

Fiscal Year 2010-2011 Consolidated Annual Performance and Evaluation Report (CAPER)



Neighborhood Park, Six Corners/Lower Forest Park
NRSA and Neighborhood Stabilization Program Target Area
Community Development Block Grant

PLACEHOLDER FOR HUD LETTER APPROVING CAPER

DRAFT

**CITY OF SPRINGFIELD, MASSACHUSETTS
OFFICE OF COMMUNITY DEVELOPMENT Consolidated Annual
Performance and Evaluation Report (CAPER)**

Program Year: July 1, 2010 - June 30, 2011

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Executive Summary

Purpose

The City of Springfield's Consolidated Annual Performance and Evaluation Report (CAPER) is designed to illustrate the accomplishments of projects and programs funded by the U.S. Department of Housing and Urban Development. These programs include the Community Development Block Grant (CDBG) program, the Home Investment Partnership (HOME) program, the Emergency Shelter Grant (ESG) program, the McKinney-Vento funds, and Housing Opportunities for Persons with AIDS (HOPWA) program.

The goal of this report is to compare the anticipated benefits projected in the City's Annual and Five year plans with the actual accomplishments achieved. Every attempt is made to provide a programmatic and financial analysis in a meaningful, user-friendly format comprehensible to all Springfield's residents.

Executive Summary

A DRAFT of the CAPER for the fiscal year that commenced on July 1, 2010 and ended on June 30, 2011 (FY 10-2011) was posted online and available for public review from Wednesday, August 31st through Friday, September 23, 2011 and a public hearing was held on Wednesday, September 14, 2011 at 5:00 PM in room 220 in City Hall. During the review period copies of the Draft CAPER are available to all Springfield residents at the following locations:

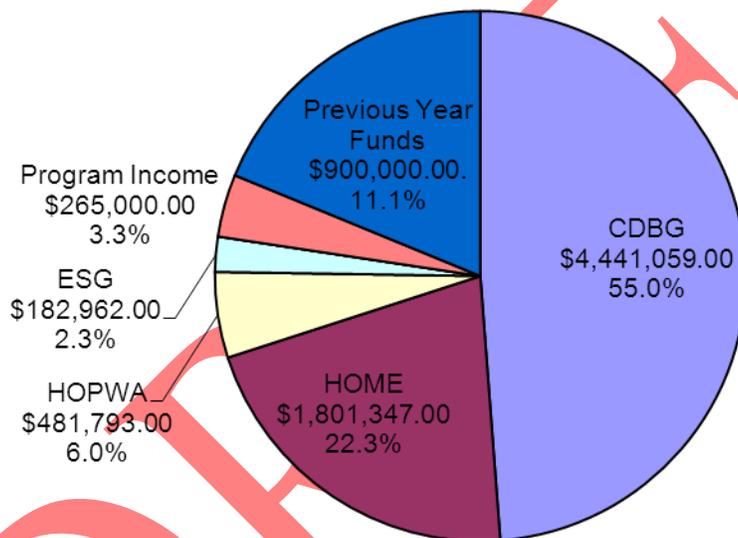
- Office of Planning & Economic Development, 70 Tapley Street
- Office of Community Development, City Hall, 1600 E. Columbus Avenue
- Office of Housing, 1600 E Columbus Avenue, 1st Floor
- Department of Health and Human Services, 95 State Street
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

An announcement about the hearing and the availability of the draft document was published in English and Spanish in the Springfield Republican on August 18, 2011, Neighborhoods Plus Section on August 31, 2011 and a flyer was mailed to persons and organizations included on the Office of Community Development's extensive mailing list. The advertisement also solicited written feedback from Springfield residents. A summary of comments received will be included in the final version of the CAPER.

Introduction

In FY10-11, the Department of Housing and Urban Development (HUD) awarded the City of Springfield a total of \$6,907,161 in entitlement funding. The City received \$4,441,059.00 through the Community Development Block Grant (CDBG) Program, \$182,962 through the Emergency Shelter Grant (ESG), \$1,801,347 through the HOME Investment Partnership (HOME) Program, and \$481,793.00 through the Housing Opportunities for Persons with AIDS (HOPWA) Program. Prior year funds of \$900,000, as well as estimated program income totaling \$250,000.00 were also available. Therefore, total entitlement funding available for the program year was \$8,057,161.00

Total Sources of Funds FY10-2011



During this program year, 87.71 percent of the City's CDBG funds were used to benefit low- to moderate-income persons. The majority of CDBG funding was allocated for activities classified as economic development, housing, public services, or public infrastructure and facilities. Details of the services, programs, and accomplishments and an analysis of expenditures are provided throughout the CAPER.

Geographic Distribution, Location of Investments and Families and Persons Assisted

Within the City of Springfield's Five Year Consolidated Plan, the City committed to the overarching goal of undertaking activities that would result in substantial public benefit through the revitalization of depressed areas and in assistance to low/moderate income residents.

CDBG funds were used to serve persons residing in CDBG eligible area, which, in total, is comprised of almost 103,000 persons, an estimated 73.5 percent of which are deemed low- or moderate-income by the 2000 US Census. In 2000, these residents represented many races and ethnicities. Of these persons, approximately 44.0 percent were White, 25.5 percent were Black or African American, 0.4 percent were American Indian/Alaska Native, 2.1 percent were Asian, 0.1 percent were Native Hawaiian and Other Pacific Islander and 29 percent were Other/Multi Racial. In terms of ethnicity, approximately 37% of these persons were Hispanic.

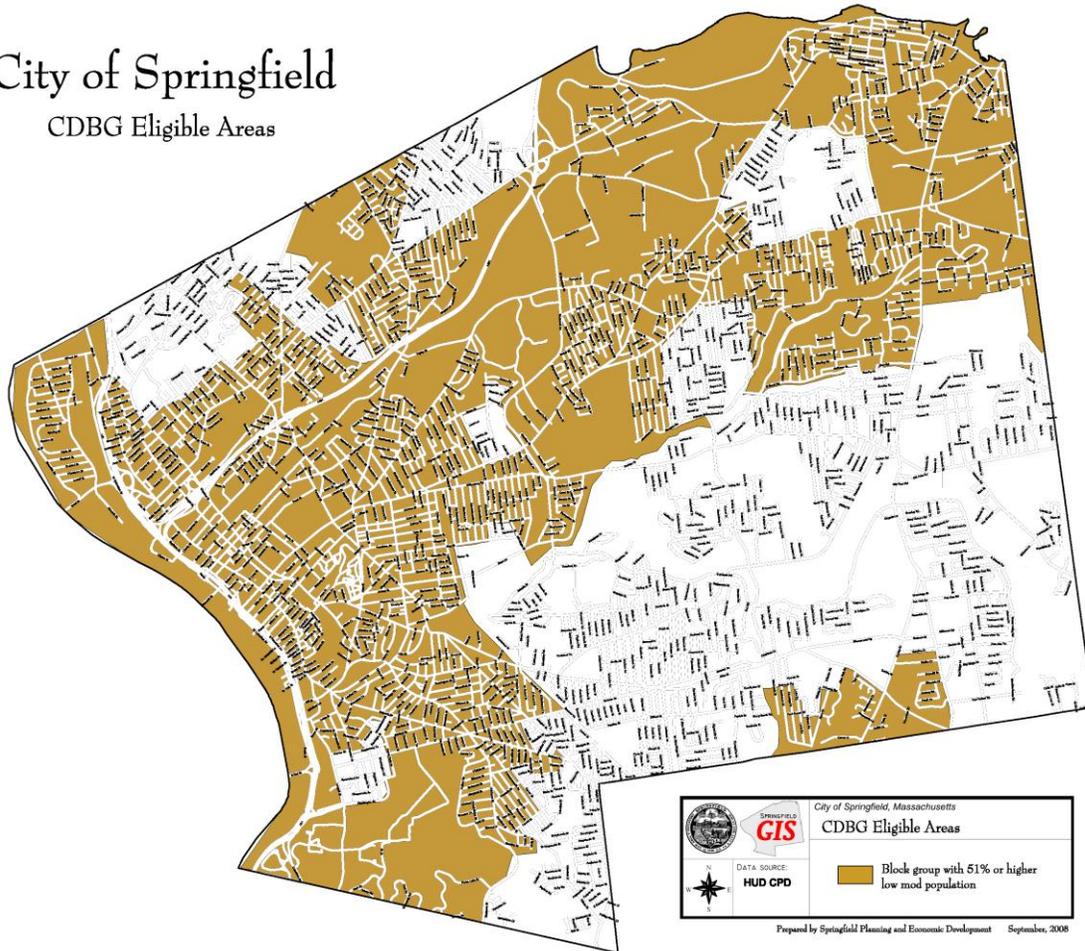
Note that the CDBG and NRSA areas include the following block groups and census tracts (added census tract/block groups due to a 2007 administrative change implemented by HUD are noted in red type).

CDBG Eligible Census Tract / Block Groups in Springfield, MA effective 7/1/07

TRACT	NRSA	BLK GRP	LOW MOD PCT	TRACT	NRSA	BLK GRP	LOW MOD PCT	TRACT	NRSA	BLK GRP	LOW MOD PCT
8026.01		3	64.8	8017.00		1	59.7	8011.01		2	100.0
8026.01		4	60.6	8017.00		3	80.3	8009.00		1	86.0
8026.01		5	74.7	8017.00		4	64.5	8009.00		2	84.7
8023.00		1	61.7	8017.00		5	68.6	8009.00		3	96.8
8023.00		2	57.4	8017.00		6	73.4	8009.00		4	70.3
8023.00		4	87.4	8016.05		2	57.9	8009.00		5	90.3
8023.00		5	76.2	8016.03		1	55.8	8008.00	X	1	91.4
8023.00		6	78.2	8016.02		1	60.4	8008.00	X	2	84.5
8022.00		1	69.5	8015.03		1	68.0	8007.00	X	1	88.0
8022.00		2	68.9	8015.03		2	68.9	8007.00	X	2	79.5
8022.00		3	79.1	8015.02		1	60.6	8006.00	X	1	89.3
8021.00		1	80.9	8015.02		2	51.5	8006.00	X	2	96.6
8021.00		4	59.5	8015.02		4	73.0	8006.00	X	3	99.4
8021.00		6	57.2	8015.01		3	78.2	8005.00		1	67.5
8021.00		9	69.1	8015.01		4	60.9	8005.00		2	62.2
8020.00	X	1	87.6	8014.02		1	59.3	8004.00		2	62.8
8020.00		2	86.5	8014.02		4	60.7	8004.00		4	61.5
8020.00	X	3	84.2	8014.01		5	76.5	8004.00		5	67.1
8019.00	X	1	85.5	8014.01		6	79.5	8004.00		6	69.4
8019.00	X	2	85.7	8013.00		1	76.6	8003.00		1	64.9
8019.00	X	3	85.4	8013.00		2	87.8	8003.00		2	54.7
8019.00	X	4	84.6	8013.00		3	70.2	8002.02		1	57.2
8019.00	X	5	88.7	8013.00		5	65.5	8002.01		3	62.2
8019.00	X	8	89.0	8012.00		1	94.1	8002.01		4	53.1
8018.00	X	1	79.0	8012.00		2	86.7	8002.01		6	75.5
8018.00	X	2	75.9	8012.00		3	67.1	8001.00		1	82.9
8018.00	X	3	85.2	8011.02	X	1	64.6	8001.00		2	60.5
8018.00	X	5	78.6	8011.02	X	2	87.1	8001.00		4	76.2
8018.00	X	6	91.0	8011.01		X	88.0	8001.00		5	76.2
Source: HUD CPD								8001.00		8	70.9

City of Springfield

CDBG Eligible Areas



HOME and ESG funds were allocated citywide providing persons and/or households assisted who met the eligibly criteria of the applicable program. HOPWA funds were allocated throughout the EMSA, which includes the tri-county area.

Assessment of Five Year and Annual Goals and Objectives

The five-year Consolidated Plan for the entitlement programs covers the period July 1, 2010- June 30, 2014. The City has completed the first year program covered by the FY10-14 Consolidated Plan. Within each priority area, a brief synopsis of objectives outcomes of the activities in the Consolidated Plan and a report on the accomplishments achieved as of the end of FY 10-2011 is provided. Further detail about each activity is provided in the Integrated Disbursement and Information System (IDIS) reports included as appendices to this report. The City incorporated HUD's performance measurement system into its Consolidated Planning Process as detailed below.

A. Background Information: HUD's Performance Measurement System

In order to better quantify the impacts that HUD-funded programs and projects are having on communities, HUD implemented nationwide a performance measurement system to help determine how well programs and activities are meeting established needs and goals. Performance measurement is now a requirement for all federal programs, and performance is a key consideration in program funding decisions.

HUD's new Outcome Performance Measurement System contains three main components: Objectives, Outcomes and Indicators. This system tracks the City's progress meeting three objectives. Descriptions of these objectives are excerpted from the CPD Manual and Guidebook below:

1. **Providing Decent Housing.** This objective "covers the wide range of housing activities that are generally undertaken with HOME, CDBG or HOPWA funds. This objective focuses on housing activities whose purpose is to meet individual family or community housing needs. It does not include programs where housing is an element of a larger effort to make community-wide improvements, since such programs would be more appropriately reported under Suitable Living Environments."
2. **Creating Suitable Living Environments.** This second objective is "related to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment. This objective related to activities that are intended to address a wide range of issues faced by low- and moderate-income persons, from physical problems with their environment, such as poor quality infrastructure, to social issues such as crime prevention, literacy or elderly health services."
3. **Creating Economic Opportunities.** This third and final objective "applies to activities related to economic development, commercial revitalization, or job creation."

The system also establishes the following three **outcomes** to show the anticipated result of the activity:

1. **Availability/Accessibility.** This first outcome "applies to activities that make services, infrastructure, public services, public facilities, housing or shelter available or accessible to

low and moderate-income people, including persons with disabilities. In this category, accessibility does not refer only to physical barriers, but also to making the basics of daily living available and accessible to low- and moderate-income people where they live.”

2. **Affordability.** This outcome “applies to activities that provide affordability in a variety of ways to low- and moderate-income people. It can include the creation or maintenance of affordable housing, basic infrastructure hook-ups, or services such as transportation or day care. Affordability is an appropriate objective whenever an activity is lowering the cost, improving the quality, or increasing the affordability of a product or service to benefit a low-income household.”
3. **Sustainability.** This third and final outcome “applies to activities that are aimed at improving communities or neighborhoods, helping to make them livable or viable by providing benefit to persons of low- and moderate-income or by removing or eliminating slums or blighted areas, through multiple activities or services that sustain communities or neighborhoods.”

The following table overviews the link between objectives and outcomes.

	Availability/ Accessibility (1)	Affordability (2)	Sustainability (3)
Decent Housing (DH)	DH-1	DH-2	DH-3
Suitable Living Environment (SL)	SL-1	SL-2	SL-3
Economic Opportunity (EO)	EO-1	EO-2	EO-3

City of Springfield’s Implementation of HUD’s new Performance Measurement System

The City implemented this system early; it was fully implemented into the FY05-06 Action Planning Process. The FY 10-2011 CAPER is the sixth caper to include data broken down by HUD’s Performance Measurement categories. The CAPER identifies objectives and outcomes for each activity listed in the Annual Action Plans.

B. Assessment of Annual and Five Year Goals and Objectives

Within the Annual Action Plan, the City proposes to operate a number of programs to further the goals identified in the Consolidated Plan. This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan in Performance Measurement Objective Tables and in a table that overviews Annual Accomplishments as detailed in the FY 10-2011 Action Plan. Additional detail about each accomplishment is provided in the IDIS Reports included as appendices to this document.

Performance Measurement Objective Tables

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Federal Year	Expected Number	Actual Number	Percent Completed
DH-1 Availability/Accessibility of Decent Housing							
DH-1.1	Produce affordable rental housing units	HOME	Housing units	2010	5	22	440%
				2011	5		
		Other private		2012	5		
				2013	5		
		Other Public		2014	5		
				MULTI-YEAR GOAL		25	22
DH-1.2	Rehabilitate existing multi-family rental housing rehabilitation	HOME	Housing units	2010	20	22	110%
				2011	20		
		Other private		2012	10		
				2013	10		
		Other Public		2014	10		
				MULTI-YEAR GOAL		70	22
DH-1.3	Preserve affordable housing facing expiring use restrictions	HOME	Housing units	2010	5	0	0%
				2011	5		
		Other private		2012	5		
				2013	5		
		Other Public		2014	5		
				MULTI-YEAR GOAL		25	
DH-1.4	Assist homeowners to repair and rehabilitate their homes	HOME	Housing units	2010	15	49	120%
				2011	15		
		CDBG		2012	15		
				2013	15		
		Other Private		2014	15		
				MULTI-YEAR GOAL		75	18
DH-1.5	Increase energy efficiency for existing homeowners	CDBG	Housing units	2010	1200	1331	111%
				2011	1200		
		Other Public		2012	1200		
				2013	1200		
		Other Public		2014	1200		
				MULTI-YEAR GOAL		6000	1331
DH-1.6	Evaluate and eliminate lead based paint hazards	CDBG	Housing units	2010			
				2011			
		HOME		2012			
				2013			
		Other Public		2014			
				MULTI-YEAR GOAL			

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Federal Year	Expected Number	Actual Number	Percent Completed
DH-1 Availability/Accessibility of Decent Housing							
DH-1.5	Perform proactive Code Enforcement	CDBG	Housing units	2010	2000		
				2011	2000		
		Other Public		2012			
				2013			
				2014			
				MULTI-YEAR GOAL			
DH-1.6	Redevelop blighted properties into homeownership opportunities	HOME	Housing units	2010	10	11	110%
				NSP	2011	10	
		CDBG			2012	10	
				Other public/private	2013	10	
		2014			10		
		MULTI-YEAR GOAL			50	11	22%
DH-1.7	Acquisition/Disposition of Tax-Title Properties	CDBG	Housing units	2010	75	199	265%
				Other Public	2011	75	
		2012			75		
		2013			75		
		2014			75		
		MULTI-YEAR GOAL		375	199	53%	
DH-1.8	Board & Secure: Operation and repair of foreclosed properties	CDBG	Housing units	2010	75	63	84%
				Other public	2011	75	
		2012			75		
		2013			50		
		2014			50		
		MULTI-YEAR GOAL		325	63	19%	
DH-1.9	Residential Historic Preservation		Housing units	2010	2		
				2011	1		
				2012	1		
				2013	1		
				2014	1		
				MULTI-YEAR GOAL		6	
DH-1.10	Develop accessible housing units	HOME	Housing units	2010	5	4	80%
				Other public	2011	5	
		2012			5		
		2013			5		
		2014			5		
		MULTI-YEAR GOAL		25	4	16%	

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Federal Year	Expected Number	Actual Number	Percent Completed
DH-1 Availability/Accessibility of Decent Housing							
DH-1.11	Ensure sufficient capacity at emergency shelters so individuals can be engaged around housing options <i>* In this category accomplishment data counts individuals more than once.</i>	ESG CDBG Other Public	People served annually	2010	2100	4589	382%
				2011	1900		
				2012	900		
				2013	900		
				2014	900		
				MULTI-YEAR GOAL			
DH-1.12	Increase range of housing options and related services, including rental assistance, short term subsidies and support services in the tri county area for persons with HIV/AIDS	HOPWA Other Public	Households	2010	382	382	100%
				2011	382		
				2012	382		
				2013	382		
				2014	382		
				MULTI-YEAR GOAL			
DH-1.13	Create permanent supportive housing opportunities for chronically homeless individuals and other vulnerable populations	HOME Other public	People	2010	32	32	100%
				2011	8		
				2012	8		
				2013	8		
				2014	8		
				MULTI-YEAR GOAL			
DH-1.14	Provide tenant-based rental assistance to special needs households in partnership with organizations that can provide supportive services		People	2010	50	85	170%
				2011	50		
				2012	50		
				2013	50		
				2014	50		

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
DH-2 Affordability of Decent Housing							
DH-2.1	Direct homebuyer down payment assistance	ADDI	Households	2010	100	85	85%
				2011	100		
		2012		100			
		2013		100			
		2014		100			
		HOME	MULTI-YEAR GOAL		500	85	17%
DH-2.2	Homebuyer education/counseling	CDBG	Households	2010	150	256	171%
				2011	150		
		2012		150			
		2013		150			
		2014		150			
		Other private	MULTI-YEAR GOAL		750	256	34%

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Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
SL-1 Availability/Accessibility of Suitable Living Environment							
SL-1.1	Homelessness prevention and rapid rehousing	ESG HPRP Other public	Households	2010	225	496	220%
				2011	200		
				2012	200		
				2013	200		
				2014	200		
				MULTI-YEAR GOAL		1025	496
SL-1.2	Provide essential services to assist homeless people to become housed	ESG Other public	Households	2010	300	3422	1140%
				2011	300		
				2012	300		
				2013	300		
				2014	300		
				MULTI-YEAR GOAL		1500	3422
SL-1.3	Employment training	CDBG Other public/private	People	2010	41	44	107%
				2011	73		
				2012			
				2013			
				2014			
				MULTI-YEAR GOAL		114	44

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
SL-1 Availability/Accessibility of Suitable Living Environment							
SL-1.4	Health services	CDBG	People	2010			
				2011	50		
		Other public/private		2012			
				2013			
				2014			
		MULTI-YEAR GOAL				50	
SL-1.5	Senior services	CDBG	People	2010	200	270	135%
				2011	200		
		Other public/private		2012			
				2013			
				2014			
		MULTI-YEAR GOAL				400	270
SL-1.6	Childcare Services	CDBG	People	2010	3	3	100%
				2011	3		
		Other public/private		2012			
				2013			
				2014			
		MULTI-YEAR GOAL				6	3
SL-1.7	Services for disabled persons	CDBG	People	2010	120	124	103%
				2011	120		
		Other public/private		2012			
				2013			
				2014			
		MULTI-YEAR GOAL				240	124
SL-1.8	Fair Housing	CDBG	People	2010	150	363	242%
				2011	200		
		Other public/private		2012	150		
				2013	150		
				2014	150		
		MULTI-YEAR GOAL				800	363

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1 Availability/Accessibility of Suitable Living Environment							
SL-1.9	Youth Services	CDBG	People	2010	3316	5971	180%
				2011	3075		
		Other public/private	2012				
			2013				
			2014				
			MULTI-YEAR GOAL		6391	5971	93%
SL-1.10	Battered & abused spouses	CDBG/ ESG	People	2010			
				2011			
		public private	2012				
			2013				
			2014				
			MULTI-YEAR GOAL				
SL-1.11	Public service general	CDBG	People	2010	679	813	120%
				2011	615		
		Other public/private	2012				
			2013				
			2014				
			MULTI-YEAR GOAL		1294	813	63%
SL-1.12	Mental Health Services	CDBG	People	2010			
				2011			
		Other public/private	2012				
			2013				
			2014				
			MULTI-YEAR GOAL				
SL-1.13	Substance Abuse Services	CDBG	People	2010	100	45	45%
				2011	125		
		Other public/private	2012				
			2013				
			2014				
			MULTI-YEAR GOAL		225	45	20%
SL-1.14	CDBG Non-profit Organization Capacity Building	CDBG	Organization	2010	10	10	100%
				2011	10		
			2012				
			2013				
			2014				
			MULTI-YEAR GOAL		20	10	20%

Specific Obj. #	Outcome/ Objectives	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
SL-3 Sustainability of Suitable Living Environment							
SL-3.1	Parks, Recreational Facilities	CDBG	Public Facilities	2010	4	2	50%
				2011	2		
		2012					
		2013					
		2014					
		MULTI-YEAR GOAL	6	2	33%		
SL-3.2	Street Improvements		People	2010	10,000		
				2011	10,000		
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL	20,000			
SL-3.3	Sidewalks		People	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
SL-3.4	Urban Reforestation		Units	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
SL-3.5	Demolition of distressed buildings	CDBG	Housing Units	2010	40	55	138%
				2011	40		
		2012		40			
		2013		40			
		2014		40			
		MULTI-YEAR GOAL	180	55	31%		
		Other public					

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
SL-3 Availability/Accessibility of Suitable Living Environment							
SL-3.6	Graffiti removal	CDBG	Businesses	2010	100	149	149%
				2011	100		
		Other public		2012	100		
				2013	100		
				2014	100		
		MULTI-YEAR GOAL				500	149
SL-3.7	Vacant Lot Cleanup	CDBG	Units	2010	250	263	105%
				2011	250		
		Other public		2012	250		
				2013	250		
				2014	250		
		MULTI-YEAR GOAL				1250	263
SL-3.8	Interim Lot Greening	CDBG	Units	2010	1	0	0%
				2011	1		
		Other public		2012	1		
				2013	1		
				2014	1		
		MULTI-YEAR GOAL				5	0

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Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
EO-1 Availability/Accessibility of Economic Opportunity							
EO-1.1	Cleanup of Contaminated Sites		Jobs	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
EO-1.2	Relocation		Businesses	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
EO-1.3	CI Land Acquisition		Jobs	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
EO-1.4	CI Infrastructure Development		Feet of Public Utilities	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
EO-1.5	CI Building Acquisition, Construction, Rehabilitation		Jobs	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
EO-1.6	Direct Financial Assistance to For Profits		Businesses	2010	10	10	100%
				2011	15		
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				25

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed			
EO-1 Availability/Accessibility of Economic Opportunity										
EO-1.7	ED Technical Assistance		Businesses	2010	10	10	100%			
				2011	10					
				2012						
				2013						
				2014						
			MULTI-YEAR GOAL					20	10	50%
			Jobs	2010	10	10	100%			
				2011	10					
				2012						
				2013						
				2014						
			MULTI-YEAR GOAL					20	10	50%
			EO-1.8	Micro-Enterprise Assistance		Jobs	2010			
							2011			
2012										
2013										
2014										
MULTI-YEAR GOAL										
Businesses	2010									
	2011									
	2012									
	2013									
	2014									
MULTI-YEAR GOAL										
EO-1.9	Clearance and Demolition					Businesses	2010			
							2011			
			2012							
			2013							
			2014							
			MULTI-YEAR GOAL							

Assessment of Annual Goals and Objectives

Within the Annual Action Plan, the City proposes to operate a number of programs to further the goals identified in the Consolidated Plan. This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan. Additional detail about each accomplishment is provided in the IDIS Reports included as appendices to this document.

Project No.	Obj. No.	Project Name	Accomplishment	
			Proposed	Actual
1	N/A	HOME Administration	N/A	N/A
2	DH-2	First Time Homebuyer Financial Assistance	100 Households	85 Households
3	DH-1	Tenant Based Rental Assistance (TBRA)	50 Households	85 Households
4	DH-1	Project Based Homeownership-CHDO	4 Housing Units	Underway
5	DH-1	Project Based Homeownership-NON CHDO	1 Housing Unit	8 Housing Units
6	DH-1	Rental Production	10 Housing Units	44 Housing Units
7	N/A	ESG Administration	N/A	N/A
8	DH-1	ESG Homeless Shelter Operations	1200 People	3558 People
9	SL-1	ESG Homeless Essential Services	300 People	3586 People
10	SL-1	ESG Homeless Prevention	200 People	241 People
11	N/A	HOPWA Planning & Administration	N/A	N/A
12	N/A	HOPWA Project Sponsor Administration	N/A	N/A
13	DH-1	HOPWA-Non Homeless Special Needs	340 Households	382 Households
14	EO-1	Economic Development Program Delivery	1 Job	2 Jobs
15	EO-1	Small Business Assistance-Vacant Storefront	1 Job	Underway
16	EO-1	Business Assistance-Latino Chamber	1Job	12 Jobs
17	EO-1	Workforce Development –MCDI	3 Jobs	1 Job/ 9 people
18	EO-1	Indian Motorcycle Redevelopment	1 Public Facility	Underway
19	EO-3	Retail Enhancement Program	2653 People	Underway
20	DH-1	Existing Homeowner Rehab-Emergency Repairs	15 Housing Units	18 Housing Units
21	DH-1	HEARTWAP Program	1200 Housing Units	1331 Housing Units
22	DH-1	Housing Program Delivery-Rehabilitation	15 Households	18 Households
23	DH-1	Housing Program Delivery-Direct Homeownership Assistance	100Households	85 Households
24	SL-1	Historic Restoration-Rehab Blight	1 Housing Units	2 Housing Units/2 Underway
25	DH-1	Home Retention & Revitalization-Rebuilding Together	25 Housing Units	31 Housing Units
26	DH-3	Receivership Program	20 Housing Units	Underway
27	SL-3	Clearance & Demolition Program Delivery	200 Housing Units	326 Housing Units
28	SL-3	Bond Payment	1 Public Facility	1 Public Facility
29	SL-3	Demo of Vacant/Abandon Properties	30 Housing Units	55 Housing Units
30	DH-1	Acquisition/Disposition	75 People	199 People
31	DH-3	Targeted Code Enforcement	2000 Housing Units	----Housing Units

32	SL-3	Abandonment Response Program	2 Housing Units	5 Housing Units
33	SL-3	Park Reconstruction	6253 People	7846 People
-----	SL-3	Myrtle Street Park	1 Park	Underway
-----	SL-3	Emerson Wight	1 Park	Underway
-----	SL-3	Johnny Appleseed Park	1 Park	Completed
-----	SL-3	Rebecca Johnson	1 Park	Completed
-----	SL-3	Splash Pads-Spray Structures	1	Underway- 2
34	SL-1	Public Improvements-Streets/Sidewalks	10,000 People	----People
35	EO-3	Public Facilities-Rehabilitation Non-Profits	9 Public Facilities	5 Public Facilities
36	SL-2	Downtown North Improvements	TBD	Underway
37	SL-1	Neighborhood Capacity Building	10 Organizations	10 Organizations
38	SL-3	Graffiti Removal	100 Businesses	149 Businesses
39	SL-1	Human Capital-Public Service	5000 People	8788 People
-----	SL-1	5A	200 People	141 People
-----	SL-1	W.E.B. Dubois Academy-Black Men of Greater Springfield	30 People	71 People
-----	SL-1	Teen Pregnancy Prevention Program-Dunbar Community Center	30 People	60 People
-----	SL-1	Boat People-Bilingual Liaison Project	28 People	39 People
-----	SL-1	District Recreation Supervisors-City of Springfield	1200 People	3560 People
-----	SL-1	Open Pools/Recreation Program	1000 People	1003 People
-----	SL-1	Parenting Program for Incarcerated Parents-CJO	50 People	55 People
-----	SL-1	Council of Churches of Greater Springfield-Fuel Assistance Program	58 Families/146 People	58 Families/146 People
-----	SL-1	Hungry Hill Senior Center	100 People	145 People
-----	SL-1	Mass Fair Housing Center-Fair Housing Project	150 People	363 People
-----	SL-1	Forest Park Zoological Society-Zoo Camp	6 People	14People
-----	SL-1	Worthington Street Shelter-Friends of the Homeless	1200 People	1167 People
-----	SL-1	Greater New Life Christian Center- Youth Empowerment Services	30 People	87 People
-----	SL-1	Youth Education & Enrichment-Martin Luther King Community Center	150 People	99 People
-----	SL-1	Camp Star/Camp Angelina	120 People	124 People
-----	SL-1	Pine Point Senior Center	100 People	125 People
-----	SL-1	ROCA-Intervention Model for High Risk	50 People	55 People
-----	SL-1	Salvation Army-Bridging the Gap	125 People	143 People
-----	SL-1	South End Community Center-Summer Activities	40 People	53 People
-----	SL-1	Springfield Boys & Girls Club-Indian Orchard Unit	75 People	115 People
-----	SL-1	Springfield Boys & Girls Club-Summer Youth Development	35 People	35 People

-----	SL-1	Springfield Girls Club Family Center	30 People	42 People
-----	SL-1	Springfield Partners for Community Action-Eviction Assistance Prevention	15 People	67 People
-----	SL-1	Springfield Vietnamese American Civic Association-Housing Empowerment Program (HOME)	60 People	144 People
-----	SL-1	Springfield Vietnamese American Civic Association- Family Empowerment Program	100 People	97 People
-----	SL-1	Square One-Early Education & Child Care For At-Risk Youth	3 People	3 People
-----	SL-1	Square One-Fitness & Healthy Living Clinics	100 People	174 People
-----	SL-1	The Gray House-Community Education Support (CES)	70 People	117 People
-----	SL-1	Urban League-Urban Achievement	30 People	35 People
-----	SL-1	Western Mass Development Collaborative-Employment Training	2 People	7 People/1 Job
-----	SL-1	YMCA-Safe Summer Streets	45 People	36 People
-----	SL-1	YWCA-Youth Build	30 People	28 People
-----	SL-1	New north Citizens 'Council-Recovery Engagement	100 People	45 People
-----	SL-1	New North Citizens' Council-Summer Fun & Learning	40 People	106 People
-----	SL-1	New North Citizens' Council-Homeless Prevention	200 People	190 People
-----	SL-1	New North Citizens' Council-Underground Youth Network	50 People	37 People
-----	SL-1	New North Citizens' Council-ESOL	60 People	60 People
40	N/A	CDBG Planning & Administration	N/A	N/A

Multi Year Activities

As part of the City's work to track projects that have been in progress for more than one year, the City has developed the following status of multi year projects that are currently listed as activities in IDIS. Further details about multi year activities funded through CDBG are provided in the IDIS report attached as an appendix to this document.

Multi

Redevelopment of the Springfield Riverfront. Completed and opened in September 2002, the Naismith Memorial Basketball Hall of Fame is the key element in the City of Springfield's Riverfront Development Plan. In March, 2008 the Rivers Landing complex opened in the former Basketball Hall of Fame on the Riverfront, featuring a 60,000 square foot LA Fitness Center and Onyx Restaurant & Fusion Bar. This complex represents over \$15 million worth of private investment with no public subsidies. The City completed the relocation of the William Sullivan Visitors Information Center to the Basketball Hall of Fame complex. The move allowed the 4,100 square foot former VIC building on the Riverfront to be available for reuse or sale. The

Springfield Redevelopment Authority is currently completing a Request for Proposals process and expects to name a preferred developer in September, 2011.

Other multi year projects include:

Downtown Revitalization

Court Square Redevelopment

The Springfield Redevelopment Authority has been working with a developer on this project, however due to economic concerns, that project was shelved in 2009. The SRA sought new proposals and in Jul, 2011 names OPAL Real Estate Group as the preferred developers. OPAL is led by President Peter Picknelly, owner of the Springfield based Peter Pan Bus Lines. OPAL plans a complete historic rehabilitation of the building included retail, office, and residential uses. The company is currently completing due diligence and tax credit applications, with 2012 construction planned.

Union Station Rehabilitation Project

The Union Station rehabilitation project is slated to involve the rehabilitation and conversion of Union Station into an inter-modal transportation facility with ancillary uses that will support the station project. This facility will be the hub for bus, rail, and freight transportation services for Western New England. The Springfield Redevelopment Authority has now taken on the lead development role in the project and has been designated a direct recipient of federal FTA funding. The SRA recently completed hiring an Owners Project Manager as well as a designer for the project. The project will spend approximately the next year in final design, with construction activities beginning in 2012. The project is expected to be completed in late 2014. The redevelopment of Union Station will be a major catalyst for the redevelopment of the North Block of the City's downtown.

This North Blocks is also highlighted by a recent Section 108 loan application to HUD for the redevelopment of the former Holiday Inn Hotel, slated to be redeveloped to a La Quinta Inn & Suites.

South End Project

Noted by ULI as the top priority neighborhood in the City, redevelopment efforts are focused on infrastructure improvements on the Hollywood district, Main Street, and Gemini site. The expected \$10 million project is funded through the city bond of \$6.6 million, a \$1.1 million CDBG commitment and \$3.0 million of grant applications. The funding is being used to construct new streets and sidewalks, and create new open space connections and support the proposed rehabilitation of historic apartment buildings, while connecting the neighborhood more effectively to Main Street.

Construction of South End Main Street was completed in 2010. The public improvements have helped spur private development including an office development nearing completion on Arlington Court.

The City is submitting a Choice Neighborhoods application again in 2012 and recently completed the acquisition and demolition of nine homes as part of the expansion of Emerson Wight Park. Park improvements began immediately after demolition in August 2011. There is also a planned application for state funds in September 2011 to redevelop Dwight Street Extension which will tie into the planned redevelopment of the historic apartment buildings in the Hollywood neighborhood.

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Narrative and Financial Summary by Program

The City has completed the second year covered by the current Consolidated Plan. The analysis contained within this CAPER demonstrates that within most program areas the City has already met the goals described. Where results have not been achieved, the analysis provides guidance for the City in the remaining year.

A. CDBG Narratives

In order to comply with the reporting requirements laid out in the CDBG regulations, the City utilizes this CDBG Narrative section to incorporate CDBG-specific information into the CAPER that is not easily included in the Five Year and Annual Report sections included above. This section is broken into the following four components:

- A. FY 10-2011 CDBG Expenditures Breakdown by Category (commences on pg 28)**
- B. Required narratives pertaining to the administration of the CDBG program (pg. 27);**
- C. Status Report on Neighborhood Revitalization Strategy Area (NRSA) (pg. 32).**

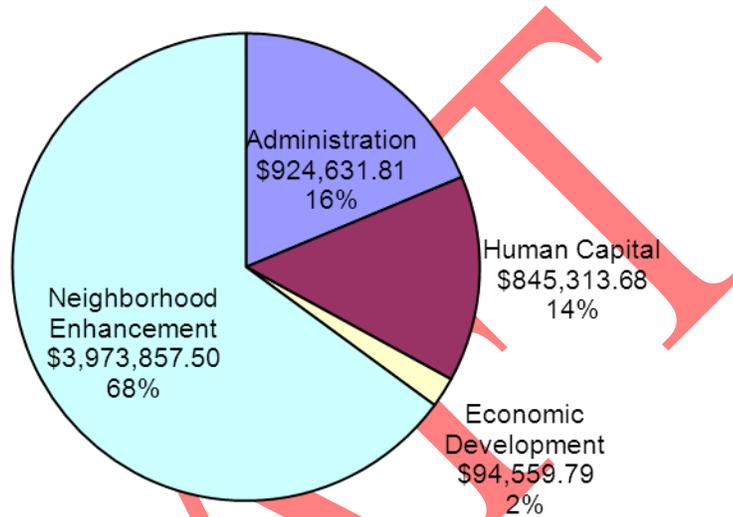
A. FY 10-2011 CDBG Expenditures Breakdown by Category

In FY 10-2011, the City's CDBG allocation was \$5,591,059.00. During this fiscal year the City expended \$5,838,362.78 of CDBG entitlement funding.

The following pie chart codifies these expenditures into three major categories, including Human Capital, Neighborhood Enhancement and Economic Development plus Administration. These categories line up with the priorities, needs, goals, and specific objectives identified in the Consolidated Plan and the FY 10-2011 Action Plan.

FY 10-2011 CDBG Expenditures by Category
Total Expended: \$ 5,838,362.78

Priority Categories



B. Required narratives pertaining to the administration of the CDBG program.

Nature and Reason for any Changes in Program Objectives

The City amended the FY08-09 Action Plan three times to reflect additional funding from HUD. Amendment one was for the Neighborhood Stabilization Program (NSP) for \$2,566,272 with the State awarding an additional \$1,000,000 for the program. The second amendment increased the Action Plan by \$1,700,802 for the Homeless Prevention and Rapid Re-housing Program (HPRP). The third amendment was for Community Development Block Grant Recovery Funds (CDBG-R) for \$1,111,756. All three multi-year amendments were submitted and approved by HUD.

The City amended the FY10-11 Action Plan to reflect the award of NSP3 funding in the amount of \$1,197,000. The amendment was submitted to and approved by HUD.

Certification Narrative/Plan Implementation

The City strongly supports the receipt of assistance by various organizations regarding the furtherance of the Consolidated Plan goals. The City views these organizations as our partners. During the course of the year, the City provided certification for numerous programs including those for funding applications for the Springfield Housing Authority and the New North Citizens Council. The City of Springfield did not hinder the implementation of the Action Plan by action

or willful inaction. The City of Springfield pursued all resources it indicated it would. A summary of leveraged resources is located in the table starting on page 85.

Compliance with National Objective

During FY10-2011 the City used its CDBG funds exclusively for the benefit of low and/or moderate income persons or to eliminate or prevent slum and blight. Of funding expended, 87.71 percent was directed toward low and/or moderate income persons.

During the FY 10-2011 program year, extremely low income, low income and moderate income persons were served by CDBG-funded activities. A summary of accomplishment for activities that require a determination of income by family to determine the eligibility of the activity is provided on the following table. These accomplishments are for Program Year 2010 (FY 10-2011) Summary of Accomplishments based on the PR23 report in the HUD database system.

NON-HOUSING: CDBG BENEFICIARIES BY RACIAL/ETHNIC CATEGORY

	Persons		Households	
	Total #	# Hispanic	Total #	# Hispanic
White	7,287	493	78	54
Black/African American	7,358	138	58	0
Asian	957	5	0	0
American Indian/Alaskan Native	51	0	0	0
Native Hawaiian/Other Pacific Islander	64	0	0	0
American Indian/Alaskan Native & White	8	0	0	0
Asian & White	27	0	0	0
Black/African American & White	157	0	0	0
American Indian/Alaskan Native & Black/African	25	0	0	0
Other Multi Racial	15,526	9,628	0	0
Total	31,460	10,264	136	54

CDBG BENEFICIARIES BY INCOME CATEGORY

Category	Type	Income Category				Total
		Extremely Low Income	Low Income	Moderate Income	Total LMI	
Housing – Owner Occupied	Households	1322	1068	137	2527	2575
Housing – Rental Occupied	Households	6	5	0	11	11
Housing Total	Households	1328	1073	137	2538	2586
Non-Housing	Persons	24,498	4,119	2,365	30,982	31,460
	Households	27	0	0	27	27
Total	Persons	24,498	4,119	2,365	30,982	31,460

Relocation Narrative

The City of Springfield ensures proper relocation for all revitalization projects. For economic development projects resulting from implementation of urban renewal plans, the City through its redevelopment authority uses a private qualified consultant. For housing projects, the City’s Office of Housing oversees and monitors project developer compliance with the Uniform Relocation Act. No housing initiatives resulted in permanent displacement.

Limited Clientele Narrative

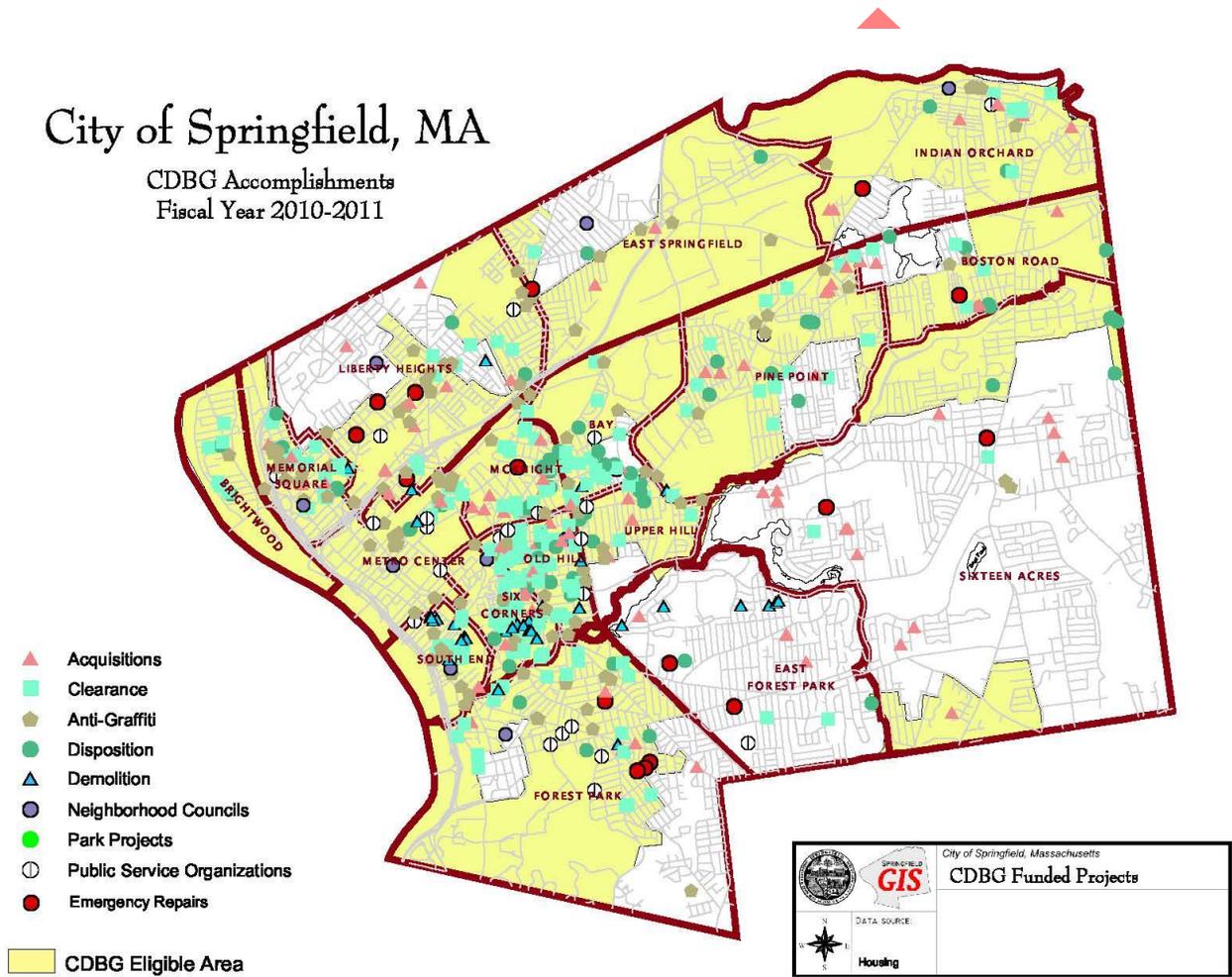
Programs funded by CDBG are required to demonstrate that their activities are serving low to moderate income persons/households. The City does permit presumed benefit from some public service programs that serve hard-to-reach sub-populations and/or are located in qualified census tracts. In those special circumstances, the City, prior to funding, considers who the targeted beneficiaries are intended to be, the nature of the program, and its location. This evaluation must result in the determination that at least 51% of the intended beneficiaries will be low and moderate income persons.

Program Income Narrative

During the course of the year, the City realized \$298,002.63 in CDBG program income and \$19,585.61 in HOME program income. Program Income funds are utilized to operate programs identified in the Action Plan. A summary of realized program income and its utilization is contained within the Financial Summary on page 105 for CDBG and 88 for HOME.

City of Springfield, MA

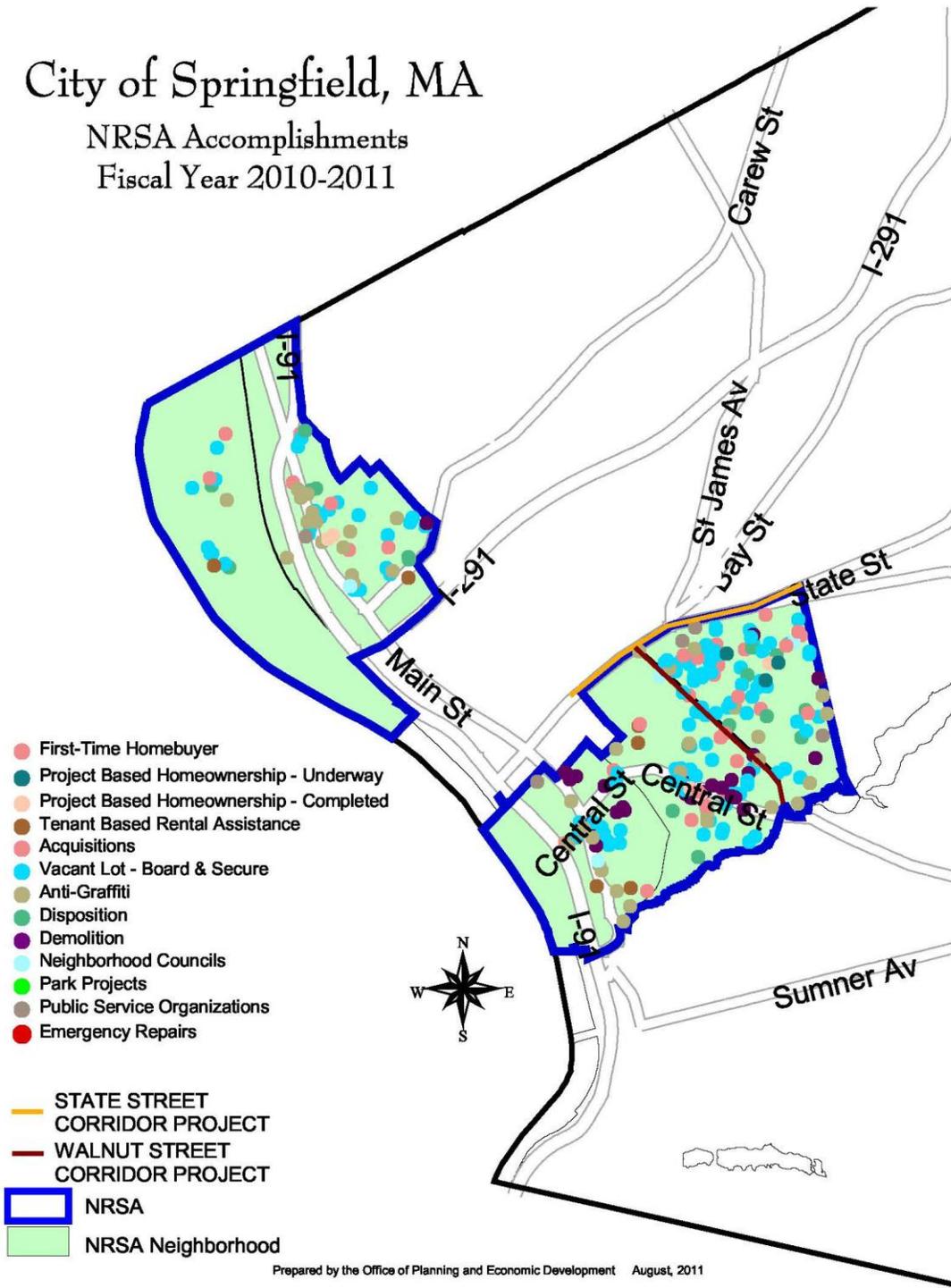
CDBG Accomplishments
Fiscal Year 2010-2011



Springfield, MA Neighborhood Revitalization Strategy Area

City of Springfield, MA

NRSA Accomplishments
Fiscal Year 2010-2011



B. HOME Program

The City targeted its FY10-11 HOME funds into four program areas:

- First-Time Homebuyer Assistance;
- Project Based Homeownership;
- Multi-Family Rental Housing; and
- Tenant-Based Rental Assistance.

In FY10-11 the City's HOME allocation was \$1,801,347.00. When added to the \$15,000.00 of anticipated program income, the amount of HOME funding available for use in FY 10-11 totaled \$1,816,347.00 of which \$1,636,212.30 was available for projects. The timely expenditure of federal funds for the furtherance of the City's identified housing goals is imperative. During this fiscal year, the City expended \$2,980,079.64 of available funds.

Chart A below illustrates the City's program expenditures for FY10-11.

Each year, the Participating Jurisdiction is required to commit 15% of its HOME entitlement program dollars to CHDO organizations. A two year window is provided for commitment of CHDO funds and five years is provided to complete the eligible activity. In FY10-11 the City expended \$10,000.00 of funds for CHDO activities. The City is on pace to meet both the two (2) year commitment and the five (5) year expenditure requirements for CHDO Organizations.

The City of Springfield has been able to use the federal HOME allocation to leverage significant additional resources. Within FY10-11, the City's completed projects leveraged a total of \$65,833,691 from private, state and federal sources. Chart B on the following page illustrates the breakdown of leveraged resources.

Program Income Narrative

During the course of the year, the City realized \$131,554.40 in HOME program income. Program Income funds are utilized to operate programs identified in the Action Plan. A summary of realized program income and its utilization is contained within the Financial Summary on page 82 for HOME.

Chart A
FY10-11 HOME Expenditures by Category
Total Expended \$2,980,079.64

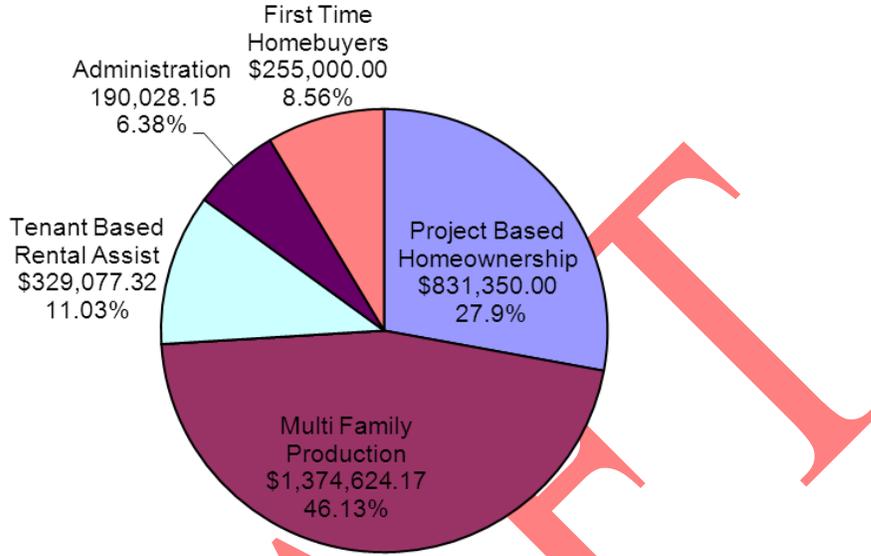
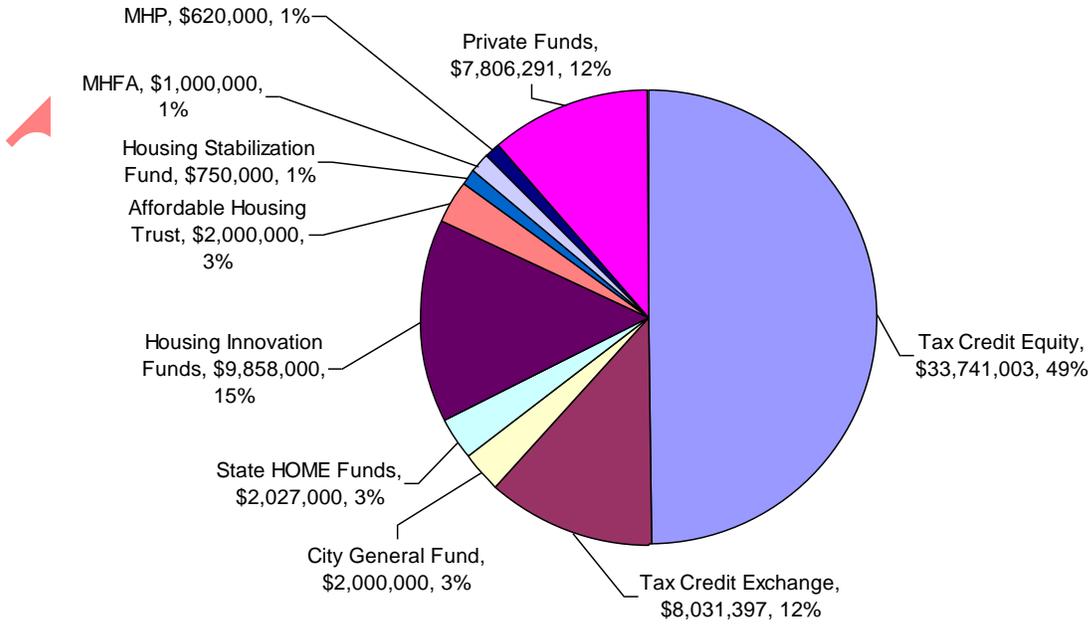


Chart B
Completed Projects Leveraged Resources



First-Time Homebuyer Assistance

The City provided homebuyer assistance in the amount of \$3000 to income-eligible first-time homebuyers purchasing homes within the City. During FY09-10, the City provided assistance to 85 households; of these households, 30 were Hispanic, 8 Black/African-American, and 6 Asian.

The homebuyer assistance program targeted Section 8 Program certificate holders in addition to low and moderate income households. The targeted marketing program, undertaken in partnership with the Springfield Housing Authority, was continued this year. The education program consists of the City's certified homebuyer education with extensive additional credit counseling. The participation is restricted to SHA residents and certificate holders. Direct outreach is undertaken by the SHA.

Project Based Homeownership

The City's development partners completed nine units in the project-based homeownership program in FY10-11.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
217 Tyler St.	New Constr/CHDO/Nonprofit	\$50,000	\$201,400	1/1
27-29 Ames St.	New Constr//Nonprofit	\$74,450	\$163,450	1/1
17 Jefferson St.	New const./ Nonprofit	\$96,600	\$246,600	1/1
33 Jefferson St.	New const./ Nonprofit	\$96,600	\$246,600	1/1
293 Central St	New const./ Nonprofit	\$126,450	231,450	1/1
319 Central St.	New const./ Nonprofit	\$126,450	231,450	1/1
287 Central St.	New const./ Nonprofit	\$126,450	231,450	1/1
311 Central St.	New const./ Nonprofit	\$126,450	231,450	1/1
183 Pendelton Ave.	New Constr/CHDO/Nonprofit	\$130,000	245,000	1/1
TOTAL		\$953,450	\$2,028,850	9/9

HOME Project-Based Homeownership Photos



217 Tyler St.



27 Ames St.



17 Jefferson Ave.



33 Jefferson Ave.



183 Pendelton Ave.

The City's development partners currently have nine single-family homes under development with HOME funds; five of these are CHDO development projects. The City has committed HOME funds in the amount of \$ 981,100 for these projects. All of the properties will be sold to eligible first-time homebuyers upon completion.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/HOME Units
301 Central St.	New const./Non-profit	\$126,450	231,450	1/1
309 Central St.	New const./Non-profit	\$126,450	231,450	1/1
Lot 128 Quincy St.	New Constr/CHDO/Non Profit	\$130,000	\$255,000	1/1
Lot 119 Quincy	New Constr/CHDO/Non Profit	\$200,000	\$248,160	1/1
Lot 18 Quincy	New Constr/CHDO/Non Profit	\$200,000	\$252,308	1/1
Lots 31 & 62 Jefferson	New Constr./Non Profit	\$198,200	\$468,200	2/2
TOTAL		\$981,100	\$1,686,568	9/9

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Multi-Family Rental Housing Development

During FY10-11, the City's partners completed four multi-family redevelopment projects.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
YWCA Transitional Housing	New construction/ Non-profit	\$275,000	\$8,363,014	24/11
Friends of the Homeless Worthington Street Campus	Rental rehabilitation and new construction/ Non-profit	\$400,000	\$16,595,600	32/11
Forest Park/Longhill	Rental Rehabilitation/For-profit developer	\$550,000	\$21,119,199	
City View I Apartments	Rental rehabilitation/ For-profit	\$1,000,000	\$24,014,298	150/11
TOTAL		\$2,225,000	\$70,092,111	315/44

HOME Rental Housing Development Photos



YWCA Transitional Housing



FOH Worthington House



Forest Park/Longhill



City View Commons I

The City currently has three HOME funded rental housing projects in development. The total number of affordable rental units that will be created as a result is 261. The three projects will achieve a total of thirty-three HOME units upon completion. The projects have affordability terms of at least twenty years.

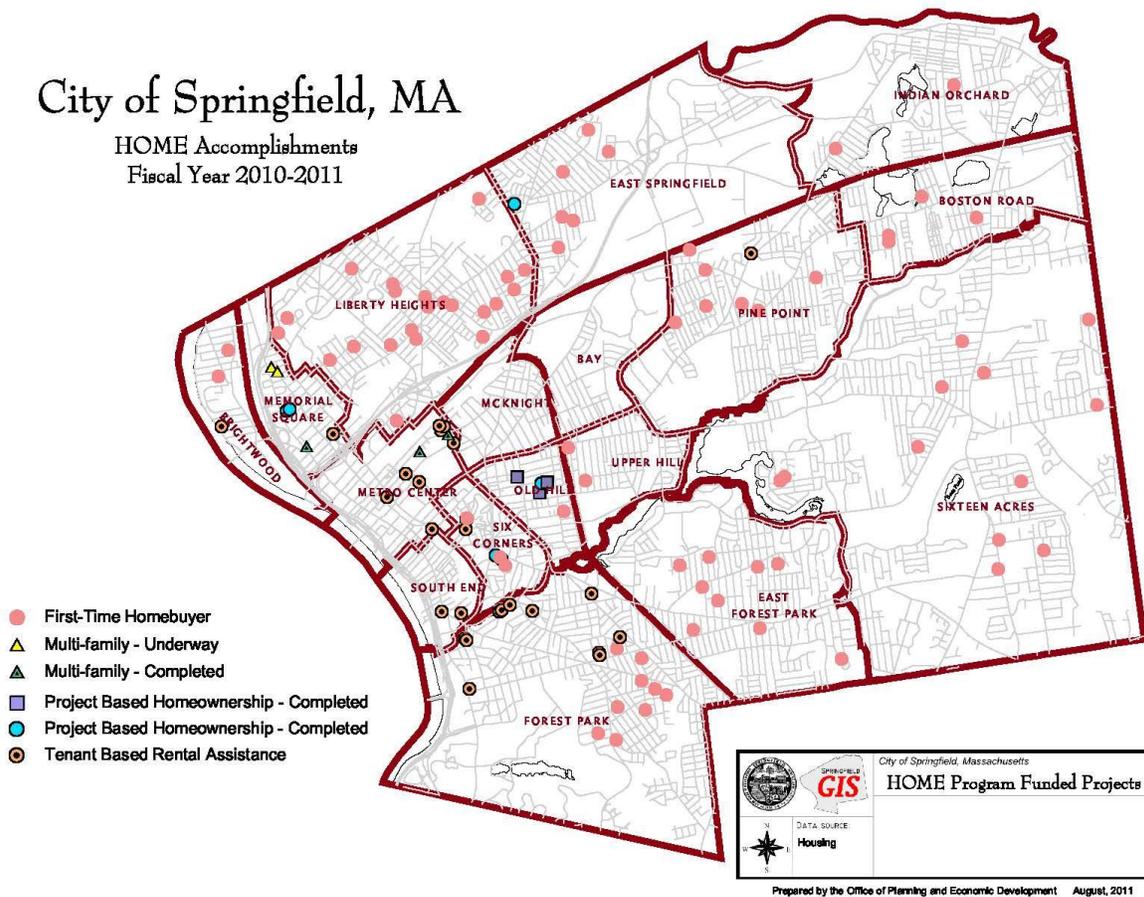
Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
Borinquen	Rental Rehab./Nonprofit	\$250,000	\$11,162,291	76/11
Cumberland Homes	Rental rehabilitation/ For-profit	\$50,000	\$22,961,300	144/11
City View II Apartments	Rental rehabilitation/ For-profit	\$1,000,000	22,961,300	41/11

Tenant-Based Rental Assistance

The City of Springfield provides tenant-based rental assistance (TBRA) to reduce the rent burden for very-low-income households. The City targets this assistance to vulnerable populations that require supportive services, such as the chronically homeless and persons with HIV/AIDS. The City makes the assistance available through contracts with providers that have the capacity to operate a rental assistance program and to provide supportive services to TBRA recipients. In FY10-11, the City provided TBRA funding to the Mental Health Association to serve 34 chronically homeless individuals, to River Valley Counseling Center, to serve 16 individuals who have HIV/AIDS, and to Cooley Dickinson AIDs Care, to serve 15 individuals who have HIV/AIDS. The City also operates its own TBRA program, which in FY10-11 provided assistance to 20 formerly homeless households.

City of Springfield, MA

HOME Accomplishments
Fiscal Year 2010-2011



Fair Housing and Affirmative Marketing

For all federally assisted housing programs, the City requires the project developer to conduct an analysis of those least likely to apply and to develop an Affirmative Marketing Plan. These two documents must be submitted at the time a funding application is submitted.

- The analysis must identify the protected classes least likely to apply for housing and make recommendations on how the likely reasons should be addressed.
- The Affirmative Marketing Plan shall include actions that shall be taken to implement the recommendations the result from the analysis. The Plan shall include but not necessarily be limited to the implementation of a minority outreach program that ensures the inclusion of, to the maximum extent possible minorities, women, and entities owned by minorities and women.

Such outreach shall include without limitation, real estate firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction with such persons or entities, public or private, in order to facilitate

the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other applicable Federal housing law.

The Developer's Affirmative Marketing Plan must identify specific community organizations, place of worship, employment centers, fair housing groups or housing counseling agencies where special outreach will be conducted.

Once the City approves the affirmative marketing plan, the project is monitored for compliance through project completion. Documentation is maintained for all marketing activities as part of the project records.

Affirmative marketing procedures and requirements are in place for all rental and homebuyer projects assisted with HOME funds administered by the City of Springfield.

Minority and Women-Owned Business Outreach

The City of Springfield promotes equal opportunity for all its citizens in every aspect of public procurement and contracting by assuring that opportunities to participate in City procurement and contracting are open to all without regard to age, ancestry, color, national origin, disability, race, religion or sex. The City encourages the utilization of minority, women and persons with disabilities by private businesses that contract with the City. The City encourages the award of procurement and construction contracts to business owned by minorities, women and persons with disabilities.

The City's Minority/Women Business Enterprise (MBE/WBE) Program to implement the City equal opportunity policy. The Program is a set of specific results-oriented procedures, and has been formulated to further implement the City's policies. The main objective of the Program is to develop maximum feasible MBE/WBE participation in construction contracts and in the procurement of goods, services, and supplies.

The City's goal for MBE/WBE participation and minority or women workforce on all construction projects and procurement of goods, supplies and services contracts is not less than twenty percent (20%). Success in meeting this objective will be affected by the availability of minority and women businesses with qualifications required by the City of Springfield.

Additionally, City staff people operating the housing rehabilitation and lead abatement programs maintain a list of qualified insured contractors. Although the lists are updated on a regular basis, property owners are encouraged to solicit from a wider circle of contractors. Often, contractors who undertake our projects are willing to be placed on our list for future contracts.

In the year covered by the Action Plan, HOME project developers awarded M/WBE contracts and subcontracts in the amount of \$8,808,027, and Section 3 contracts and subcontracts in the amount of \$2,117,342. The City will continue to strive to increase M/WBE and Section 3 business participation.

Relocation

None of the development projects that were completed during the program year involved permanent displacement of tenants from housing units. All approved projects were reviewed to determine applicability of the Uniform Relocation Assistance (URA) guidelines.

Matching Funds Report

The City of Springfield is 100% forgiven from HOME matching requirements for the FY10-11 fiscal year. Census data demonstrate that the City meets the regulatory definition of a local government participating jurisdiction that is in severe fiscal distress as stated in section 92.222(a)(1) of the HOME Investment Partnership regulations. In Springfield:

- “the average poverty rate in the participating jurisdiction was equal to or greater than 125 percent of the average national poverty rate during the calendar year for which the most recent data are available, as determined according to information of the Bureau of the Census.”

Poverty Rate		
Springfield, MA	United States	% of Average United States Poverty Rate
29.6	13.3	223%

Source: US Census Bureau, 2005 American Community Survey

- “the average per capita income in the participating jurisdiction was less than 75 percent of the average national per capita income during the calendar year for which the most recent data are available.”

Per Capita Income*		
Springfield, MA	United States	% of United States
17,023	25,035	68%

*In 2005 inflation-adjusted dollars

Source: US Census Bureau, 2005 American Community Survey

Monitoring During Development Period

City staff conducts initial, progress, and close-out (final) inspections on all developer projects--project-based homeownership and multi-family production--throughout the development process.

On-Site Monitoring

Qualified City staff conduct on-site inspections of affordable rental projects in accordance with HOME regulations. Standard practice is that units are inspected as part of the annual recertification process.

HOME Long-Term Compliance Monitoring

During FY09-10, the City reviewed and made changes to its HOME Compliance Monitoring Program regarding monitoring of long-term compliance with HOME requirements in the Rental Rehabilitation Program and in the Project-Based Homeownership Program.

File Organization

The City has created a new filing system for properties subject to long-term monitoring. These HOME-funded programs are now divided into 3 categories: 1) properties with 26 or more units, which must be monitored at least annually; 2) properties with 5 to 25 units, which must be monitored at least every two years; and 3) properties with 1-4 units, which must be monitored at least every three years. Each category of files is color-coded.

Each property now has a single monitoring multi-page file folder, with set-aside locations for 1) property information; 2) annual occupancy and rent reports; 3) HQS inspection reports; 4) on-site monitoring reports; 5) monitoring letters and related correspondence; and 6) financial and other records.

Monitoring Task Schedule and Record

The master checklist for each type of file contains a list and schedule for all monitoring tasks. As each task is completed, the staff person completing the task will initial and date the box indicating that the task is complete. By having a master list for each type of property enables multiple staff to work on monitoring, while all entering information about tasks completed in one place.

There are three primary activities that are undertaken to monitor compliance:

1. Annual Rent and Occupancy Report, which must be submitted by all HOME-funded projects containing rental projects annually.
2. Housing Quality Inspections, which are completed annually at all properties with 26 or more units, every two years for properties with 5 to 25 units, and every three years for properties with 1 to 4 units.
3. On-Site Monitoring Visits, which are completed annually at all properties with 26 or more units, every two years for properties with 5 to 25 units, and every three years for properties with 1 to 4 units.

Checklists for Use in Monitoring

In order to simplify the task of monitoring each HOME-funded property on a regular basis, the City is using HUD-distributed monitoring checklists. The checklists to be used are: 1) Rental Project Completion (for new projects, going forward); 2) Initial Rent and Occupancy; 3) Annual Project Compliance Report.; and 4) On-Going Monitoring. Copies of these checklists are enclosed.

These standard checklists ensure that all compliance issues are checked at each review, and also simplify the task of reporting on project compliance. Where a project is fully in compliance, there will be no need for a written memo: the checklists will stand as the record, and a simple letter will inform property management that the City has found them in compliance.

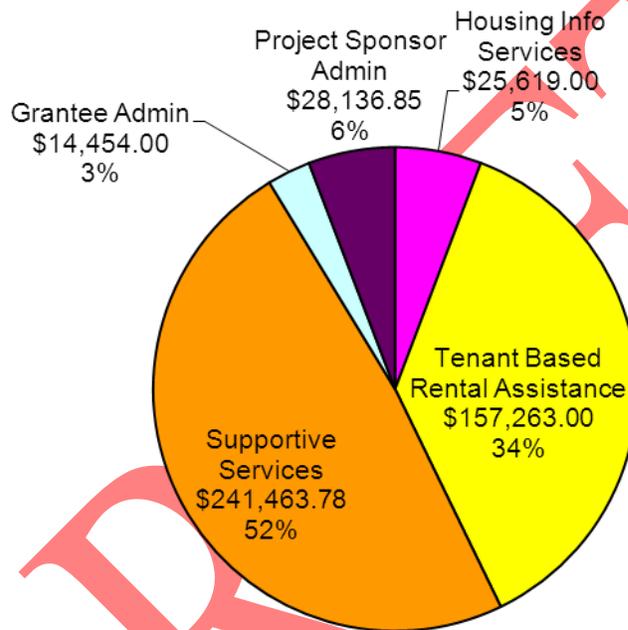
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C. HOPWA Narrative

The City of Springfield is the HOPWA grantee for the tri-county area, which is comprised of Hampden, Hampshire, and Franklin County. The designation as an entitlement community for HOPWA funds occurred in 2001.

FY10 - 11 Expenditures by Category

Total HOPWA Expended: \$466,936.63



Projects which were selected for funding a formal Request for Proposal process, included:

1. **River Valley Counseling Center** provided intensive support services and Tenant Based Rental Assistance to 16 households, and Housing Information Services to 78 individuals. River Valley primarily serves residents of Hampden County.
2. **Center for Human Development HIV/AIDS Law Consortium** provided legal assistance, advocacy, and small group workshops to clients and case managers on issues of discrimination in housing and benefits. The Law Consortium provided legal services to 78 households.
3. **New North Citizen's Council** provided support services, rental start up and short-term assistance to eligible households. The program provided supportive services to 156

individuals and short-term rental assistance to 103 households.

4. **Cooley Dickinson Hospital's AIDS Care** provided tenant-based rental assistance and support services to 15 households. Supportive Services were provided to 54 households. Cooley Dickinson primarily serves residents of Hampshire County.

The City of Springfield's Office of Housing provides the grant management and the Community Development Department provides financial oversight. Program oversight consists of program monitoring through quarterly reports and on-site monitoring as needed. The City's quarterly report mirror HUD's Annual Progress Reports with the addition of a program narrative, which details challenges and accomplishments.

Sponsors are selected through a competitive formal Request for Proposal process. The RFP process has been consistent since Springfield's designation of an entitlement area.

Project Accomplishments Overview

HOPWA funds provided a range of housing activities including:

- Emergency or short-term housing for 103 households
- Rental assistance to 31 households
- Housing Information Services to 78 persons
- Supportive Services to 304 households

HOPWA Grantees reported matching funds of \$318,308.05 from the following sources: City of Springfield, MA Bar Association, MDPH, and Center for Human Development.

Barrier/Trends Overview

The greatest challenge has been the scarcity of resources to serve the eligible population.

The Tri-county area continues to see an increase in the number of HIV/AIDS cases and a decrease in the public dollars available to serve this growing population. Coupling these factors with an increased life expectancy results in a tremendously burdened system. Over the next five years, providers will need to evaluate the use of mainstream health and housing programs to provide for impacted households. The City as an administrator of HOPWA funds will continue to stress the importance of a community partnership and leveraging of non-HOPWA resources.

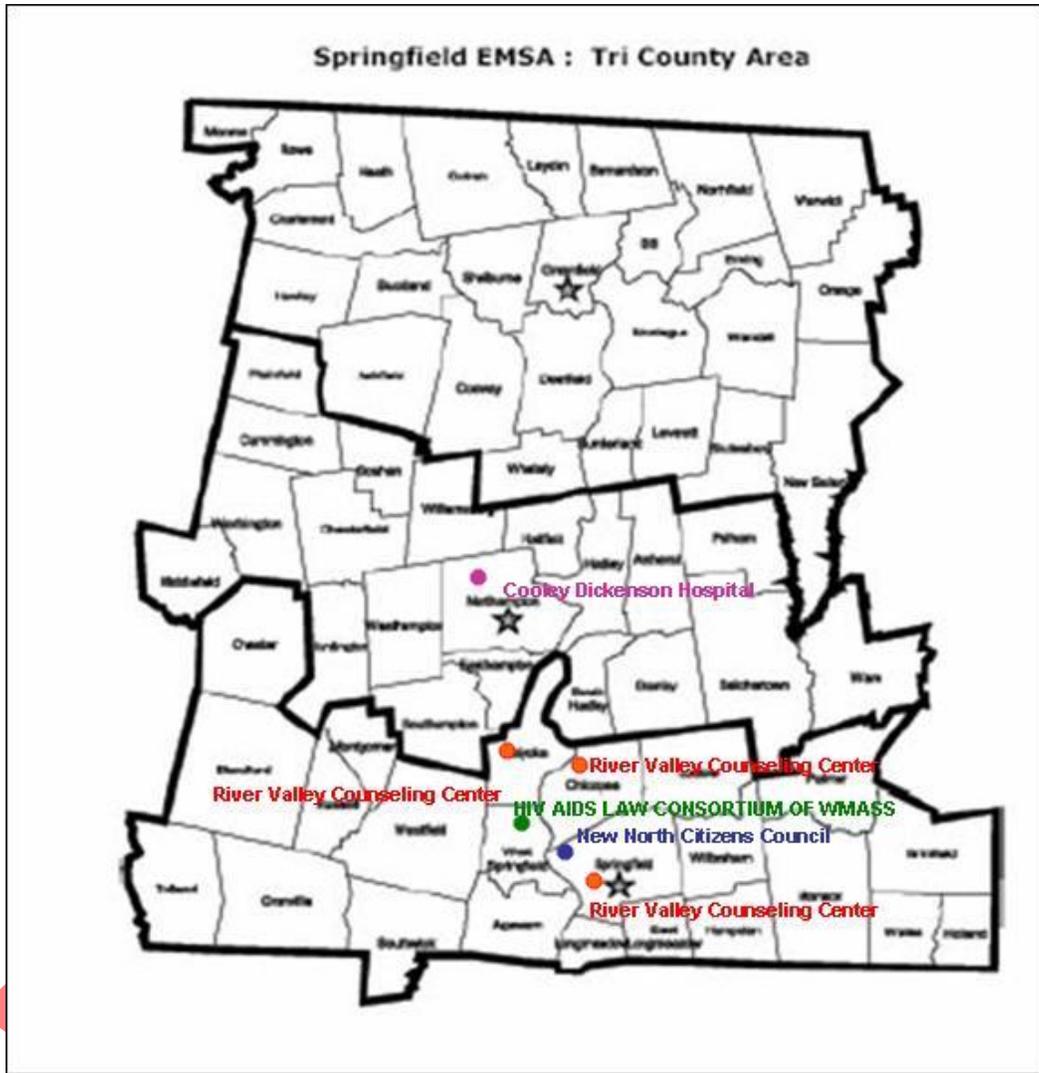
HOPWA REPORT – FY10-11

	Center for Human Development	Cooley Dickinson	NNCC	River Valley	FY TOTAL
CONTRACT #	20110131	20110209	20110140	20110077	
Support Services	78	54	156	16	304
TBRA		15		16	31
Housing Information				78	78
STRMU			103		103
less duplicates:		-15	-103	-16	-134
TOTAL SERVED:	78	54	156	94	382

Agency	Center for Human Development	Cooley Dickinson	NNCC	River Valley	FY TOTAL
HOPWA Contract Amount	\$47,916.00	\$128,253.00	\$ 124,465.00	\$165,591.00	\$ 466,225.00
HOPWA Expended Funds	\$ 47,910.66	\$ 128,253.00	\$ 124,465.00	\$163,825.00	\$ 464,453.66
EXPENDITURE AMOUNTS					
Support Services	\$ 44,557.66	\$ 53,880.00	\$ 73,683.00	\$75,625.00	\$ 247,745.66
TBRA		\$ 65,395.00		\$50,063.00	\$ 115,458.00
Housing Information				\$26,670.00	\$ 26,670.00
STRMU			\$ 42,069.00		\$42,069.00
Administration	\$ 3,353.00	\$ 8,978.00	\$ 8,713.00	\$11,467.00	\$ 32,511.00
TOTAL	\$ 47,910.66	\$ 128,253.00	\$ 124,465.00	\$163,825.00	\$ 464,453.66
Matching Funds					
City of Spfidl				\$40,000.00	\$ 40,000.00
MDPH	\$ 35,339.67	\$ 8,170.00		\$5,799.92	\$ 49,309.59
Fed Grant			\$ 185,255.46		\$ 185,255.46
MA Bar	\$ 12,500.00				\$ 12,500.00
Donations	\$ 6,643.00				\$6,643.00
In Kind	\$ 24,600.00				\$ 24,600.00
TOTAL	\$ 79,082.67	\$ 8,170.00	\$ 185,255.46	\$45,799.92	\$ 318,308.05

HOPWA Funded Organizations

2010-2011

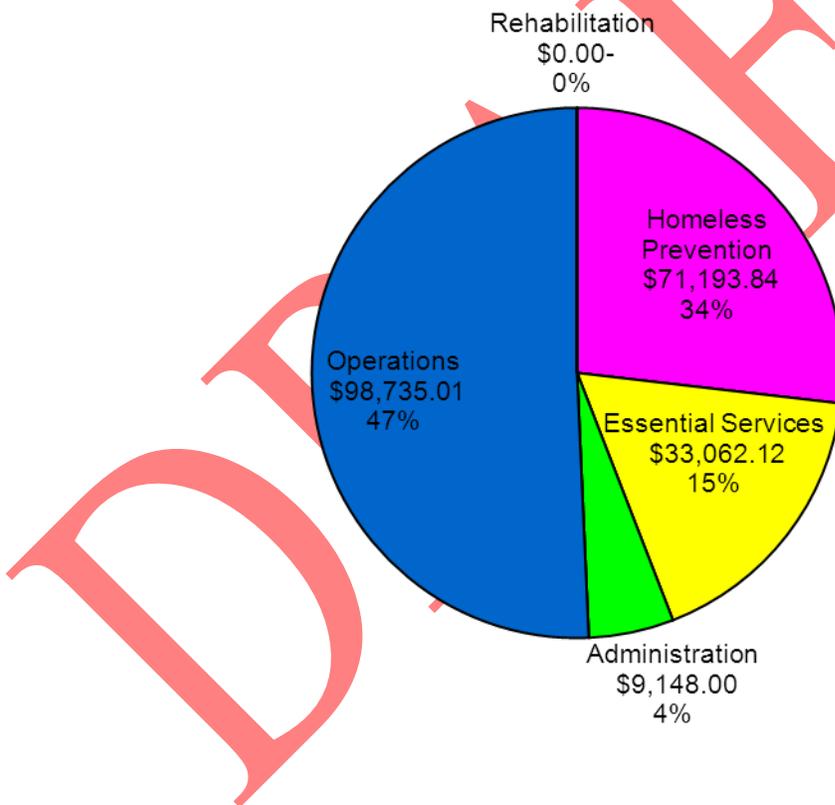


D. ESG Narrative

After a community needs assessment, the City requested proposals from Homeless Service Providers to operate ESG eligible programs. As part of the City's review process, the statutory spending caps on certain ESG activities are a consideration. The City expenditures for FY10-11 within the allowable activities and expenditure caps are located on pages 95-96.

ESG funds have statutory match funds requirement of one to one. For every ESG dollar expended, one dollar of other private or eligible public money must be expended. The City's ESG program far exceeded this requirement by leveraging \$1,098,203.

FY10-2011 Expenditures by Category Total ESG Expended: \$212,138.97



ESG Activities

The Emergency Shelter Grants program is designed to perform four eligible activities: increase the number and quality of emergency shelters/and transitional housing facilities, to operate these facilities, to provide essential services, and to help prevent homelessness. During the period of the Action Plan, the City of Springfield utilized eligible entities to provide effective programs to Springfield's homeless population.

Eligible ESG activities, with corresponding funded programs, are:

1. **Renovation** - Rehabilitation and conversion of buildings for use as emergency shelters or transitional housing for the homeless. The City did not fund any renovation activities this fiscal year.
2. **Essential services** - Assistance may be used for activities relating to emergency shelter for homeless individuals. The provision of essential services, including services concerned with employment, health, drug abuse or education, and may include but are not limited to:
 - 1) Assistance in obtaining permanent housing;
 - 2) Medical and psychological counseling and supervision;
 - 3) Employment counseling;
 - 4) Nutritional counseling;
 - 5) Substance abuse treatment and counseling;
 - 6) Assistance in obtaining other Federal, State and local assistance including mental health benefits; employment counseling; medical assistance; Veteran's benefits; and income support assistance such as supplemental Security Income benefits, Aid to Families with Dependent children, General Assistance, and Food Stamps;
 - 7) Other services such as child care, transportation, job placement and job training.

PROJECTS

Health Care for the Homeless provided essential health services to 164 persons; these services included medical encounters, counseling, nutrition and referrals to mental health and additional services. Services were provided on-site at every Springfield singles and family shelter.

Friends of the Homeless received funding to provide case management to shelter guests, to assist them in moving out of homelessness and into permanent housing. FOH provided case management to 3422 people.

3. **Operating costs** - such as maintenance, insurance, rent, utilities and furnishings incurred by a recipient operating a facility

PROJECTS

Friends of the Homeless received funding for the operation of an emergency shelter for homeless singles. The shelter served 3422 persons.

The YWCA provided emergency shelter to women and their children who were victims of domestic abuse. The project served 136 households.

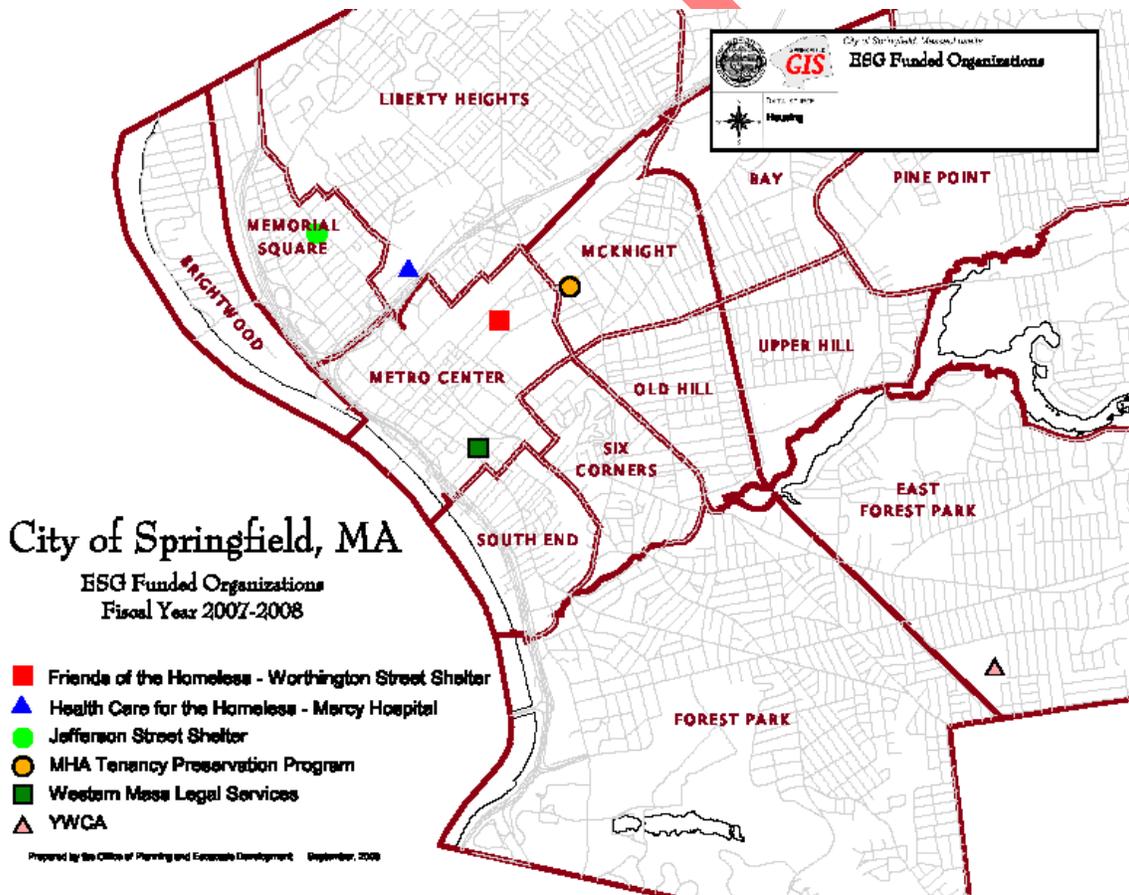
4. **Homeless prevention** and efforts to prevent homelessness such as financial assistance to families who have received eviction notices or notices of termination of utility services if - a) the inability of the family to make the required payments is due to a sudden reduction in income; b)

the assistance is necessary to avoid the eviction or termination of services: c) there is a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and d) the assistance will not supplant funding for preexisting homelessness prevention activities from other sources.

PROJECTS

Tenancy Preservation Program (TPP) provides case management, mediation and mental health intervention for households with tenancy at-risk due to mental health issues. The program utilizes a community-based team that works in conjunction with community organizations to identify and intervene in situations where there is imminent risk of homelessness. This program is a state-wide model that has received national acclaim. During this fiscal year, the TPP assisted 181 people.

HAP Housing provides homelessness prevention, diversion, and rapid rehousing services and funding. With the ESG grant, HAP assisted 60 households in preventing homelessness.



ESG REPORT – FY10-11

	HAP	FOH	FOH ESS. SERV	HC4H	MHA - TPP	YWCA	FY TOTAL
CONTRACT #	20110274	20110134	20110133	20110136	20110139	20110143	
Unaccompanied males	1	2802	same as opers.	53	41	0	2897
Unaccompanied females	0	620	"	111	59	74	864
Under 18 female	0	0	"	0	0	0	0
Under 18 male	0	0	"	0	0	0	0
Male Single Parent Families	0	0	"	0	7	0	7
Female Single Parent Families	54	0	"	0	65	62	181
Two Parent Families	5	0	"	0	7	0	12
Adult couples w/o chld	0	0	"		2	0	2
HOUSEHOLDS SERVED:	60	3422	"	164	181	136	3963
Children:	52	0	"	0	254	106	412
RACE:							
White	23	1000	"	66	118	69	1276
Black	9	830	"	41	27	25	932
Hispanic	24	1554	"	56	0	42	1676
Asian	0	28	"	0	1	0	29
Amer Indn/Alskn Nat	0	3	"	1	1	0	5
Hawaiian/Other Pacific	0	0	"	0	2	0	2
Amer Indian/Alskn Nat & White	0	0	"	0	0	0	0
Asian & White	0	0	"	0	0	0	0
Black/African-Am. & White	0	0	"	0	0	0	0
Amer Indian/Alskn Nat & Black	0	0	"	0	0	0	0
Other - Multi	4	7	"	0	32	0	43
SUBPOPULATIONS							
Chronically Homeless	0	1138	"	63	0	0	1201
Severly Mentally Ill	0	1318	"	74	125	0	1517
Chronic Substance Abuse	0	1023	"	114	52	0	1189
Other Disability	5	1469	"	3	46	0	1523
Veterens	0	244	"	1	6	0	251
Persons w/HIV/AIDS	0	68	"	7	2	0	77
Domestic Violence	1	576	"	20	59	136	792
Edlerly	0	0	"	1	18	0	19
CONTRACT AMOUNTS & EXPENDITURES							
Contract Amounts	\$42,433.00	\$60,000.00	\$32,200.00	\$25,000.00	\$25,000.00	\$10,000.00	\$194,633.00
ESG FUNDS EXPENDED	\$41,118.00	\$60,000.00	\$32,200.00	\$25,000.00	\$24,349	\$10,000.00	\$192,667.00
MATCHING FUNDS							
Dept. of Families & Children						\$10,000.00	\$ 10,000.00
DHCD	\$42,433.00				\$ 198,608.00		\$ 41,041.00
DMH					\$ 52,296.00		\$ 52,296.00
HHS				\$ 25,000.00			\$ 25,000.00
MHCD					\$ 2,050.00		\$ 2,050.00
In Kind	\$ 5,049.00	\$72,231.00					\$ 7,280.00
DTA			\$ 500,536.00				\$ 500,536.00
TTL MATCHING FUNDS	\$ 5,049.00	\$72,231.00	\$ 500,536.00	\$ 25,000.00	\$ 42,954.00	\$10,000.00	\$ 1,098,203.00
GOALS							
FOH Operations	Contract #						
	C#20110134		To operate an emergency shelter for at least 1200 single individuals.				
FOH Case Mgt	C#20110133		To operate an emergency shelter for at least 300 single individuals.				
HC4H	C#20110136		To provide health care to at least 200 homeless individuals residing in shelters & on streets				
YWCA	C#20110143		To provide an emergency shelter for 140 women & children who are victims of domestic violence.				
MHA - TPP	C#20110139		To provide advocacy for at least 30 at-risk households and for homeless				
HAP	C#20110274		To prevent at least 30 at risk households from entering shelter system.				

A. Affirmatively Furthering Fair Housing

According to the Fair Housing Planning Guide published by HUD, “the CDBG program contains a regulatory requirement to affirmatively further fair housing based upon HUD’s obligation under Section 808 of the Fair Housing Act.” The CDBG regulation also reflects the CDBG statutory requirement that grantees certify that they will affirmatively further fair housing. Similarly, the HOME program regulation states the statutory requirement from the Comprehensive Housing Affordability Strategy (CHAS) that the jurisdictions must affirmatively further fair housing.

In support of these regulations, HUD’s CPD Department also requires CD grantees, including entitlement communities like Springfield, to document Affirmatively Furthering Fair Housing AFFH actions in their annual CAPERs. Grantees must:

- Conduct an analysis to identify impediments to fair housing choice within the jurisdiction;
- Take appropriate actions to overcome the effects of any impediments identified through the analysis; and
- Maintain records reflecting the analysis and actions to eliminate impediments to fair housing choice.

In order to comply with these regulations and requirements, the City of Springfield conducted a Fair Housing planning process in 2001, which included completion of an analysis of impediments to fair housing. In 2003, the City of Springfield’s Office of Community Development revised its analysis of impediments (AI) with the help of MBL Housing and Development Inc., a consultant hired based on direction from HUD.

In 2006, the City of Springfield augmented this AI with additional analysis and measurable action steps. A DRAFT AI was made available for public review as part of the public review process for the 05-06 CAPER, and it was sent for review and comment to organizations that are directly or indirectly involved with affirmatively furthering fair housing in the region. A copy of the final AI was included in the City’s FY06-07 Action Plan. An overview of the impediments found and a list of actions taken during the FY10-11 program year to address the impediments are detailed below.

IMPEDIMENTS FOUND

The following impediments to fair housing in Springfield were identified in the AI:

- a. Lack of extensive amounts of undeveloped land.
- b. Imbalance between rental and homeownership in various neighborhoods.
- c. Presence of deteriorated privately-owned properties which are vacant or not actively managed.
- d. Evidence of predatory lending and redlining.
- e. Existing patterns of segregation.

- f. Language barriers and cultural differences.
- g. The age of the housing stock and the prevalence of lead-based paint hazards.

ACTIONS TAKEN TO ADDRESS IMPEDIMENTS

The City of Springfield has taken positive steps to affirmatively further fair housing and to address the impediments to fair housing identified in the AI.

1. Provision of housing opportunities. Springfield's AI indicates that some of the greatest barriers to fair housing are related to the lack of housing opportunities for all people. The City continues to address this issue through the following initiatives:
 - a. Expansion of affordable, affirmatively marketed housing stock throughout all Springfield's neighborhoods. Through the strategic use of its federal housing funds, the city has financed affordable housing opportunities within nearly all of Springfield's neighborhoods. The City's financing requires the units to be affirmatively marketed by the developer/owner with the results of those marketing efforts reported to the City annually.
 - b. Utilization of municipal properties as redevelopment sites for affordable housing opportunities. Springfield has undertaken a comprehensive program to take abandoned blighting properties through the land court process. The reuse of these properties has enabled the city to create AFFORDABLE homeownership opportunities.
 - c. Multi-family dwelling owners seeking financial assistance for work, including rehabilitation and lead paint abatement, are required to demonstrate how the project will be marketed to 'those persons least likely to apply' and demonstrate, to the greatest extent possible, that the multi-family complexes are integrated communities.
 - d. Provision of financial assistance to eligible homebuyers in all Springfield neighborhoods. A basic premise of Springfield's homebuyer assistance program is that each buyer should be able to achieve homeownership in any neighborhood.
 - e. Advocacy at the regional level to expand affordable and supportive housing opportunities throughout the region.
2. Provision of Education concerning Fair Housing and Housing Discrimination
 - a. The City provided homebuyer education workshops in English and Spanish throughout the program year. While the primary objective was to prepare first-time homebuyers for ownership, the education workshops contain a component on fair housing.

- b. The City, through its Office of Housing and through a subcontract relationship, provided education and legal advocacy for households facing housing discrimination.

B. Affordable Housing

Through a variety of programs, the City was able to assist low and moderate income homeowners and renters. The following table illustrated the numbers of households assisted. The accompanying program descriptions provide a brief overview of the programs and funding sources.

AFFORDABLE HOUSING: HOUSEHOLDS ASSISTED

	First-Time Homebuyer	Emergency Repair/Rehab	Heating Systems and Clean & Tune	Relocation	Homelessness Prevention & Rapid Rehousing	Project-Based Homeownership	Multi-Family Rental Production	TBRA	Totals
0-30 MFI Renter									
0-30 MFI Owner									
31-50 MFI Renter									
31-50 MFI Owner									
51-80 MFI Renter									
51-80 MFI Owner									

Key:

Heating Systems - a state funded heating system repair and replacement system that serves fuel assistance eligible households.

Clean and Tune – a state funded program that provides annual maintenance service to heating systems for fuel assistance eligible homeowners.

Relocation – a federally funded (CDBG) program, which offers assistance to households displaced as a result of condemnation.

Project Based Homeownership – a federally funded (HOME) program, which provides 'turn-key' homeownership units for first-time homebuyers. Developers are provided financial assistance to acquire and rehabilitate distressed properties.

Multi-family Rental Production – a federally funded (HOME) program which produces affordable rental units. Not all units produced had been filled by the end of the reporting period.

TBRA –Tenant-based Rental Assistance - a federal funded (HOME) program used to provide rental subsidies for formerly homeless singles and families.

C. Homeless/Continuum of Care Narrative

The City is in its fifth year of implementing its Ten-Year Plan to End Homelessness, “Homes Within Reach,” which was released in January 2007. The plan addresses the needs of both chronically homeless and crisis homeless, and both individuals and families. The plan sets forth numerous strategies to achieve eight core goals: 1) permanent supportive housing for the chronic homeless; 2) homelessness prevention; 3) rapid exit from homelessness; 4) employment and training to increase incomes; 5) deeply subsidized housing; 6) improved access to mainstream services; 7) coordination and advocacy with our community, our region, and state and federal governments; and 8) accountability through data collection and analysis.

Implementation of the plan has been led by an Implementation Committee, composed of individuals from government, non-profit entities, the business community, the faith community, housing providers and foundations. Members of the Implementation Committee have been very active in advocating for and committing resources to the plan, as well as in building community support for the plan.

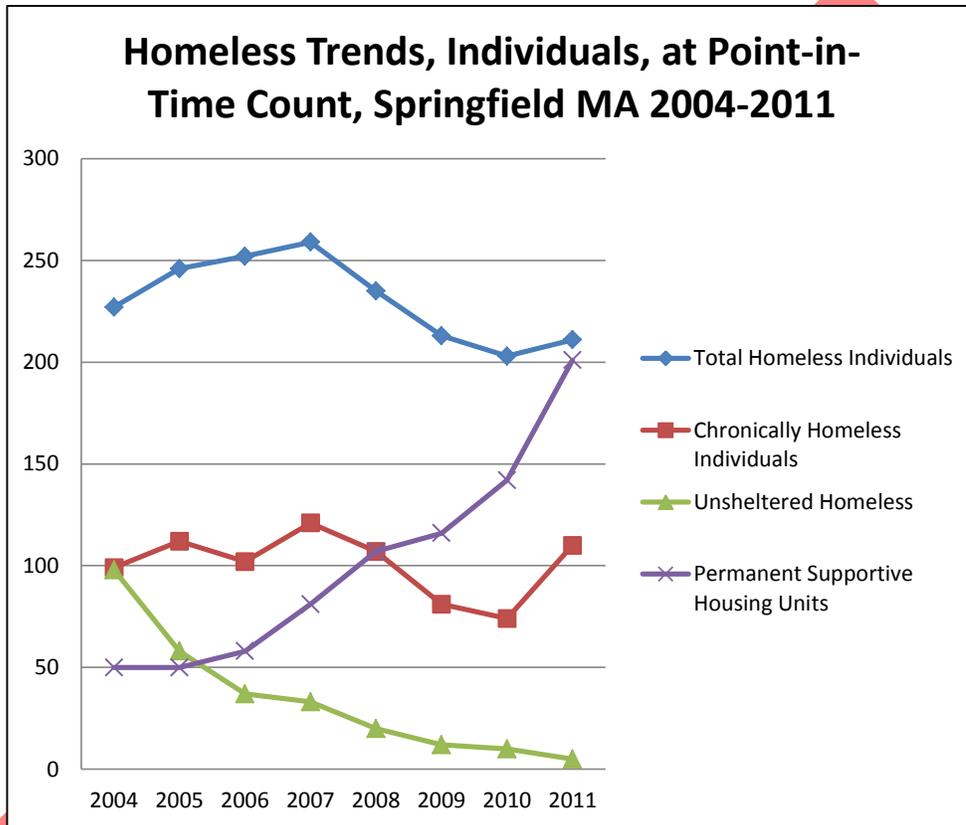
Homelessness Prevention and Rapid ReHousing Program. During FY10-11, the City continued administering a Homelessness Prevention and Rapid Rehousing Program (HPRP) grant of \$1,700,802. The City is used these funds to set up one coordinated response system for families, and one system for single individuals. These systems, each organized by a single lead agency, are designed to be points of entry for the populations they serve. The lead agencies were chosen through a request for proposals (RFP) competitive process. Catholic Charities, partnering with Friends of the Homeless and Health Care for the Homeless, became the lead provider for individuals, and was funded at \$568,499 for a three-year period. HAP Housing, partnering with Western Massachusetts Legal Services, became the lead provider for homeless families, and was funded at \$1,055,783 over a five-year period.

The new HPRP programs started in November and December 2009. Since that time, they have prevented homelessness for 385 households, and assisted 223 households with rapid rehousing assistance.

Point-in-Time Count. Springfield’s January 2011 point-in-time count showed that, in 2010, Homes Within Reach continued to reduce street homelessness; the count identified five individuals on the street (down from a high of 98 individuals on the street in 2004).

Unfortunately, despite increased production of permanent supportive housing, the City experienced small increases in the number of chronically homeless individuals and in the overall number of individuals. The City attributes this increase to continued troubles in the nation's economy, with a corresponding high rate of unemployment in Springfield, combined with federal and state cuts to funding for mainstream social services programs.

The January 2011 count identified 211 homeless individuals and 166 homeless families in the City.



The January point-in-time count showed an increase in family homelessness. Between 2010 and 2011, the number of homeless family households in the City rose from 139 to 166. At the time of the 2011 point-in-time count, there were 557 persons in families that were homeless in the City. This is the 6th year in a row of increases in family homelessness. The rise in family homelessness is a national trend, and is believed to be caused by a sluggish economy and the high rate of foreclosures over the past several years.

The Springfield point-in-time count likely under-reports the problem of family homelessness in the City. In Massachusetts, the state provides shelter to eligible homeless families. In order to meet the need for shelter, the state contracts directly with service providers to shelter families referred to them by the state Department of Housing and Community Development. If there is

more demand for shelter than there are shelter units under contract, the state places homeless families in motels. Most families placed in motels are placed outside of Springfield. At the time of the 2011 point-in-time count, there were more than 300 families in motels in Hampden County. It is believed that the majority of these families originated in Springfield.

The state has transformed its response to homelessness with its establishment of the HomeBase Program in August 2011. It is hoped that the program will result in a decrease in family homelessness in the upcoming year.

Regional Response to Homelessness. Springfield has continued to make progress toward our goal of engaging our regional partners in the goal of ending homelessness. With state funding, the Western Mass Regional Network to End Homelessness hired a director and a data analyst in FY09-10. The Network's goal is to establish housing first as the appropriate response to homelessness throughout the region. With state funding, the Network has engaged multiple providers throughout the 4-county region in initiating prevention, rapid rehousing, and permanent supportive housing programs. The effort is spearheaded by a Leadership Council, which functions in the region in much the way that Springfield's Implementation Committee functions within the City.

Creation of Permanent Supportive Housing Units. Providers in Springfield created 32 permanent supportive housing (PSH) opportunities for chronically homeless individuals in FY10-11, added to the 111 housing opportunities for chronically homeless individuals that had previously been created. At the end of the 5th year of Springfield's ten-year plan, the City has created 57% of the City's 10-year goal of 250 PSH units.

Homeless Resource Center. In FY10-11, FOH completed construction of the homeless resource center, which combines shelter beds and day center space with the services necessary to exit homelessness: an employment and housing resource center, a medical and dental clinic, on-site social services, and flexible office space to be used by providers of mainstream services on a rotating basis. The project was funded through Tax Credit Assistance Program funds, HOME funds through both the City and state, McKinney funds, additional state funding, City general revenue funds, a Federal Home Loan Bank Board grant, and \$1.2 million raised by the local business community.

Homeless Management Information System (HMIS). The City uses Social Solutions' Efforts to Outcomes (ETO) programs for its HMIS. In FY10-11, the City participated in AHAR for the first time. Our HMIS manager has been working with providers to expand the number of entities using HMIS and to improve data quality.

Leadership: Continuum of Care and Ten-Year Plan Implementation Committee
Leadership for the City's homelessness initiatives originates from both the Ten-Year-Plan Implementation Committee and the Continuum of Care. The two committees share several common members. The CoC serves to identify issues at the service level that the Implementation Committee seeks to address at the policy level. The two Committees collaborate on a number of committees and initiatives, including a Supportive Housing Development

Workgroup, a Homeless Employment and Training Workgroup, and our annual Project Homeless Connect event.

The CoC has regular monthly meeting, attended by 30-40 individuals. The meetings are scheduled on a regular date (the third Thursday of the month), with agendas sent out in advance. Agendas over the past year have included HMIS coordination and implementation; discharge planning; planning for HPRP; discussion of strategies regarding family homelessness; coordination of the point-in-time count; discussions about youth homelessness; input into Project Homeless Connect planning; review of CoC project performance; and sessions on new resources in the community.

The Implementation Committee meets annually, and focuses on our community's progress toward achieving the goals set out in the Ten-Year Plan, and addressing barriers to achieving those goals.

DRAFT

D. Foreclosure and Neighborhood Stabilization Program

Springfield continues to be hard-hit by the foreclosure crisis. The City had very high rates of subprime mortgage lending, and has a corresponding high rate of foreclosures. Analysis by the Pioneer Valley Planning Project indicates that Springfield's rate far exceeds the Massachusetts and national averages, and that most foreclosures in the region are concentrated in Springfield.

Because of falling housing prices and the weak housing market, foreclosed homes—especially in the City's core and transitional neighborhoods—remain unsold, and have become vacant. Neighborhoods made up of 1-4 unit rental homes have experienced property flipping, failure to maintain properties, and abandonment by investors as the market fell. In some neighborhoods, individual streets have multiple boarded-up homes. The blight is very destabilizing for neighborhoods, and further reduces property values. In addition, the City has begun to see an increase in suspicious fires taking place in vacant or abandoned homes.

Understanding the Impact on Neighborhoods and Targeting Interventions In FY08-09, the City undertook neighborhood-level analysis to choose target areas for Neighborhood Stabilization Program fund investments. Based on the assessment to determine the neighborhoods most at risk of destabilization due to foreclosure, the City decided to target interventions in two complete neighborhoods—Old Hill and Six Corners, as well as the eastern side of the South End and a part of lower Forest Park.

In FY09-10 and 10-11, the City continued to look at data regarding target neighborhoods in its NeighborhoodStat meetings, where multiple City departments come together to examine various types of data about a single neighborhood. For neighborhood stabilization efforts, these meetings included maps with overlays showing information about crime, fire, complaints about property, property tax delinquency, code enforcement complaints and cases, and building code issues. These coordinated data reviews enabled the City to further refine its targeting of interventions. The NSP projects that the City has chosen to fund are clustered in a few areas within the highly-impacted neighborhoods.

Neighborhood Stabilization Program The City was awarded \$3.5 million in Neighborhood Stabilization Program (NSP 1) funds in 2008--\$2.5 as an entitlement grant from HUD, and \$1 million through the MA Department of Community Development. The City was awarded another \$1.7 million in NSP 3 funds in 2011.

Six NSP-funded rehabilitation/redevelopment projects have been completed, and eight are underway. The City expects to make commitments of NSP3 funds to projects in the upcoming year.

In Old Hill, Springfield Neighborhood Housing Services has redeveloped three residential parcels that had been foreclosed upon by the City of Springfield for failure to pay taxes. Two of these new homes are affordable housing for homeowner households with incomes at or below 50% of the area median income; the third is being assisted with HOME funds and will be affordable to households with up to 80% area median income.



193 Pendelton Avenue



203 Pendelton Avenue



Also in Old Hill, HAP has completed redevelopment of 11 Olive Street, a property foreclosed upon by the City of Springfield for nonpayment of taxes. This home has recently been sold to a homeowner with income at or below 120% area median income.

In the Six Corners neighborhood, HAP Housing rehabilitated three foreclosed homes on one block. Each of the three houses is a two-family home. The properties have all been sold to homeowners, and three of the resulting six units are affordable to households with income at or below 50% of the area median income. The first-floor unit at 34 Ashley Street is handicap-accessible.



19 Ashley Street



34 Ashley Street



70 Ashley Street

The City has also used NSP1 funds to demolish blighted buildings. In FY10-11, the City demolished the following:

South End neighborhood

- Demolition of 11-15 Adams, a blighted and foreclosed commercial building
- Demolition of 32 Richelieu St., a blighted and abandoned house
- Demolition of 6-8 Hillside Place, a blighted and abandoned house
- Demolition of 609-611 Main Street, a blighted commercial structure

Six Corners neighborhood

- Demolition of 388 Central Street, a blighted and foreclosed nursing home
- Demolition of 368 Central Street, a blighted and foreclosed house

The following NSP1 projects will be underway in FY11-12:

Old Hill neighborhood

- 176 Quincy, rehabilitation of a foreclosed home (HAP Housing)
- Lot 131 Quincy St., redevelopment of foreclosed residential lot, resulting in a two-family home, with both units available for homeownership affordable to households with income at or below 50% area median income (Greater Springfield Habitat for Humanity)
- 43 Stebbins, rehabilitation of a foreclosed home, resulting in a two-family home in which one unit will be affordable to a household at or below 50%AMI (Criminal Justice Organization of Hampden County, Inc.)
- 75 Tyler St., rehabilitation of a foreclosed single-family home, to be sold to a

- homeowner (Springfield Neighborhood Housing Services)
- 140 Pendelton Ave., demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for affordable homeownership (Springfield Neighborhood Housing Services)
- 236 Tyler St., demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for homeownership (HAP Housing)
- 200-204 Quincy St., demolition of an abandoned home

South End neighborhood

- 56 Adams, demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for affordable homeownership (Criminal Justice Organization of Hampden County, Inc.)
- 62 Adams, redevelopment of an abandoned residential lot, into a single-family home for homeownership (Criminal Justice Organization of Hampden County, Inc.)

Six Corners neighborhood

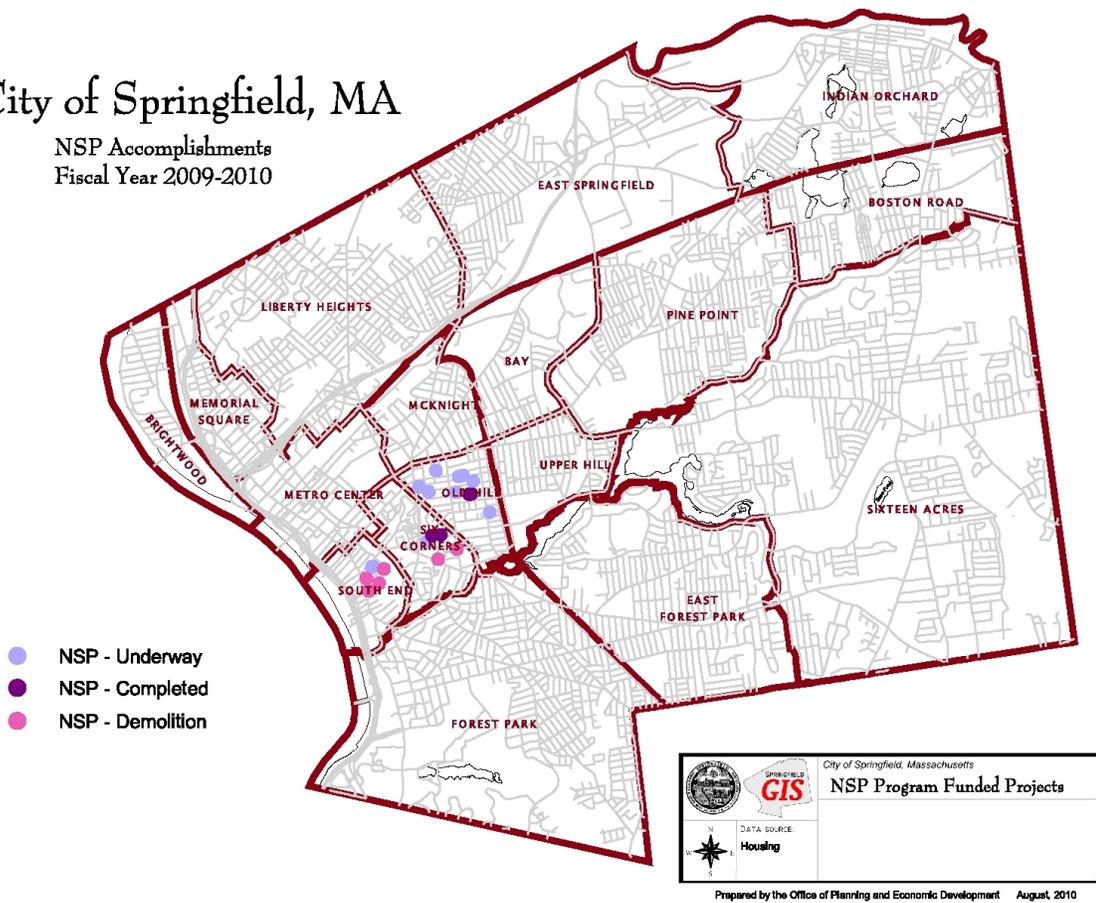
- Lot 9, Dexter St., redevelopment of foreclosed residential lot, resulting in an affordable single-family home for homeownership (Greater Springfield Habitat for Humanity)
- Demolition of 388 Central Street, a blighted and foreclosed nursing home
- Demolition of 368 Central Street, a blighted and foreclosed house

The full impact of NSP1 will be creation of 22 units. Of these, 18 will be for homeownership, and 4 will be for rental. Eight of the units will be affordable to households with income at or below 50% area median income, and the rest will be affordable to households with income at or below 120% area median income. The City is currently evaluating proposals for NSP3 projects. It anticipates obligating all NSP3 funds in FY11-12.

The City has coordinated its NSP projects with additional investments in the same neighborhoods. Some of these investments are HUD-funded, and are described in other sections of the CAPER. These acquisition and disposition of residential properties, homebuyer assistance, targeted code enforcement, securing vacant buildings, and demolition of additional blighted buildings. Other improvements include paving and streetscapes, and improvements to parks.

City of Springfield, MA

NSP Accomplishments
Fiscal Year 2009-2010



Increasing Receivership Activity. In FY10-11, the City continued working with The Massachusetts Housing Partnership (MHP) and the Massachusetts Housing Investment Corporation (MHIC) to increase our capacity to initiate and maintain receiverships, including “no-heat” receiverships. MHP contributed funding for staff interns and a pool of funds to undertake small emergency repairs, and MHIC created a loan fund to finance large receiverships. The City allocated CDBG funds to a receivership loan pool for moderate-size projects. Receiverships enable the City to stabilize and preserve multi-family properties in foreclosure.

E. Other Actions

i. Addressing Obstacles to Meeting Underserved Needs

While there are numerous obstacles to serving underserved needs and subpopulations in Springfield, the three primary obstacles are:

- The coordination of resources from multiple funding sources across various agencies and providers. Each funding source has its own eligibility, definitions and objectives.
- Lack of sufficient resources to engage and serve special needs sub-populations.
- A lack of a regional approach to meeting the needs of people in Springfield; within the region, the majority of low-income individuals live within the City, and communities outside of Springfield are not engaged in a concentrated way to address the needs of this population at a regional level.

During FY 10-11, the City worked to overcome these obstacles by advocating for legislative change, when appropriate; providing technical and financial assistance; continued implementation of the City's ten year plan to end homelessness; and sponsorship of Project Homeless Connect, a day-long one-stop-shopping event offering services and programs available for homeless persons and persons at risk of becoming homeless. The City continued to advocate for a regional approach for addressing income inequity throughout the region, and is participating in a regional housing planning initiative funded by a Sustainable Communities grant.

ii. Foster and Maintain Affordable Housing

The City of Springfield's population, according to the 2000 United States census, is 152,082. According to census data, nearly 60% of Springfield's households are low or moderate income and there are 7,100 households living in poverty. This figure represents close to 20% of the population of Springfield.

Springfield has 61,172 housing units. Of this number, 50% are owner occupied and 50% are rental units. According to the 2000 census, there are 28,631 occupied rental units. Of these rental housing units, 10,522 are occupied by households with a public housing certificate (Section 8 or Mass Rental Voucher) or are legally deed-restricted to provide affordable housing to low-income households. Nearly 37% of Springfield's rental stock provides affordable housing to low-income persons.

Widespread poverty and the City's aged housing stock create an enormous demand for safe, affordable housing. However, the City's high concentration of poverty and associated social problems, along with the fact that households impacted by concentrated poverty are predominantly minority, suggest that significant creation of new affordable rental units in the City may have negative consequences in terms of providing existing City residents with economic opportunity and fair housing choices. The City's primary response to the need for safe

affordable housing in the City is funding for preservation and rehabilitation of existing housing stock (including housing subject to expiring use restrictions), and initiatives which support affordable homeownership opportunities. The City uses HOME funds to provide tenant-based rental assistance, a strategy that both supports housing affordability and addresses the concentration of poverty. The City encourages its partner agencies and municipalities to assist in simultaneously addressing affordability and concentrated poverty through use of mobile housing resources such as Section 8 vouchers, and through creation of affordable housing throughout the Springfield metropolitan area.

Springfield set and accomplished the following goals for FY10-11. Each short-term goal is a direct response to identified community housing needs.

Goal	Proposed	Accomplished
Improve the quality of rental housing stock through rehabilitation	→ 20 rehabilitated rental units	→ 22 units
Ensure the availability of affordable rental housing through multi-family rental production and preservation	→ 10 units created through rental production program → 50 households assisted through TBRA program	→ 22 units → 85 households
Increase homeownership among low-income households	→ 100 households assisted through the Homebuyer Assistance Program → 10 units benefiting from the project based homeownership program	→ 85 households → 11 units
Improve the quality of owner-occupied housing thereby permitting low-income owners to remain in safe housing	→ 15 units	→ 49 units

iii. Eliminate Barriers to Affordable Housing

Although Western Massachusetts is a more affordable housing market than the metro-Boston area, public policies such as land-use controls, zoning ordinances, and growth limits have greatly impacted the development of new housing. Many communities throughout the Pioneer Valley have adopted policies which require increased lot size for residential properties, have created protective open space and agricultural zones to limit residential development and have established lengthy review processes for new developments. These actions have directly

impacted the cost of housing development, and effectively halted affordable housing development. Additionally, Massachusetts communities operate under Proposition 2 ½, which restricts the ability to raise local revenues. For many communities, this restriction is a disincentive to develop housing, especially multi-family housing. As the cost of municipal services and education are deemed greater than the tax revenue, communities are reluctant to reduce barriers.

The City of Springfield has a successful track record in overcoming traditional barriers to affordable housing in order to increase the availability of decent affordable housing for all individuals. According to the Commonwealth of Massachusetts' Department of Housing and Community Development, Springfield ranks 5th in the state, with 17.4% of its housing stock dedicated to affordable housing. Springfield policies of zoning, land use, and public financing greatly encourage affordable housing.

The City has undertaken proactive steps to eliminate barriers to affordable housing. Specifically, during FY10-11 the City:

- Maintained an inventory of municipally owned land that is suitable for the development of housing;
- Aggressively pursued tax-taking of properties to be utilized to promote the goals of its housing strategy;
- Worked with local lenders and non-profit agencies to provide first-time homebuyer education and counseling;
- Provided housing search and relocation assistance to households residing in sub-standard rental units and to persons experiencing homelessness;
- Supported project applications for affordable housing resources including LIHTC, HIF, HSF and HOME; and
- Provided leadership for the regional planning process to end homelessness, advocating that affordable housing needs be considered on a regional basis.

iv. Overcome Gaps in Institutional Structures and Enhance Coordination

A collaborative approach is utilized by the City of Springfield to implement programs and projects that involve the use of entitlement funding. With the Office of Community Development (OCD) as the lead agency, the ConPlan has been completed with direct involvement of a number of City departments. Although this collaborative approach is working, the City works continuously to identify gaps of service and coordinates efforts to ensure that necessary actions are taken to fill the gaps.

The Office of Community Development administered and implemented programs described in the Five Year Consolidated Plan and Annual Action Plans.

Key Staff includes: Chief Development Officer
Deputy Director of Neighborhoods
Deputy Director of Economic Development
Deputy Director of Planning
Director of Housing
Director of Administration and Finance

To implement the City's strategy, during FY10-11 these departments utilized private industry, non-profit organizations, including CBDO's, CHDO's, and City departments. The utilization of such a broad base of organizations enabled the City to address its community development, housing, homeless and special needs objectives. However, while the number and abilities of the organizations and departments involved are an institutional strength, the City constantly works to coordinate the projects and programs. Such coordination is integral to the success of the Plan. During the past OCD continued to find success through its efforts to coordinate with these organizations and departments.

During 2010-2011 program year areas of particular strength included:

- Programs funded in part or in whole with entitlement funds were measured using HUD's performance measurement system.
- The City's strong homeless provider network is a particularly important strength of the delivery system, especially the components of the Plan that pertain to the implementation of the 10 year plan to end chronic homelessness in Springfield that was finalized during the prior program year.

Major gaps identified included:

- A need to determine business needs as part of a comprehensive economic development strategy. Pursuant to this identified gap, the City in partnership with the business community in Springfield contracted the Urban Land Institute to develop an economic development strategy for the City. The City also contracted the Donahue Institute at the University of Massachusetts to study the needs of small businesses in Springfield, particularly minority- and women-owned businesses located in Neighborhood Revitalization Strategy Areas.

A number of mechanisms were used in FY10-2011 to help fill these gaps.

In particular, the continued reorganization of the community development departments led to identification of non federal funding to hire new staff to conduct economic development and revitalization programs and projects and to continue to improve the delivery of code enforcement, demolition and related programs.

Also, the City continued to work with community based development organizations and other non profits to improve the delivery of programs serving residents of CDBG eligible areas in a coordinated, efficient and thorough manner. The upfront investment during the first two years of resources has yielded increased capacity at underperforming community-based organizations, CDCs, and nonprofits; leverage additional funds for projects and programs; and result in improved living conditions and quality of life for low and moderate income persons in Springfield.

In FY10-2011 this increased coordination will be particularly evident through work conducted to revitalize Springfield's neighborhoods through City anti blight programs and initiatives aimed to

eliminate blight.

v. Improve Public Housing and Resident Initiatives

Each year, the Springfield Housing Authority produces an Annual Plan, which includes numerous goals and objectives for public housing and resident initiatives.

In its current Annual Plan, the Springfield Housing Authority committed to the following in their strategy for addressing the housing needs for families in the jurisdiction and on the waiting list:

GOAL: Increase the availability of decent, safe, and affordable housing.

Strategies:

- Apply for additional rental vouchers;
- Leverage private or other public funds to create additional housing opportunities;
- Federalize state family housing units: 150 units at Reed Village, 196 units at Duggan Park, and 136 units at Robinson Gardens;
- Achieve High Performer status for public housing and Section 8 management;
- Increase customer satisfaction in the admissions department, the rental assistance department, and in the management of public housing;
- Modernize state public housing units that are federalized;
- Provide voucher mobility counseling and conduct outreach to potential voucher landlords; and
- Expand the voucher homeownership program.

GOAL: Improve community quality of life and economic vitality

Strategies:

- Implement measures, including flat rents, to promote a broad range of income households in its developments;
- Increase security through Neighborhood Watch, resident initiatives, and collaboration with the Springfield Police Department and other law enforcement entities;
- Offer an array of programs for youth and adult members of the community; and
- Consider designation of certain developments for particular resident groups (elderly, persons with disabilities).

GOAL: Promote self-sufficiency and asset development of assisted households

- Increase the number of percentage of employed persons in assisted families through in-house maintenance apprenticeship and computer training;
- Operate an educational center to teach computer skills to residents; and
- Coordinate with other agencies to provide supportive services to increase independence for the elderly and families with disabilities.

GOAL: Ensure Equal Opportunity in Housing for All Americans

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability;

- Undertake affirmative measures to provide a suitable living environment for families in assisted living, regardless of race, color, religion, national origin, sex, familial status, and disability; and
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.

vi. Evaluate and Reduce Lead Based Paint Hazards

Prevalence of Lead-Based Paint Hazards Springfield is defined as a "high risk" community for lead poisoning by the Commonwealth's Department of Public Health. Of Springfield's total of 61,172 housing units, 36.3% were built prior to 1940. A full 89.9% were built pre-1979 and are therefore likely to contain lead-based products.

The Lead Hazards section of Environmental Defense "Scorecard", co-sponsored by the Alliance to End Childhood Lead Poisoning, indicates that there are 6,207 "high-risk" units in Springfield, meaning housing units built before 1950 and occupied by families living below the poverty level. The Scorecard ranks census tracts by the potential lead hazards; Springfield includes the top-ranked tract in Massachusetts. Scorecard's summary of Lead Hazards clearly documents a high level of potential lead hazards within the City.

SUMMARY OF LEAD HAZARDS – CITY OF SPRINGFIELD

Neighborhood	Number Of Units at High Risk*	Units Built Pre-1950	Units With Low Income	Children Under 5 Living In Poverty
Sixteen Acres	216	850	709	344
Six Corners	730	1,800	1,200	590
Bay	240	700	450	200
Brightwood	194	650	840	292
East Springfield	160	1,300	300	160
Forest Park	1,282	6,330	1,828	771
Indian Orchard	314	1,770	643	249
Liberty Heights	575	3580	1,350	563
McKnight	380	1,100	550	200
Memorial Square	301	540	911	410
Metro Center	530	1,330	920	200
Old Hill	320	910	510	300
Pine Point	235	1,480	650	432
South End	470	1,260	740	341
Upper Hill	260	1,500	330	270
TOTAL	6,207	25,100	11,931	5,322

Source: Scorecard/Environmental Defense

*This measure is the number of housing units that were built before 1950 and are occupied by families living below the poverty level.

The City has aggressively sought to improve the quality of its affordable housing stock. The City through its' Office of Housing markets and administers the Commonwealth's "Get the Lead Out" program.

The City uses federal dollars to evaluate and reduce lead based paint hazards. CDBG funds support the Division of Code Enforcement, which conducted over 4,000 inspections within target areas. In accordance with Mass lead laws, lead based paint hazards determination is undertaken during all state sanitary inspections in units that house children under 6 years of age.

Lead abatement activities were completed on properties under the state lead abatement financing program, and all project-based and multi-family units funded the HOME funds.

vii. Ensure Compliance with Program and Comprehensive Planning Requirements

The City strives to improve its compliance and sub-recipient management systems to achieve efficient administration of our federal programs.

In FY 10-2011, the City implemented the following changes to its CDBG compliance program:

- Improved tracking of accomplishment and performance measurement data at regular project tracking meetings.
- Increased level of detail provided in scope of services and budgets that will help the city measure its success with goals and outcomes for the performance measurement system being implemented.
- Continued improvements to the master contract list used to tracks projects from initiative through closeout.

In FY 10-11, CDBG subrecipients generally performed their work in accordance with their scope of service and achieved a national objective. For organizations that required additional guidance, program monitors provided technical assistance and, in some cases, withheld funds until said objectives were met.

During this program year with input from HUD, the City continued to improve the system used to monitor projects and programs paid for in whole or in part with entitlement funds, including CDBG, HOME, ADDI, HOPWA and ESG, including improvements to the long term compliance process and increased use of the logic model in RFP's and contract scopes of services and budgets.

viii. Reduce Number of Persons Living Below the Poverty Level

Almost 27% of Springfield households live in poverty (\$15,020 for a family of three in 2002). Over a third (33.9%) of children under 18 live in poverty, giving the City one of the highest child poverty rates in the state (Census 2000). The rate is higher for Latino families, with 58% of children under 18, and 74% of children under five living in poverty. Of all household types,

single-parent households headed by women are the poorest, with 62% with children under age five living in households with poverty-level incomes. In addition 87% of students in the City's Public Schools are classified as low income.

During FY10-2011, the City worked to reduce the number of families living in poverty. Specific actions to provide housing opportunities, economic development opportunities, adult basic education, and job training programs, financial education and financial literacy programs, life skills counseling, transportation, day-care, health and other support services included:

- Continued implementation of the City's 10 Year Plan to End Homelessness.
- Funding numerous human service programs that delivered programs for at-risk youth and adults that provided self-sufficiency training and employment services.

The Community Development, Housing and Neighborhood Services, Health and Human Services and Economic Development offices, made a concerted and focused effort to independently address poverty issues during this program year. Further, the City continued to place strong emphasis on development partnerships with neighborhood organizations, private corporations, state and federal social service agencies and economic development agencies, non profit service providers and impacted parties who are residents of Springfield.

The City also incorporated the services and programs provided by the Massachusetts Career Development Institute (MCDI) into its anti-poverty strategy. MCDI administers job training, adult basic education, on the job training related programs for incumbent workers, the unemployed, the underemployed, welfare recipients, dislocated workers, at-risk youth, and the homeless. As one of only two major skills centers in the county, MCDI continues to play an important role in the City's economic development and anti-poverty strategy.

MCDI provides basic instruction in precision tooling and manufacturing program for those Springfield residents who are underemployed or incumbent workers looking to start a career in the machine industry. The program will expose students via hands on computer simulation to CNC machining equipment, academic remediation and will provide economic opportunities for the low income persons by creating jobs.

Western Mass Development Collaborative (WMDC) provides economic opportunities for City of Springfield low income young adults. WMDC will provide career training programs in the construction field that will offer the necessary soft-skills and hands on training to ensure long-term employment opportunities.

ix. Leveraging Resources

During the 2010-2011 Action Plan year, the City of Springfield attracted and utilized significant non-entitlement funds. The sources of these funds include federal grants, local and state bonds, Low-Income Housing Tax Credits, State Affordable housing funds, resources from numerous State agencies, private foundation grants, and private financing. A summary that details the source, dollar value and use of funds is included in the table on page 77.

x. Citizen Comments/Citizen Participation

FY 10-2011 Action Plan

During the development of the Annual Action Plan the City held two (2) public hearings in CDBG target neighborhoods where the majority of the funds would be spent. The hearings were held to obtain input from residents and to identify priority community needs. The City's major initiatives were Code Enforcement, Public Infrastructure, Quality of Life Issues, Parks & Public Facilities, Workforce Development, Economic Development, Commercial Districts, Youth, Elderly, Special Needs Persons, Persons with HIV/AIDS, Homeless Persons, Affordable Housing and Homebuyer Counseling and Assistance. The City advertised the public hearings in the Springfield Republican newspaper, the Spanish Newspaper, LaVoz and mailed out a flyer from OCD's extensive mailing list. A summary of comments received during these hearings was included as part of the final Annual Action Plan submitted to HUD in 2010.

The Draft Action Plan was available for public review and comment from April 8th through May 7, 2010 at multiple locations to increase likelihood of citizen participation, including the Office of Community Development, 36 Court Street; Office of Housing, 1600 East Columbus Avenue; Office of Planning and Economic Development, 70 Tapley Street and the Office of Health & Human Services, 95 State Street. An electronic version was posted on the City's Website at www.cityofspringfield.com.

A public hearing to obtain comments on the Draft Annual Action Plan was held on April 20th at 5:00 PM, 36 Court Street in Room 220.

Citizen Comments on the Plan. The City received one comment on the plan. Madeline Allen, with the agency Boat People SOS, sought support for the Vietnamese and Amerasian community. Ms. Allen pointed out that this population is severely underserved and that it is important for the City to fund the Vietnamese Health Liaison Project.

Efforts to broaden public participation in development of plan. Because the City has not attracted high turnouts at public hearings for the Consolidated and Annual Actin Plans, the City undertook additional outreach during the planning process for the five year plan. The City sponsored both a widely-distributed web-based survey, which collected over 2900 responses, and it conducted a series of focus-group consultation meetings in the community, sponsored by neighborhood or non-profit groups. In addition to survey and outreach meetings, a flyer was mailed out to individuals and organizations listed on the Office of Community Development's extensive mailing list. The flyer was also posted on the City's Website.

Details about comments received were included in the final 10-2011 Annual Action Plan submitted to HUD.

The City will continue to strive to make the document accessible through several mediums in a timely manner to ensure maximum citizen participation.

Consolidated Annual Performance Evaluation and Review (CAPER)

An executive summary of the CAPER for the fiscal year that commenced on July 1, 2010 and

ended on June 30, 2011 (FY 10-2011) was posted online and available for public review from August 31, 2011 through September 23, 2011 and a public hearing was held on September 14th at 5:00, at Springfield City Hall in Room 220. During the review period copies of the Draft CAPER were available to all Springfield's residents, at the following locations:

- Office of Planning & Economic Development, 70 Tapley Street;
 - Office of Community Development, 1600 E. Columbus Avenue;
 - Office of Housing, 1600 E Columbus Avenue, 1st Floor
 - Department of Health and Human Services, 95 State Street
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

An announcement about the hearing and the availability of the draft document was published in English and Spanish in the Springfield Republican on August 18, 2011 and August 31, 2011. A flyer was mailed to persons and organizations included on the Office of Community Development's extensive mailing list. The advertisement also solicited written feedback from Springfield residents.

xi. Self Evaluation and Performance Measurement

During the FY06-07, the City of Springfield undertook a thorough self evaluation process as part of its planning process for the FY07-08 Action Plan. The City also allocated time and resources for gathering and analyzing data and community input to assist with the identification of annual priorities, goals and objectives for the Action Plan and for problem solving and technical assistance to subrecipients.

As indicated in the "Overcome Gaps in Institutional Structures and Enhance Coordination" section above, during this 06-07 program year the City conducted an analysis of the Consolidated Plan delivery system and took measurable steps toward eliminating or reducing the gaps identified.

In particular, the continued reorganization of the community development departments led to identification of non federal funding to hire new staff to conduct economic development and revitalization programs and projects and to continue to improve the delivery of code enforcement, demolition and related programs.

Also, the City continued to work with community based development organizations and other non profits to improve the delivery of programs serving residents of CDBG eligible areas in a coordinated, efficient and thorough manner. It is anticipated that such upfront investment will yield increased capacity at underperforming community-based organizations, CDC's, and nonprofits; leverage additional funds for projects and programs; and result in improved living conditions and quality of life for low and moderate income persons in Springfield.

In FY 10-11 this increased coordination was particularly evident through work conducted to revitalize Springfield's neighborhoods through City programs and initiatives aimed to eliminate blight through the South End Improvements Program; including the Retail Enhancement Program, Façade Small Business Loan Program, and State Street Corridor Improvements.

xii. Sources of Funds

The City of Springfield (the “City”) expects to receive amounts allocated under HUD Formula grant programs and through program income during the year to address the priority needs and objectives identified in the City’s strategic plan.

SOURCES OF FUNDS	
CDBG	\$ 4,441,059.00
HOME	\$ 1,801,347.00
HOPWA	\$ 481,793.00
ESG	\$ 182,962.00
	\$
Subtotal	\$ 6,907,161.00
Total Estimated Program Income for FY2010-2011	
CDBG	\$ 250,000.00
HOME	\$ 15,000.00
Grant funds from previous years for which the planned use has not been included in prior statements or plans	
CDBG	\$ 900,000.00
	\$
TOTAL ENTITLEMENT FUNDING SOURCES	\$ 8,072,161.00

Federal funds from non-entitlement sources were used for toward programs and projects underway in Springfield during the fiscal year. (NOTE: Many of the following sources of funds are intended to be utilized over a period of several years.)

Other Sources of Funds Expended during 2010-2011

PROJECT	EXPENDITURE	SOURCES OF FUNDS
South End Development	\$ 2,261,238	City of Springfield Bond
Chapman Valve Development	\$ 43,563	City of Springfield Bond
Neighborhood Stabilization	\$ 693,942	Federal - HUD
	\$ 628,039	State - DHCD
Brownfields-Assessment	\$ 14,025	EPA
Neighborhood Development - Demolition Program		
Derelict Structures	\$ 378,702	City of Springfield Bond
ARRA Funds	\$ 731,925.13	CDBG-R Federal – HUD
GDI Grant	\$ 804,731	HPRP – Federal – HUD
	\$ 400,000	State Funds
Housing Initiatives	\$ 1,000,000	MFHA
	\$ 598,972	DHCD-Heartwap
	\$ 2,027,000	DHCD – Home
	\$ 620,000	MHP
	\$ 9,858,000	Housing Innovation Funds
	\$ 7,806,291	Private Financing
	\$ 750,000.00	Housing Stabilization Fund
	\$ 33,741,003	Tax Credit Equity
Homeless Initiatives	\$ 374,950	HUD-Shelter Plus Care
	\$ 994,452	HUD-McKinney Grant
	\$ 1,993,666.66	Health Care for the Homeless-5 yr
	\$ 110,947,126.91	

The City of Springfield is 100% forgiven from HOME matching requirements for the FY07-08 fiscal year and the following year of FY08-09. Current demographic trends in the City of Springfield cause the City to meet the regulatory definition of a local government participating jurisdiction that is in severe fiscal distress as stated in section 92.222(a)(1) of the HOME Investment Partnership regulations. This means that in Springfield:

- “The average poverty rate in the participating jurisdiction was equal to or greater than 125 percent of the average national poverty rate during the calendar year for which the most recent data are available, as determined according to information of the Bureau of the Census.”

Poverty Rate		
Springfield, MA	United States	% of Average United States Poverty Rate
29.6	13.3	223%

Source: US Census Bureau, 2005 American Community Survey

- “The average per capita income in the participating jurisdiction was less than 75 percent of the average national per capita income during the calendar year for which the most recent data are available.”

Per Capita Income*		
Springfield, MA	United States	% of United States
17,023	25,035	68%

*In 2005 inflation-adjusted dollars

Source: US Census Bureau, 2005 American Community Survey

Vendors and service providers provide the required ESG match. As a component of their applications and monthly reports, each provider must detail their matching funds. Resources used include:

- Department of Social Services
- Department of Transitional Assistance
- Mass Bar Foundation
- Department of Mental Health
- Department of Transitional Assistance
- SMOC/CSBG
- HRSA

The City also anticipates it will use publicly owned land to further its objectives.

City-owned properties will be used to further affordable housing, citizen participation and economic development objectives.

The City, through its Tax Title foreclosure process, strives to create as much affordable housing as is feasible either through public auction or via a Request for Proposals process. The City is currently devising a city-wide, neighborhood-specific housing strategy geared towards maximizing homeownership.

E. Low Mod Calculation

LOW/MOD CALCULATION-FY11-FEDERAL YEAR 2010

Total Expenditures		\$	5,838,362.78
Less:			
Planning and Administration			<u>(924,631.81)</u>
		\$	3,725,668.74
Activities Categorized as Slum & Blight			
Activity			
Historic Restoration	2832	\$	(52,321.00)
Historic Restoration	2990	\$	(118,300.00)
Bond Payment	2737	\$	<u>(433,102.03)</u>
Total Expenditures qualifying as Low/Mod		\$	4,310,007.94
Percentage Benefit			87.71%

LOW/MOD MULTI-YEAR CALCULATION

FY08		\$	2,854,248.83
FY09		\$	3,725,668.74
FY10		\$	4,913,730.97
TOTAL		\$	11,493,648.54
FY08		\$	2,417,210.80
FY09		\$	3,188,306.88
FY10		\$	4,310,007.94
TOTAL		\$	9,915,525.62
Percentage Benefit			86.27%

F. Annual Performance Report Reconciliation

FEDERAL YEAR 2010-FISCAL YEAR 2011				
Annual Performance Report Reconciliation-HOME Entitlement				
Beginning Balance				216.48
Amount Received (Prior Year Report)				
Program Income				
Amount Expended	Comm. Dev/Office of Housing			131,554.40
				<u>(131,770.88)</u>
		Balance on Hand		0.00
Detail-Program Income Draws				
Draws:				
Date	HUD#	Voucher #	Amount	
7/21/2010	#3112	#5139665	216.48	
10/27/2010	#3269	#5182700	1,001.28	
3/8/2011	#3252	#5240644	51,000.00	
3/8/2011	#3428	#5240644	3,000.00	
3/8/2011	#3442	#5240644	230.42	
3/8/2011	#3447	#5240644	3,000.00	
3/8/2011	#3451	#5240644	3,000.00	
6/24/2011	#3467-3477	#5290107	33,000.00	
6/24/2011	#3485-3497	#5290107	37,322.70	
			\$131,770.88	
Expenditure Category Detail:				
Non-CHDO Multi-Family				51,000.00
First Time Homebuyer				<u>80,554.40</u>
	Total 2011 Program Income			131,554.40

HOME Activities Total

HOME ACTIVITIES TOTALS-FY2011-FEDERAL YEAR 2010

1. Homebuyer Assistance		
PBHO-CHDO		\$ 10,000.00
PBHO-NON-CHDO		<u>\$ 821,350.00</u>
	Total	\$ 831,350.00
2. Multi-Family Production		
PBHO-CHDO		\$ -
PBHO-NON-CHDO		<u>\$ 1,374,624.17</u>
	Total	\$ 1,374,624.17
3. First Time Homebuyer	Total	\$ 255,000.00
4. Existing Owner Rehab	Total	\$ 0.00
5. Tenant Based Rental Assistance (TBRA)	Total	\$ 329,077.32
6. Administration	Total	\$ 190,028.15

HOME TOTAL **\$2,980,079.64**

Home Administration Cap		
Entitlement		\$1,801,347.00
Program Income		<u>\$ 131,554.40</u>
Total		\$1,827,164.61
Amount Expended		\$ 190,028.15
Percentage		9.83%

Annual Performance Report HOME Program

**U.S. Department of Housing
and Urban Development**
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	This report is for period (mm/dd/yyyy) Starting 07/01/2009	Ending 06/30/2010	Date Submitted (mm/dd/yyyy)
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Part I Participant Identification

1. Participant Number M-09-MC-25-0209	2. Participant Name City of Springfield		
3. Name of Person completing this report Geraldine McCafferty	4. Phone Number (Include Area Code) 413-787-6500		
5. Address 1600 East Columbus Avenue	6. City Springfield	7. State MA	8. Zip Code 01103

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
\$14,846.09	\$19,585.61	\$34,215.22		\$216.48

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic	
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic		e. Hispanic
A. Contracts						
1. Number	6			1	2	3
2. Dollar Amount	\$14,041,457			\$652,704	\$310,000	\$13,078,753
B. Sub-Contracts						
1. Number	9		1	6	2	
2. Dollar Amount	\$1,820,464		\$31,318	\$232,772	\$1,556,374	
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number	1	1				
2. Dollar Amount	\$432,800	\$432,800				
D. Sub-Contracts						
1. Number	6	6				
2. Dollar Amounts	\$675,193	\$675,193				

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
1. Number	4		1		2
2. Dollar Amount	\$1,150,000		\$50,000		\$1,100,000

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost	Minority Business Enterprises (MBE)			f. White Non-Hispanic
Households Displaced	a. Total		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic
1. Parcels Acquired						
2. Businesses Displaced						
3. Nonprofit Organizations Displaced						
4. Households Temporarily Relocated, not Displaced						
5. Households Displaced - Number						
6. Households Displaced - Cost						

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 9,446,222
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 1,611,078
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	11.3 %
D. Total number of Section 3 businesses receiving contracts	11

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other: describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

H. Financial Summary Grantee Performance

Financial Summary
Grantee Performance Report
Community Development Block Grant Program

U. S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0077 (Exp. 5/31/97)

1. Name of Grantee City of Springfield	2. Grant Number B-10-MC-25-0023	3. Reporting Period From 7/1/10 to 6/30/11	
Part I: Summary of CDBG Resources			
1. Unexpended CDBG funds at end of previous reporting period (Balance from prior program years)			6,030,690
2. Entitlement Grant from Form HUD-7082			4,441,059
3. Surplus Urban Renewal Funds			-
4. Section 108 Guaranteed Loan Funds (Principal Amount)			-
5. Program Income received by:	Grantee (Column A)	Subrecipient (Column B)	
a. Revolving Funds	\$ -	\$ -	
b. Other (identify below, if more space is needed use an attachment)			
Program income	200,845		
c. Total Program Income (sum of columns a and b)			200,845
6. Prior Period Adjustments (if column is a negative amount, enclose in brackets)			-
7. Total CDBG Funds available for use during this reporting period (sum of lines 1 through 5)			10,672,594
Part II: Summary of CDBG Expenditures			
8. Total expenditures reported on Activity Summary, forms HUD-4949.2 & 4949.2A			5,838,363
9. Total expended for Planning & Administration (form HUD-4949.2)			\$ 924,632
10. Amount subject to Low/Mod Benefit Calculation (line 8 minus line 9)			\$ 4,913,731
11. CDBG funds used for Section 108 principal & interest payments			-
12. Total expenditure (line 8 plus line 11)			5,838,363
13. Unexpended balance (line 7 minus line 12)			4,834,231
Part III: Low/Mod Benefit This Reporting Period			
14. Total Low/Mod credit for multi-unit housing expenditures from form HUD-4949.2A			-
15. Total from all other activities qualifying as low/mod expenditures from forms HUD-4949.2 and 4949.2A			4,310,008
16. Total (line 14 plus line 15)			4,310,008
17. Low/Mod Benefit percentage			87.71%

Part IV: Low/Mod Benefit for Multi-Year Certifications (Complete only if certification period includes prior years)

Program years (PY) covered in certification PY 08 PY 09 PY 10

18. Cumulative net expenditures subject to program benefit calculation	11,493,649
19. Cumulative expenditures benefitting low/mod persons	9,915,526
20. Percent benefit to low/mod persons (line 19 divided by line 18)	86.27%

Part V: For Public Service (PS) Activities Only: Public Service Cap Calculation

21. Total PS expenditures from column h, form HUD-4949.2A	-
22. Total PS unliquidated obligations from column i, form HUD-4949.2A	-
23. Sum of line 21 and line 22	SEE
24. Total PS unliquidated reported at the end of the previous reporting period	ATTACHED
25. Net obligations for public services (line 23 minus line 24)	-
26. Amount of Program Income received in the preceding year	-
27. Entitlement Grant Amount (from line 2)	-
28. Sum of line 26 and 27	-
29. Percent funds obligated for Public Service Activities (line 25 divided by line 28)	%

Part VI: Planning and Program Administration Cap Calculation

30. Amount subject to planning and administrative cap (grant amount from line 2 plus line 5c)	4,641,904
31. Amount expended for Planning & Administration (from line 9 above)	924,632
32. Percent funds expended (line 31 divided by line 30)	19.92%

PUBLIC SERVICE CAP 15% - CALCULATION

		TOTAL EXPENDITURE	TOTAL EXEMPT	ADJUSTED TOTAL
21	Total Public Service Expenditures	855,068.32	(98,239.47)	756,828.85
22	Total PS Unliquidated Obligations	100,147.63	(44,167.60)	55,980.03
23	Sum of line 21 and 22	955,215.95	(142,407.07)	812,808.88
24	Total PS Unliquidated obligation reported at end of previous reporting period	(94,348.21)	0.00	(94,348.21)
25	Net Obligation for Public Service (line 23-line 24)	860,867.74	(142,407.07)	718,460.67
26	Amount of Program Income received in the preceding program year	298,002.63		298,002.63
27	Entitlement Grant Amount	4,441,059.00		4,441,059.00
28	Sum of lines 26 and 27	4,739,061.63		4,739,061.63
29	Percent of Funds Obligated for PS (line 25 divided by line 28)	18%		15%

DRAFT

Community Development Activity	Original Budget	Incr/decr	Amended Budget	Prior Year Expenditures	Expenditures FY11	Total Expenditures	Balance
PUBLIC SERVICE							
5A	7,000.00		7,000.00	3,525.00	3,475.00	7,000.00	0.00
Blackmen of Greater Spfld	10,900.00	(189.31)	10,710.69	9,894.05	816.64	10,710.69	0.00
CJO Hampden County	8,000.00	(454.41)	7,545.59	7,545.59	0.00	7,545.59	0.00
Friends of the Homeless	71,000.00		71,000.00	66,267.88	4,732.12	71,000.00	(0.00)
Boat People	7,000.00	(19.80)	6,980.20	6,442.00	538.20	6,980.20	0.00
Greater Christian New Life Center	8,000.00	(506.40)	7,493.60	1,998.78	5,494.82	7,493.60	0.00
MLK	20,000.00	(1,719.66)	18,280.34	4,501.65	13,778.69	18,280.34	0.00
MCDI-culinary	6,000.00		6,000.00	5,888.77	111.23	6,000.00	0.00
NNCC-gerena after school	10,000.00		10,000.00	6,057.34	3,942.66	10,000.00	0.00
NNCC-BASP	10,000.00		10,000.00	6,850.18	3,149.82	10,000.00	0.00
NNCC-MPCP	20,000.00		20,000.00	12,405.83	7,594.17	20,000.00	0.00
NNCC-recovery support	20,000.00		20,000.00	6,392.11	13,607.89	20,000.00	0.00
Open Panty	5,000.00		5,000.00	4,580.00	420.00	5,000.00	0.00
Open Panty-emergency food	15,000.00		15,000.00	13,750.00	1,250.00	15,000.00	0.00
Friends of Camp Star	97,000.00	29,388.00	126,388.00	85,388.00	41,000.00	126,388.00	0.00
PRCC	10,000.00	(4,185.69)	5,814.31	3,595.81	2,218.50	5,814.31	0.00
PRCC	24,000.00	(10,749.01)	13,250.99	0.00	13,250.99	13,250.99	0.00
PRCC	20,000.00	(7,709.03)	12,290.97	8,948.17	3,342.80	12,290.97	0.00
South End CC	27,900.00	(59.00)	27,841.00	27,841.00	0.00	27,841.00	0.00
Springfield Girls Club	8,000.00	(71.06)	7,928.94	4,992.81	2,936.13	7,928.94	0.00
Mass Association for the Blind	5,000.00		5,000.00	2,083.31	2,916.69	5,000.00	0.00
Urban League	8,000.00	(307.50)	7,692.50	4,000.10	3,692.40	7,692.50	0.00
VACA	5,000.00		5,000.00	4,583.37	416.63	5,000.00	0.00
VACA	10,000.00		10,000.00	9,166.63	833.37	10,000.00	0.00
YWCA	15,000.00		15,000.00	5,616.34	9,383.66	15,000.00	0.00
5A	6,000.00		6,000.00	0.00	6,000.00	6,000.00	0.00
AWAKE	5,000.00	(5,000.00)	0.00	0.00	0.00	0.00	0.00
Blackmen of Greater Spfld	10,000.00	2,315.18	12,315.18	0.00	9,977.59	9,977.59	2,337.59
Boat People SOS	7,000.00		7,000.00	0.00	5,690.69	5,690.69	1,309.31
Council of Churches	19,000.00		19,000.00	0.00	19,000.00	19,000.00	0.00
CJO Hampden County	8,000.00		8,000.00	0.00	7,655.07	7,655.07	344.93
Dunbar	5,000.00		5,000.00	0.00	4,450.00	4,450.00	550.00
Forest Park Zoological	7,500.00		7,500.00	0.00	0.00	0.00	7,500.00
Friends of the Homeless	115,000.00		115,000.00	0.00	113,765.42	113,765.42	1,234.58
Gray House	6,000.00		6,000.00	0.00	6,000.00	6,000.00	0.00
Greater Christian New Life Center	7,000.00	(28.14)	6,971.86	0.00	0.00	0.00	6,971.86

Mass Fair Housing Center	7,500.00		7,500.00	0.00	0.00	0.00	7,500.00
Hungry Hill	5,000.00		5,000.00	0.00	2,218.92	2,218.92	2,781.08
MLK	10,000.00	(1,832.89)	8,167.11	0.00	3,981.40	3,981.40	4,185.71
NNCC-recovery	20,000.00		20,000.00	0.00	11,475.07	11,475.07	8,524.93
NNCC-summer fun	10,000.00		10,000.00	0.00	2,638.71	2,638.71	7,361.29
NNCC-homless prev	20,000.00		20,000.00	0.00	20,000.00	20,000.00	0.00
NNCC-underground	10,000.00		10,000.00	0.00	5,329.23	5,329.23	4,670.77
NNCC-ESOL	0.00	35,300.24	35,300.24	0.00	11,689.63	11,689.63	23,610.61
Friends of Camp Star	87,000.00	50,217.20	137,217.20	0.00	137,217.20	137,217.20	0.00
Park Dept-Recreation Program	88,000.00	(29,508.26)	58,491.74	0.00	58,491.74	58,491.74	0.00
Pine Point CC	6,500.00	(886.13)	5,613.87	0.00	5,124.49	5,124.49	489.38
ROCA	15,000.00	(552.50)	14,447.50	0.00	10,815.50	10,815.50	3,632.00
PRCC	15,000.00	(15,000.00)	0.00	0.00	0.00	0.00	0.00
PRCC	10,000.00	(10,000.00)	0.00	0.00	0.00	0.00	0.00
PRCC	15,000.00	(15,000.00)	0.00	0.00	0.00	0.00	0.00
Salvation Army	10,000.00		10,000.00	0.00	9,273.14	9,273.14	726.86
South End CC	25,000.00	(37.07)	24,962.93	0.00	24,962.93	24,962.93	0.00
Spfld Boys & Girls Club	6,000.00		6,000.00	0.00	2,314.96	2,314.96	3,685.04
Spfld Boys & Girls Club	8,000.00		8,000.00	0.00	8,000.00	8,000.00	0.00
Springfield Girls Club	7,000.00		7,000.00	0.00	6,212.64	6,212.64	787.36
SPCA	9,000.00		9,000.00	0.00	4,055.37	4,055.37	4,944.63
Springfield Park Department	154,158.00	8,851.74	163,009.74	0.00	163,009.74	163,009.74	0.00
Square One	7,000.00		7,000.00	0.00	7,000.00	7,000.00	0.00
Square One	9,000.00		9,000.00	0.00	9,000.00	9,000.00	0.00
Mass Association for the Blind	5,000.00	(5,000.00)	0.00	0.00	0.00	0.00	0.00
Urban League	7,000.00	(0.30)	6,999.70	0.00	0.00	0.00	6,999.70
VACA-home	5,000.00		5,000.00	0.00	5,000.00	5,000.00	0.00
VACA-family	5,000.00		5,000.00	0.00	5,000.00	5,000.00	0.00
Western MA Development Collaborative	10,000.00	(187.75)	9,812.25	0.00	9,812.25	9,812.25	0.00
YMCA	10,000.00	(995.78)	9,004.22	0.00	9,004.22	9,004.22	0.00
YWCA	12,000.00		12,000.00	0.00	12,000.00	12,000.00	0.00
TOTAL PUBLIC SERVICE	1,251,458.00		1,267,530.67	312,314.72	855,068.32	1,167,383.04	100,147.63
EXEMPT - PUBLIC SERVICE							
NNCC-gerena after school	10,000.00		10,000.00	6,057.34	3,942.66	10,000.00	0.00
NNCC-BASP	10,000.00		10,000.00	6,850.18	3,149.82	10,000.00	0.00
NNCC-MPCP	20,000.00		20,000.00	12,405.83	7,594.17	20,000.00	0.00
NNCC-recovery support	20,000.00		20,000.00	6,392.11	13,607.89	20,000.00	0.00
PRCC	10,000.00	(4,185.69)	5,814.31	3,595.81	2,218.50	5,814.31	0.00
PRCC	24,000.00	(10,749.01)	13,250.99	0.00	13,250.99	13,250.99	0.00
PRCC	20,000.00	(7,709.03)	12,290.97	8,948.17	3,342.80	12,290.97	0.00
NNCC-recovery	20,000.00		20,000.00	0.00	11,475.07	11,475.07	8,524.93
NNCC-summer fun	10,000.00		10,000.00	0.00	2,638.71	2,638.71	7,361.29
NNCC-homless prev	20,000.00		20,000.00	0.00	20,000.00	20,000.00	0.00

NNCC-underground	10,000.00		10,000.00	0.00	5,329.23	5,329.23	4,670.77
NNCC-ESOL	0.00	35,300.24	35,300.24	0.00	11,689.63	11,689.63	23,610.61
PRCC	15,000.00	(15,000.00)	0.00	0.00	0.00	0.00	0.00
PRCC	10,000.00	(10,000.00)	0.00	0.00	0.00	0.00	0.00
PRCC	15,000.00	(15,000.00)	0.00	0.00	0.00	0.00	0.00
TOTAL EXEMPT - PUBLIC SERVICE			186,656.51	44,249.44	98,239.47	142,488.91	44,167.60
NON-EXEMPT - PUBLIC SERVICE							
5A	7,000.00		7,000.00	3,525.00	3,475.00	7,000.00	0.00
Blackmen of Greater Spfld	10,900.00	(189.31)	10,710.69	9,894.05	816.64	10,710.69	0.00
CJO Hampden County	8,000.00	(454.41)	7,545.59	7,545.59	0.00	7,545.59	0.00
Friends of the Homeless	71,000.00		71,000.00	66,267.88	4,732.12	71,000.00	(0.00)
Boat People	7,000.00	(19.80)	6,980.20	6,442.00	538.20	6,980.20	0.00
Greater Christian New Life Center	8,000.00	(506.40)	7,493.60	1,998.78	5,494.82	7,493.60	0.00
MLK	20,000.00	(1,719.66)	18,280.34	4,501.65	13,778.69	18,280.34	0.00
MCDI-culinary	6,000.00		6,000.00	5,888.77	111.23	6,000.00	0.00
Open Panty	5,000.00		5,000.00	4,580.00	420.00	5,000.00	0.00
Open Panty-emergency food	15,000.00		15,000.00	13,750.00	1,250.00	15,000.00	0.00
Friends of Camp Star	97,000.00	29,388.00	126,388.00	85,388.00	41,000.00	126,388.00	0.00
South End CC	27,900.00	(59.00)	27,841.00	27,841.00	0.00	27,841.00	0.00
Springfield Girls Club	8,000.00	(71.06)	7,928.94	4,992.81	2,936.13	7,928.94	0.00
Mass Association for the Blind	5,000.00		5,000.00	2,083.31	2,916.69	5,000.00	0.00
Urban League	8,000.00	(307.50)	7,692.50	4,000.10	3,692.40	7,692.50	0.00
VACA	5,000.00		5,000.00	4,583.37	416.63	5,000.00	0.00
VACA	10,000.00		10,000.00	9,166.63	833.37	10,000.00	0.00
YWCA	15,000.00		15,000.00	5,616.34	9,383.66	15,000.00	0.00
5A	6,000.00		6,000.00	0.00	6,000.00	6,000.00	0.00
AWAKE	5,000.00	(5,000.00)	0.00	0.00	0.00	0.00	0.00
Blackmen of Greater Spfld	10,000.00	2,315.18	12,315.18	0.00	9,977.59	9,977.59	2,337.59
Boat People SOS	7,000.00		7,000.00	0.00	5,690.69	5,690.69	1,309.31
Council of Churches	19,000.00		19,000.00	0.00	19,000.00	19,000.00	0.00
CJO Hampden County	8,000.00		8,000.00	0.00	7,655.07	7,655.07	344.93
Dunbar	5,000.00		5,000.00	0.00	4,450.00	4,450.00	550.00
Forest Park Zoological	7,500.00		7,500.00	0.00	0.00	0.00	7,500.00
Friends of the Homeless	115,000.00		115,000.00	0.00	113,765.42	113,765.42	1,234.58
Gray House	6,000.00		6,000.00	0.00	6,000.00	6,000.00	0.00
Greater Christian New Life Center	7,000.00	(28.14)	6,971.86	0.00	0.00	0.00	6,971.86
Mass Fair Housing Center	7,500.00		7,500.00	0.00	0.00	0.00	7,500.00
Hungry Hill	5,000.00		5,000.00	0.00	2,218.92	2,218.92	2,781.08
MLK	10,000.00	(1,832.89)	8,167.11	0.00	3,981.40	3,981.40	4,185.71
Friends of Camp Star	87,000.00	50,217.20	137,217.20	0.00	137,217.20	137,217.20	0.00
Park Dept-Recreation Program	88,000.00	(29,508.26)	58,491.74	0.00	58,491.74	58,491.74	0.00
Pine Point CC	6,500.00	(886.13)	5,613.87	0.00	5,124.49	5,124.49	489.38
ROCA	15,000.00	(552.50)	14,447.50	0.00	10,815.50	10,815.50	3,632.00
Salvation Army	10,000.00		10,000.00	0.00	9,273.14	9,273.14	726.86
South End CC	25,000.00	(37.07)	24,962.93	0.00	24,962.93	24,962.93	0.00
Spfld Boys & Girls Club	6,000.00		6,000.00	0.00	2,314.96	2,314.96	3,685.04
Spfld Boys & Girls Club	8,000.00		8,000.00	0.00	8,000.00	8,000.00	0.00
Springfield Girls Club	7,000.00		7,000.00	0.00	6,212.64	6,212.64	787.36

SPCA	9,000.00		9,000.00	0.00	4,055.37	4,055.37	4,944.63
Springfield Park Department	154,158.00	8,851.74	163,009.74	0.00	163,009.74	163,009.74	0.00
Square One	7,000.00		7,000.00	0.00	7,000.00	7,000.00	0.00
Square One	9,000.00		9,000.00	0.00	9,000.00	9,000.00	0.00
Mass Association for the Blind	5,000.00	(5,000.00)	0.00	0.00	0.00	0.00	0.00
Urban League	7,000.00	(0.30)	6,999.70	0.00	0.00	0.00	6,999.70
VACA-home	5,000.00		5,000.00	0.00	5,000.00	5,000.00	0.00
VACA-family	5,000.00		5,000.00	0.00	5,000.00	5,000.00	0.00
Western MA Development Collaborative	10,000.00	(187.75)	9,812.25	0.00	9,812.25	9,812.25	0.00
YMCA	10,000.00	(995.78)	9,004.22	0.00	9,004.22	9,004.22	0.00
YWCA	12,000.00		12,000.00	0.00	12,000.00	12,000.00	0.00
TOTAL NON-EXEMPT PUBLIC SERVICE	1,037,458.00		1,080,874.16	268,065.28	756,828.85	1,024,894.13	55,980.03
TOTAL	\$ 1,037,458.00		\$ 1,267,530.67	\$ 312,314.72	\$ 855,068.32	\$ 1,167,383.04	\$ 100,147.63

DRAFT

J. HOPWA Summary of Program Expenditures

Part 3 Program Expenditures and Housing Provided.

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

Exhibit E – Summary of Program Expenditures. – FY11; Federal 2009

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period.

Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

HOPWA Funding Available	
1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program)	\$93,157.29
2. Amount of HOPWA grant received during period	-0-
3. Program income (e.g., loan repayments)	-0-
4. Total of HOPWA funds available during period (sum of lines 1 thru 4)	\$93,157.29

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

HOPWA Expenditures (Totals by Eligible Activity)	
5. Expenditures for Housing Information Services	\$5,421.00
6. Expenditures for Resource Identification	-0-
7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G)	\$27,368.76
8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used)	\$55,124.80
9. Grantee Administrative Costs expended	-0-
10. Project Sponsor(s) Administrative Costs expended	\$5,242.73
11. Total of HOPWA funds expended during period (sum of lines 5 thru 10)	\$93,157.29
12. Balance of HOPWA funds at end of report period (line 4 minus line 11)	-0-

Part 3 Program Expenditures and Housing Provided.

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

Exhibit E – Summary of Program Expenditures. – FY11; Federal 2010

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period.

Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

HOPWA Funding Available	
1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program)	-0-
2. Amount of HOPWA grant received during period	\$481,793.00
3. Program income (e.g., loan repayments)	-0-
4. Total of HOPWA funds available during period (sum of lines 1 thru 4)	\$481,793.00

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

HOPWA Expenditures (Totals by Eligible Activity)	
5. Expenditures for Housing Information Services	\$20,198.00
6. Expenditures for Resource Identification	-0-
7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G)	\$129,894.24
8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used)	\$186,338.98
9. Grantee Administrative Costs expended	\$14,454.00
10. Project Sponsor(s) Administrative Costs expended	\$22,894.12
11. Total of HOPWA funds expended during period (sum of lines 5 thru 10)	\$373,779.34
12. Balance of HOPWA funds at end of report period (line 4 minus line 11)	\$108,013.66

Emergency Shelter Grant, Financial Status Report 09

FINANCIAL STATUS REPORT	1. Submitted to: Department of Housing and Urban Development	2. Federal Grant or Other Identifying Number S-09-MC-25-0013	Page 1 of 1				
3. Recipient Organization City of Springfield Office of Community Development 36 Court Street Springfield, Massachusetts 01103	4. Employer Identification Number 04-6001415	6. Final Report? Yes	7. Basis ? Cash				
	PROJECT/GRANT PERIOD		PERIOD COVERED BY THIS REPORT				
	From: To:	From: To:	7/1/2010 6/30/2011				
STATUS OF FUNDS							
PROGRAMS/FUNCTIONS/ACTIVITIES	a. Rehabilitation	b. Homeless Prevention	c. Services	d. Administration	e. Operations	f.	g. TOTAL
a. Net outlays previously reported	\$ -	42,274.14	22,916.67	9,116.60	62,007.71		136,315.12
b. Total outlays this report period	-	12,225.86	12,228.78	-	21,562.24		46,016.88
c. Less: Program income credits	-	-	-	-	-		-
d. Net outlays this report period (Line b minus line c)	-	12,225.86	12,228.78	-	21,562.24		46,016.88
e. Net outlays to date (Line a plus line d)	-	54,500.00	35,145.45	9,116.60	83,569.95		182,332.00
f. Less: Non-Federal share of outlays.	-	-	-	-	-		-
g. Total Federal share of outlays (Line e minus line f)	-	54,500.00	35,145.45	9,116.60	83,569.95		182,332.00
h. Total unliquidated obligations	-	-	-	-	-		-
i. Less: non-Federal share of unliquidated obligations on line h	-	-	-	-	-		-
j. Federal share of unliquidated obligations	-	-	-	-	-		-
k. Total Federal share of outlays and unliquidated obligations	-	54,500.00	35,145.45	9,116.60	83,569.95		182,332.00
l. Total cumulative amount of Federal funds authorized	-	54,500.00	35,145.45	9,116.60	83,569.95		182,332.00
m. Unobligated balance of Federal funds	-	-	-	-	-		-
CERTIFICATION I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.	SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL				DATE REPORT SUBMITTED		
	PRINTED NAME AND TITLE Cathy K. Buono Director of Administration and Finance				TELEPHONE (413) 787-6082		

Emergency Shelter Grant, Financial Status Report 10

FINANCIAL STATUS REPORT	1. Submitted to: Department of Housing and Urban Development	2. Federal Grant or Other Identifying Number S-10-MC-25-0013	Page 1 of 1				
3. Recipient Organization City of Springfield Office of Community Development 36 Court Street Springfield, Massachusetts 01103	4. Employer Identification Number 04-6001415	6. Final Report? Yes	7. Basis ? Cash				
	PROJECT/GRANT PERIOD		PERIOD COVERED BY THIS				
	From:	To:	From: To:				
		7/1/2010	6/30/2011				
STATUS OF FUNDS							
PROGRAMS/FUNCTIONS/ACTIVITIES	a. Rehabilitation	b. Homeless Prevention	c. Services	d. Administration	e. Operations	f.	g. TOTAL
a. Net outlays previously reported	\$ -	-	-	-	-		-
b. Total outlays this report period	-	38,416.25	20,833.34	9,148.00	97,724.50		166,122.09
c. Less: Program income credits	-	-	-	-	-		-
d. Net outlays this report period (Line b minus line c)	-	38,416.25	20,833.34	9,148.00	97,724.50		166,122.09
e. Net outlays to date (Line a plus line d)	-	38,416.25	20,833.34	9,148.00	97,724.50		166,122.09
f. Less: Non-Federal share of outlays.	-	-	-	-	-		-
g. Total Federal share of outlays (Line e minus line f)	-	38,416.25	20,833.34	9,148.00	97,724.50		166,122.09
h. Total unliquidated obligations	-	7,897.00	4,166.66	-	4,776.25		16,839.91
i. Less: non-Federal share of unliquidated obligations on line h	-	-	-	-	-		-
j. Federal share of unliquidated obligations	-	7,897.00	4,166.66	-	4,776.25		16,839.91
k. Total Federal share of outlays and unliquidated obligations	-	46,313.25	25,000.00	9,148.00	90,247.99		182,962.00
l. Total cumulative amount of Federal funds authorized	-	51,600.00	51,600.00	9,148.00	70,614.00		182,962.00
m. Unobligated balance of Federal funds	-	-	-	-	-		-
CERTIFICATION I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.	SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL				DATE REPORT SUBMITTED		
	PRINTED NAME AND TITLE Cathy K. Buono Director of Administration and Finance				TELEPHONE (413) 787-6082		

CDBG Entitlement Grant

Program Income Reconciliation

Total Program Income Fiscal Year 2011 \$ 200,844.60

<u>Date</u>	<u>HUD#</u>	<u>Voucher</u>	<u>Amount</u>
11/12/2010	3316	#5189848	\$ 77,755.61
02/23/2011	2972	#5233998	\$ 42,080.77
05/05/2011	3316	#5267634	\$ 55,994.96
08/23/2011	3286	#5315626	\$ <u>25,013.26</u>
			\$ 200,844.60

Expenditure Category Detail:

Administration	3316	\$ 133,750.57
HeartWap Program	3286	\$ 25,013.26
Public Service	2972	\$ <u>42,080.77</u>
		\$ 200,844.60

PROJECT RECONCILIATION

	2010-2011 Budget	2010-2011 Expenditures
Administration	\$ 938,211.00	\$ 924,631.81
Public Service	\$ 703,658.00	\$ 716,165.91
Economic Development Prog Delivery	\$ 50,000.00	\$ 36,196.16
Small Business Assistance	\$ 50,000.00	\$ 13,498.82
Workforce Development Program	\$ 100,000.00	\$ 70,677.83
Indian Motorcycle Redevelopment	\$ 50,000.00	\$ -
Lyman Street Development	\$ 50,000.00	\$ -
Retail Enhancement Program	\$ 50,000.00	\$ -
Existing Homeowner Rehab-emergency Repairs	\$ 100,000.00	\$ 138,710.00
First Time Homebuyer-NRSA and NSP	\$ 140,000.00	\$ -
HEARTWAP Program	\$ 175,000.00	\$ 176,334.44
Housing Program Delivery-Rehabilitation	\$ 78,000.00	\$ 79,987.38
Housing Program Delivery-Direct Assistance	\$ 105,383.00	\$ 98,782.84
Housing Program Delivery-Housing Placement Ass't	\$ 53,000.00	\$ -
Historic Restoration-Rehab blight	\$ 150,000.00	\$ -
Home Retention & Community Revitalization	\$ 75,000.00	\$ -
Receivership Program-Emergency Repairs	\$ 100,000.00	\$ -
Clearance and Demolition - Program Delivery	\$ 28,000.00	\$ 37,397.50
Bond Payment	\$ 433,102.00	\$ 433,102.03
Demolition of Vacant/Abandon Properties	\$ 344,000.00	\$ 23,676.00
Demolition of 12-14 Huntington Street	\$ 25,000.00	\$ -
Acquisition/Disposition	\$ 22,500.00	\$ 54,272.67
Code Enforcement - Street Sweeps	\$ 40,000.00	\$ 45,922.66
Abandon Response Program	\$ 180,000.00	\$ 25,949.15
Park Reconstruction	\$ 615,000.00	\$ 214,265.95
Streets/Sidewalks	\$ 400,000.00	\$ -
Public Facilities-Rehab for Non-Profits	\$ 345,205.00	\$ 286,993.18
Neighborhood Capacity Building Program Delivery	\$ 45,000.00	\$ 45,413.66
Graffiti Removal	\$ 45,000.00	\$ 40,177.69
PRIOR YEAR BUDGET CARRY OVER		
Public Service	\$ 774,757.00	\$ 129,147.77
Neighborhood Capacity Building	\$ 144,000.00	\$ 50,000.00
Public Facilities - Rehabilitation for Non-Profits	\$ 650,000.00	\$ 113,728.58
Park Reconstruction	\$ 700,000.00	\$ 782,307.03
Target Code Enforcement	\$ 65,000.00	\$ 35,099.44
Heartwap Program	\$ 217,000.00	\$ 15,591.00
Abandonment Response Program	\$ 188,000.00	\$ 92,911.65
Neighborhood Façade Program	\$ 200,000.00	\$ 37,709.97
Public Improvements - Infrastructure	\$ 761,370.00	\$ 522,583.52
Historic Preservation - Rehab Blight Reduction	\$ 150,000.00	\$ 118,300.00
Small Business Loan Pool	\$ 100,000.00	\$ 35,000.00
Existing Homeowner Rehabilitation	\$ 100,000.00	\$ 58,143.50
Historic Restoration - Rehab Blight Reduction	\$ 150,000.00	\$ 52,321.00
Workforce Development Program	\$ 100,000.00	\$ 9,864.81
South End Revitalization	\$1,100,000.00	\$ 180,083.78
Neighborhood Centers	\$ 200,000.00	\$ 115,454.00
Neighborhood Target Improvement Program	\$ 110,000.00	\$ 27,961.05

A. PROGRAM INCOME

		Category
Springfield Redevelopment Authority		
HeartWAP	<u>197,590.66</u>	Other
Total SRA	\$197,590.66	
Community Development		
Economic Development Loans		
3GS Transport	674.70	Economic Development
K&J Beauty	1,003.00	Economic Development
Alliance Medical	501.24	Economic Development
Misc. Receipts	1,075.00	Economic Development
Total Community Development	\$ 3,253.94	
TOTAL PROGRAM INCOME	298,002.63	

PROGRAM INCOME

Economic Development	3,253.94
Other	<u>197,590.66</u>
Total Program Income	<u>200,844.60</u>

B. PRIOR PERIOD ADJUSTMENTS **N/A**

C. LOANS AND OTHER RECEIVABLES

1. Float Funded activities - N/A
2. Total number of outstanding loans and outstanding principal balance owed for the reporting period:

a. Total Loans:	HUD Activity #	Principal Balance 06/30/2011	
1. 3GS Transport	3253	\$ 3,094.53	Economic Development
2. Felix's	3255	\$ 2,000.00	Economic Development

b. Total Loans:	HUD Activity #	Principal Balance 06/30/2011	
1. Springboard Technology	1334	\$200,000.00	Economic Development

Terms: Interest monthly in the amount of \$1,0833.33.

3. List of parcels of property owned that have been acquired or improved using CDBG funds

during the reporting peirod and are available for sale:

Addresses: none

3. Lump sum drawdowns - n/a

A PUBLIC HEARING

Review & Receive Citizen Input on Springfield's DRAFT Consolidated Annual Performance & Evaluation Report (CAPER) FY 10-2011

**Wednesday, September 14th, City Hall,
Room 220 at 5:00 PM**

Copies of the DRAFT CAPER will be available for 15 day period review beginning on August 31st at:

- Office of Planning and Economic Development, 70 Tapley Street;
- Office of Community Development, 1600 East Columbus Avenue;
- Office of Housing, 1600 E Columbus Ave, 1st Floor;
- Department of Health and Human Services, 95 State Street
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

Interested parties are also invited to submit written comments to Cathy Buono, Director of Administration & Finance, Office of Community Development. Submissions must be received by the Office of Community Development, 1600 East Columbus Avenue, Springfield, MA 01103 or ckelly@springfieldcityhall.com no later than 4:00 PM on Wednesday, September 21, 2011.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

A UNA AUDENCIA PUBLICA

Rendimiento Anual Del Proyecto Consolidado

Y Evaluacion Revisada

De Springfield FY 10 – 2011

Miercoles, 14 de Septiembre a las 5:00pm

En la Casa Alcaldia, Salon 220

Las copias del CAPER PROYECTO estara disponible durante 15 dias a partir de revision periodo el 31 Agosto en:

- Oficina de Planificación y Desarrollo Económico, 70 Tapley Street
- Oficina del Desarrollo de las Comunidad, 36 Court Street, Salon 101
- Oficina de la Vivienda y Vencindarios, 1600 E. Columbus Ave. 1er piso
- Departamento de Salud Y Servicios Humanos, 95 State Street
- http://www.springfieldcityhall.com/sos/Services/dept_cd.htm

Personas interesadas son invitadas a someter comentarios por escrito concierne a este documento. La correspondencia debe ser dirigida al Cathy Buono en la Oficina del Desarrollo de las Comunidad, 36 Court Street, Springfield, Ma 01103 o ckelley@springfieldcityhall.com no mas tarde de las 4:00PM, el Miercoles, 21 de Septiembre, 2011.

Para mas informacion, favor de llamar a la Oficina del Desarrollo de las Comunidad al 787-6050 o TTY 787-6641

Notice of DRAFT Availability And Public Hearing

Review of DRAFT Consolidated Annual Performance And Evaluation Report (CAPER)

7/1/10-6/30/2011

The City of Springfield, through the Office of Community Development, is preparing its Draft Consolidated Annual Performance and Evaluation Report (CAPER) for the program year July 1, 2010-June 30, 2011. This Annual Report outlines how federal Community Development Block Grant (CDBG), HOME Investment Partnership Act (HOME), Emergency Shelter Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) formula grant programs were utilized during the prior program year, which runs from July 1, 2010 to June 30, 2011.

Copies of the Draft CAPER will be available starting on August 31st through September 23rd at:

- Office of Planning & Economic Development, 70 Tapley Street;
- Office of Community Development, City Hall, 1600 East Columbus Avenue;
- Office of Housing, 1600 East Columbus Avenue, 1st Floor;
- Department of Health & Human Services, 95 State Street;
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

A Public Hearing will be held on Wednesday, September 14th, City Hall, Room 220 at 5:00 PM.

Interested parties are also invited to submit written comments to Cathy Buono, Director of Administration & Finance, Office of Community Development. Submissions must be received by the Office of Community Development no later than 4:00 PM; September 21, 2011. Please mail or email comments to the Office of Community Development, 1600 East Columbus Avenue, Springfield, MA 01103 or ckelly@springfieldcityhall.com.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

The City of Springfield is an Equal Employment Opportunity

**Aviso de Disponibilidad PROYECTO
Y
Audiencia Pública
Examen del Proyecto de Rendimiento Anuales Consolidadas e
Informe de Evaluación (CAPER)
7/1/10-6/30/2011**

. La ciudad de Springfield, a través de la Oficina de Desarrollo Comunitario, está preparando su Proyecto Consolidado Anual y el Informe de Evaluación (CAPER) para el año del programa 1 Julio 2010-30 Junio 2011. Este Informe Anual describe cómo federal Community Development Block Grant (CDBG), HOME Investment Partnership Act (HOME), para Refugios de Emergencia Grant (ESG) y Oportunidades de Vivienda para Personas con SIDA (HOPWA), la fórmula de subvención se utilizaron durante el año del programa anterior, que va de Julio 1a, 2010 hasta Junio 30, 2011.

Copias de los CAPER Proyecto estará disponible a partir del 31 de Agosto a través del 23 de Septiembre en:

- Oficina de Planificación y Desarrollo Económico, 70 Tapley Street;
- Oficina de Desarrollo Comunitario, Ayuntamiento, 1600 East Columbus Avenue;
- Oficina de Vivienda, 1600 East Columbus Avenue, 1er piso;
- Departamento de Salud y Servicios Humanos, el 95 State Street;
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

Una Audiencia Pública se llevará a cabo el Miércoles, 14 de Septiembre, el Ayuntamiento, Sala 220 a las 5:00 PM.

Las partes interesadas están invitados a presentar observaciones por escrito a Cathy Buono, Director de la Administration y Finanzas, Oficina de Desarrollo Comunitario. Las propuestas deben ser recibidas por la Oficina de Desarrollo de la Comunidad antes de las 4:00 pm; 21 de septiembre 2011. . Por favor envíe comentarios o correo electrónico a la Oficina de Desarrollo Comunitario, 1600 East Columbus Avenue, Springfield, MA 01103, o ckelly@springfieldcityhall.com .

Por favor, póngase en contacto con la Oficina de Desarrollo Comunitario al 787-6050 o TTY 787-6641 para obtener información adicional.

La Ciudad de Springfield es una Oportunidad Igualitaria de Empleo