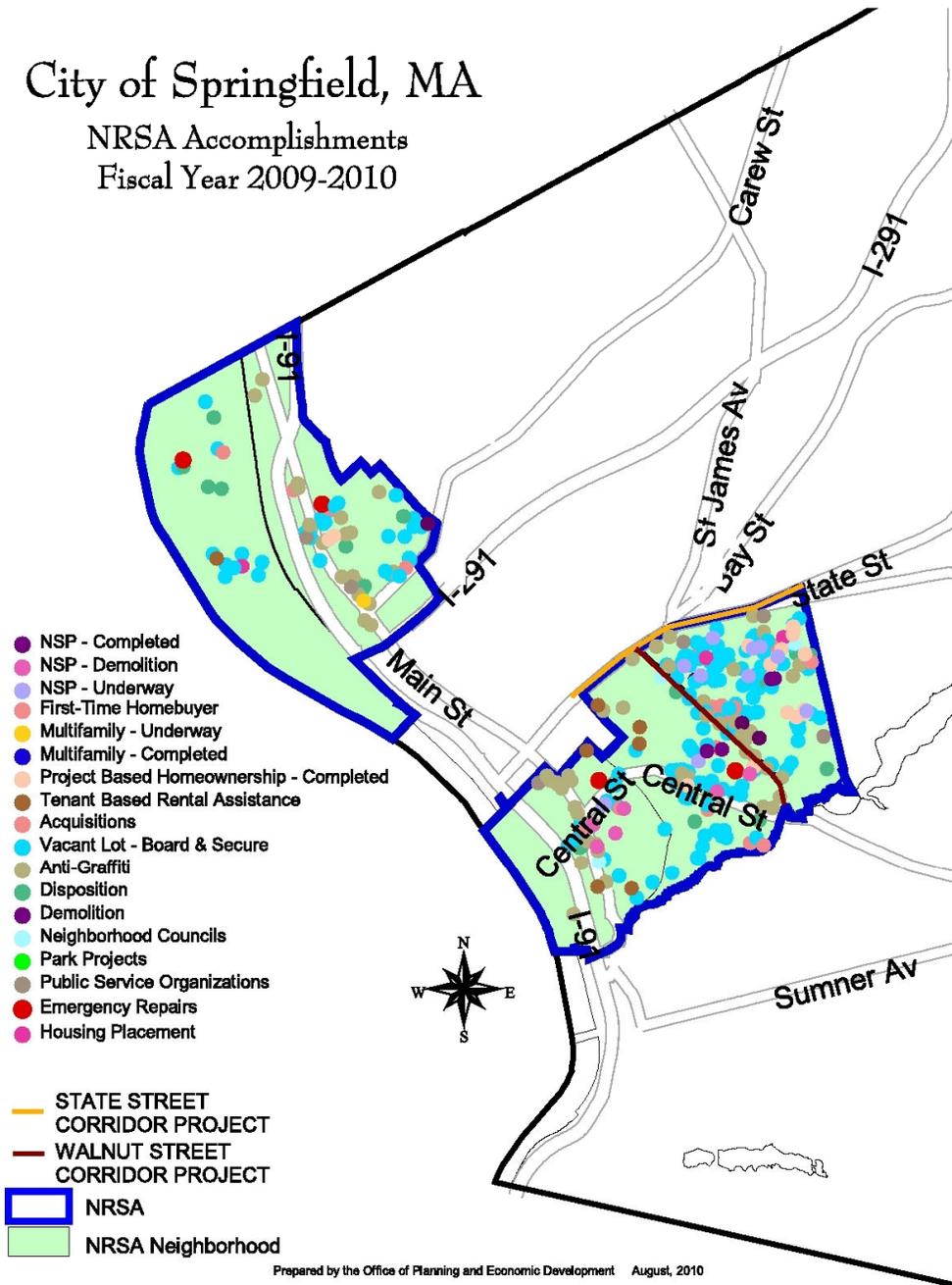
- Technical assistance was provided to 55 businesses located in NRSA

- 5 NRSA businesses received financial assistance
- 11 jobs were created within NRSA assisted businesses.
- Graffiti was removed from 74 private sites in NRSA neighborhoods

City of Springfield, MA

NRSA Accomplishments

Fiscal Year 2009-2010



B. HOME Program

The City targeted its FY09-10 HOME funds into four program areas:

- First-Time Homebuyer Assistance;
- Project Based Homeownership;
- Multi-Family Rental Housing; and
- Tenant-Based Rental Assistance.

In FY09-10 the City's HOME allocation was \$1,807,579.00. When added to the \$75,000.00 of anticipated program income, the amount of HOME funding available for use in FY 09-10 totaled \$1,882,579.00 of which \$1,694,321.00 was available for projects. The timely expenditure of federal funds for the furtherance of the City's identified housing goals is imperative. During this fiscal year, the City expended \$2,435,411.72 of available funds.

Chart A below illustrates the City's program expenditures for FY09-10.

Each year, the Participating Jurisdiction is required to commit 15% of its HOME entitlement program dollars to CHDO organizations. A two year window is provided for commitment of CHDO funds and five years is provided to complete the eligible activity. In FY09-10 the City expended \$265,662.31 of funds for CHDO activities. The City is on pace to meet both the two (2) year commitment and the five (5) year expenditure requirements for CHDO Organizations.

The City of Springfield has been able to use the federal HOME allocation to leverage significant additional resources. Within FY09-10, the City's completed projects leveraged a total of \$39,260,167 from private, state and federal sources. Chart B on the following page illustrates the breakdown of leveraged resources.

Program Income Narrative

During the course of the year, the City realized \$34,215.22 in HOME program income. Program Income funds are utilized to operate programs identified in the Action Plan. A summary of realized program income and its utilization is contained within the Financial Summary on page 88 for HOME.

Chart A
FY09-10 HOME Expenditures by Category
Total Expended \$2,435,411.72

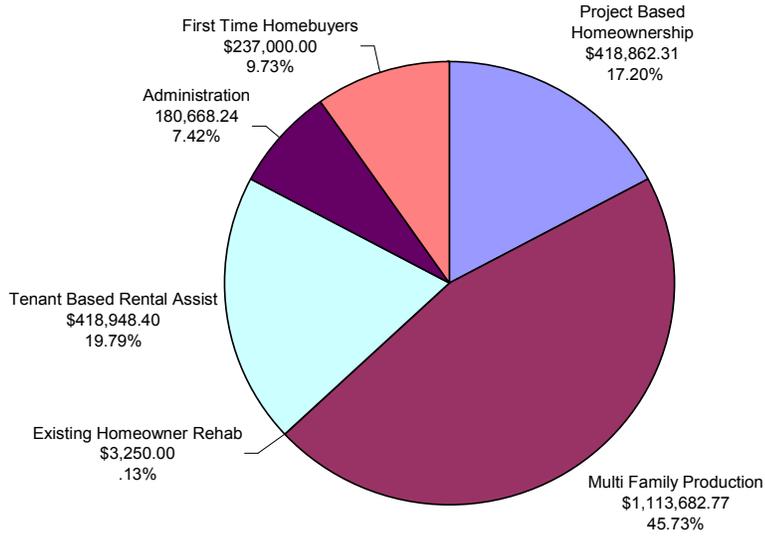
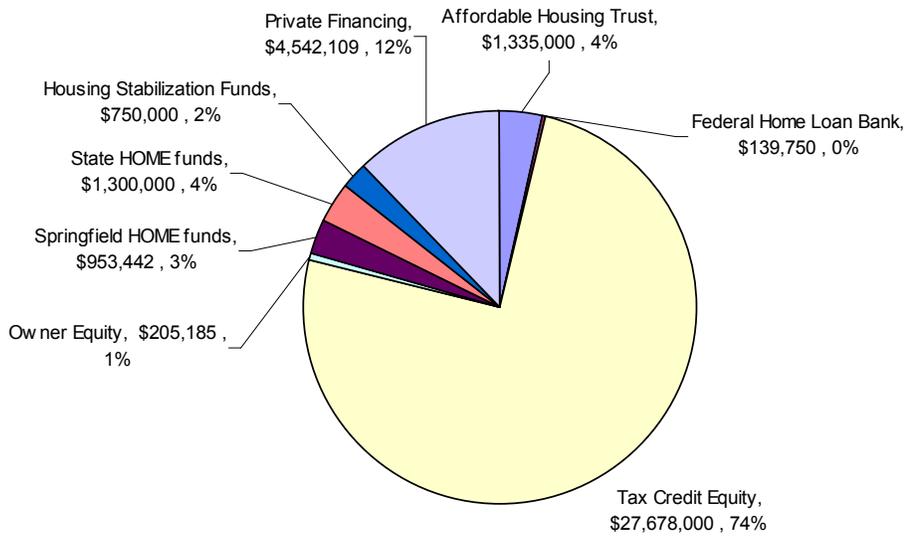


Chart B
Completed Projects Leveraged Resources



First-Time Homebuyer Assistance

The City provided homebuyer assistance in the amount of \$3000 to income-eligible first-time homebuyers purchasing homes within the City. During FY09-10, the City provided assistance to 65 households; of these households, 30 were Hispanic, 8 Black/African-American, and 6 Asian.

The homebuyer assistance program targeted Section 8 Program certificate holders in addition to low and moderate income households. The targeted marketing program, undertaken in partnership with the Springfield Housing Authority, was continued this year. The education program consists of the City's certified homebuyer education with extensive additional credit counseling. The participation is restricted to SHA residents and certificate holders. Direct outreach is undertaken by the SHA.

Project Based Homeownership

The City's development partners completed 14 units in the project-based homeownership program in FY09-10. Thirteen of these are for homeownership, and one is a rental in a two-family home.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
17 Jefferson	Homeownership/new const./CHDO	\$96,600	\$246,600	1/1
33 Jefferson	Homeownership/new const./CHDO	\$96,600	\$246,600	1/1
29-31 Dearborn	Homeowner/New Constr/Nonprofit	\$50,000	\$311,000	2/1
30 Colonial	Homeowner/New Constr/Nonprofit	\$50,000	\$282,000	1/1
111 Wilbraham	Homeowner/New Constr/Nonprofit	\$50,000	\$225,600	1/1
145 Florence St.	Homeowner /Rehab/CHDO	\$156,242	\$289,259	1/1
71 Eastern Ave.	Homeowner /Rehab/CHDO	\$154,000	\$280,993	1/1
127 Massachusetts Ave.	Homeowner /Rehab/CHDO	\$70,000	\$185,000	1/1
62 Maynard St.	Homeowner /New Constr/CHDO	\$50,000	\$187,500	1/1
17 Monson Ave.	Homeowner /New Constr/CHDO	\$50,000	\$210,000	1/1
661 Union St.	Homeowner /New Constr/CHDO	\$50,000	\$189,200	1/1
17 Wilbraham Ave.	Homeowner /New Constr/CHDO	\$50,000	\$200,600	1/1
143-145 Cambridge St.	Homeowner /New Constr/CHDO	\$30,000	\$176,350	1/1
TOTAL		\$953,442	\$3,030,702	14/13

HOME Project-Based Homeownership Photos



17 Jefferson Ave.



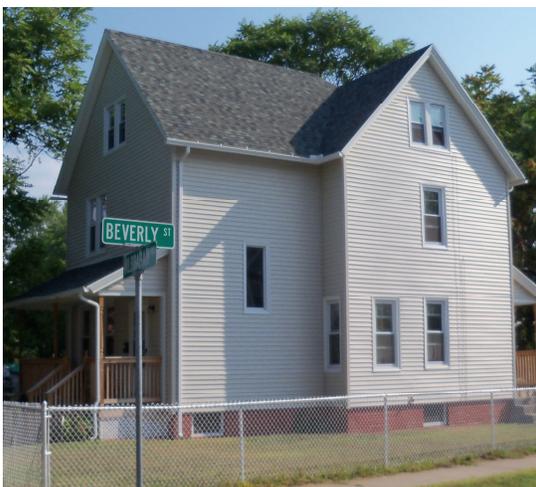
33 Jefferson Ave.



29-31 Dearborn St.



30 Colonial Ave.



111 Wilbraham Ave.



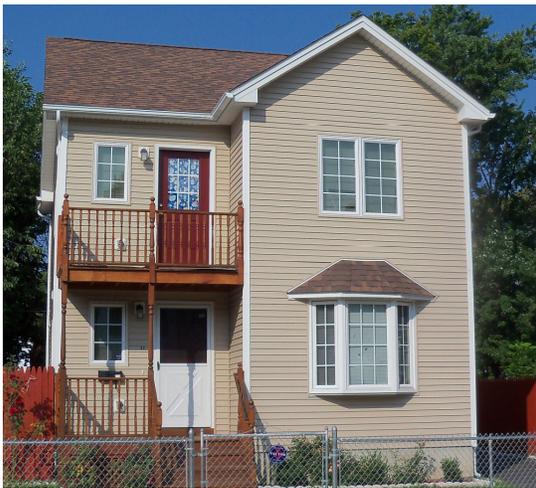
145 Florence



71 Eastern Avenue



127 Massachusetts Ave.



62 Maynard



17 Monson



661 Union St.



17 Wilbraham Ave.



143-145 Cambridge Street

The City's development partners currently have 16 single-family homes under development with HOME funds; ten of these are CHDO development projects. The City has committed HOME funds in the amount of \$ 764,450 for these projects, with total development costs exceeding \$2.6 million. All of the properties will be sold to eligible first-time homebuyers upon completion.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
27-29 Ames St.	New Constr/CHDO/Nonprofit	\$74,450	\$163,450	1/1
287-309 Central	Homeownership/new const./ For-profit developer	\$240,000	\$311,689	2/2
217 Tyler St.	New Constr/CHDO/Non Profit	\$50,000	\$215,000	1/1
Lot 157, Eastern Ave.	New Constr/CHDO/Non Profit	\$50,000	\$245,182	1/1
122 Green St.	New Constr/CHDO/Non Profit	\$50,000	\$247,799	1/1
Lot 76, Quincy St.	New Constr/CHDO/Non Profit	\$50,000	\$252,221	1/1
Lot 74 Thompson St.	New Constr/CHDO/Non Profit	\$50,000	\$232,102	1/1
298 Tyler St.	New Constr/CHDO/Non Profit	\$50,000	\$244,323	1/1
Lot 119, Eastern Ave.	New Constr/CHDO/Non Profit	\$50,000	\$251,515	1/1
Lot 121 Eastern Ave.	New Constr/CHDO/Non Profit	\$50,000	\$250,011	1/1
Lot 155, Eastern Ave.	New Constr/CHDO/Non Profit	\$50,000	\$258,554	1/1

Multi-Family Rental Housing Development

During FY09-10, the City's partners completed two multi-family redevelopment projects.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
Longhill Gardens	Rental rehabilitation/For profit developer	\$550,000	\$21,119,199	109/11
Northern Heights, 765 Main St., 3-79 Central, 22-24 Central, 86-98 Ada	Rental rehabilitation/For profit developer	\$550,000	\$15,110,266	148/11
TOTAL		\$1,100,000	\$36,229,465	257/22

HOME Rental Housing Development Project – Before and After



Forest Park Apartments (previously Longhill Gardens)

The City currently has four HOME funded rental housing projects in development. The total number of affordable rental units that will be created as a result is 223. The four projects will achieve a total of thirty-seven HOME units upon completion. The projects have affordability terms of at least twenty years.

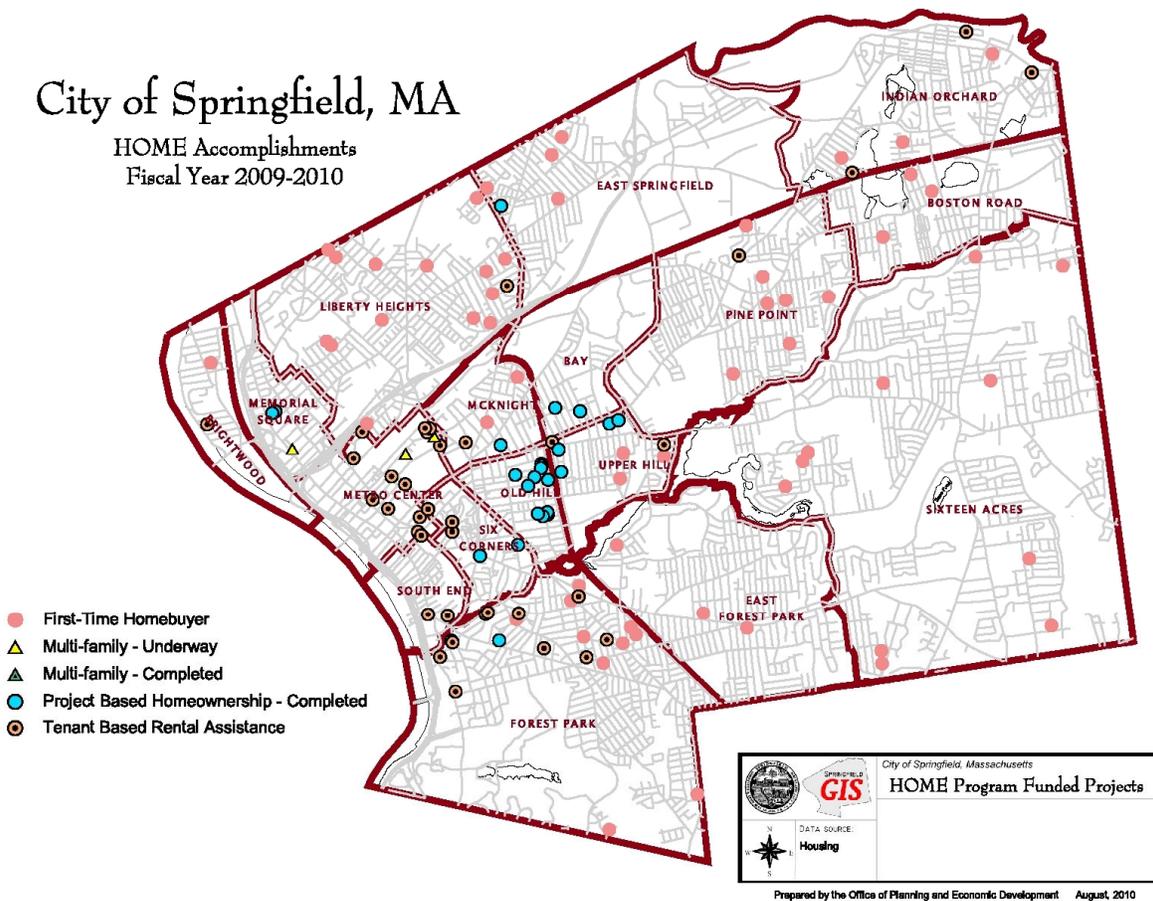
Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
YWCA Transitional Housing	New construction/ Non-profit	\$275,000	\$5,856,822	24/11
Friends of the Homeless Worthington Street Campus	Rental rehabilitation and new construction/ Non-profit	\$400,000	\$14,554,190	32/11
Bouriquen Apartments	Rental Rehabilitation/ Nonprofit developer	\$250,000	\$10,124,419	57/11
City View I Apartments	Rental rehabilitation/ For-profit	\$1,000,000	\$23,562,736	150/11

Tenant-Based Rental Assistance

The City of Springfield provides tenant-based rental assistance (TBRA) to reduce the rent burden for very-low-income households. The City targets this assistance to vulnerable populations that require supportive services, such as the chronically homeless and persons with HIV/AIDS. The City makes the assistance available through contracts with providers that have the capacity to operate a rental assistance program and to provide supportive services to TBRA recipients. In FY09-10, the City provided TBRA funding to the Mental Health Association to serve 34 chronically homeless individuals, and to River Valley Counseling Center, to serve 14 individuals who have HIV/AIDS. The City also operates its own TBRA program, which in FY09-10 provided assistance to 20 formerly homeless households.

City of Springfield, MA

HOME Accomplishments
Fiscal Year 2009-2010



Fair Housing and Affirmative Marketing

For all federally assisted housing programs, the City requires the project developer to conduct an analysis of those least likely to apply and to develop an Affirmative Marketing Plan. These two documents must be submitted at the time a funding application is submitted.

- The analysis must identify the protected classes least likely to apply for housing and make recommendations on how the likely reasons should be addressed.
- The Affirmative Marketing Plan shall include actions that shall be taken to implement the recommendations the result from the analysis. The Plan shall include but not necessarily be limited to the implementation of a minority outreach program that ensures the inclusion of, to the maximum extent possible minorities, women, and entities owned by minorities and women.

Such outreach shall include without limitation, real estate firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction with such persons or entities, public or private, in order to facilitate

the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other applicable Federal housing law.

The Developer's Affirmative Marketing Plan must identify specific community organizations, place of worship, employment centers, fair housing groups or housing counseling agencies where special outreach will be conducted.

Once the City approves the affirmative marketing plan, the project is monitored for compliance through project completion. Documentation is maintained for all marketing activities as part of the project records.

Affirmative marketing procedures and requirements are in place for all rental and homebuyer projects assisted with HOME funds administered by the City of Springfield.

Minority and Women-Owned Business Outreach

The City of Springfield promotes equal opportunity for all its citizens in every aspect of public procurement and contracting by assuring that opportunities to participate in City procurement and contracting are open to all without regard to age, ancestry, color, national origin, disability, race, religion or sex. The City encourages the utilization of minority, women and persons with disabilities by private businesses that contract with the City. The City encourages the award of procurement and construction contracts to business owned by minorities, women and persons with disabilities.

The City's Minority/Women Business Enterprise (MBE/WBE) Program to implement the City equal opportunity policy. The Program is a set of specific results-oriented procedures, and has been formulated to further implement the City's policies. The main objective of the Program is to develop maximum feasible MBE/WBE participation in construction contracts and in the procurement of goods, services, and supplies.

The City's goal for MBE/WBE participation and minority or women workforce on all construction projects and procurement of goods, supplies and services contracts is not less than twenty percent (20%). Success in meeting this objective will be affected by the availability of minority and women businesses with qualifications required by the City of Springfield.

Additionally, City staff people operating the housing rehabilitation and lead abatement programs maintain a list of qualified insured contractors. Although the lists are updated on a regular basis, property owners are encouraged to solicit from a wider circle of contractors. Often, contractors who undertake our projects are willing to be placed on our list for future contracts.

In the year covered by the Action Plan, HOME project developers awarded M/WBE contracts and subcontracts in the amount of \$3,859,843.00. The City will continue to strive to increase M/WBE and Section 3 business participation.

Relocation

None of the development projects that were completed during the program year involved permanent displacement of tenants from housing units. All approved projects were reviewed to determine applicability of the Uniform Relocation Assistance (URA) guidelines.

Matching Funds Report

The City of Springfield is 100% forgiven from HOME matching requirements for the FY09-10 fiscal year. Census data demonstrate that the City meets the regulatory definition of a local government participating jurisdiction that is in severe fiscal distress as stated in section 92.222(a)(1) of the HOME Investment Partnership regulations. In Springfield:

- “the average poverty rate in the participating jurisdiction was equal to or greater than 125 percent of the average national poverty rate during the calendar year for which the most recent data are available, as determined according to information of the Bureau of the Census.”

Poverty Rate		
Springfield, MA	United States	% of Average United States Poverty Rate
29.6	13.3	223%

Source: US Census Bureau, 2005 American Community Survey

- “the average per capita income in the participating jurisdiction was less than 75 percent of the average national per capita income during the calendar year for which the most recent data are available.”

Per Capita Income*		
Springfield, MA	United States	% of United States
17,023	25,035	68%

*In 2005 inflation-adjusted dollars

Source: US Census Bureau, 2005 American Community Survey

Monitoring During Development Period

City staff conducts initial, progress, and close-out (final) inspections on all developer projects--project-based homeownership and multi-family production--throughout the development process.

On-Site Monitoring

Qualified City staff conduct on-site inspections of affordable rental projects in accordance with HOME regulations. Standard practice is that units are inspected as part of the annual recertification process.

HOME Long-Term Compliance Monitoring

During FY09-10, the City reviewed and made changes to its HOME Compliance Monitoring Program regarding monitoring of long-term compliance with HOME requirements in the Rental Rehabilitation Program and in the Project-Based Homeownership Program.

File Organization

The City has created a new filing system for properties subject to long-term monitoring. These HOME-funded programs are now divided into 3 categories: 1) properties with 26 or more units, which must be monitored at least annually; 2) properties with 5 to 25 units, which must be monitored at least every two years; and 3) properties with 1-4 units, which must be monitored at least every three years. Each category of files is color-coded.

Each property now has a single monitoring multi-page file folder, with set-aside locations for 1) property information; 2) annual occupancy and rent reports; 3) HQS inspection reports; 4) on-site monitoring reports; 5) monitoring letters and related correspondence; and 6) financial and other records.

Monitoring Task Schedule and Record

The master checklist for each type of file contains a list and schedule for all monitoring tasks. As each task is completed, the staff person completing the task will initial and date the box indicating that the task is complete. By having a master list for each type of property enables multiple staff to work on monitoring, while all entering information about tasks completed in one place.

There are three primary activities that are undertaken to monitor compliance:

1. Annual Rent and Occupancy Report, which must be submitted by all HOME-funded projects containing rental projects annually.
2. Housing Quality Inspections, which are completed annually at all properties with 26 or more units, every two years for properties with 5 to 25 units, and every three years for properties with 1 to 4 units.
3. On-Site Monitoring Visits, which are completed annually at all properties with 26 or more units, every two years for properties with 5 to 25 units, and every three years for properties with 1 to 4 units.

Checklists for Use in Monitoring

In order to simplify the task of monitoring each HOME-funded property on a regular basis, the City is using HUD-distributed monitoring checklists. The checklists to be used are: 1) Rental Project Completion (for new projects, going forward); 2) Initial Rent and Occupancy; 3) Annual Project Compliance Report.; and 4) On-Going Monitoring. Copies of these checklists are enclosed.

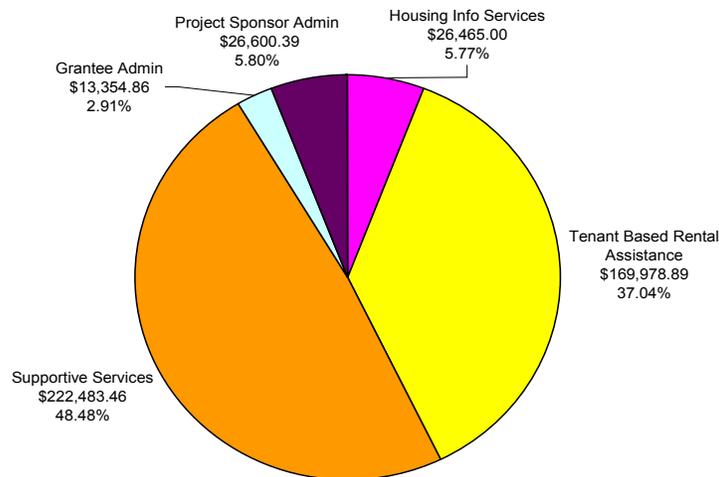
These standard checklists ensure that all compliance issues are checked at each review, and also simplify the task of reporting on project compliance. Where a project is fully in compliance, there will be no need for a written memo: the checklists will stand as the record, and a simple letter will inform property management that the City has found them in compliance.

C. HOPWA Narrative

Grantee and Community Overview

The City of Springfield administers the HOPWA program for the three-county area of Hampden, Hampshire and Franklin Counties. In this area, there are 1947 reported cases of HIV/AIDS: 61 in Franklin County, 125 in Hampshire County, and 1761 in Hampden County. Hampden County includes the cities of Springfield, Holyoke and Chicopee.

FY09 - 10 Expenditures by Category Total HOPWA Expended: \$458,882.60



In FY09-10, recipients of HOPWA funding served 398 households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 27 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 76 households; housing information to 106 households and advocacy/legal services and supportive services to 292 households.

Specific projects were selected for funding a formal Request for Proposal process. The following agencies received HOPWA funds:

- 1. River Valley Counseling Center** is a licensed mental health clinic and a multi-service agency. The mission of RVCC's HIV/AIDS Project is to support those affected by HIV/AIDS and to promote community awareness of the issues surrounding HIV/AIDS. The Project provides: information, assessment and referral services; comprehensive, bi-lingual/bi-cultural case management for medical and social services; HIV/AIDS consumer support groups; access to the Positive Alliance Network, a program designed specifically to provide mental health services to minorities affected by HIV/AIDS; an

array of housing services for HIV+ individuals; and membership to a drop-in center that provides a safe environment for HIV+ individuals to use a computer lab, access video and book libraries, prepare snacks and enjoy healthy congregate lunch meals. RVCC primarily serves residents of Hampden County, and has offices in Springfield and Holyoke. RVCC uses HOPWA funds to provide supportive housing and housing information services and advocacy. The contact person for RVCC's HOPWA program is Marianne Polmetier.

2. **Center for Human Development HIV/AIDS Law Consortium** The mission of the HIV/AIDS Law Consortium of Western Massachusetts is to assure access to legal services for individuals and families affected by HIV/AIDS. The Law Consortium is committed to educating both the legal community and the community at large about the legal rights of individuals and families affected by HIV/AIDS and advocating on behalf of people affected by HIV/AIDS to assure that their legal and human rights are preserved. The Law Consortium uses HOPWA funds to provide legal assistance in housing cases, and small group workshops to clients and case managers regarding housing issues. The contact person for the Law Consortium is Rose Maloof.
3. **New North Citizen's Council** provides advocacy, public and human services to Hampden County residents with an emphasis on Hispanic/Latino community for the purpose of enhancing the preservation and support of the family resulting in the improvement of quality of life. NNCC uses HOPWA funds to provide shallow subsidies, rental assistance and supportive services to individuals who are HIV positive and are homeless or at risk of becoming homeless. NNCC's contact program for the HOPWA program is Maria Perez.
4. **Cooley Dickinson Hospital's AIDS Care** provides case management and comprehensive and confidential support services to people living with HIV infection, their families, and friends using a harm reduction philosophy. AIDS CARE primarily serves residents of Hampshire County, and uses HOPWA funds to provide tenant-based rental assistance and support services. The contact person for AIDS CARE is Betsy Shally-Jensen.

Annual Performance Under the Action Plan

1. Outputs Reported. In FY09-10, recipients of HOPWA funding served 398 households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 27 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 76 households; housing information to 106 households and advocacy/legal services and supportive services to 292 households.

During this year, HOPWA grantees were able to slightly increase the number of persons assisted, from 338 to 398, with the greatest increase being in the area of provision of legal and advocacy services. One area in which there was a decrease in service was the number of households assisted with TBRA, which dropped from 32 to 25, primarily due to high rents in Hampden County. This decrease is offset by a new award of McKinney S+C funds for 7 additional households with HIV/AIDS, received in 2010. Additional rental assistance is provided in Hampden County using both HOME Program and McKinney Program funds.

Over 70% of funds are used to provide Tenant-Based Rental Assistance or Short Term Rental,

Utilities or Mortgage Assistance. The rest of the funds go to supportive services, including Rental Start-Up (first, last and security deposit) and legal assistance related to housing issues.

Grantees operate in all three counties that are covered by the HOPWA grant (Franklin, Hampshire and Hampden). The highest rates of HIV are in the cities located in Hampden County (Springfield, Holyoke and Chicopee). As a result, three out of the four grantees work in Hampden County.

2. Outcomes Assessed.

The programs that provide TBRA achieve housing stability outcomes higher than the national program targets. These programs also report success in improving access to health care. Programs providing other types of assistance have not tracked housing stability after the time of intervention, so they do not have data on housing stability. Our community is increasing and broadening use of the Homeless Management Information System (HMIS), so we hope to be able to track this data in the future.

3. Coordination.

Grantees are all members of the Springfield Continuum of Care, and several of them are members of the Three-County (Franklin, Hampshire, Hampden) Continuum of Care. The CoCs have independently created ten-year plans to end homelessness, and not the two CoCs have come together to create a regional effort to end homelessness. (The regional effort also includes Berkshire County.)

The work of these plans is to increase supportive housing opportunities and to increase coordination of housing and supportive services. One initiative under the Springfield plan has been to use Springfield Housing Authority project-based vouchers to pay for housing units in which the tenants are linked to supportive service providers in the community, including HOPWA-funded providers. In addition, the City of Springfield is making HPRP funds available to clients of HOPWA-funded programs (as well as others) to use as Rental Start-Up. In order to increase coordination, regional providers have started a blog, which assists them in communication with each other. The blog is www.westernmassrcn.wordpress.com.

None of our programs have done any housing development. As part of our regional effort, we are encouraging housing developers and service providers to work together to create permanent supportive housing.

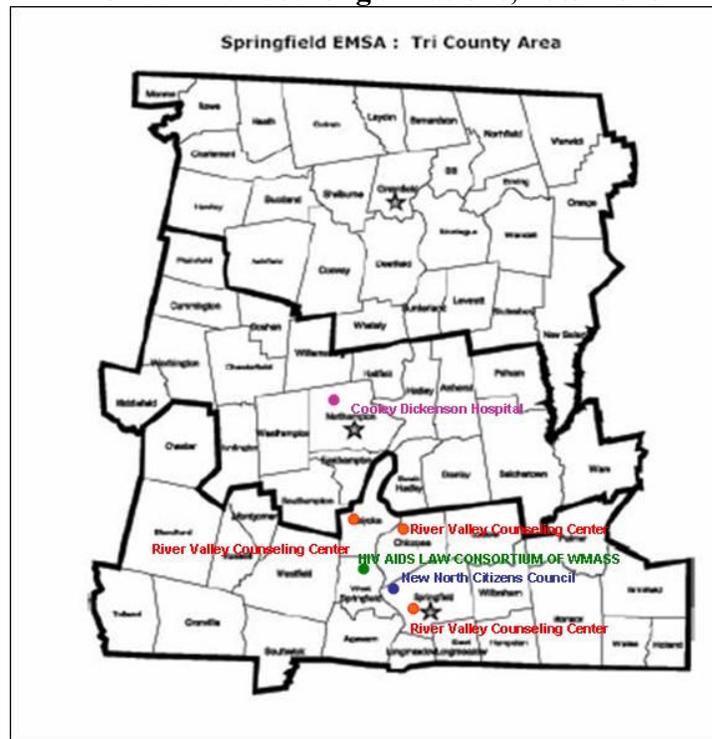
4. Technical Assistance.

Technical assistance is welcome at anytime to update staff on any changes within the program.

HOPWA REPORT – FY09-10

	Center for Human Development	Cooley Dickinson AIDS Care	NNCC	River Valley Counseling Center	FY09-10 TOTAL
Contract #	20100215	20100362	20100219	20100221	
Support Services	65	61	76	14	216
TBRA		13		14	27
Housing Information				106	106
STRMU			76		76
Less duplicates		-13	-76	-14	-103
TOTAL SERVED	65	61	76	120	322
CONTRACT AMOUNTS & EXPENDITURES					
HOPWA Contract Amount	\$44,272.00	\$118,500.00	\$115,000.00	\$153,000.00	\$430,772.00
HOPWA Expended Funds	\$44,272.00	\$117,685.00	\$114,833.00	\$132,979.00	\$409,769.00
MATCHING FUNDS					
City of Springfield				\$35,609.00	\$35,609.00
MDPH	\$51,541.00			\$2000.00	\$53,541.00
Fed. Grant			\$107,874.00		\$107,874.00
HDAP		\$58,032.00			\$58,032.00
Ryan White		\$10,353.00			\$10,353.00
SNAP		\$23,020.00			\$23,020.00
MA Bar	\$25,000.00				\$25,000.00
Fuel Assistance		\$204			\$204.00
AIDS Foundation		\$1725.00			\$1725.00
Catholic Charities		\$40.00			\$40.00
In-Kind	\$53,624.00	\$40.00			\$53,664.00
TOTAL MATCHING FUNDS	\$130,165.00	\$93,414.00	\$107,874.00	\$37,609.00	\$369,062.00

HOPWA Funded Organizations, 2009-2010

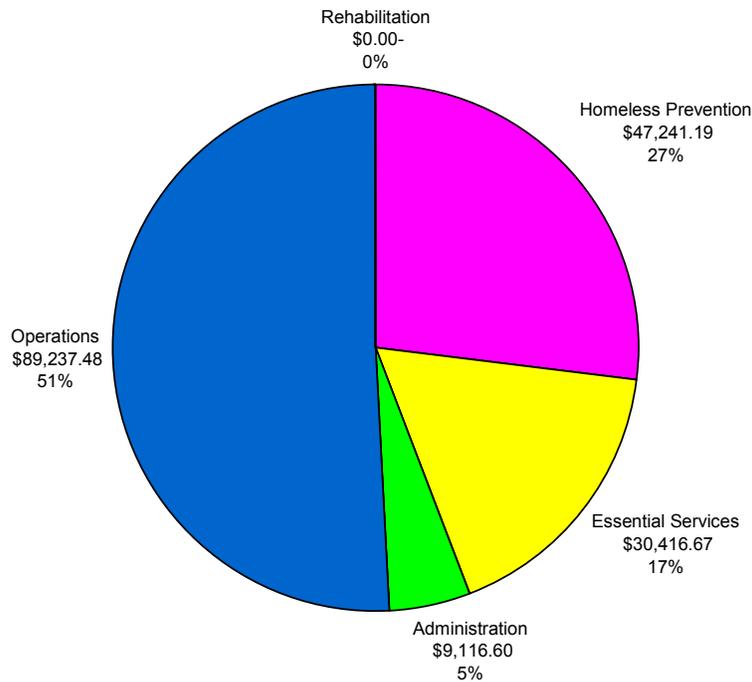


D. ESG Narrative

After a community needs assessment, the City requested proposals from Homeless Service Providers to operate ESG eligible programs. As part of the City's review process, the statutory spending caps on certain ESG activities are a consideration. The City expenditures for FY09-10 within the allowable activities and expenditure caps are located on pages 103 – 104.

ESG funds have statutory match funds requirement of one to one. For every ESG dollar expended, one dollar of other private or eligible public money must be expended. The City's ESG program far exceeded this requirement by leveraging \$453,836.00.

FY09-2010 Expenditures by Category Total ESG Expended: \$176,011.94



ESG Activities

The Emergency Shelter Grants program is designed to perform four eligible activities: increase the number and quality of emergency shelters/and transitional housing facilities, to operate these facilities, to provide essential services, and to help prevent homelessness. During the period of the Action Plan, the City of Springfield utilized eligible entities to provide effective programs to Springfield's homeless population.

Eligible ESG activities, with corresponding funded programs, are:

1. **Renovation** - Rehabilitation and conversion of buildings for use as emergency shelters or transitional housing for the homeless. The City did not fund any renovation activities this fiscal year.
2. **Essential services** - Assistance may be used for activities relating to emergency shelter for homeless individuals. The provision of essential services, including services concerned with employment, health, drug abuse or education, and may include but are not limited to:
 - 1) Assistance in obtaining permanent housing;
 - 2) Medical and psychological counseling and supervision;
 - 3) Employment counseling;
 - 4) Nutritional counseling;
 - 5) Substance abuse treatment and counseling;
 - 6) Assistance in obtaining other Federal, State and local assistance including mental health benefits; employment counseling; medical assistance; Veteran's benefits; and income support assistance such as supplemental Security Income benefits, Aid to Families with Dependent children, General Assistance, and Food Stamps;
 - 7) Other services such as child care, transportation, job placement and job training.

PROJECTS

Health Care for the Homeless provided essential health services to 209 persons; these services included medical encounters, counseling, nutrition and referrals to mental health and additional services. Services were provided on-site at every Springfield singles and family shelter.

MLK PP1 provided casemanagement support to families moving out of transitional housing into permanent housing. The program served 12 households.

3. **Operating costs** - such as maintenance, insurance, rent, utilities and furnishings incurred by a recipient operating a facility

PROJECTS

Friends of the Homeless received funding for the operation of an emergency shelter for homeless singles. The shelter served 3520 persons.

The YWCA provided emergency shelter to women and their children who were victims of domestic abuse. The project served 133 households.

MCDI provided meals to persons who are homeless or are at-risk of homelessness. The project served 225 per day, 5 days per week.

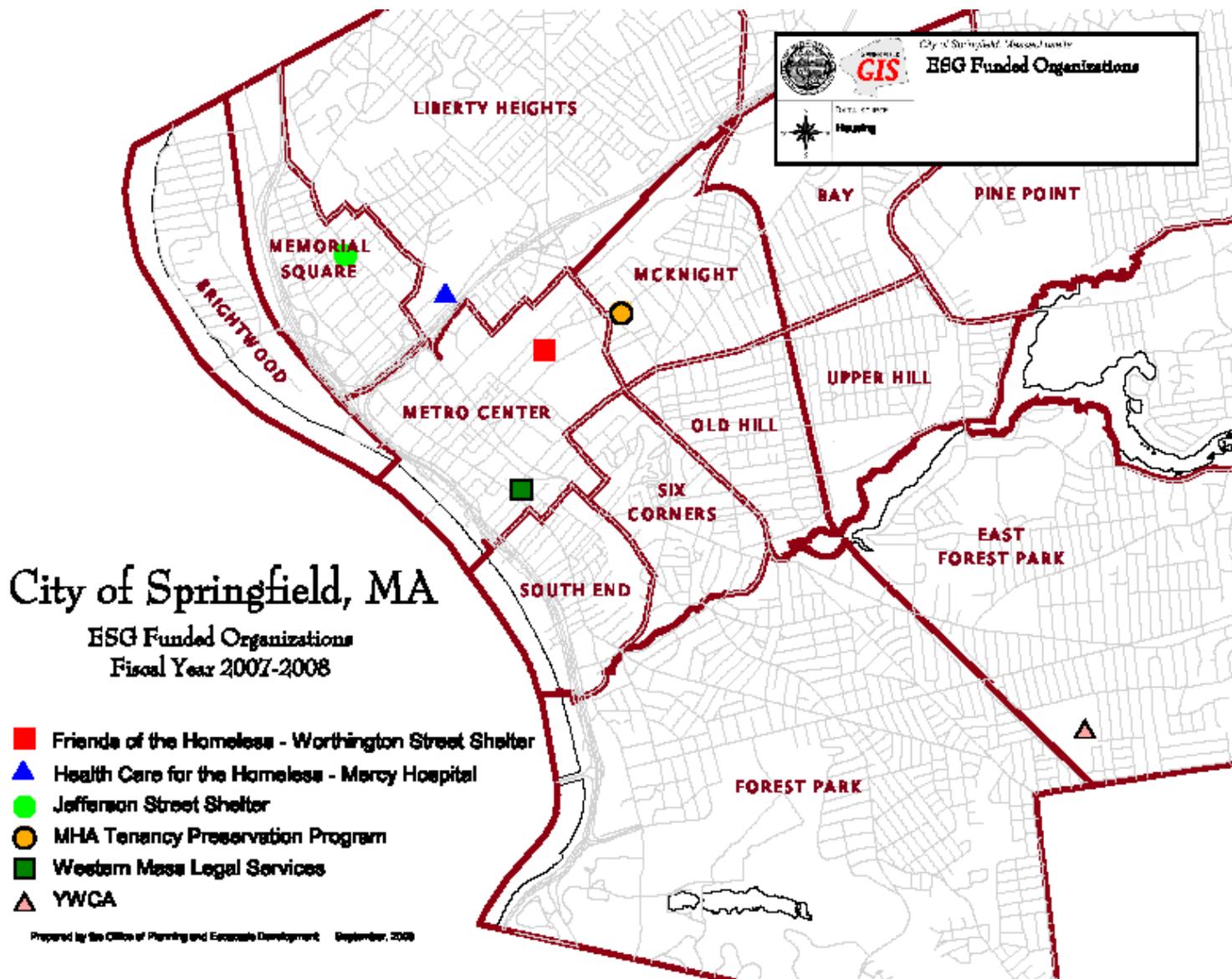
4. **Homeless prevention** and efforts to prevent homelessness such as financial assistance to

families who have received eviction notices or notices of termination of utility services if - a) the inability of the family to make the required payments is due to a sudden reduction in income; b) the assistance is necessary to avoid the eviction or termination of services; c) there is a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and d) the assistance will not supplant funding for preexisting homelessness prevention activities from other sources.

PROJECTS

Tenancy Preservation Program (TPP) provides case management, mediation and mental health intervention for households with tenancy at-risk due to mental health issues. The program utilizes a community-based team that works in conjunction with community organizations to identify and intervene in situations where there is imminent risk of homelessness. This program is a state-wide model that has received national acclaim. During this fiscal year, the TPP assisted 134 people.

Court Plus, a program operated by Western Massachusetts Legal Services, provides legal advocates to assist low-income Springfield households facing evictions. This program initiates representation in Housing Court on eviction day, and continues until the tenant is stabilized. During this fiscal year, the Court Plus program assisted 84 people.



ESG REPORT – FY09-10

	MCDI Meals Program	MLK PP1	Friends of the Homeless	Health Care for the Homeless	MHA - TPP	WMLS	YWCA	FY TOTAL
CONTRACT #	201007424	20100459	20100167	20100169	20100170	20100171	20100172	
Unaccompanied males	165	0	2820	23	44	8	0	3060
Unaccompanied females	60	0	700	186	42	15	50	1053
Under 18 female	0	0	0	0	0	0	0	0
Under 18 male	0	0	0	0	0	0	0	0
Male Single Parent Families	0	6	0	0	0	1	0	7
Female Single Parent Families	0	6	0	0	41	41	69	157
Two Parent Families	0	0	0	0	4	11	0	15
Adult couples w/o child	0	0	0	0	3	8	14	25
HOUSEHOLDS SERVED:	225	12	3520	209	134	84	133	4317
RACE								
White	99	0	1317	109	49	13	33	1620
Black/African American	38	12	870	57	30	25	33	1065
Hispanic	85	0	1304	43	52	43	60	1587
Asian	0	0	12	0	3	3	4	22
Nat. Amer Indian/Alaskan Native	0	0	4	0	0	0	0	4
Hawaiian/Other Pacific Islander	1	0	2	0	0	0	0	3
Amer Indian/Alskn Nat & White	0	0	0	0	0	0	0	0
Asian & White	0	0	0	0	0	0	0	0
Black/African-Amer & White	1	0	0	0	0	0	1	2
Amer Indian/Alakn Nat & Black	0	0	0	0	0	0	0	0
Other - Multi	1	0	11	0	0	0	0	14
SUBPOPULATIONS								
Chronically Homeless	225	12	927	152	0	1	0	1317
Severely Mentally Ill	0	0	1112	152	112	34	0	1410
Chronic Substance Abuse	0	0	1184	135	25	4	0	1348
Other Disability	0	12	1172	0	15	22	0	1221
Veterans	0	0	285	0	6	3	0	294
Persons w/HIV/AIDS	0	0	71	2	2	0	2	77
Domestic Violence	0	0	607	47	27	4	133	818
Elderly	0	0	0	0	19	7	0	26
CONTRACT AMOUNTS & EXPENDITURES								
Contract Amounts	\$7,500.00	\$12,780.00	\$70,000.00	\$25,000.00	\$29,700.00	\$25,000.00	\$10,000.00	\$179,980.00
ESG funds expended	\$7,500.00	\$10,145.54	\$70,000.00	\$25,000.00	\$28,932.00	\$25,000.00	\$10,000.00	\$176,577.54
MATCHING FUNDS								
Dept. of Families & Children							\$10,000.00	\$10,000.00
DHCD					\$120,478.00			\$120,478.00
DMH					\$33,277.00			\$33,277.00
MA Bar Assoc./MA Legal						\$28,650.00		\$28,650.00
HHS				\$25,000.00				\$25,000.00
MHCD					\$17,986.00			\$17,986.00
In Kind	\$5,049.00	\$10,145.54						\$15,194.54
DTA			\$308,881.00					\$308,881.00
TOTAL Matching Funds	\$5,049.00	\$10,145.54	\$308,881.00	\$25,000.00	\$171,741.00	\$28,650.00	\$10,000.00	\$559,466.54
GOALS								
MCDI Meals	C# 201007424	To provide 3 meals a day for homeless or at-risk persons						
MLK PP1	C# 20100459	To provide transitional case management support for at-risk households						
Friends of the Homeless	C# 20100167	To operate emergency shelter for single individuals						
Health Care for the Homeless	C# 20100169	To provide health care to homeless individuals residing in shelters & on streets						
MHA-TTP	C# 20100170	To provide advocacy for at-risk households facing eviction						
WMLS	C# 20100171	To provide legal representation for at-risk households facing eviction						
YWCA	C# 20100172	To provide emergency shelter for victims of domestic violence						

HUD Reporting Requirements

A. Affirmatively Furthering Fair Housing

According to the Fair Housing Planning Guide published by HUD, “the CDBG program contains a regulatory requirement to affirmatively further fair housing based upon HUD’s obligation under Section 808 of the Fair Housing Act. The CDBG regulation also reflects the CDBG statutory requirement that grantees certify that they will affirmatively further fair housing. Similarly, the HOME program regulation states the statutory requirement from the Comprehensive Housing Affordability Strategy (CHAS) that the jurisdictions must affirmatively further fair housing.

In support of these regulations, HUD’s CPD Department also requires CD grantees, including entitlement communities like Springfield, to document Affirmatively Furthering Fair Housing AFFH actions in their annual CAPERs. Grantees must:

- Conduct an analysis to identify impediments to fair housing choice within the jurisdiction;
- Take appropriate actions to overcome the effects of any impediments identified through the analysis; and
- Maintain records reflecting the analysis and actions to eliminate impediments to fair housing choice.

In order to comply with these regulations and requirements, the City of Springfield conducted a Fair Housing planning process in 2001, which included completion of an analysis of impediments to fair housing. In 2003, the City of Springfield’s Office of Community Development revised its analysis of impediments (AI) with the help of MBL Housing and Development Inc., a consultant hired based on direction from HUD.

In 2006, the City of Springfield augmented this AI with additional analysis and measurable action steps. A DRAFT AI was made available for public review as part of the public review process for the 05-06 CAPER, and it was sent for review and comment to organizations that are directly or indirectly involved with affirmatively furthering fair housing in the region. A copy of the final AI was included in the City’s FY06-07 Action Plan. An overview of the impediments found and a list of actions taken during the FY09-10 program year to address the impediments are detailed below.

IMPEDIMENTS FOUND

The following impediments to fair housing in Springfield were identified in the AI:

- a. Lack of extensive amounts of undeveloped land.
- b. Imbalance between rental and homeownership in various neighborhoods.
- c. Presence of deteriorated privately-owned properties which are vacant or not actively managed.
- d. Evidence of predatory lending and redlining.

- e. Existing patterns of segregation.
- f. Language barriers and cultural differences.
- g. The age of the housing stock and the prevalence of lead-based paint hazards.

ACTIONS TAKEN TO ADDRESS IMPEDIMENTS

The City of Springfield has taken positive steps to affirmatively further fair housing and to address the impediments to fair housing identified in the AI.

1. Provision of housing opportunities. Springfield's AI indicates that some of the greatest barriers to fair housing are related to the lack of housing opportunities for all people. The City continues to address this issue through the following initiatives:
 - a. Expansion of affordable, affirmatively marketed housing stock throughout all Springfield's neighborhoods. Through the strategic use of its federal housing funds, the city has financed affordable housing opportunities within nearly all of Springfield's neighborhoods. The City's financing requires the units to be affirmatively marketed by the developer/owner with the results of those marketing efforts reported to the City annually.
 - b. Utilization of municipal properties as redevelopment sites for affordable housing opportunities. Springfield has undertaken a comprehensive program to take abandoned blighting properties through the land court process. The reuse of these properties has enabled the city to create homeownership opportunities.
 - c. Multi-family dwelling owners seeking financial assistance for work, including rehabilitation and lead paint abatement, are required to demonstrate how the project will be marketed to 'those persons least likely to apply' and demonstrate, to the greatest extent possible, that the multi-family complexes are integrated communities.
 - d. Provision of financial assistance to eligible homebuyers in all Springfield neighborhoods. A basic premise of Springfield's homebuyer assistance program is that each buyer should be able to achieve homeownership in any neighborhood.
 - e. Advocacy at the regional level, as part of a regional planning process to address homelessness, to expand affordable and supportive housing opportunities throughout the region.
 - f. The City's Home Savers Program is designed to address problems with predatory lending and home repair scams.

2. Provision of Education concerning Fair Housing and Housing Discrimination
 - a. The City provided homebuyer education workshops in English and Spanish throughout the program year. While the primary objective was to prepare first-time homebuyers for ownership, the education workshops contain a component on fair housing.
 - b. The City, through a consultant, provided financial literacy assistance to public housing residents throughout the program year.
 - c. The City, through its Office of Housing and through a subcontract relationship, provided education and legal advocacy for households facing housing discrimination.

B. Affordable Housing

Through a variety of programs, the City was able to assist low and moderate income homeowners and renters. The following table illustrated the numbers of households assisted. The accompanying program descriptions provide a brief overview of the programs and funding sources.

AFFORDABLE HOUSING: HOUSEHOLDS ASSISTED

	First-Time Homebuyer	Emergency Repair/Rehab	Heating Systems and Clean & Tune	Relocation	Homelessness Prevention & Rapid Rehousing	Project-Based Homeownership	Multi-Family Rental Production	TBRA	Totals
0-30 MFI Renter	0	0	8	12	216	0	0	62	298
0-30 MFI Owner	0	1	609	0	0	0	0	0	610
31-50 MFI Renter	0	0	3	0	0	0	8	0	11
31-50 MFI Owner	16	9	408	0	0	0	0	0	433
51-80 MFI Renter	0	0	1	0	0	1	14	0	16
51-80 MFI Owner	49	7	3	0	0	13	0	0	72

Key:

Heating Systems - a state funded heating system repair and replacement system that serves fuel assistance eligible households.

Clean and Tune – a state funded program that provides annual maintenance service to heating systems for fuel assistance eligible homeowners.

Relocation – a federally funded (CDBG) program, which offers assistance to households displaced as a result of condemnation.

Project Based Homeownership – a federally funded (HOME) program, which provides 'turn-key' homeownership units for first-time homebuyers. Developers are provided financial assistance to acquire and rehabilitate distressed properties.

Multi-family Rental Production – a federally funded (HOME) program which produces affordable rental units. Not all units produced had been filled by the end of the reporting period.

TBRA –Tenant-based Rental Assistance - a federal funded (HOME) program used to provide rental subsidies for formerly homeless singles and families.

C. Homeless/Continuum of Care Narrative

The City is in its fourth year of implementing its Ten-Year Plan to End Homelessness, “Homes Within Reach,” which was released in January 2007. The plan addresses the needs of both chronically homeless and crisis homeless, and both individuals and families. The plan sets forth numerous strategies to achieve eight core goals: 1) permanent supportive housing for the chronic homeless; 2) homelessness prevention; 3) rapid exit from homelessness; 4) employment and training to increase incomes; 5) deeply subsidized housing; 6) improved access to mainstream services; 7) coordination and advocacy with our community, our region, and state and federal governments; and 8) accountability through data collection and analysis.

Implementation of the plan has been led by an Implementation Committee, composed of individuals from government, non-profit entities, the business community, the faith community, housing providers and foundations. Members of the Implementation Committee have been very active in advocating for and committing resources to the plan, as well as in building community support for the plan.

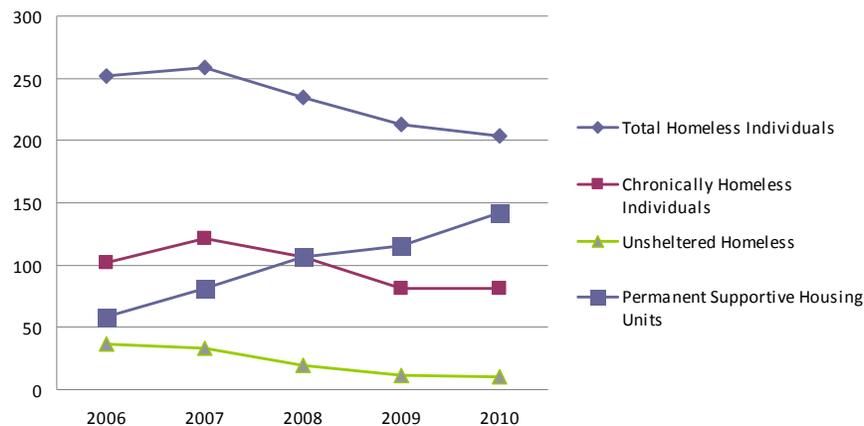
Homelessness Prevention and Rapid ReHousing Program. During FY09-10, the City began administering a Homelessness Prevention and Rapid Rehousing Program (HPRP) grant of \$1,700,802. The City is used these funds to set up one coordinated response system for families, and one system for single individuals. These systems, each organized by a single lead agency, are designed to be points of entry for the populations they serve. The lead agencies were chosen through a request for proposals (RFP) competitive process. Catholic Charities, partnering with Friends of the Homeless and Health Care for the Homeless, became the lead provider for

individuals, and was funded at \$568,499 for a three-year period. HAP Housing, partnering with Western Massachusetts Legal Services, became the lead provider for homeless families, and was funded at \$1,055,783 over a five-year period.

The new HPRP programs started in November and December 2009. Since that time, they have prevented homelessness for 118 households, and assisted 98 households with rapid rehousing assistance.

Point-in-Time Count. Springfield’s January 2010 point-in-time count showed that, in 2009, Homes Within Reach reduced homelessness among single individuals (without children) in Springfield by 5%; over the first three years of the plan’s implementation, the point-in-time count has shown a 22% reduction in the number of homeless single individuals. The January 2010 count identified 203 homeless individuals and 139 homeless families in the City.

**Homeless Trends, Individuals, Springfield
2006-2010**



Unfortunately, the January point-in-time count showed an increase in family homelessness. Between 2009 and 2010, the number of homeless family households in the City rose from 107 to 139. At the time of the 2010 point-in-time count, there were 449 persons in families that were homeless in the City.

This is the 5th year in a row of increases in family homelessness. The rise in family homelessness is a national trend, and is believed to be caused by a sluggish economy and the high rate of foreclosures over the past several years.

The Springfield point-in-time count likely under-reports the problem of family homelessness in the City. In Massachusetts, the state provides shelter to eligible homeless families. In order to meet the need for shelter, the state contracts directly with service providers to shelter families referred to them by the state Department of Housing and Community Development. If there is more demand for shelter than there are shelter units under contract, the state places homeless families in motels. Most families placed in motels are placed outside of Springfield. At the time of the 2010 point-in-time count, there were about 300 families in motels in Hampden County. It

is believed that the majority of these families originated in Springfield.

In the Spring of 2010, providers began focusing HPRP resources and additional state resources on families that were already homeless, and are bringing down the levels of homelessness. Over the period January through June 2010, the number of homeless families in motels in Hampden County dropped 47%.

Regional Response to Homelessness. Springfield has continued to make progress toward our goal of engaging our regional partners in the goal of ending homelessness. With state funding, the Western Mass Regional Network to End Homelessness hired a director and a data analyst in FY09-10. The Network's goal is to establish housing first as the appropriate response to homelessness throughout the region. With state funding, the Network has engaged multiple providers throughout the 4-county region in initiating prevention, rapid rehousing, and permanent supportive housing programs. The effort is spearheaded by a Leadership Council, which functions in the region in much the way that Springfield's Implementation Committee functions within the City.

Creation of Permanent Supportive Housing Units. Providers in Springfield created 9 permanent supportive housing (PSH) opportunities for chronically homeless individuals in FY09-10, added to the 102 housing opportunities for chronically homeless individuals that had previously been created. As will be described in the next section, the City will, within the next several months, add another 32 units of PSH for chronically homeless individuals. At the end of the 4th year of Springfield's ten-year plan, the City will have created 57% of the City's 10-year goal of 250 PSH units.

Homeless Resource Center. The Homes Within Reach plan calls for development of a Homeless Resource Center, which combines shelter beds and day center space with the services necessary to exit homelessness: an employment and housing resource center, a medical and dental clinic, on-site social services, and flexible office space to be used by providers of mainstream services on a rotating basis. FOH has just completed construction of this new facility, and is now renovating its existing facility. When fully complete, in fall 2010, the Center will be combined, in a campus model, with 32 SRO units, which will be permanent housing for individuals experiencing homelessness.

The project has been funded through Tax Credit Assistance Program funds, HOME funds through both the City and state, McKinney funds, additional state funding, City general revenue funds, a Federal Home Loan Bank Board grant, and \$1 million raised by the local business community.

Homeless Management Information System (HMIS). The City uses Social Solutions' Efforts to Outcomes (ETO) programs for its HMIS. In FY09-10, HUD provided technical assistance to the City to enable us customize the ETO program to collect and run reports for HPRP, HOPWA and ESG, in addition to McKinney programs. The City is now further customizing ETO in order to enable us to produce reports for the Annual Homeless Assessment Report (AHAR). Our HMIS manager has been working with providers to expand the number of entities using HMIS and to improve data quality.

Leadership: Continuum of Care and Ten-Year Plan Implementation Committee

Leadership for the City’s homelessness initiatives originates from both the Ten-Year-Plan Implementation Committee and the Continuum of Care. The two committees share several common members. The CoC serves to identify issues at the service level that the Implementation Committee seeks to address at the policy level. The two Committees collaborate on a number of committees and initiatives, including a Supportive Housing Development Workgroup, a Homeless Employment and Training Workgroup, and our annual Project Homeless Connect event.

The CoC has regular monthly meeting, attended by 30-40 individuals. The meetings are scheduled on a regular date (the third Thursday of the month), with agendas sent out in advance. Agendas over the past year have included HMIS coordination and implementation; discharge planning; planning for HPRP; discussion of strategies regarding family homelessness; coordination of the point-in-time count; discussions about youth homelessness; input into Project Homeless Connect planning; review of CoC project performance; and sessions on trauma-informed services, and accessing Adult Court services for mentally ill individuals. The CoC has three regular committees that meet quarterly: HMIS and Data Coordination, Access to Mainstream Resources; and Discharge Planning.

The Implementation Committee meets quarterly, and focuses on our community’s progress toward achieving the goals set out in the Ten-Year Plan, and addressing barriers to achieving those goals.

Goal	Accomplishment Steps	Year 5 Actual Accomplishment
End chronic homelessness	Ensure implementation of 10-year plan to end homelessness	Implementation committee met regularly to monitor progress and address obstacles.
Identify housing and service needs to address chronic homelessness	Quantify needs for planning and resource allocation	January 2007 Plan quantifies needs and necessary resources to meet those needs; City has been shifting its own funding and seeking new funds to meet the identified needs.

	Commit resources within Consolidated Plan	In 2010, the City completed its Consolidated Plan for FY11-15, and incorporated Ten Year Plan priorities in the new Plan.
Expand availability of appropriate housing units through development of additional permanent supportive housing	Establish permanent supportive housing as a priority for City administered funding resources (HOME, HOPWA, LEAP)	City has established permanent supportive housing as a priority.
	Obtain mainstream resource commitment for required services	City is partnering with mental health agency and housing authority to provide permanent supportive housing to chronically homeless persons with mental illness; mental health agency is providing wrap-around supportive services.
Coordinate discharge planning	Participate in evaluating and revising Commonwealth policies	City continued to participate in state meetings regarding discharge policies.
	Compile discharge data in Point-in-Time Count	Discharge data collected in Jan. 2010 Point-in-Time Counts; City also began collecting quarterly discharge data.
	Engage relevant agencies and funding sources in development of discharge protocols	City continues to meet with sheriff's department, hospitals, and foster care services regarding discharge.
	Create housing options for persons being discharged from institutions.	City committed CDBG funding for rehabilitation of a home to provide supportive housing to 6 youth aging out of foster care.
Expand capacity of day center to enable homeless people to link to services	Develop new Homeless Assistance Center with room for basic and mainstream services	Homeless Resource Center completed and expected to be fully operational by October 2010.

D. Foreclosure and Neighborhood Stabilization Program

Springfield continues to be hard-hit by the foreclosure crisis. The City had very high rates of subprime mortgage lending, and has a corresponding high rate of foreclosures. Recent analysis by the Pioneer Valley Planning Project indicates that Springfield's rate far exceeds the Massachusetts and national averages, and that most foreclosures in the region are concentrated in Springfield.

Because of falling housing prices and the weak housing market, foreclosed homes—especially in the City's core and transitional neighborhoods—remain unsold, and have become vacant. Neighborhoods made up of 1-4 unit rental homes have experienced property flipping, failure to maintain properties, and abandonment by investors as the market fell. In some neighborhoods, individual streets have multiple boarded-up homes. The blight is very destabilizing for neighborhoods, and further reduces property values. In addition, the City has begun to see an increase in suspicious fires taking place in vacant or abandoned homes.

Understanding the Impact on Neighborhoods and Targeting Interventions In FY08-09, the City undertook neighborhood-level analysis to choose target areas for Neighborhood Stabilization Program fund investments. Based on the assessment to determine the neighborhoods most at risk of destabilization due to foreclosure, the City decided to target interventions in two complete neighborhoods—Old Hill and Six Corners, as well as the eastern side of the South End and a part of lower Forest Park.

In FY09-10, the City continued to look at data regarding target neighborhoods in its NeighborhoodStat meetings, where multiple City departments come together to examine various types of data about a single neighborhood. For neighborhood stabilization efforts, these meetings included maps with overlays showing information about crime, fire, complaints about property, property tax delinquency, code enforcement complaints and cases, and building code issues. These coordinated data reviews enabled the City to further refine its targeting of interventions. The NSP projects that the City has chosen to fund are clustered in a few areas within the highly-impacted neighborhoods.

Neighborhood Stabilization Program The City was awarded \$3.5 million in Neighborhood Stabilization Program funds in 2008--\$2.5 as an entitlement grant from HUD, and \$1 million through the MA Department of Community Development. Five NSP-funded projects have been completed, and the remaining funds are fully obligated; most will be spent in the next fiscal year.

In Old Hill, Springfield Neighborhood Housing Services has redeveloped three residential parcels that had been foreclosed upon by the City of Springfield for failure to pay taxes. Two of these new homes are affordable housing for homeowner households with incomes at or below 50% of the area median income; the third is being assisted with HOME funds and will be affordable to households with up to 80% area median income.



193 Pendelton Avenue



203 Pendelton Avenue

In the Six Corners neighborhood, HAP Housing rehabilitated two foreclosed homes on one block, and rehabilitation of a third home on the same block is underway. Each of the three houses is a two-family home. The properties are all being sold to homeowners, and three of the resulting six units will be affordable to households with income at or below 50% of the area median income. The first-floor unit at 34 Ashley Street is handicap-accessible.



34 Ashley Street



70 Ashley Street

The following NSP1 projects will be underway in FY10-11:

Old Hill neighborhood

- 176 Quincy, rehabilitation of a foreclosed home (HAP Housing)
- Lot 131 Quincy St., redevelopment of foreclosed residential lot, resulting in a two-family home, with both units available for homeownership affordable to

households with income at or below 50% area median income (Greater Springfield Habitat for Humanity)

- 43 Stebbins, rehabilitation of a foreclosed home, resulting in a two-family home in which one unit will be affordable to a household at or below 50%AMI (Criminal Justice Organization of Hampden County, Inc.)
- 75 Tyler St., rehabilitation of a foreclosed single-family home, to be sold to a homeowner (Springfield Neighborhood Housing Services)
- 140 Pendelton Ave., demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for affordable homeownership (Springfield Neighborhood Housing Services)
- 11 Olive, rehabilitation of a foreclosed home, resulting in a single-family home for homeownership (HAP Housing)
- 236 Tyler St., demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for homeownership (HAP Housing)
- 192-194 Quincy St., redevelopment of an abandoned home in receivership (HAP Housing)

South End neighborhood

- 56-60 Adams, demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for affordable homeownership (Criminal Justice Organization of Hampden County, Inc.)
- NS Adams, redevelopment of an abandoned residential lot, into a single-family home for homeownership (Criminal Justice Organization of Hampden County, Inc.)
- Demolition of 11-15 Adams, a blighted and foreclosed commercial building
- Demolition of 32 Richelieu St., a blighted and abandoned house
- Demolition of 6-8 Hillside Place, a blighted and abandoned house
- Demolition of 609-611 Main Street, a blighted commercial structure
- Demolition of 65 Oswego Street, a blighted 16-unit apartment building

Six Corners neighborhood

- Lot 9, Dexter St., redevelopment of foreclosed residential lot, resulting in an affordable single-family home for homeownership (Greater Springfield Habitat for Humanity)
- Demolition of 388 Central Street, a blighted and foreclosed nursing home
- Demolition of 368 Central Street, a blighted and foreclosed house

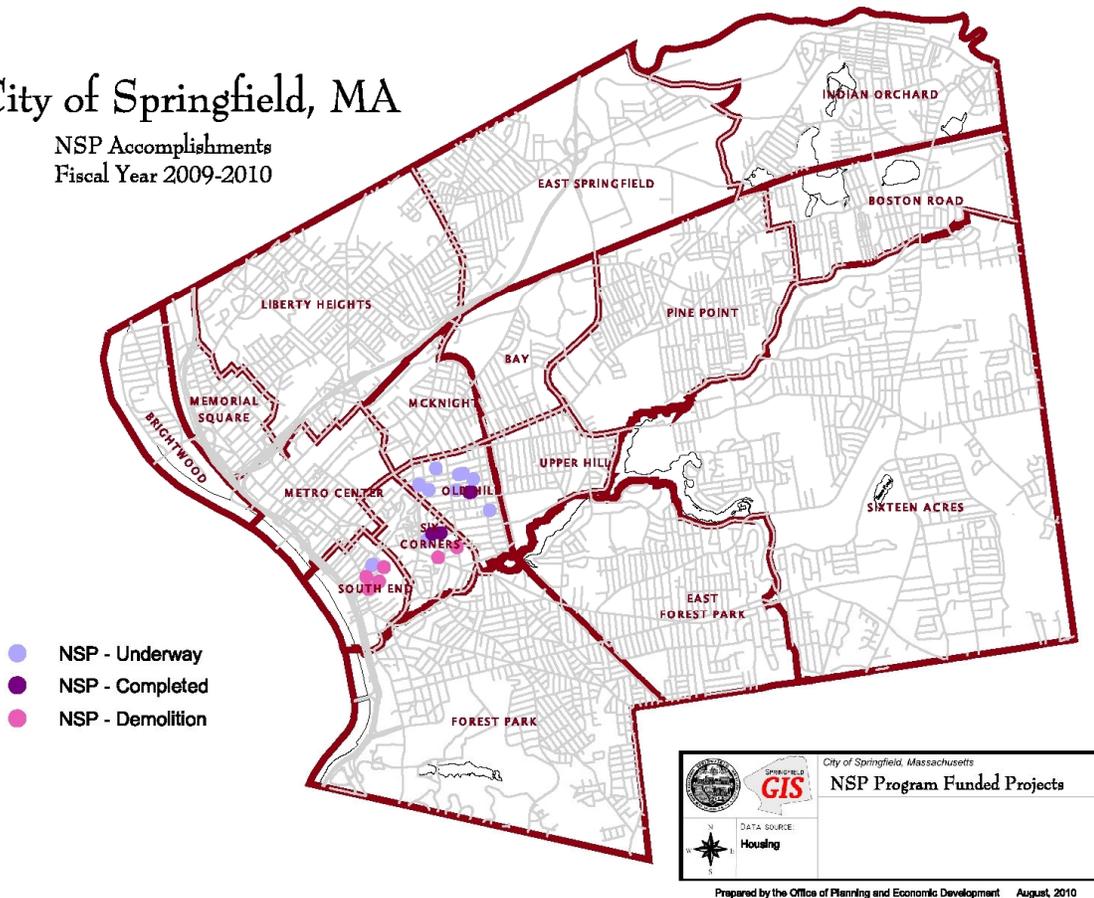
The full impact of NSP1 will be creation of 22 units. Of these, 18 will be for homeownership, and 4 will be for rental. Eight of the units will be affordable to households with income at or below 50% area median income, and the rest will be affordable to households with income at or below 120% area median income.

The City has coordinated its NSP projects with additional investments in the same neighborhoods. Some of these investments are HUD-funded, and are described in other sections

of the CAPER. These include interim greening of vacant lots, acquisition and disposition of residential properties, homebuyer assistance, targeted code enforcement, securing vacant buildings, and demolition of additional blighted buildings. Other improvements include paving and streetscapes, and improvements to parks.

City of Springfield, MA

NSP Accomplishments
Fiscal Year 2009-2010



Increasing Receivership Activity. In FY09-10, the City continued working with The Massachusetts Housing Partnership (MHP) and the Massachusetts Housing Investment Corporation (MHIC) to increase our capacity to initiate and maintain receiverships, including “no-heat” receiverships. MHP contributed funding for staff interns and a pool of funds to undertake small emergency repairs, and MHIC created a loan fund to finance large receiverships. The City allocated CDBG funds to a receivership loan pool for moderate-size projects. Receiverships enable the City to stabilize and preserve multi-family properties in foreclosure.

A major receivership that was successful over this past year was stabilization of three connected buildings with 48 units at Belmont and Fort Pleasant Avenue. The City sought appointment of a receiver after the property was foreclosed upon and neglected by the bank owner. The receiver, using a \$362,500 loan from the MHIC new Neighborhood Stabilization Loan Fund, made significant repairs, installed a surveillance security system, and re-established an on-site management presence. Improvement of this property has had a stabilizing impact on the immediate neighborhood, and the 48 units were kept occupied.



30 Belmont Avenue

E. Other Actions

i. Addressing Obstacles to Meeting Underserved Needs

While there are numerous obstacles to serving underserved needs and subpopulations in Springfield, the three primary obstacles are:

- The coordination of resources from multiple funding sources across various agencies and providers. Each funding source has its own eligibility, definitions and objectives.
- Lack of sufficient resources to engage and serve special needs sub-populations.
- A lack of a regional approach to meeting the needs of people in Springfield; within the region, the majority of low-income individuals live within the City, and communities outside of Springfield are not engaged in a concentrated way to address the needs of this population at a regional level.

During FY 09-10, the City worked to overcome these obstacles by advocating for legislative change, when appropriate; providing technical and financial assistance; continued implementation of the City's ten year plan to end homelessness; and sponsorship of Project Homeless Connect, a day-long one-stop-shopping event offering services and programs available for homeless persons and persons at risk of becoming homeless. The City continued to advocate for a regional approach for addressing income inequity throughout the region.

ii. Foster and Maintain Affordable Housing

The City of Springfield's population, according to the 2000 United States census, is 152,082. According to census data, nearly 60% of Springfield's households are low or moderate income

and there are 7,100 households living in poverty. This figure represents close to 20% of the population of Springfield.

Springfield has 61,172 housing units. Of this number, 50% are owner occupied and 50% are rental units. According to the 2000 census, there are 28,631 occupied rental units. Of these rental housing units, 10,522 are occupied by households with a public housing certificate (Section 8 or Mass Rental Voucher) or are legally deed-restricted to provide affordable housing to low-income households. Nearly 37% of Springfield’s rental stock provides affordable housing to low-income persons.

Widespread poverty and the City’s aged housing stock create an enormous demand for safe, affordable housing. However, the City’s high concentration of poverty and associated social problems, along with the fact that households impacted by concentrated poverty are predominantly minority, suggest that significant creation of new affordable rental units in the City may have negative consequences in terms of providing existing City residents with economic opportunity and fair housing choices. The City’s primary response to the need for safe affordable housing in the City is funding for preservation and rehabilitation of existing housing stock (including housing subject to expiring use restrictions), and initiatives which support affordable homeownership opportunities. The City uses HOME funds to provide tenant-based rental assistance, a strategy that both supports housing affordability and addresses the concentration of poverty. The City encourages its partner agencies and municipalities to assist in simultaneously addressing affordability and concentrated poverty through use of mobile housing resources such as Section 8 vouchers, and through creation of affordable housing throughout the Springfield metropolitan area.

Springfield set and accomplished the following goals for FY09-10. Each short-term goal is a direct response to identified community housing needs.

Goal	Proposed	Accomplished
Improve the quality of rental housing stock through rehabilitation and lead abatement	→ 50 rehabilitated rental units → 10 de-leaded units	→ 257 units → 0 units
Ensure the availability of affordable rental housing through multi-family rental production and preservation	→ 50 units created through rental production program → 50 households assisted through TBRA program	→ 22 units → 62 households
Support court-ordered condemnations and receiverships of problem rental properties and provided relief to tenants impacted by court ordered condemnations and receiverships.	→ 20 at risk tenants assisted	→ 37 at risk tenants received voluntary relocation assistance
Increase homeownership	→ 100 households assisted	→ 98 households

among low-income households	through the Homebuyer Assistance Program → 15 units benefiting from the project based homeownership program	→ 14 units
Improve the quality of owner-occupied housing thereby permitting low-income owners to remain in safe housing	→ 15 family units	→ 21 family units

iii. Eliminate Barriers to Affordable Housing

Although Western Massachusetts is a more affordable housing market than the metro-Boston area, public policies such as land-use controls, zoning ordinances, and growth limits have greatly impacted the development of new housing. Many communities throughout the Pioneer Valley have adopted policies which require increased lot size for residential properties, have created protective open space and agricultural zones to limit residential development and have established lengthy review processes for new developments. These actions have directly impacted the cost of housing development, and effectively halted affordable housing development. Additionally, Massachusetts communities operate under Proposition 2 ½, which restricts the ability to raise local revenues. For many communities, this restriction is a disincentive to develop housing, especially multi-family housing. As the cost of municipal services and education are deemed greater than the tax revenue, communities are reluctant to reduce barriers.

The City of Springfield has a successful track record in overcoming traditional barriers to affordable housing in order to increase the availability of decent affordable housing for all individuals. According to the Commonwealth of Massachusetts’ Department of Housing and Community Development, Springfield ranks 5th in the state, with 17.4% of its housing stock dedicated to affordable housing. Springfield policies of zoning, land use, and public financing greatly encourage affordable housing.

The City has undertaken proactive steps to eliminate barriers to affordable housing. Specifically, during FY09-10 the City:

- Maintained an inventory of municipally owned land that is suitable for the development of housing;
- Aggressively pursued tax-taking of properties to be utilized to promote the goals of its housing strategy;
- Worked with local lenders and non-profit agencies to provide first-time homebuyer education and counseling;
- Provided housing search and relocation assistance to households residing in sub-standard rental units and to persons experiencing homelessness;
- Supported project applications for affordable housing resources including LIHTC, HIF, HSF and HOME; and

- Provided leadership for the regional planning process to end homelessness, advocating that affordable housing needs be considered on a regional basis.

iv. Overcome Gaps in Institutional Structures and Enhance Coordination

A collaborative approach is utilized by the City of Springfield to implement programs and projects that involve the use of entitlement funding. With the Office of Community Development (OCD) as the lead agency, the ConPlan has been completed with direct involvement of a number of City departments. Although this collaborative approach is working, the City works continuously to identify gaps of service and coordinates efforts to ensure that necessary actions are taken to fill the gaps.

The Office of Community Development administered and implemented programs described in the Five Year Consolidated Plan and Annual Action Plans.

Key Staff includes:

- Chief Development Officer
- Deputy Director of Neighborhoods
- Deputy Director of Economic Development
- Deputy Director of Planning
- Director of Housing
- Director of Administration and Finance

To implement the City’s strategy, during FY 09-2010 these departments utilized private industry, non-profit organizations, including CBDO’s, CHDO’s, and City departments. The utilization of such a broad base of organizations enabled the City to address its community development, housing, homeless and special needs objectives. However, while the number and abilities of the organizations and departments involved are an institutional strength, the City constantly works to coordinate the projects and programs. Such coordination is integral to the success of the Plan. During the past OCD continued to find success through its efforts to coordinate with these organizations and departments.

During 09-10 program year areas of particular strength included:

- Programs funded in part or in whole with entitlement funds were also measured using HUD’s performance measurement system.
- The City’s strong homeless provider network is a particularly important strength of the delivery system, especially the components of the Plan that pertain to the implementation of the 10 year plan to end chronic homelessness in Springfield that was finalized during the prior program year.

Major gaps identified included:

- A need to determine business needs as part of a comprehensive economic development strategy. Pursuant to this identified gap, the City in partnership with the business community in Springfield contracted the Urban Land Institute to develop an economic development

strategy for the City. The City also contracted the Donahue Institute at the University of Massachusetts to study the needs of small businesses in Springfield, particularly minority- and women-owned businesses located in Neighborhood Revitalization Strategy Areas.

- Lack of programming to support low and moderate income persons directly or indirectly affected by anti-blight initiatives, including court-ordered receiverships and court-ordered condemnations. In response to this need the City has implemented a voluntary relocation assistance program.

A number of mechanisms were used in FY09-10 to help fill these gaps.

In particular, the continued reorganization of the community development departments led to identification of non federal funding to hire new staff to conduct economic development and revitalization programs and projects and to continue to improve the delivery of code enforcement, demolition and related programs.

Also, the City continued to work with community based development organizations and other non profits to improve the delivery of programs serving residents of CDBG eligible areas in a coordinated, efficient and thorough manner. The upfront investment during the first two years of resources has yielded increased capacity at underperforming community-based organizations, CDCs, and nonprofits; leverage additional funds for projects and programs; and result in improved living conditions and quality of life for low and moderate income persons in Springfield.

In FY09-10 this increased coordination will be particularly evident through work conducted to revitalize Springfield's neighborhoods through City anti blight programs and initiatives aimed to eliminate blight.

v. Improve Public Housing and Resident Initiatives

Each year, the Springfield Housing Authority produces an Annual Plan, which includes numerous goals and objectives for public housing and resident initiatives.

In its current Annual Plan, the Springfield Housing Authority committed to the following in their strategy for addressing the housing needs for families in the jurisdiction and on the waiting list:

GOAL: Increase the availability of decent, safe, and affordable housing.

Strategies:

- Apply for additional rental vouchers;
- Leverage private or other public funds to create additional housing opportunities;
- Federalize state family housing units: 150 units at Reed Village, 196 units at Duggan Park, and 136 units at Robinson Gardens;
- Achieve High Performer status for public housing and Section 8 management;
- Increase customer satisfaction in the admissions department, the rental assistance department, and in the management of public housing;
- Modernize state public housing units that are federalized;
- Provide voucher mobility counseling and conduct outreach to potential voucher

- landlords; and
- Expand the voucher homeownership program.

GOAL: Improve community quality of life and economic vitality

Strategies:

- Implement measures, including flat rents, to promote a broad range of income households in its developments;
- Increase security through Neighborhood Watch, resident initiatives, and collaboration with the Springfield Police Department and other law enforcement entities;
- Offer an array of programs for youth and adult members of the community; and
- Consider designation of certain developments for particular resident groups (elderly, persons with disabilities).

GOAL: Promote self-sufficiency and asset development of assisted households

- Increase the number of percentage of employed persons in assisted families through in-house maintenance apprenticeship and computer training;
- Operate an educational center to teach computer skills to residents; and
- Coordinate with other agencies to provide supportive services to increase independence for the elderly and families with disabilities.

GOAL: Ensure Equal Opportunity in Housing for All Americans

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability;
- Undertake affirmative measures to provide a suitable living environment for families in assisted living, regardless of race, color, religion, national origin, sex, familial status, and disability; and
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.

vi. Evaluate and Reduce Lead Based Paint Hazards

Prevalence of Lead-Based Paint Hazards Springfield is defined as a "high risk" community for lead poisoning by the Commonwealth's Department of Public Health. Of Springfield's total of 61,172 housing units, 36.3% were built prior to 1940. A full 89.9% were built pre-1979 and are therefore likely to contain lead-based products.

The Lead Hazards section of Environmental Defense "Scorecard", co-sponsored by the Alliance to End Childhood Lead Poisoning, indicates that there are 6,207 "high-risk" units in Springfield, meaning housing units built before 1950 and occupied by families living below the poverty level. The Scorecard ranks census tracts by the potential lead hazards; Springfield includes the top-ranked tract in Massachusetts. Scorecard's summary of Lead Hazards clearly documents a high level of potential lead hazards within the City.

SUMMARY OF LEAD HAZARDS – CITY OF SPRINGFIELD

Neighborhood	Number Of Units at High Risk*	Units Built Pre-1950	Units With Low Income	Children Under 5 Living In Poverty
Sixteen Acres	216	850	709	344
Six Corners	730	1,800	1,200	590
Bay	240	700	450	200
Brightwood	194	650	840	292
East Springfield	160	1,300	300	160
Forest Park	1,282	6,330	1,828	771
Indian Orchard	314	1,770	643	249
Liberty Heights	575	3580	1,350	563
McKnight	380	1,100	550	200
Memorial Square	301	540	911	410
Metro Center	530	1,330	920	200
Old Hill	320	910	510	300
Pine Point	235	1,480	650	432
South End	470	1,260	740	341
Upper Hill	260	1,500	330	270
TOTAL	6,207	25,100	11,931	5,322

Source: Scorecard/Environmental Defense

*This measure is the number of housing units that were built before 1950 and are occupied by families living below the poverty level.

The City has aggressively sought to improve the quality of its affordable housing stock. The City through its' Office of Housing markets and administers the Commonwealth's "Get the Lead Out" program. The administration of these funds has resulted in the abatement of lead hazard controls in over 300-family rental units in the past five years. Unfortunately, GTLO funds were not available in FY09-10; it is expected that they will again be available in FY10-11.

The City uses federal dollars to evaluate and reduce lead based paint hazards. CDBG funds support the Division of Code Enforcement, which conducted over 4,000 inspections within target areas. In accordance with Mass lead laws, lead based paint hazards determination is undertaken during all state sanitary inspections in units that house children under 6 years of age.

Lead abatement activities were completed on properties under the state lead abatement financing program, and all project-based and multi-family units funded the HOME funds.

vii. Ensure Compliance with Program and Comprehensive Planning Requirements

The City strives to improve its compliance and sub-recipient management systems to achieve efficient administration of our federal programs.

In FY 09-2010, the City implemented the following changes to its CDBG compliance program:

- Improved tracking of accomplishment and performance measurement data at regular project tracking meetings.
- Increased level of detail provided in scope of services and budgets that will help the city measure its success with goals and outcomes for the performance measurement system being implemented.
- Continued improvements to the master contract list used to tracks projects from initiative through closeout.

In FY 09-2010, CDBG subrecipients generally performed their work in accordance with their scope of service and achieved a national objective. For organizations that required additional guidance, program monitors provided technical assistance and, in some cases, withheld funds until said objectives were met.

During this program year with input from HUD, the City continued to improve the system used to monitor projects and programs paid for in whole or in part with entitlement funds, including CDBG, HOME, ADDI, HOPWA and ESG, including improvements to the long term compliance process and increased use of the logic model in RFP's and contract scopes of services and budgets.

viii. Reduce Number of Persons Living Below the Poverty Level

Almost 27% of Springfield households live in poverty (\$15,020 for a family of three in 2002). Over a third (33.9%) of children under 18 live in poverty, giving the City one of the highest child poverty rates in the state (Census 2000). The rate is higher for Latino families, with 58% of children under 18, and 74% of children under five living in poverty. Of all household types, single-parent households headed by women are the poorest, with 62% with children under age five living in households with poverty-level incomes. In addition 87% of students in the City's Public Schools are classified as low income.

During FY09-10, the City worked to reduce the number of families living in poverty. Specific actions to provide housing opportunities, economic development opportunities, adult basic education, and job training programs, financial education and financial literacy programs, life skills counseling, transportation, day-care, health and other support services included:

- Operating a financial literacy program to help public housing residents become better informed about the process of obtaining financing for a home and the importance of credit.
- Developing a new Home savers Program to assist low and moderate income persons at risk of losing their homes.
- Continued implementation of the City's 10 Year Plan to End Homelessness.
- Funding numerous human service programs that delivered programs for at-risk youth and adults that provided self-sufficiency training and employment services.

The Community Development, Housing and Neighborhood Services, Health and Human Services and Economic Development offices, made a concerted and focused effort to independently address poverty issues during this program year. Further, the City continued to place strong emphasis on development partnerships with neighborhood organizations, private corporations, state and federal social service agencies and economic development agencies, non profit service providers and impacted parties who are residents of Springfield.

The City also incorporated the services and programs provided by the Massachusetts Career Development Institute (MCDI) into its anti-poverty strategy. MCDI administers job training, adult basic education, on the job training related programs for incumbent workers, the unemployed, the underemployed, welfare recipients, dislocated workers, at-risk youth, and the homeless. As one of only two major skills centers in the county, MCDI continues to play an important role in the City's economic development and anti-poverty strategy.

MCDI provides basic instruction in precision tooling and manufacturing program for those Springfield residents who are underemployed or incumbent workers looking to start a career in the machine industry. The program will expose students via hands on computer simulation to CNC machining equipment, academic remediation and will provide economic opportunities for the low income persons by creating jobs.

ix. Leveraging Resources

During the 2009-10 Action Plan year, the City of Springfield attracted and utilized significant non-entitlement funds. The sources of these funds include federal grants, local and state bonds, Low-Income Housing Tax Credits, State Affordable housing funds, resources from numerous State agencies, private foundation grants, and private financing. A summary that details the source, dollar value and use of funds is included in the table on page 85.

x. Citizen Comments/Citizen Participation

FY 09-2010 Action Plan

During the development of the Annual Action Plan the City held two (2) public hearings in CDBG target neighborhoods where the majority of the funds would be spent. The hearings were held to obtain input from residents and to identify priority community needs. The City's major initiatives were Code Enforcement, Public Infrastructure, Quality of Life Issues, Parks & Public Facilities, Workforce Development, Economic Development, Commercial Districts, Youth, Elderly, Special Needs Persons, Persons with HIV/AIDS, Homeless Persons, Affordable Housing and Homebuyer Counseling and Assistance. The City advertised the public hearings in the Springfield Republican newspaper and mailed out a flyer from OCD's extensive mailing list. A summary of comments received during these hearings was included as part of the final Annual Action Plan submitted to HUD in 2009.

The Draft Action Plan was available for public review and comment from April 8th through May 7, 2009.

Copies of the DRAFT Annual Action Plan were available at the Office of Community Development, 36 Court Street, Room 101; Office of Planning & Economic Development, 70 Tapley Street, Office of Housing, 1600 East Columbus Avenue, 1st Floor; Department of Health and Human Services; 95 State Street, Central Library, 220 State Street; Springfield Neighborhood Councils, including the: South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, the McKnight Neighborhood Council and on the City's website.

A public hearing to obtain comments on the Draft Annual Action Plan was held on April 21st at 5:00 PM, 36 Court Street in Room 220.

A notice about this review period, the availability of the draft plan, and the public hearing about the draft plan, was published in the Republican on March 26, 2009. In addition, a flyer in English and Spanish was sent to the individuals and organizations listed on the Office of Community Development's extensive mailing list, including library branches and neighborhood councils as prescribed in the Consolidated Plan. The City also posted the flyer on the City's community development website.

Details about comments received were included in the final 09-2010 Annual Action Plan submitted to HUD.

The City will continue to strive to make the document accessible through several mediums in a timely manner to ensure maximum citizen participation.

Consolidated Annual Performance Evaluation and Review (CAPER)

An executive summary of the CAPER for the fiscal year that commenced on July 1, 2009 and ended on June 30, 2010 (FY 09-2010) was posted online and available for public review from August 31, 2010 through September 23, 2010 and a public hearing was held on September 14th at 6:00, at Springfield City Hall in Room 220. During the review period copies of the Draft CAPER were available to all Springfield's residents, at the following locations:

- Office of Planning & Economic Development, 70 Tapley Street
 - Office of Community Development, 36 Court Street, Room 101
 - Office of Housing, 1600 E Columbus Avenue, 1st Floor
 - Department of Health and Human Services, 95 State Street
 - Office of Planning & Economic Development, 70 Tapley Street
 - Central Library, 220 State Street
 - Springfield Neighborhood Councils, including the: South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, McKnight Neighborhood Council.
- [-http://www.springfieldcityhall.com/cos/Services/dept_cd.htm](http://www.springfieldcityhall.com/cos/Services/dept_cd.htm)

An announcement about the hearing and the availability of the draft document was published in English and Spanish in the Springfield Republican on August 17, 2010 and a flyer was mailed to persons and organizations included on the Office of Community Development's extensive

mailing list. The advertisement also solicited written feedback from Springfield residents.

During this review/comment period for the FY 2009-2010 CAPER, no comments were received.

xi. Self Evaluation and Performance Measurement

During the FY06-07, the City of Springfield undertook a through self evaluation process as part of its planning process for the FY07-08 Action Plan. The City also allocated time and resources for gathering and analyzing data and community input to assist with the identification of annual priorities, goals and objectives for the Action Plan and for problem solving and technical assistance to subrecipients.

As indicated in the “Overcome Gaps in Institutional Structures and Enhance Coordination” section above, during this 06-07 program year the City conducted an analysis of the Consolidated Plan delivery system and took measurable steps toward eliminating or reducing the gaps identified.

In particular, the continued reorganization of the community development departments led to identification of non federal funding to hire new staff to conduct economic development and revitalization programs and projects and to continue to improve the delivery of code enforcement, demolition and related programs.

Also, the City continued to work with community based development organizations and other non profits to improve the delivery of programs serving residents of CDBG eligible areas in a coordinated, efficient and thorough manner. It is anticipated that such upfront investment will yield increased capacity at underperforming community-based organizations, CDC’s, and nonprofits; leverage additional funds for projects and programs; and result in improved living conditions and quality of life for low and moderate income persons in Springfield.

In FY 09-2010 this increased coordination will be particularly evident through work conducted to revitalize Springfield’s neighborhoods through City programs and initiatives aimed to eliminate blight through the South End Improvements Program, the State Street Corridor Improvements, the Abandon Response Program, and the Neighborhood Target Improvement Program.

xii. Sources of Funds

The City of Springfield (the “City”) expects to receive amounts allocated under HUD Formula grant programs and through program income during the year to address the priority needs and objectives identified in the City’s strategic plan.

SOURCES OF FUNDS

CDBG	\$ 4,155,048.00
HOME	\$ 1,807,579.00
HOPWA	\$ 445,162.00
ESG	\$ 182,332.00
	\$
Subtotal	\$ 6,590,121.00
Total Estimated Program Income for FY2009-2010	
CDBG	\$ 250,000.00
HOME	\$ 75,000.00
Grant funds from previous years for which the planned use has not been included in prior statements or plans	
CDBG	\$ 1,600,000.00
TOTAL ENTITLEMENT FUNDING SOURCES	\$ 8,515,121.00

Federal funds from non-entitlement sources were used for toward programs and projects underway in Springfield during the fiscal year. (NOTE: Many of the following sources of funds are intended to be utilized over a period of several years.)

Other Sources of Funds Expended during 2009-2010

PROJECT	EXPENDITURE	SOURCES OF FUNDS
South End Development	\$ 2,891,810.16	City of Springfield Bond
Chapman Valve Development	\$ 206,169.37	City of Springfield Bond
Neighborhood Stabilization	\$ 374,180.32	Federal - HUD
	\$ 3,343.00	State - DHCD
Brownfields-Assessment	\$ 208,639.55	EPA
Neighborhood Development - Demolition Program		
Derelict Structures	\$ 199,950.68	City of Springfield Bond
ARRA Funds	\$ 731,925.13	CDBG-R Federal – HUD
GDI Grant	\$ 572,517.28	HPRP – Federal – HUD
	\$ 1,414,016.04	State Funds
Housing Initiatives	\$ 315,000.00	MFHA-Get the Lead Out
	\$ 525,000.00	DHCD-Heartwap
	\$ 1,300,000.00	DHCD – Home
	\$ 139,750.00	Federal Home Loan Bank
	\$ 205,185.00	Owners Equity
	\$ 4,542,109.00	Private Financing
	\$ 750,000.00	Housing Stabilization Fund
	\$ 27,678,000.00	Tax Credit Equity
Homeless Initiatives	\$ 230,210.30	HUD-Shelter Plus Care
	\$ 933,258.14	HUD-McKinney Grant
	\$ 1,993,666.66	Health Care for the Homeless-5 yr
	\$ 110,947,126.91	

The City of Springfield is 100% forgiven from HOME matching requirements for the FY07-08 fiscal year and the following year of FY08-09. Current demographic trends in the City of Springfield cause the City to meet the regulatory definition of a local government participating jurisdiction that is in severe fiscal distress as stated in section 92.222(a)(1) of the HOME Investment Partnership regulations. This means that in Springfield:

- “The average poverty rate in the participating jurisdiction was equal to or greater than 125 percent of the average national poverty rate during the calendar year for which the most recent data are available, as determined according to information of the Bureau of the Census.”

Poverty Rate		
Springfield, MA	United States	% of Average United States Poverty Rate
29.6	13.3	223%

Source: US Census Bureau, 2005 American Community Survey

- “The average per capita income in the participating jurisdiction was less than 75 percent of the average national per capita income during the calendar year for which the most recent data are available.”

Per Capita Income*		
Springfield, MA	United States	% of United States
17,023	25,035	68%

*In 2005 inflation-adjusted dollars

Source: US Census Bureau, 2005 American Community Survey

Vendors and service providers provide the required ESG match.

As a component of their applications and monthly reports, each provider must detail their matching funds. Resources used include:

- Department of Social Services
- Department of Transitional Assistance
- Mass Bar Foundation
- Department of Mental Health
- Department of Transitional Assistance
- SMOC/CSBG
- HRSA

The City also anticipates it will use publicly owned land to further its objectives.

City-owned properties will be used to further affordable housing, citizen participation and economic development objectives.

The City, through its Tax Title foreclosure process, strives to create as much affordable housing as is feasible either through public auction or via a Request for Proposals process. The City is currently devising a city-wide, neighborhood-specific housing strategy geared towards maximizing homeownership.

E. Low Mod Calculation

LOW/MOD CALCULATION-FY09-FEDERAL YEAR 2008

Total Expenditures		\$	4,583,025.76
Less:			
Planning and Administration			<u>(857,357.02)</u>
		\$	3,725,668.74
Activities Categorized as Slum & Blight			
Activity			
Historic Restoration	2832	\$	(97,679.00)
Bond Payment	2737	\$	<u>(439,682.86)</u>
Total Expenditures qualifying as Low/Mod		\$	3,188,306.88
Percentage Benefit			85.58%

LOW/MOD MULTI-YEAR CALCULATION

FY07		\$	3,055,976.78
FY08		\$	2,854,248.83
FY09		\$	3,725,668.74
TOTAL		\$	9,635,894.35
FY07		\$	2,167,714.53
FY08		\$	2,417,210.80
FY09		\$	3,188,306.88
TOTAL		\$	7,773,232.21
Percentage Benefit			80.70%

F. Annual Performance Report Reconciliation

FEDERAL YEAR 2009-FISCAL YEAR 2010				
Annual Performance Report Reconciliation-HOME Entitlement				
Beginning Balance				14,846.09
Amount Received (Prior Year Report)				
Program Income				
	Comm. Dev/Office of Housing			19,585.61
Amount Expended				<u>(34,215.22)</u>
		Balance on Hand		216.48
Detail-Program Income Draws				
Draws:				
Date	HUD#	Voucher #	Amount	
8/4/2009	#2809	#1702903	3,300.00	
8/4/2009	#2848	#1702903	433.00	
8/4/2009	#2870	#1702903	1,236.00	
8/4/2009	#2891	#1702903	651.00	
8/4/2009	#2944	#1702903	393.00	
8/4/2009	#2912	#1702903	1,328.00	
8/4/2009	#2915	#1702903	1,572.00	
8/4/2009	#2916	#1702903	3,118.00	
8/4/2009	#2946	#1702903	2,418.00	
8/4/2009	#2919	#1702903	379.09	
8/4/2009	#2957	#1702912	18.00	
10/30/2009	#2684	#5024964	12,002.85	
1/22/2010	#3175	#5057665	1,712.91	
6/4/2010	#3231	#5118276	5,000.00	
6/4/2010	#3233	#5118276	<u>653.37</u>	
			34,215.22	
7/21/2010	#3112	#5139665	216.48	
Expenditure Category Detail:				
Tenant Based Rental Assistance				14,828.09
Non-CHDO Multi-Family				12,002.85
First Time Homebuyer				<u>7,384.28</u>
Total 2010 Program Income				34,215.22

HOME Activities Total

HOME ACTIVITIES TOTALS-FY2010-FEDERAL YEAR 2009

1. Homebuyer Assistance		
PBHO-CHDO		\$ 265,662.31
PBHO-NON-CHDO		<u>\$ 153,200.00</u>
	Total	\$ 418,862.31
2. Multi-Family Production		
PBHO-CHDO		\$ -
PBHO-NON-CHDO		<u>\$ 1,113,682.77</u>
	Total	\$ 1,113,682.77
3. First Time Homebuyer	Total	\$ 237,000.00
4. Existing Owner Rehab		
	Total	\$ 3,250.00
5. Tenant Based Rental Assistance (TBRA)		
	Total	\$ 481,948.40
6. Administration		
	Total	\$ 180,668.24

HOME TOTAL	\$2,435,411.72
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Home Administration Cap

Entitlement	\$1,807,579.00
Program Income	<u>\$ 19,585.61</u>
Total	\$1,827,164.61
Amount Expended	\$ 180,668.24
Percentage	9.89%

Annual Performance Report HOME Program

**U.S. Department of Housing
and Urban Development**
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting 07/01/2009	Ending 06/30/2010	

Part I Participant Identification

1. Participant Number M-09-MC-25-0209	2. Participant Name City of Springfield		3. Name of Person completing this report Geraldine McCafferty		4. Phone Number (Include Area Code) 413-787-6500
5. Address 1600 East Columbus Avenue		6. City Springfield	7. State MA	8. Zip Code 01103	

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
\$14,846.09	\$19,585.61	\$34,215.22		\$216.48

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number	6			1	2
2. Dollar Amount	\$14,041,457			\$652,704	\$310,000
B. Sub-Contracts					
1. Number	9		1	6	2
2. Dollar Amount	\$1,820,464		\$31,318	\$232,772	\$1,556,374
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number	1	1			
2. Dollar Amount	\$432,800	\$432,800			
D. Sub-Contracts					
1. Number	6	6			
2. Dollar Amounts	\$675,193	\$675,193			

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
1. Number	4		1		2
2. Dollar Amount	\$1,150,000		\$50,000		\$1,100,000

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost	Minority Business Enterprises (MBE)			f. White Non-Hispanic
Households Displaced	a. Total	b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
1. Parcels Acquired						
2. Businesses Displaced						
3. Nonprofit Organizations Displaced						
4. Households Temporarily Relocated, not Displaced						
5. Households Displaced - Number						
6. Households Displaced - Cost						

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 9,446,222
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 1,611,078
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	11.3 %
D. Total number of Section 3 businesses receiving contracts	11

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

H. Financial Summary Grantee Performance

Financial Summary
Grantee Performance Report
Community Development Block Grant Program

U. S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0077 (Exp. 5/31/97)

1. Name of Grantee City of Springfield	2. Grant Number B-08-MC-25-0023	3. Reporting Period From 7/1/09 to 6/30/10
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Part I: Summary of CDBG Resources			
1.	Unexpended CDBG funds at end of previous reporting period (Balance from prior program years)		6,160,665
2.	Entitlement Grant from Form HUD-7082		4,155,048
3.	Surplus Urban Renewal Funds		-
4.	Section 108 Guaranteed Loan Funds (Principal Amount)		-
5.	Program Income received by:	Grantee (Column A)	Subrecipient (Column B)
a.	Revolving Funds	\$ -	\$ -
b.	Other (identify below, if more space is needed use an attachment)		
	Program income	298,003	
c.	Total Program Income (sum of columns a and b)		298,003
6.	Prior Period Adjustments (if column is a negative amount, enclose in brackets)		-
7.	Total CDBG Funds available for use during this reporting period (sum of lines 1 through 5)		10,613,716
Part II: Summary of CDBG Expenditures			
8.	Total expenditures reported on Activity Summary, forms HUD-4949.2 & 4949.2A		4,583,026
9.	Total expended for Planning & Administration (form HUD-4949.2	\$ 857,357	
10.	Amount subject to Low/Mod Benefit Calculation (line 8 minus line 9)	\$ 3,725,669	
11.	CDBG funds used for Section 108 principal & interest payments		-
12.	Total expenditure (line 8 plus line 11)		4,583,026
13.	Unexpended balance (line 7 minus line 12)		6,030,690
Part III: Low/Mod Benefit This Reporting Period			
14.	Total Low/Mod credit for multi-unit housing expenditures from form HUD-4949.2A		-
15.	Total from all other activities qualifying as low/mod expenditures from forms HUD-4949.2 and 4949.2A		3,188,307
16.	Total (line 14 plus line 15)		3,188,307
17.	Low/Mod Benefit percentage		85.58%

<u>Part IV: Low/Mod Benefit for Multi-Year Certifications (Complete only if certification period includes prior years)</u>				
Program years (PY) covered in certification	PY <u>07</u>	PY <u>08</u>	PY <u>09</u>	
18.	<u>Cumulative net expenditures subject to program benefit calculation</u>			9,635,894
19.	<u>Cumulagtive expenditures benefitting low/mod persons</u>			8,253,398
20.	<u>Percent benefit to low/mod persons (line 19 divided by line 18)</u>			85.65%
<u>Part V: For Public Service (PS) Activities Only: Public Service Cap Calculation</u>				
21.	<u>Total PS expenditures from column h, form HUD-4949.2A</u>			-
22.	<u>Total PS unliquidated obligations from column i, form HUD-4949.2A</u>			-
23.	<u>Sum of line 21 and line 22</u>			SEE
24.	<u>Total PS unliquidated reported at the end of the previous reporting period</u>			ATTACHED
25.	<u>Net obligations for public services (line 23 minus line 24)</u>			-
26.	<u>Amount of Program Income received in the preceding year</u>			-
27.	<u>Entitlement Grant Amount (from line 2)</u>			-
28.	<u>Sum of line 26 and 27</u>			-
29.	<u>Percent funds obligated for Public Service Activities (line 25 divided by line 28)</u>			%
<u>Part VI: Planning and Program Administration Cap Calculation</u>				
30.	<u>Amount subject to planning and administrative cap (grant amount from line 2 plus line 5c)</u>			4,453,501
31.	<u>Amount expended for Planning & Administration (from line 9 above)</u>			857,357
32.	<u>Percent funds expended (line 31 divided by line 30)</u>			19.25%

PUBLIC SERVICE CAP 15% - CALCULATION

		TOTAL EXPENDITURE	TOTAL EXEMPT	ADJUSTED TOTAL
21	Total Public Service Expenditures	653,748.42	(63,005.91)	590,742.51
22	Total PS Unliquidated Obligations	164,098.77	(69,750.56)	94,348.21
23	Sum of line 21 and 22	817,847.19	(132,756.47)	685,090.72
24	Total PS Unliquidated obligation reported at end of previous reporting period	(25,757.61)	0.00	(25,757.61)
25	Net Obligation for Public Service (line 23-line 24)	792,089.58	(132,756.47)	659,333.11
26	Amount of Program Income received in the preceding program year	205,137.12		205,137.12
27	Entitlement Grant Amount	4,155,048.00		4,155,048.00
28	Sum of lines 26 and 27	4,360,185.12		4,360,185.12
29	Percent of Funds Obligated for PS (line 25 divided by line 28)	18%		15%

Grant ID	HUD /DIS Number	Community Development Activity	Original Budget	Incr/decr	Amended Budget	Prior Year Expenditures	Expenditures FY10	Total Expenditures	Balance
Public Service									
B-08-MC-25-0001	2717	Friends of the Homeless	81,600.00	0.00	81,600.00	80,023.85	1,576.15	81,600.00	0.00
B-08-MC-25-0001	2715	Boat People	7,500.00		7,500.00	6,817.00	683.00	7,500.00	0.00
B-08-MC-25-0001	2724	Mass Fair Housing Center	5,100.00		5,100.00	4,437.50	662.50	5,100.00	0.00
B-08-MC-25-0001	2726	Mass Fair Housing Center	15,000.00	(332.84)	14,667.16	12,412.09	2,255.07	14,667.16	0.00
B-08-MC-25-0001	2887	MLK	20,400.00	(478.19)	19,921.81	14,480.23	5,441.58	19,921.81	0.00
B-08-MC-25-0001	2746	MCDI-ABE	20,000.00		20,000.00	19,477.35	522.65	20,000.00	0.00
B-08-MC-25-0001	2747	NNCC-after school	15,400.00	21,167.00	36,567.00	33,315.75	3,251.25	36,567.00	0.00
B-08-MC-25-0001	2748	NNCC	15,400.00	14,833.00	30,233.00	14,727.78	15,505.22	30,233.00	0.00
B-08-MC-25-0001	2749	Open Panty	20,400.00		20,400.00	18,700.00	1,700.00	20,400.00	0.00
B-08-MC-25-0001	2744	Spfld Boys & Girls Club	8,160.00	(596.87)	7,563.13	4,728.63	2,834.50	7,563.13	0.00
B-08-MC-25-0001	2719	Mass Association for the Blind	5,100.00		5,100.00	4,250.00	850.00	5,100.00	0.00
B-08-MC-25-0001	2758	Urban League	10,200.00	(713.56)	9,486.44	8,701.88	784.56	9,486.44	0.00
B-08-MC-25-0001	2819	YWCA	20,400.00		20,400.00	13,766.07	6,633.93	20,400.00	0.00
B-09-MC-25-0001	2995	5A	7,000.00		7,000.00	0.00	3,525.00	3,525.00	3,475.00
B-09-MC-25-0001	2996	Blackmen of Greater Spfld	10,900.00	(189.31)	10,710.69	0.00	9,894.05	9,894.05	816.64
B-09-MC-25-0001	3001	Council of Churches	21,533.00		21,533.00	0.00	21,533.00	21,533.00	0.00
B-09-MC-25-0001	3000	CJO Hampden County	8,000.00		8,000.00	0.00	7,545.59	7,545.59	454.41
B-09-MC-25-0001	3070	Dunbar	5,000.00		5,000.00	0.00	5,000.00	5,000.00	0.00
B-09-MC-25-0001	3004	Friends of the Homeless	71,000.00		71,000.00	0.00	66,267.88	66,267.88	4,732.12
B-09-MC-25-0001	2997	Boat People	7,000.00	(19.80)	6,980.20	0.00	6,442.00	6,442.00	538.20
B-09-MC-25-0001	3037	Gray House	7,000.00		7,000.00	0.00	7,000.00	7,000.00	0.00
B-09-MC-25-0001	3005	Greater Christian New Life Center	8,000.00	(506.40)	7,493.60	0.00	1,998.78	1,998.78	5,494.82
B-09-MC-25-0001	3009	Mass Fair Housing Center	5,000.00	(0.48)	4,999.52	0.00	4,999.52	4,999.52	0.00
B-09-MC-25-0001	3010	Mass Fair Housing Center	10,000.00		10,000.00	0.00	10,000.00	10,000.00	0.00
B-09-MC-25-0001	2998	Hungry Hill-H&HS	5,100.00	(856.28)	4,243.72	0.00	4,243.72	4,243.72	0.00

B-09-MC-25-0001	3008	MLK	20,000.00		20,000.00	0.00	4,501.65	4,501.65	15,498.35
B-09-MC-25-0001	3209	MCDI-culinary	6,000.00		6,000.00	0.00	5,888.77	5,888.77	111.23
B-09-MC-25-0001	3067	NNCC-gerena after school	10,000.00		10,000.00	0.00	6,057.34	6,057.34	3,942.66
B-09-MC-25-0001	3068	NNCC-BASP	10,000.00		10,000.00	0.00	6,850.18	6,850.18	3,149.82
B-09-MC-25-0001	3021	NNCC-MPCP	20,000.00		20,000.00	0.00	12,405.83	12,405.83	7,594.17
B-09-MC-25-0001	3020	NNCC-recovery support	20,000.00		20,000.00	0.00	6,392.11	6,392.11	13,607.89
B-09-MC-25-0001	3025	Open Panty	5,000.00		5,000.00	0.00	4,580.00	4,580.00	420.00
B-09-MC-25-0001	3024	Open Panty-emergency food	15,000.00		15,000.00	0.00	13,750.00	13,750.00	1,250.00
B-09-MC-25-0001	3026	Friends of Camp Star	97,000.00	29,388.00	126,388.00	0.00	85,388.00	85,388.00	41,000.00
B-09-MC-25-0001	3006	Park Dept-Recreation Program	88,364.00	(29,499.32)	58,864.68	0.00	58,864.68	58,864.68	0.00
B-09-MC-25-0001	2999	Pine Point CC-H&HS	9,180.00	(819.26)	8,360.74	0.00	8,360.74	8,360.74	0.00
B-09-MC-25-0001	3058	PRCC	10,000.00		10,000.00	0.00	3,595.81	3,595.81	6,404.19
B-09-MC-25-0001	3064	PRCC	24,000.00		24,000.00	0.00	0.00	0.00	24,000.00
B-09-MC-25-0001	3065	PRCC	20,000.00		20,000.00	0.00	8,948.17	8,948.17	11,051.83
B-09-MC-25-0001	3027	Salvation Army	12,200.00		12,200.00	0.00	12,200.00	12,200.00	0.00
B-09-MC-25-0001	3028	South End CC	27,900.00	(59.00)	27,841.00	0.00	27,841.00	27,841.00	0.00
B-09-MC-25-0001	n/a	Spanish American Unions	7,000.00	(7,000.00)	0.00	0.00	0.00	0.00	0.00
B-09-MC-25-0001	3029	Spfld Boys & Girls Club	7,000.00	(48.37)	6,951.63	0.00	6,951.63	6,951.63	0.00
B-09-MC-25-0001	3030	Spfld Boys & Girls Club	9,000.00		9,000.00	0.00	9,000.00	9,000.00	0.00
B-09-MC-25-0001	3032	Springfield Girls Club	8,000.00		8,000.00	0.00	4,992.81	4,992.81	3,007.19
B-09-MC-25-0001	3031	Springfield College	8,000.00		8,000.00	0.00	8,000.00	8,000.00	0.00
B-09-MC-25-0001	3033	Springfield Park Department	130,080.00		130,080.00	0.00	130,080.00	130,080.00	0.00
B-09-MC-25-0001	3036	Square One	8,000.00		8,000.00	0.00	8,000.00	8,000.00	0.00
B-09-MC-25-0001	3007	Mass Association for the Blind	5,000.00		5,000.00	0.00	2,083.31	2,083.31	2,916.69
B-09-MC-25-0001	3038	Urban League	8,000.00		8,000.00	0.00	4,000.10	4,000.10	3,999.90
B-09-MC-25-0001	3034	VACA	5,000.00		5,000.00	0.00	4,583.37	4,583.37	416.63
B-09-MC-25-0001	3035	VACA	10,000.00		10,000.00	0.00	9,166.63	9,166.63	833.37
B-09-MC-25-0001	3039	YMCA	4,500.00		4,500.00	0.00	4,500.00	4,500.00	0.00
B-09-MC-25-0001	3040	YWCA	15,000.00		15,000.00	0.00	5,616.34	5,616.34	9,383.66
TOTAL PUBLIC SERVICE			1,029,417.00		1,053,685.32	235,838.13	653,748.42	889,586.55	164,098.77

TOTAL EXEPMT - PUBLIC SERVICE

B-08-MC-25-0001	2747	NNCC-after school	15,400.00	21,167.00	36,567.00	33,315.75	3,251.25	36,567.00	0.00
B-08-MC-25-0001	2748	NNCC	15,400.00	14,833.00	30,233.00	14,727.78	15,505.22	30,233.00	0.00
B-09-MC-25-0001	3067	NNCC-gerena after school	10,000.00		10,000.00	0.00	6,057.34	6,057.34	3,942.66
B-09-MC-25-0001	3068	NNCC-BASP	10,000.00		10,000.00	0.00	6,850.18	6,850.18	3,149.82
B-09-MC-25-0001	3021	NNCC-MPCP	20,000.00		20,000.00	0.00	12,405.83	12,405.83	7,594.17
B-09-MC-25-0001	3020	NNCC-recovery support	20,000.00		20,000.00	0.00	6,392.11	6,392.11	13,607.89
B-09-MC-25-0001	3058	PRCC	10,000.00		10,000.00	0.00	3,595.81	3,595.81	6,404.19
B-09-MC-25-0001	3064	PRCC	24,000.00		24,000.00	0.00	0.00	0.00	24,000.00
B-09-MC-25-0001	3065	PRCC	20,000.00		20,000.00	0.00	8,948.17	8,948.17	11,051.83
			144,800.00		180,800.00	48,043.53	63,005.91	111,049.44	69,750.56

TOTAL NON-EXEMPT - PUBLIC SERVICE

B-08-MC-25-0001	2717	Friends of the Homeless	81,600.00	0.00	81,600.00	80,023.85	1,576.15	81,600.00	0.00
B-08-MC-25-0001	2715	Boat People	7,500.00		7,500.00	6,817.00	683.00	7,500.00	0.00
B-08-MC-25-0001	2724	Mass Fair Housing Center	5,100.00		5,100.00	4,437.50	662.50	5,100.00	0.00
B-08-MC-25-0001	2726	Mass Fair Housing Center	15,000.00	(332.84)	14,667.16	12,412.09	2,255.07	14,667.16	0.00
B-08-MC-25-0001	2887	MLK	20,400.00	(478.19)	19,921.81	14,480.23	5,441.58	19,921.81	0.00
B-08-MC-25-0001	2746	MCDI-ABE	20,000.00		20,000.00	19,477.35	522.65	20,000.00	0.00
B-08-MC-25-0001	2749	Open Panty	20,400.00		20,400.00	18,700.00	1,700.00	20,400.00	0.00
B-08-MC-25-0001	2744	Spfld Boys & Girls Club	8,160.00	(596.87)	7,563.13	4,728.63	2,834.50	7,563.13	0.00
B-08-MC-25-0001	2719	Mass Association for the Blind	5,100.00		5,100.00	4,250.00	850.00	5,100.00	0.00
B-08-MC-25-0001	2758	Urban League	10,200.00	(713.56)	9,486.44	8,701.88	784.56	9,486.44	0.00
B-08-MC-25-0001	2819	YWCA	20,400.00		20,400.00	13,766.07	6,633.93	20,400.00	0.00
B-09-MC-25-0001	2995	5A	7,000.00		7,000.00	0.00	3,525.00	3,525.00	3,475.00
B-09-MC-25-0001	2996	Blackmen of Greater Spfld	10,900.00	(189.31)	10,710.69	0.00	9,894.05	9,894.05	816.64
B-09-MC-25-0001	3001	Council of Churches	21,533.00		21,533.00	0.00	21,533.00	21,533.00	0.00
B-09-MC-25-0001	3000	CJO Hampden County	8,000.00		8,000.00	0.00	7,545.59	7,545.59	454.41
B-09-MC-25-0001	3070	Dunbar	5,000.00		5,000.00	0.00	5,000.00	5,000.00	0.00
B-09-MC-25-0001	3004	Friends of the Homeless	71,000.00		71,000.00	0.00	66,267.88	66,267.88	4,732.12
B-09-MC-25-0001	2997	Boat People	7,000.00	(19.80)	6,980.20	0.00	6,442.00	6,442.00	538.20
B-09-MC-25-0001	3037	Gray House	7,000.00		7,000.00	0.00	7,000.00	7,000.00	0.00
B-09-MC-25-0001	3005	Greater Christian New Life	8,000.00	(506.40)	7,493.60	0.00	1,998.78	1,998.78	5,494.82
B-09-MC-25-0001	3009	Mass Fair Housing Center	5,000.00	(0.48)	4,999.52	0.00	4,999.52	4,999.52	0.00

B-09-MC-25-0001	3010	Mass Fair Housing Center	10,000.00		10,000.00	0.00	10,000.00	10,000.00	0.00
B-09-MC-25-0001	2998	Hungry Hill-H&HS	5,100.00	(856.28)	4,243.72	0.00	4,243.72	4,243.72	0.00
B-09-MC-25-0001	3008	MLK	20,000.00		20,000.00	0.00	4,501.65	4,501.65	15,498.35
B-09-MC-25-0001	3209	MCDI-culinary	6,000.00		6,000.00	0.00	5,888.77	5,888.77	111.23
B-09-MC-25-0001	3025	Open Panty	5,000.00		5,000.00	0.00	4,580.00	4,580.00	420.00
B-09-MC-25-0001	3024	Open Panty-emergency food	15,000.00		15,000.00	0.00	13,750.00	13,750.00	1,250.00
B-09-MC-25-0001	3026	Friends of Camp Star	97,000.00	29,388.00	126,388.00	0.00	85,388.00	85,388.00	41,000.00
B-09-MC-25-0001	3006	Park Dept-Recreation Program	88,364.00	(29,499.32)	58,864.68	0.00	58,864.68	58,864.68	0.00
B-09-MC-25-0001	2999	Pine Point CC-H&HS	9,180.00	(819.26)	8,360.74	0.00	8,360.74	8,360.74	0.00
B-09-MC-25-0001	3027	Salvation Army	12,200.00		12,200.00	0.00	12,200.00	12,200.00	0.00
B-09-MC-25-0001	3028	South End CC	27,900.00	(59.00)	27,841.00	0.00	27,841.00	27,841.00	0.00
B-09-MC-25-0001	n/a	Spanish American Unions	7,000.00	(7,000.00)	0.00	0.00	0.00	0.00	0.00
B-09-MC-25-0001	3029	Spfld Boys & Girls Club	7,000.00	(48.37)	6,951.63	0.00	6,951.63	6,951.63	0.00
B-09-MC-25-0001	3030	Spfld Boys & Girls Club	9,000.00		9,000.00	0.00	9,000.00	9,000.00	0.00
B-09-MC-25-0001	3032	Springfield Girls Club	8,000.00		8,000.00	0.00	4,992.81	4,992.81	3,007.19
B-09-MC-25-0001	3031	Springfield College	8,000.00		8,000.00	0.00	8,000.00	8,000.00	0.00
B-09-MC-25-0001	3033	Springfield Park Department	130,080.00		130,080.00	0.00	130,080.00	130,080.00	0.00
B-09-MC-25-0001	3036	Square One	8,000.00		8,000.00	0.00	8,000.00	8,000.00	0.00
B-09-MC-25-0001	3007	Mass Association for the Blind	5,000.00		5,000.00	0.00	2,083.31	2,083.31	2,916.69
B-09-MC-25-0001	3038	Urban League	8,000.00		8,000.00	0.00	4,000.10	4,000.10	3,999.90
B-09-MC-25-0001	3034	VACA	5,000.00		5,000.00	0.00	4,583.37	4,583.37	416.63
B-09-MC-25-0001	3035	VACA	10,000.00		10,000.00	0.00	9,166.63	9,166.63	833.37
B-09-MC-25-0001	3039	YMCA	4,500.00		4,500.00	0.00	4,500.00	4,500.00	0.00
B-09-MC-25-0001	3040	YWCA	15,000.00		15,000.00	0.00	5,616.34	5,616.34	9,383.66
		TOTAL PUBLIC SERVICE	884,617.00		872,885.32	187,794.60	590,742.51	778,537.11	94,348.21
			\$1,029,417.00		\$1,053,685.32	\$ 235,838.13	\$ 653,748.42	\$ 889,586.55	\$ 164,098.77

J. HOPWA Summary of Program Expenditures

Part 3 Program Expenditures and Housing Provided.

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

Exhibit E – Summary of Program Expenditures. – FY10; Federal 2008

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period.

Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

HOPWA Funding Available	
1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program)	\$106,877.89
2. Amount of HOPWA grant received during period	-0-
3. Program income (e.g., loan repayments)	-0-
4. Total of HOPWA funds available during period (sum of lines 1 thru 4)	\$106,877.89

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

HOPWA Expenditures (Totals by Eligible Activity)	
5. Expenditures for Housing Information Services	\$1,774.00
6. Expenditures for Resource Identification	-0-
7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G)	\$40,163.37
8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used)	\$61,281.45
9. Grantee Administrative Costs expended	-0-
10. Project Sponsor(s) Administrative Costs expended	\$3,659.07
11. Total of HOPWA funds expended during period (sum of lines 5 thru 10)	\$106,877.89
12. Balance of HOPWA funds at end of report period (line 4 minus line 11)	-0-

Part 3 Program Expenditures and Housing Provided.

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

Exhibit E – Summary of Program Expenditures. – FY10; Federal 2009

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period.

Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

HOPWA Funding Available	
1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program)	-0-
2. Amount of HOPWA grant received during period	\$445,162.00
3. Program income (e.g., loan repayments)	-0-
4. Total of HOPWA funds available during period (sum of lines 1 thru 4)	\$445,162.00

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

HOPWA Expenditures (Totals by Eligible Activity)	
5. Expenditures for Housing Information Services	\$24,691.00
6. Expenditures for Resource Identification	-0-
7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G)	\$129,815.52
8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used)	\$161,202.01
9. Grantee Administrative Costs expended	\$13,354.86
10. Project Sponsor(s) Administrative Costs expended	\$22,941.32
11. Total of HOPWA funds expended during period (sum of lines 5 thru 10)	\$352,004.71
12. Balance of HOPWA funds at end of report period (line 4 minus line 11)	\$93,157.29

Emergency Shelter Grant, Financial Status Report 08

FINANCIAL STATUS REPORT	1. Submitted to: Department of Housing and Urban Development	2. Federal Grant or Other Identifying Number S-08-MC-25-0013	Page 1 of 1				
3. Recipient Organization City of Springfield Office of Community Development 36 Court Street Springfield, Massachusetts 01103	4. Employer Identification Number 04-6001415	6. Final Report? Yes	7. Basis ? Cash				
	PROJECT/GRANT PERIOD	PERIOD COVERED BY THIS REPORT					
	From: To:	From: To:					
		7/1/2009	6/30/2010				
STATUS OF FUNDS							
PROGRAMS/FUNCTIONS/ACTIVITIES	a. Rehabilitation	b. Homeless Prevention	c. Services	d. Administration	e. Operations	f.	g. TOTAL
a. Net outlays previously reported	\$ -	37,393.95	22,500.00	9,151.00	74,278.23		143,323.18
b. Total outlays this report period	-	16,280.15	23,416.67	-	-		39,696.82
c. Less: Program income credits	-	-	-	-	-		-
d. Net outlays this report period (Line b minus line c)	-	16,280.15	23,416.67	-	-		39,696.82
e. Net outlays to date (Line a plus line d)	-	53,674.10	45,916.67	9,151.00	74,278.23		183,020.00
f. Less: Non-Federal share of outlays.	-	-	-	-	-		-
g. Total Federal share of outlays (Line e minus line f)	-	53,674.10	45,916.67	9,151.00	74,278.23		183,020.00
h. Total unliquidated obligations	-	-	-	-	-		-
i. Less: non-Federal share of unliquidated obligations on line h	-	-	-	-	-		-
j. Federal share of unliquidated obligations	-	-	-	-	-		-
k. Total Federal share of outlays and unliquidated obligations	-	53,674.10	45,916.67	9,151.00	74,278.23		183,020.00
l. Total cumulative amount of Federal funds authorized	-	53,674.10	45,916.67	9,151.00	74,278.23		183,020.00
m. Unobligated balance of Federal funds	-	-	-	-	-		-
CERTIFICATION I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.	SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL				DATE REPORT SUBMITTED		
	PRINTED NAME AND TITLE Cathy K. Buono Director of Administration and Finance				TELEPHONE (413) 787-6082		

Emergency Shelter Grant, Financial Status Report 09

FINANCIAL STATUS REPORT	1. Submitted to: Department of Housing and Urban Development	2. Federal Grant or Other Identifying Number S-09-MC-25-0013	Page 1 of 1				
3. Recipient Organization City of Springfield Office of Community Development 36 Court Street Springfield, Massachusetts 01103	4. Employer Identification Number 04-6001415	6. Final Report? Yes	7. Basis ? Cash				
	PROJECT/GRANT PERIOD		PERIOD COVERED BY THIS				
	From:	To:	From:	To:			
		7/1/2009	6/30/2010				
STATUS OF FUNDS							
PROGRAMS/FUNCTIONS/ACTIVITIES	a. Rehabilitation	b. Homeless Prevention	c. Services	d. Administration	e. Operations	f.	g. TOTAL
a. Net outlays previously reported	\$ -	-	-	-	-		-
b. Total outlays this report period	-	30,961.04	7,000.00	9,116.60	89,237.48		136,315.12
c. <i>Less:</i> Program income credits	-	-	-	-	-		-
d. Net outlays this report period (Line b minus line c)	-	30,961.04	7,000.00	9,116.60	89,237.48		136,315.12
e. Net outlays to date (Line a plus line d)	-	30,961.04	7,000.00	9,116.60	89,237.48		136,315.12
f. <i>Less:</i> Non-Federal share of outlays.	-	-	-	-	-		-
g. Total Federal share of outlays (Line e minus line f)	-	30,961.04	7,000.00	9,116.60	89,237.48		136,315.12
h. Total unliquidated obligations	-	12,425.86	14,953.33	-	1,010.51		28,389.70
i. <i>Less:</i> non-Federal share of unliquidated obligations on line h	-	-	-	-	-		-
j. Federal share of unliquidated obligations	-	12,425.86	32,580.51	-	1,010.51		46,016.88
k. Total Federal share of outlays and unliquidated obligations	-	43,386.90	39,580.51	9,116.60	90,247.99		182,332.00
l. Total cumulative amount of Federal funds authorized	-	51,600.00	51,600.00	9,116.00	70,016.00		182,332.00
m. Unobligated balance of Federal funds	-	-	-	-	-		-
CERTIFICATION I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.	SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL				DATE REPORT SUBMITTED		
	PRINTED NAME AND TITLE Cathy K. Buono Director of Administration and Finance				TELEPHONE (413) 787-6082		

CDBG Entitlement Grant

Program Income Reconciliation

Total Program Income Fiscal Year 2010 \$ 298,002.63

<u>Date</u>	<u>HUD#</u>	<u>Voucher</u>	<u>Amount</u>
03/15/2010	2981	#5079788	\$ 214,474.02
06/23/2010	2972	#5126628	<u>\$ 83,528.61</u>
			\$ 298,002.63

Expenditure Category Detail:

Administration	2972	\$ 83,528.61
Demolition Bond	2981	<u>\$ 214,474.02</u>
		\$ 298,002.63

PROJECT RECONCILIATION	2009-2010 Budget	2009-2010 Expenditures
Administration	\$ 881,010.00	\$ 857,357.02
Public Service	\$ 774,757.00	\$ 611,048.01
Economic Development - Program Delivery	\$ 126,911.00	\$ -
Housing Program Delivery - Rehabilitation	\$ 76,000.00	\$ 69,380.91
Housing Program Delivery - Direct Homeownership	\$ 119,000.00	\$ 88,912.81
Graffiti Removal	\$ 45,000.00	\$ 40,277.03
Neighborhood Capacity Building	\$ 144,000.00	\$ 74,787.75
Public Facilities - Rehabilitation for Non-Profits	\$ 650,000.00	\$ 443,771.42
Park Reconstruction	\$ 700,000.00	\$ 32,490.67
Acquisition/Disposition	\$ 27,000.00	\$ 34,813.15
Clearance and Demolition	\$ 28,000.00	\$ 25,832.29
Target Code Enforcement	\$ 65,000.00	\$ 42,213.49
Receivership Program	\$ 100,000.00	\$ -
Bond Payment	\$ 440,000.00	\$ 439,682.86
Heartwap Program	\$ 217,000.00	\$ 162,703.08
Abandonment Response Program	\$ 188,000.00	\$ 5,217.20
Neighborhood Façade Program	\$ 200,000.00	\$ 3,668.75
Public Improvements - Infrastructure	\$ 761,370.00	\$ 235,192.47
Historic Preservation - Rehab Blight Reduction	\$ 150,000.00	\$ -
South End Revitalization Program	\$ 200,000.00	\$ -
Small Business Loan Pool	\$ 100,000.00	\$ 6,189.06
Keep Springfield Beautiful	<u>\$ 12,000.00</u>	<u>\$ 9,144.27</u>
	\$ 6,005,048.00	\$ 3,182,682.24
PRIOR YEAR BUDGET CARRY OVER		
Homesavers	\$ 100,000.00	\$ 25,000.00
Downtown Capital Projects	\$ 105,000.00	\$ 1,400.00
Jamie Ulloa Park Reconstruction	\$ 50,000.00	\$ 5,562.97
DeBerry School Outdoor Classroom	\$ 100,000.00	\$ 80,825.40
Armory Commons Park Reconstruction	\$ 90,000.00	\$ 3,172.00
Indian Orchard Riverfront Park	\$ 37,500.00	\$ 22,734.00
Leonardo da Vinci Park	\$ 100,000.00	\$ 10,417.50
Housing Placement Assistance	\$ 75,000.00	\$ 37,561.11
Existing Homeowner Rehabilitation	\$ 100,000.00	\$ 118,059.50
Home Rehabilitation-NRSA	\$ 150,000.00	\$ 17,726.68
Historic Restoration - Rehab Blight Reduction	\$ 150,000.00	\$ 97,679.00
Public Service	\$ 728,118.40	\$ 42,700.41
NRSA Code Enforcement Program - Flex Squad	\$ 65,000.00	\$ 17,706.31
South End Revitalization	\$ 1,100,000.00	\$ 607,367.66
Neighborhood Centers	\$ 200,000.00	\$ 102,733.00
Neighborhood Target Improvement Program	\$ 110,000.00	\$ 26,180.43
Code Enforcement - Flex Squad	\$ 35,000.00	\$ 25,875.25
Neighborhood Enhancement Program	\$ 231,746.40	\$ 60,000.00
Keep Springfield Beautiful	\$ 12,000.00	\$ 12,847.14
Workforce Development Program	<u>\$ 100,000.00</u>	<u>\$ 84,795.16</u>
	3,639,364.80	1,400,343.52

A. PROGRAM INCOME

		Category
Springfield Redevelopment Authority		
HeartWAP	<u>274,165.38</u>	Other
Total SRA	\$274,165.38	
Community Development		
Economic Development Loans		
Barbados American Cultural Society	528.59	Economic Development
Creative Theater Concepts	23,308.66	Economic Development
Total Community Development	\$ 23,837.25	
TOTAL PROGRAM INCOME		298,002.63

PROGRAM INCOME

Economic Development	23,837.25
Other	<u>274,165.38</u>
Total Program Income	<u>298,002.63</u>

B. PRIOR PERIOD ADJUSTMENTS **N/A**

C. LOANS AND OTHER RECEIVABLES

1. Float Funded activities - N/A
2. Total number of outstanding loans and outstanding principal balance owed for the reporting period:

a. Total Loans:	HUD Activity #	Principal Balance 06/30/2010	
1. 3GS Transport	3253	\$ 3,094.53	Economic Development
2. Felix's	3255	\$ 2,000.00	Economic Development

b. Total Loans:	HUD Activity #	Principal Balance 06/30/2010	
1. Springboard Technology	1334	\$200,000.00	Economic Development

Terms: Interest monthly in the amount of \$1,0833.33.

3. List of parcels of property owned that have been acquired or improved using CDBG funds

during the reporting peirod and are available for sale:

Addresses: none

4. Lump sum drawdowns - n/a

Notice of DRAFT Availability And Public Hearing

Review of DRAFT Consolidated Annual Performance And Evaluation Report (CAPER)

7/1/09-6/30/2010

The City of Springfield, through the Office of Community Development, is preparing its Draft Consolidated Annual Performance and Evaluation Report (CAPER) for the program year July 1, 2009-June 30, 2010. This Annual Report outlines how federal Community Development Block Grant (CDBG), HOME Investment Partnership Act (HOME), Emergency Shelter Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) formula grant programs were utilized during the prior program year, which runs from July 1, 2009 to June 30, 2010.

Copies of the Draft CAPER will be available starting on August 31st through September 23rd at:

- Office of Planning & Economic Development, 70 Tapley Street
- Office of Community Development, City Hall, 36 Court Street, Room 101
- Office of Housing, 1600 East Columbus Avenue, 1st Floor;
- Department of Health & Human Services, 95 State Street
- Central Library, 220 State Street
- Springfield Neighborhood Councils; including South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, McKnight Neighborhood Council
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

A Public Hearing will be held on Tuesday, September 14th, City Hall, Room 220 at 6:00 PM.

Interested parties are also invited to submit written comments to Cathy Buono, Director of Administration & Finance, Office of Community Development. Submissions must be received by the Office of Community Development no later than 4:00 PM, September 21, 2010. Please mail or email comments to the Office of Community Development, 36 Court Street, Springfield, MA 01103 or to ckelly@springfieldcityhall.com.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

The City of Springfield is an Equal Employment Opportunity

Aviso de Disponibilidad PROYECTO Y Audiencia Pública

Examen de Rendimiento Anual PROYECTO consolidadas e informe de evaluación (CAPER)

7/1/09-6/30/2010

La ciudad de Springfield, a través de la Oficina de Desarrollo Comunitario, está preparando su proyecto de desempeño y Anuales Consolidadas Informe de Evaluación (CAPER) para el año del programa Julio 1, 2009 a Junio 30, 2010. El presente informe anual expone cómo federales Community Development Block Grant (CDBG), HOME Investment Partnership Act (HOME), Refugio de Emergencia Grant (ESG) y Oportunidades de Vivienda para Personas con SIDA (HOPWA) programas de subsidios fórmula se utilizaron durante el año del programa previo, que va desde Julio 1, 2009 a Junio 30, 2010 .

Copias del Proyecto de CAPER estará disponible a partir del 31 de Agosto a través de 23 de Septiembre en la:

- Oficina de Planificación y Desarrollo Económico, 70 Tapley Street
- Oficina del Desarrollo de las Comunidad, 36 Court Street, Salon 101
- Oficina de la Vivienda y Vencindarios, 1600 E. Columbus Ave. 1st piso
- Departamento de Salud Y Servicios Humanos, 95 State Street
- Biblioteca-Central, 220 State Street
- -Springfield Consejos Vecinales; incluyendo el sur de los Ciudadanos del Consejo End, Nueva del Norte Consejo de los Ciudadanos, Hungry Hill Senior Center, Pino Punto Centro de Personas Mayores, antigua colina Concejo Vecinal de Indian Orchard Concejo Vecinal de McKnight Consejo Vecinal
- http://www.springfieldcityhall.com/sos/Services/dept_cd.htm

Una Audencia Publica see llevara' a cabo en Martes, 14 de Septiembre, En la Casa Alcadia, Salon 220 a las 6:00pm

Las partes interesadas están invitadas a presentar observaciones por escrito a Cathy Buono, Director de Adminsitration y Finanzas, Oficina de Desarrollo Comunitario. Las presentaciones deben ser recibidas por la Oficina de Desarrollo Comunitario, a más tardar las 4:00 PM, 21 de septiembre 2010. Favor de enviar comentarios o por correo electrónico a la Oficina de Desarrollo Comunitario, 36 Court Street, Springfield, MA 01103 o ckelly@springfieldcityhall.com .

Por favor, póngase en contacto con la Oficina de Desarrollo Comunitario al 787-6050 o al TTY 787-6641 para obtener información adicional.

La ciudad de Springfield es una Oportunidad de Igualdad

A PUBLIC HEARING

Review & Receive Citizen Input on Springfield's DRAFT Consolidated Annual Performance & Evaluation Report (CAPER) FY 09-2010

**Tuesday, September 14th, City Hall,
Room 220 at 6:00 PM**

Copies of the DRAFT CAPER will be available for 15 day period review beginning on August 31st at:

- Office of Planning and Economic Development, 70 Tapley Street
- Office of Community Development, 36 Court Street, Room 101
- Office of Housing, 1600 E Columbus Ave, 1st Floor
- Department of Health and Human Services, 95 State Street
- Central Library, 220 State Street
- Springfield Neighborhood Councils; including South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, McKnight Neighborhood Council
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

Interested parties are also invited to submit written comments to Cathy Buono, Director of Administration & Finance, Office of Community Development. Submissions must be received by the Office of Community Development, 36 Court Street, Springfield, MA 01103 or ckelly@springfieldcityhall.com no later than 4:00 PM on Tuesday, September 21, 2010.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

AUDENCIA PUBLICA

Repasao del

Rendimiento Anual Del Proyecto Consolidado

Y Evaluacion Revisada

De Springfield FY09 – 2010

Martes, 14 de Septiembre a las 6:00pm

En la casa alcaldia, Salon 220

Las copias del CAPER PROYECTO estara disponible durante 15 dias a partir de revision periodo el 31 Agosto en:

- Oficina de Planificación y Desarrollo Económico, 70 Tapley Street
- Oficina del Desarrollo de las Comunidad, 36 Court Street, Salon 101
- Oficina de la Vivienda y Vencindarios, 1600 E. Columbus Ave. 1er piso
- Departamento de Salud Y Servicios Humanos, 95 State Street
- Biblioteca-Central, 220 State Street
- -Springfield Consejos Vecinales; incluyendo el sur de los Ciudadanos del Consejo End, Nueva del Norte Consejo de los Ciudadanos, Hungry Hill Senior Center, Pino Punto Centro de Personas Mayores, antigua colina Concejo Vecinal de Indian Orchard Concejo Vecinal de McKnight Consejo Vecinal
- http://www.springfieldcityhall.com/sos/Services/dept_cd.htm

Personas interesadas son invitadas a someter comentarios por escrito concerniente a este documento. La correspondencia debe ser dirigida al Cathy Buono en la Oficina del Desarrollo de las Comunidad, 36 Court Street, Springfield, Ma 01103 o ckelley@springfieldcityhall.com no mas tarde de las 4:00PM, el Martes, 21 de Septiembre, 2010.

Para mas informacion, favor de llamar a la Oficina del Desarrollo de las Comunidad al 787-6050 o TTY 787-6641

Housing Opportunities for Persons with AIDS (HOPWA)
 Consolidated Annual Performance and Evaluation Report -
 Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 12/31/2010)

Part 1: Grantee Executive Summary

As applicable, complete the charts below followed by the submission of a written narrative to questions A through C, and the completion of Chart D. Chart 1 requests general grantee information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their activities. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

1. Grantee Information

HUD Grant Number MAH09-F002		Operating Year for this report <i>From (mm/dd/yy) 7/1/09 To (mm/dd/yy) 6/30/10</i>		
Grantee Name City of Springfield				
Business Address		1600 East Columbus Avenue		
City, County, State, Zip		Springfield,	Hampden	MA 01103
Employer Identification Number (EIN) or Tax Identification Number (TIN)		EIN#04-6001415		DUN & Bradstreet Number (DUNS) if applicable DUNS#073011921
Congressional District of Business Address		MA-2nd Congressional District		
*Congressional District(s) of Primary Service Area(s)				
*Zip Code(s) of Primary Service Area(s)				
*City(ies) and County(ies) of Primary Service Area(s)				
Organization's Website Address Springfieldcityhall.com		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Have you prepared any evaluation reports? <i>If so, please indicate the location on an Internet site (url) or attach copy.</i>				

* Service delivery area information only needed for program activities being directly carried out by the grantee

2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

Project Sponsor Agency Name Center for Human Development HIV AIDS Law Consortium		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Rose Maloof, Director			
Email Address	rmaloof@chd.org			
Business Address	425 Union Street, Suite 118			
City, County, State, Zip,	West Springfield	Hampden	MA	01089
Phone Number (with area codes)	413-732-0011		Fax Number (with area code) 413-732-3331	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	04-250-3926		DUN & Bradstreet Number (DUNs) if applicable 09-919-5695	
Congressional District of Business Location of Sponsor	MA 1 st Congressional District			
Congressional District(s) of Primary Service Area(s)	MA -1 st Congressional District			
Zip Code(s) of Primary Service Area(s)	01109, 01040			
City(ies) and County(ies) of Primary Service Area(s)	Springfield, Holyoke, Northampton		Hampden, Hampshire County	
Total HOPWA contract amount for this Organization	\$44,272.00			
Organization's Website Address hivaidslawconsortium.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		If yes, explain in the narrative section how this list is administered.		
Please check if yes and a faith-based organization. <input type="checkbox"/>				
Please check if yes and a grassroots organization. <input type="checkbox"/>				

2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

Project Sponsor Agency Name AIDS CARE/Hampshire County		Parent Company Name, if applicable Cooley Dickinson Hospital		
Name and Title of Contact at Project Sponsor Agency		Betsy Shally-Jensen Director		
Email Address		aidscarehamp@cooley-dickinson.org		
Business Address		P.O. Box 1299		
City, County, State, Zip,		Northampton	Hampshire	MA 01061-1299
Phone Number (with area codes)		413-586-8288 x5		Fax Number (with area code) 413-586-8996
Employer Identification Number (EIN) or Tax Identification Number (TIN)		22-2617-175		DUN & Bradstreet Number (DUNs) if applicable 06-699-1605
Congressional District of Business Location of Sponsor		MA – 2 nd Congressional District		
Congressional District(s) of Primary Service Area(s)		MA – 1 st & 2 nd Congressional District		
Zip Code(s) of Primary Service Area(s)		01060, 01040, 01105, 01376, 01082, 01002, 01013, 01027		
City(ies) and County(ies) of Primary Service Area(s)		Northampton, Holyoke, Springfield, Turners Falls, Ware, Amherst, Chicopee, Easthampton		Hampden, Franklin & Hampshire Counties
Total HOPWA contract amount for this Organization		\$118,500.00		
Organization's Website Address www.cooley-dickinson.org/services/aids-care-hamshire-county		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

Project Sponsor Agency Name New North Citizens Council, Inc.		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Maria Ligus, Chief Operating Officer		
Email Address		mligus@newnorthcc.org		
Business Address		2383 Main Street		
City, County, State, Zip,		Springfield	Hampden	MA 01107
Phone Number (with area codes)		413-746-4885	Fax Number (with area code) 413-737-2321	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		23-7371934	DUN & Bradstreet Number (DUNs) if applicable 937637718	
Congressional District of Business Location of Sponsor		MA – 2 nd Congressional District		
Congressional District(s) of Primary Service Area(s)		MA – 2 nd Congressional District		
Zip Code(s) of Primary Service Area(s)		01103, 01104, 01105, 01107, 01108, 01109, 01118, 01119, 01151		
City(ies) and County(ies) of Primary Service Area(s)		Springfield	Hampden	
Total HOPWA contract amount for this Organization		\$115,000.00		
Organization's Website Address newnorthcc.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		The list is maintained on a first come first serve basis and utilized according to need and situation.		

2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

Project Sponsor Agency Name River Valley Counseling Center		Parent Company Name, <i>if applicable</i>		
Name and Title of Contact at Project Sponsor Agency	Marianne Polmatier Director			
Email Address	polmatier_marianne@holyokehealth.com			
Business Address	120 Maple Street, Suite 301			
City, County, State, Zip,	Springfield	Hampden	MA	01103
Phone Number (with area codes)	413-737-2437		Fax Number (with area code) 413-737-3521	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	042174657		DUN & Bradstreet Number (DUNs) <i>if applicable</i> 60-2809733	
Congressional District of Business Location of Sponsor	MA – 2 nd Congressional District			
Congressional District(s) of Primary Service Area(s)	MA – 1 st & 2 nd Congressional District			
Zip Code(s) of Primary Service Area(s)	01040, 01109, 01029			
City(ies) and County(ies) of Primary Service Area(s)	Holyoke, Springfield & Chicopee		Hampden County	
Total HOPWA contract amount for this Organization	\$153,000.00			
Organization's Website Address www.rvcc-ingc.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. Data system is kept categorizing individuals based on the severity of their housing status. Homeless individuals are placed on the McKinney waiting list and all other referrals are placed in HOPWA. When a vacancy occurs we administer the waiting list and begin to contact individuals and providers.			

3. Subrecipient Information – N/A

In Chart 3, provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assist the grantee or project sponsors to carry out their administrative or service delivery functions. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Subrecipient Name		Parent Company (if applicable)	
Name and Title of Contact at Subrecipient			
Email Address			
Business Address			
City, State, Zip, County			
Phone Number (with area code)			Fax Number (with area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)			DUN & Bradstreet Number (DUNs) if applicable
North American Industry Classification System (NAICS) Code			
Congressional District of Location			
Congressional District of Primary Service Area			
Zip Code of Primary Service Area(s)			
City(ies) and County(ies) of Primary Service Area(s)			
Total HOPWA Contract Amount			

A. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The City of Springfield administers the HOPWA program for the three-county area of Hampden, Hampshire and Franklin Counties. In this area, there are 1947 reported cases of HIV/AIDS: 61 in Franklin County, 125 in Hampshire County, and 1761 in Hampden County. Hampden County includes the cities of Springfield, Holyoke and Chicopee.

In FY09-10, recipients of HOPWA funding served 398 households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 27 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 76 households; housing information to 106 households and advocacy/legal services and supportive services to 292 households.

Specific projects were selected for funding a formal Request for Proposal process. The following agencies received HOPWA funds:

- 1. River Valley Counseling Center** is a licensed mental health clinic and a multi-service agency. The mission of RVCC's HIV/AIDS Project is to support those affected by HIV/AIDS and to promote community awareness of the issues surrounding HIV/AIDS. The Project provides: information, assessment and referral services; comprehensive, bi-lingual/bi-cultural case management for medical and social services; HIV/AIDS consumer support groups; access to the Positive Alliance Network, a program designed specifically to provide mental health services to minorities affected by HIV/AIDS; an array of housing services for HIV+ individuals; and membership to a drop-in center that provides a safe environment for HIV+ individuals to use a computer lab, access video and book libraries, prepare snacks and enjoy healthy congregate lunch meals. RVCC primarily serves residents of Hampden County, and has offices in Springfield and Holyoke. RVCC uses HOPWA funds to provide supportive housing and housing information services and advocacy. The contact person for RVCC's HOPWA program is Marianne Polmetier.
- 2. Center for Human Development HIV/AIDS Law Consortium** The mission of the HIV/AIDS Law Consortium of Western Massachusetts is to assure access to legal services for individuals and families affected by HIV/AIDS. The Law Consortium is committed to educating both the legal community and the community at large about the legal rights of individuals and families affected by HIV/AIDS and advocating on behalf of people affected by HIV/AIDS to assure that their legal and human rights are preserved. The Law Consortium uses HOPWA funds to provide legal assistance in housing cases, and small group workshops to clients and case managers regarding housing issues. The contact person for the Law Consortium is Rose Maloof.
- 3. New North Citizen's Council** provides advocacy, public and human services to Hampden County residents with an emphasis on Hispanic/Latino community for the purpose of enhancing the preservation and support of the family resulting in the improvement of quality of life. NNCC uses HOPWA funds to provide shallow subsidies, rental assistance and supportive services to individuals who are HIV positive and are homeless or at risk of becoming homeless. NNCC's contact program for the HOPWA program is Maria Perez.
- 4. Cooley Dickinson Hospital's AIDS Care** provides case management and comprehensive and confidential support services to people living with HIV infection, their families, and friends using a harm reduction philosophy. AIDS CARE primarily serves residents of Hampshire County, and uses HOPWA funds to provide tenant-based rental assistance and support services. The contact person for AIDS CARE is Betsy Shally-Jensen.

(a)

B. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

2. Outcomes Assessed. Assess program goals against actual client outcomes for achieving housing stability, reducing risks of homelessness, and improving access to care. If current year results are lower than the national program targets (80 percent of HOPWA clients maintain housing stability, avoid homelessness and access care), please describe the steps being taken to achieve the national outcome goal in next operating year.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

In FY09-10, recipients of HOPWA funding served 398 households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 27 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 76 households; housing information to 106 households and advocacy/legal services and supportive services to 292 households.

B. Annual Performance Under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number of households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

During this year, HOPWA grantees were able to slightly increase the number of persons assisted, from 338 to 398, with the greatest increase being in the area of provision of legal and advocacy services. One area in which there was a decrease in service was the number of households assisted with TBRA, which dropped from 32 to 25, primarily due to high rents in Hampden County. This decrease is offset by a new award of McKinney S+C funds for 7 additional households with HIV/AIDS, received in 2010. Additional rental assistance is provided in Hampden County using both HOME Program and McKinney Program funds.

Over 70% of funds are used to provide Tenant-Based Rental Assistance or Short Term Rental, Utilities or Mortgage Assistance. The rest of the funds go to supportive services, including Rental Start-Up (first, last and security deposit) and legal assistance related to housing issues.

Grantees operate in all three counties that are covered by the HOPWA grant (Franklin, Hampshire and Hampden). The highest rates of HIV are in the cities located in Hampden County (Springfield, Holyoke and Chicopee). As a result, three out of the four grantees work in Hampden County.

2. Outcomes Assessed. Assess program goals against actual client outcomes for achieving housing stability, reducing risks of homelessness, and improving access to care. If current year results are lower than national program targets (80 percent of HOPWA clients maintain housing stability, avoid homelessness and access care), please describe steps being taken to achieve the national outcome goal in next operating year.

The programs that provide TBRA achieve housing stability outcomes higher than the national program targets. These programs also report success in improving access to health care. Programs providing other types of assistance have not tracked housing stability after the time of intervention, so they do not have data on housing stability. Our community is increasing and broadening use of the Homeless Management Information System (HMIS), so we hope to be able to track this data in the future.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible services identified in the Consolidated Plan/Strategic Plan.

Grantees are all members of the Springfield Continuum of Care, and several of them are members of the Three-County (Franklin, Hampshire, Hampden) Continuum of Care. The CoCs have independently created ten-year plans to end homelessness, and not the two CoCs have come together to create a regional effort to end homelessness. (The regional effort also includes Berkshire County.)

The work of these plans is to increase supportive housing opportunities and to increase coordination of housing and supportive services. One initiative under the Springfield plan has been to use Springfield Housing Authority project-based vouchers to pay for housing units in which the tenants are linked to supportive service providers in the community, including HOPWA-funded providers. In addition, the City of Springfield is making HOME funds available to clients of HOPWA-funded programs (as well as others) to use as Rental Start-Up. In order to increase coordination, regional providers have started a blog, which assists them in communication with each other. The blog is www.westernmassrcn.wordpress.com.

None of our programs have done any housing development. As part of our regional effort, we are encouraging housing developers and service providers to work together to create permanent supportive housing.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Technical assistance is welcome at anytime to update staff on any changes within the program.

(b)

(c)

(d)

(e) C. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Other, please explain further		

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The greatest challenge has been the scarcity of resources to serve the eligible population. Eligible participants generally have low incomes, and require subsidized housing, as well as support services. There is simply not a sufficient supply of affordable housing.

The Tri-county area continues to see an increase in the number of HIV/AIDS cases and a decrease in the public dollars available to serve this growing population. Coupling these factors with an increased life expectancy results in a tremendously burdened system. These factors make it critically important that HOPWA providers continue to partner with mainstream providers of housing and health services.

In Western Massachusetts, the highest contributing factor to HIV infection is injection drug use. Due to this factor, impacted households have barriers to accessing and retaining housing that are in addition to affordability. These include overcoming negative tenant histories and criminal backgrounds. Our regional effort to end homelessness is seeking to address these barriers by building enhanced relationships with landlords and experimenting with incentives for landlords to rent to hard-to-house households.

D. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require housing assistance but are not currently served by HOPWA in this service area.

In Line 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Table 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. *Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

In Rows a through c, enter the number of HOPWA-eligible households by type of housing assistance whose housing needs are not met. For an approximate breakdown of overall unmet need by type of housing assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds.

1. Assessment of Unmet Need for HOPWA-eligible Households

1. Total number of households that have unmet housing needs	= 998
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2. From Item 1, identify the number of households with unmet housing needs by type of housing assistance	
a. Tenant-Based Rental Assistance (TBRA)	= 396
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	= 594
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	= 8

a) 2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

<input checked="" type="checkbox"/> X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input type="checkbox"/> X	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
<input type="checkbox"/> X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input type="checkbox"/> X	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars.

[1] Sources of Leveraging		Total Amount of Leveraged Dollars (for this operating year)	
		[2] Housing Assistance	[3] Supportive Services and other non-direct housing costs
1.	Program Income	=	=
2.	Federal government (please specify): HDAP	=	=58,032.00
	Ryan White Care Act	=	=10,353.00
	Food Stamps, EAEDC, SSI/SSDI, SNAP	=	=23,020.00
		=	=
3.	State government (please specify)	=	=
	Department of Public Health	=	= 158,691.00
	NNCC	= 799.00	=
		=	=
4.	Local government (please specify)	=	=
	City of Springfield, MA	= 35,609.00	=
	Fuel Assistance	=	= 204.00
		=	=
5.	Foundations and other private cash resources (please specify)	=	=
	Massachusetts Bar Foundation IOLTA grant	=	= 25,000.00
	AIDS Foundation of W. MA.	= 1,725.00	=
	Catholic Charities	=	= 40.00
	Donations	=	= 5,624.00
6.	In-kind Resources	=	= 48,040.00
7.	Resident rent payments in Rental, Facilities, and Leased Units	=	=
8.	Grantee/project sponsor (Agency) cash	=	=
9.	TOTAL (Sum of 1-7)	= 38,133.00	= 329,004.00

End of PART 2

PART 3: Accomplishment Data - Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families. *Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		Output Households				Funding	
		HOPWA Assistance		Non-HOPWA		e.	f.
		a.	b.	c.	d.		
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
Housing Subsidy Assistance		Output Households					
1.	Tenant-Based Rental Assistance	26	27			112322	112322
2a.	Households in permanent housing facilities that receive operating subsidies/leased units						
2b.	Households in transitional/short-term housing facilities that receive operating subsidies/leased units						
3a.	Households in permanent housing facilities developed with capital funds and placed in service during the program year						
3b.	Households in transitional/short-term housing facilities developed with capital funds and placed in service during the program year						
4.	Short-Term Rent, Mortgage and Utility Assistance	60	76			38870	38723
5.	Adjustments for duplication (subtract)						
6.	Total Housing Subsidy Assistance	86	103			151192	151045
Housing Development (Construction and Stewardship of facility based housing)		Output Units					
7.	Facility-based units being developed with capital funding but not opened (show units of housing planned)						
8.	Stewardship Units subject to 3 or 10 year use agreements						
9.	Total Housing Developed						
Supportive Services		Output Households					
10a.	Supportive Services provided by project sponsors also delivering HOPWA housing assistance	74	179			118800	110504
10b.	Supportive Services provided by project sponsors serving households who have other housing arrangements	87	113			85460	85460
11.	Adjustment for duplication (subtract)		103				
12.	Total Supportive Services	161	189			204260	195964
Housing Placement Assistance Activities		Output Households					
13.	Housing Information Services	100	106			45314	38604
14.	Permanent Housing Placement Services						
15.	Adjustment for duplication						
16.	Total Housing Placement Assistance	100	106			45314	38604
Grant Administration and Other Activities		Output Households					
17.	Resource Identification to establish, coordinate and develop housing assistance resources						
18.	Technical Assistance (if approved in grant agreement)						
19.	Grantee Administration (maximum 3% of total HOPWA grant)					13355	13355
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					30006	24971
Total Expenditures for program year (Sum of rows 6, 9, 12, 16, and 20)		347	398			444127	423939

2. Listing of Supportive Services

Report on the use of HOPWA funds for all supportive services. In Rows 1 through 16, provide the (unduplicated) total of all households and expenditures for each type of supportive service for all project sponsors.

Supportive Services		Number of Households Receiving HOPWA Assistance	Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management/client advocacy/ access to benefits & services	227	154791
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services	65	41173
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Adjustment for Duplication (subtract)	108	
16.	TOTAL Households receiving Supportive Services (unduplicated)	189	195,964

End of PART 3

Part 4: Summary of Performance Outcomes

HOPWA Long-term Performance Objective: *Eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care each year through 2011.*

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

In Column 1, report the total number of eligible households that received HOPWA housing assistance, by type. In Column 2, enter the number of households continuing to access each type of housing assistance, the following year. In Column 3, report the housing status of all households that exited the program. Columns 2 (Number of Households Continuing) and 3 (Exited Households) summed will equal the total households reported in Column 1. *Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

[A] Permanent Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Assessment: Number of Households Continuing with this Housing (per plan or expectation for next year)		[3] Assessment: Number of Exited Households and Housing Status	
Tenant-Based Rental Assistance	= 27		= 25	1 Emergency Shelter/Streets	=
				2 Temporary Housing	=
				3 Private Housing	= 1
				4 Other HOPWA	=
				5 Other Subsidy	= 1
				6 Institution	=
				7 Jail/Prison	=
				8 Disconnected/Unknown	= 1
				9 Death	=
Permanent Supportive Housing Facilities/Units	=		=	1 Emergency Shelter/Streets	=
				2 Temporary Housing	=
				3 Private Housing	=
				4 Other HOPWA	=
				5 Other Subsidy	=
				6 Institution	=
				7 Jail/Prison	=
				8 Disconnected/Unknown	=
				9 Death	=
[B] Transitional Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Of the Total Number of Households Receiving Housing Assistance this Operating Year		[3] Assessment: Number of Exited Households and Housing Status	
Transitional/Short-Term Supportive Facilities/Units	=	Total number of households that will continue in residences:	=	1 Emergency Shelter/Streets	=
		2 Temporary Housing	=		
		3 Private Housing	=		
		4 Other HOPWA	=		
		5 Other Subsidy	=		
		6 Institution	=		
		7 Jail/Prison	=		
		8 Disconnected/unknown	=		
		9 Death	=		
Total number of households whose tenure exceeded 24 months:	=	1 Emergency Shelter/Streets	=		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Assistance)

Report the total number of households that received STRMU assistance in Column 1. In Column 2, identify the result of the housing assessment made at time of assistance, or updated in the operating year. (Column 3 provides a description of housing outcomes; therefore, data is not required.) In Row 1a, enter the total number of households served in the prior operating year that received STRMU assistance this year. In Row 1b, enter the total number of households that received STRMU Assistance in the 2 prior operating years that received STRMU assistance this year. *Note: The sum of Column 2 should equal the number of households reported in Column 1.*

Assessment of Households receiving STRMU Assistance

[1] STRMU Housing Assistance	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
= 76	Maintain Private Housing without subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	= 67	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy	=	
	Other HOPWA support (PH)	=	
	Other housing subsidy (PH)	= 9	
	Institution (e.g. residential and long-term care)	=	
	Likely to maintain current housing arrangements, with additional STRMU assistance	=	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	=	
	Temporary/non-permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	=	
	Emergency Shelter/street	=	<i>Unstable Arrangements</i>
	Jail/Prison	=	
Disconnected	=		
Death	=	<i>Life Event</i>	
1a. Total number of households that received STRMU assistance in the prior operating year, that also received STRMU assistance in the current operating year.			= 19
1b. Total number of those households that received STRMU assistance in the two (2 years ago) prior operating years, that also received STRMU assistance in the current operating year.			= 24

Section 3. HOPWA Outcomes on Access to Care and Support

1A. Status of Households Accessing Care and Support by Project Sponsors delivering HOPWA Housing Assistance/Housing Placement/Case Management

Use Table 1 A for project sponsors that provide HOPWA housing assistance/housing placement with or without case management services. In Table 1A, identify the number of client households receiving any type of HOPWA housing assistance that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1C and 1D.*

B. Categories of Services Accessed	Households Receiving Housing Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	102	<i>Support for Stable Housing</i>
2. Has contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan..	26	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan,	26	<i>Access to Health Care</i>
4. Has accessed and can maintain medical insurance/assistance.	27	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income.	27	<i>Sources of Income</i>

1B. Number of Households Obtaining Employment

In Table 1B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. Note: This includes jobs created by this project sponsor or obtained outside this agency.

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	3	<i>Sources of Income</i>

Chart 1C: Sources of income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Unemployment Insurance • Supplemental Security Income (SSI) • Social Security Disability Income (SSDI) • Veteran's Disability Payment • General Assistance, or use local program name • Temporary Assistance for Needy Families (TANF) income, or use local program name 	<ul style="list-style-type: none"> • Veteran's Pension • Pension from Former Job • Child Support • Alimony or Other Spousal Support • Retirement Income from Social Security • Private Disability Insurance • Worker's Compensation
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Chart 1D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or local program name • Veterans Affairs Medical Services • State Children's Health Insurance Program (SCHIP), or local program name 	<ul style="list-style-type: none"> • MEDICARE Health Insurance Program, or local program name • AIDS Drug Assistance Program (ADAP) • Ryan White-funded Medical or Dental Assistance
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2A. Status of Households Accessing Care and Support through HOPWA-funded Services receiving Housing Assistance from Other Sources

In Table 2A, identify the number of client households served by project sponsors receiving HOPWA-funded housing placement or case management services who have other and housing arrangements that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 2C and 2D.

C. Categories of Services Accessed	Households Receiving HOPWA Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	103	Support for Stable Housing
2. Successfully accessed or maintained qualification for sources of income.	111	Sources of Income
3. Had contact with a primary health care provider consistent with the schedule specified in clients individual service plan.	110	Access to Health Care
4. Has accessed and can maintain medical insurance/assistance.	113	Access to Health Care
5. Has contact with case manager, benefits counselor, or housing counselor consistent with the schedule specified in client’s individual service plan.	110	Access to Support

2B. Number of Households Obtaining Employment

In Table 2B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. Note: This includes jobs created by this project sponsor or obtained outside this agency.

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	15	Sources of Income

Chart 2C: Sources of income include, but are not limited to the following (Reference only)

- | | |
|--|--|
| <ul style="list-style-type: none"> • Earned Income • Unemployment Insurance • Supplemental Security Income (SSI) • Social Security Disability Income (SSDI) • Veteran’s Disability Payment • General Assistance, or use local program name • Temporary Assistance for Needy Families (TANF) income, or use local program name | <ul style="list-style-type: none"> • Veteran’s Pension • Pension from Former Job • Child Support • Alimony or Other Spousal Support • Retirement Income from Social Security • Private Disability Insurance • Worker’s Compensation |
|--|--|

Chart 2D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)

- | | |
|---|---|
| <ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or local program name • Veterans Affairs Medical Services • State Children’s Health Insurance Program (SCHIP), or local program name | <ul style="list-style-type: none"> • MEDICARE Health Insurance Program, or local program name • AIDS Drug Assistance Program (ADAP) • Ryan White-funded Medical or Dental Assistance |
|---|---|

End of PART 4