

## **Executive Summary**

### **AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)**

#### **1. Introduction**

The City of Springfield receives allocations of funding from the U.S. Department of Housing Urban Development (HUD) every year to assist with economic and community development, develop and preserve affordable housing, and respond to homelessness. The City receives an allocation of Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with HIV/AIDS (HOPWA) grant. The HUD funds must benefit low- and moderate-income residents in Springfield (with the exception of HOPWA funds, which serve a broader geography). Springfield's annual allocation is based on a formula that uses a number of community development factors, including population, poverty, overcrowding, pre-1940's housing stock, and population growth. Springfield may spend the CDBG, HOME and ESG funds in all areas of the City, within the eligible designated areas. The City may spend HOPWA funds in the Eligible Metropolitan Statistical Area (EMSA), which is made up of Hampden, Hampshire and Franklin Counties.

To receive the funding, the City must complete a Consolidated Plan every five years. The Consolidated Plan includes an assessment of housing, homelessness and community development needs in the community and outlines a strategy to address those needs. The City incorporates input from community residents and stakeholders as it develops goals and strategies for the five-year plan. The Consolidated Plan outlines the ways the City will allocate CDBG, HOME, ESG and HOPWA funding to address the identified needs.

Annually, the City updates the Consolidated Plan by writing an Action Plan. The Action Plan outlines the projects and the one-year funding allocation towards meeting the goals of the Consolidated Plan. At the conclusion of each program year, the City of Springfield provides a final report, called the Consolidated Annual Performance and Evaluation Report (CAPER), which reports the accomplishments of the prior year and the City's progress towards the Consolidated Plan goals.

This document is the Second Year Action Plan for the City of Springfield for program year 2021-2022. Springfield's program year begins July 1st and ends June 30th. The 2020-2024 Consolidated Plan covers the period from July 1, 2020 to June 30, 2025.

#### **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Springfield has found that many of the same housing issues identified five years ago during the last Consolidated Plan are still relevant today. Stakeholders and the community input confirmed this analysis. As a result, the City will continue to implement similar strategies and priorities from the prior Consolidated Plan. The goals for the 2020-2024 Consolidated Plan focus on three main priorities – fostering an inclusive economy, strengthening neighborhoods, and providing healthy and affordable housing.

To foster an inclusive economy, the City will focus on economic development and supporting the local workforce. The goals under this priority area are:

- Support small businesses with façade improvements
- Support small businesses by creating or retaining jobs that target low- and moderate-income individuals
- Job training and placement with preference given to programs that focus on the hard to serve population, especially individuals who are out of the educational system and or workforce
- Fund supportive services that reduce barriers for low to moderate-income households seeking employment or who are employed, reducing the risk of the “cliff effect”
- Fund efforts around public art and growth of the creative economy, to foster elimination of blight, job creation, and create sense of place

To strengthen neighborhoods, the City will fund projects that support local assets. Funding will go towards historic preservation, social services, clean-up of contaminated sites, public facility improvements, and neighborhood capacity building. The goals under this priority are:

- Public Facilities/Improvements - work to improve the physical environment through enhancement of streets, parks, streetscapes, bikeways, and open space
- Public Facilities/Improvements – design and implement projects which will increase the City’s resilience to natural disasters
- Public Facilities/Improvements – Capital improvements to Non-Profit facilities
- Support public services for low to moderate income families
- Clean-up of unsafe sites and structures
- Support housing inspectors who enforce local housing codes
- Restore and rehabilitate historic housing and buildings to promote historic preservation, smart growth, and creation of new housing and commercial units
- Work with neighborhood councils and stakeholder groups to advance neighborhood planning and design work for future improvement projects
- Work with neighborhood councils to build capacity throughout the neighborhoods

To provide healthy and affordable housing, the City will fund the entire continuum of housing, from addressing the needs of homeless neighbors to helping families buy their first homes. The goals associated with this priority are:

- New affordable housing through rehabilitation, new construction, and acquisition for households with incomes at or below 80% AMI, creating affordable rental and homeownership units
- Assist households with income at or below 80% AMI to become homeowners
- Improve the city's housing stock by funding repairs to owner occupied homes
- Provide rental assistance to low- and extremely low-income households
- Prevent homelessness and rapidly rehouse people experiencing homelessness
- Provide overnight shelter and crisis housing for homeless families and individuals
- Provide housing assistance to persons with HIV/AIDS and their families
- Advocate and promote fair housing through federally funded housing projects and programs

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Springfield set 14 goals as part of its 2015-2019 Consolidated Plan. According to its 5th year CAPER, 11 of the goals had been met or exceeded by the City and its partner providers. Goals that addressed code enforcement, supported a public service or provided housing for the homeless were exceeded by large margins. Even with meeting these goals, stakeholder and public input continue to reinforce the need to continue these same strategies. Accomplishments from the five years of the 2015-2019 Consolidated Plan include:

- Rental housing renovated – 56 units renovated
- Homeowner housing created - 5 units built
- Homeowner housing renovated – 133 units renovated
- Direct financial assistance to homebuyers - 491 homebuyers assisted
- Provided rental assistance in the form of Rapid Re-Housing - 908 households assisted
- Support economic development initiatives – 107 jobs created or retained
- Support economic development initiatives – 126 businesses assisted
- Facade improvements for businesses - 10 businesses assisted
- Provided homelessness prevention services – 2,285 persons assisted
- Support services provided by homelessness shelters – 253 people served
- Support public services for those in need – 42,315 people served
- Demolished unsafe buildings or structures - 30 buildings demolished

#### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The City of Springfield encourages community input when developing its Consolidated Plan and Action Plan, and did so through the use of multiple outlets and outreach methods.

The Annual Action Plan process consists of: public notice published in the *Republican* and *LaVoz* newspapers; posting of hearing dates, request for comments and draft plan on the City's website; and two public hearings. This year the public hearings were held virtually due to the Coronavirus pandemic. The hearings were held on the City of Springfield's Facebook page; two Facebook Live hearings were held on February 8th and February 12, 2021.

The Annual Action Plan DRAFT was available for public review and 30 day comment period from April 13, 2021 through May 12, 2021. Copies of the DRAFT Plan were available for public review online at [http://www.springfieldcityhall.com/cos/services/dept\\_cd.htm](http://www.springfieldcityhall.com/cos/services/dept_cd.htm). Interested parties could also call 413-750-2241 to request a copy.

Interested parties were also invited to submit written comments concerning these documents by 4:00 PM on May 13, 2021. Questions or comments could be directed to Cathy Buono, Office of Community, 1600 East Columbus Avenue, Springfield, MA 01103 or to [cbuono@springfieldcityhall.com](mailto:cbuono@springfieldcityhall.com).

#### **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Citizen Participation and comments are made part of this document; AD-26.

#### **6. Summary of comments or views not accepted and the reasons for not accepting them**

Due to Coronavirus pandemic, the hearings were held on the City of Springfield's Facebook page as Facebook Live meetings. The hearings were in English and in Spanish. The City accepted all comments during the planning process. All comments received are made part of this document; AD-26.

#### **7. Summary**

The 2020-2024 Consolidated Plan examines the needs of the community and reviews established plans in the community, combining all the information together with public and stakeholder input to guide the City's goals and strategies for the next five years. It provides a blueprint for the City as it utilizes CDBG,

HOME, ESG and HOPWA funding, as well as for other funding related to community and economic development.

In winter and spring 2020, Springfield coordinated with the cities of Holyoke, Chicopee and Westfield to create a Four City Analysis of Impediments to Fair Housing (AI). The cities engaged the Pioneer Valley Planning Commission, the Donohue Institute at UMass Amherst, and the Mass Fair Housing Center to compile and analyze data, undertake a public participation process, and make recommendations to overcome identified impediments. The recommendations have been incorporated into Springfield's Consolidated Plan and Annual Action Plan.

The City of Springfield is the Unified Funding Agency and lead for the Springfield-Hampden County Continuum of Care (CoC). The CoC engages in its own analysis and planning to respond to homelessness throughout all of Hampden County. The City's Consolidated Plan and the CoC's Plan are closely coordinated and include the same goals and strategies to make homelessness rare, as short as possible, and non-recurring.

In its planning, the City also considered the strategies and missions of other private and public funding resources and initiatives in the community when developing its Consolidated Plan goals. Examples of these considerations include, but are not limited to, the United Way of Pioneer Valley, Live Well Springfield, Springfield Climate Justice Initiative, Massachusetts Fair Housing Center, MassHire Hampden County Workforce Board, and the Springfield Regional Chamber. While some of the initiatives were not items that directly result in the development of housing units or serve people, they offer guidance to the types of projects that the City should prioritize. Some of these priorities include:

- Support organizations and programs with diverse or inclusive Boards of Directors and staff
- Support programs and projects that help low- and moderate-income households address changing demands of client change as it relates to housing efficiency, disaster preparation or other needs
- Support programs and projects that help low- and moderate-income individuals who are less likely to access services but may need provided assistance
- Support fair housing choice through advocacy, education and testing, as well as increasing opportunity within racially and ethnically concentrated areas of poverty
- Support programs that improve access to education and job training opportunities, as well as improving access to broadband internet services
- Support the development of green infrastructure where it is financially feasible as part of neighborhood revitalization

## **PR-05 Lead & Responsible Agencies – 91.200(b)**

### **1. Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

<b>Agency Role</b>	<b>Name</b>	<b>Department/Agency</b>
CDBG Administrator	SPRINGFIELD	Office of Community Development
HOPWA Administrator	SPRINGFIELD	Office of Housing
HOME Administrator	SPRINGFIELD	Office of Housing
ESG Administrator	SPRINGFIELD	Office of Housing

**Table 1 – Responsible Agencies**

### **Narrative (optional)**

The City of Springfield Development Services Division is the lead agency, responsible for administering the programs covered by the Consolidated Plan, Annual Action Plan and any other HUD related documents. This Division is made up of the following departments: Community Development (OCD), Planning and Economic Development (OPED), Housing, Code Enforcement, and Neighborhood Services. The Division administers funds provided to other City Departments to carry out the plan, including Parks and Recreation, Public Works, Capital Assets, Elder Affairs, and Health and Human Services. The Division also contracts and oversees grant funding to various agencies and nonprofit organizations.

### **Consolidated Plan Public Contact Information**

Cathy Buono, Director of Administration and Finance, Office of Community Development is the public contact for all HUD related documents; cbuono@springfieldcityhall.com.

## **AP-10 Consultation – 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

The City encourages the participation of local and regional institutions, The Continuum of Care and other organizations; including businesses, developer, nonprofit organizations, philanthropic organizations, community based organizations and faith based organizations, in the process of developing, amending and implementing the Consolidated Plan. The City also encourages the participation of the City's low and moderate income residents, residents of public and assisted housing developments located within the City and residents of the targeted Neighborhood Revitalization Strategy Area (NRSA) in which funded programs and projects are located.

For the 5-year Consolidated Plan created in 2020, the City hosted five public meetings for residents, business owners and nonprofit agencies. The City notified the public of the meetings through mailings, City website, social media posts and an email was sent to the City's Community Development listserv. Four of the meetings focused on different topics: housing, homelessness, public services and economic development. People were also encouraged to fill out the survey. The City used input at these meetings, along with the survey results to develop its five year priorities and goals.

During winter and spring 2020, the City also conducted public outreach and engagement in connection with its Analysis of Impediments to Fair Housing, completed in June 2020.

### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))**

Springfield works to increase coordination among agencies from multiple sectors in a number of efforts. Key efforts which enhance coordination between housing providers and other agencies include:

- 1. Green and Healthy Homes Initiative** - A collaboration of health providers, housing rehabilitation providers, weatherization providers, and contractors working together to increase understanding of the connection between housing conditions and health risks and to intervene to address housing conditions that contribute to poor health (such as asthma triggers, lead paint and other toxins, and trip/fall hazards).
- 2. Western Massachusetts Network to End Homelessness** - The Network sponsors, in coordination with the Springfield-Hampden County Continuum of Care, four monthly meetings that bring together housing, shelter and social service providers to coordinate the housing response to homelessness among Individuals, Families, Unaccompanied Youth, and Veterans.

**3. C3 community/public safety initiatives in the North End, South End, Mason Square, and lower Forest Park**, which include weekly problem-solving meetings attended by residents, police, landlords, business owners, social service agencies and others to respond to neighborhood needs, as well as enhanced community-oriented policing.

Other coordination activities that the City leads or participates in include The Springfield Food Policy Council, LiveWell Springfield, the Springfield Climate Justice Coalition, and the BUILD Health Challenge.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The City of Springfield Office of Housing is the Collaborative Applicant and grant administrator for the Springfield-Hampden County Continuum of Care, and is also the Homeless Management Information System (HMIS) Lead for the CoC. City and CoC efforts are closely aligned and coordinated.

*Chronic Homelessness* Springfield is committed to ending chronic homelessness, and is part of the national Built for Zero campaign sponsored by Community Solutions, which incorporates best practices, data-driven strategies, and peer learning to help communities design and implement the systems necessary for ending chronic and veteran homelessness. The City leads regular case conferencing meetings among multiple providers to match chronically homeless individuals to available housing opportunities. The City has dedicated staff and HOME funds, and coordinates with the Springfield Housing Authority, in order to increase resources available for housing chronically homeless individuals.

*Veteran Homelessness* Springfield has joined the Mayor's Challenge to End Veteran Homelessness, as well as the Built for Zero campaign. City staff coordinate a homeless veteran by-name list and regular case conferencing meetings to connect homeless veterans with housing opportunities.

*Homeless Unaccompanied Youth* The CoC was designated a Youth Homelessness Demonstration Project (YHDP) community in 2019, and conducted a community planning process in 2019-2020, which produced a coordinated community plan to prevent and end youth and young adult homelessness. The plan was accepted by HUD in spring 2020, and the CoC began receiving HUD funds to implement the plan July 1, 2020. During the past year, subrecipients have created a youth-specific consolidated entry and navigation project, with a 24/7 phone number for youth to call for help, as well as 49 new units of housing dedicated to this population.

*Homeless Families with Children* The Commonwealth of Massachusetts provides diversion assistance and emergency shelter for families in a closed system. Springfield participates in a Family Services committee that meets monthly to coordinate local resources with the state system. The City provides Emergency Solutions Grant (ESG) funds for programs that serve homeless families not eligible for state assistance.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The City's response to homelessness and the Continuum of Care are both operated by the City's Office of Housing, which ensures that City and CoC closely coordinate strategies.

For the ESG program, the Office of Housing seeks information from CoC members regarding funding priorities and performance standards, and creates draft priorities and standards, which are reviewed again with the CoC. Individual ESG programs are selected for funding using a request for proposals (RFP) process, and CoC members are part of the review committee.

The CoC includes a Data and Performance Committee, which has set performance standards for ESG and CoC programs. The standards are reviewed annually and adjusted when warranted. Performance results are used as part of the annual process of deciding which programs will receive funding.

The City's Office of Housing is the CoC's Homeless Management Information System (HMIS) Lead. The CoC has an HMIS Governance Agreement which identifies the City as the lead, and determines roles and responsibilities. The CoC, working with the CoC HMIS Committee, created HMIS Policies and Procedures, which have been reviewed and voted on by the CoC Board of Directors.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	Revitalize CDC
	<b>Agency/Group/Organization Type</b>	Housing Civic Leaders Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participation in coordinated Healthy Homes Initiative; provided input regarding home rehabilitation and development of housing for homeownership.
2	<b>Agency/Group/Organization</b>	Springfield Preservation Trust
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Civic Leaders Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Economic Development Historic Preservation
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended the Facebook Live hearing

3	<b>Agency/Group/Organization</b>	CITY OF SPRINGFIELD
	<b>Agency/Group/Organization Type</b>	Services-homeless Agency - Managing Flood Prone Areas Agency - Management of Public Land or Water Resources Agency - Emergency Management Planning organization Civic Leaders Business and Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Strategy Economic Development Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus groups and provided answers to questions directly in the Consolidated Plan.
4	<b>Agency/Group/Organization</b>	Springfield Housing Authority
	<b>Agency/Group/Organization Type</b>	Housing PHA Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Social Services

	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus groups and provided answers to questions directly in the Consolidated Plan.
5	<b>Agency/Group/Organization</b>	Small Business Administration
	<b>Agency/Group/Organization Type</b>	Services-Employment Other government - Federal
	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development Anti-poverty Strategy Public Services
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
6	<b>Agency/Group/Organization</b>	Arise for Social Justice
	<b>Agency/Group/Organization Type</b>	Service-Fair Housing Civic Leaders Advocacy for Social Justice
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Economic Development Anti-poverty Strategy Social Services

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
7	<b>Agency/Group/Organization</b>	VALLEY OPPORTUNITY COUNCIL, INC
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Persons with Disabilities Service-Fair Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Anti-poverty Strategy Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
8	<b>Agency/Group/Organization</b>	SQUARE ONE
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Education

	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Economic Development Anti-poverty Strategy Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
9	<b>Agency/Group/Organization</b>	Public Health Institute of Western MA
	<b>Agency/Group/Organization Type</b>	Services-Health Health Agency Planning organization
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Economic Development Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
10	<b>Agency/Group/Organization</b>	Springfield Works
	<b>Agency/Group/Organization Type</b>	Services-Education Services-Employment Civic Leaders

	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development Anti-poverty Strategy Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
11	<b>Agency/Group/Organization</b>	Wellspring Coop
	<b>Agency/Group/Organization Type</b>	Services-Employment Business Leaders Business and Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
12	<b>Agency/Group/Organization</b>	Make-It Springfield
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.

13	<b>Agency/Group/Organization</b>	Wayfinder
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
14	<b>Agency/Group/Organization</b>	RIVER VALLEY COUNSELING CENTER, INC.
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Persons with HIV/AIDS Services-Health Health Agency Child Welfare Agency

	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
15	<b>Agency/Group/Organization</b>	MENTAL HEALTH ASSOCIATION INC
	<b>Agency/Group/Organization Type</b>	Services-Health Health Agency
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
16	<b>Agency/Group/Organization</b>	Hampden County Sheriff
	<b>Agency/Group/Organization Type</b>	Other government - County Civic Leaders

	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
17	<b>Agency/Group/Organization</b>	Clinical & Support Options
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Persons with Disabilities Services-homeless Services-Health Health Agency
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.

18	<b>Agency/Group/Organization</b>	Mercy Hospital
	<b>Agency/Group/Organization Type</b>	Services-Health Health Agency Publicly Funded Institution/System of Care Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
19	<b>Agency/Group/Organization</b>	Revival Time Evangelistic Center
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Elderly Persons Civic Leaders Faith Based Group
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
20	<b>Agency/Group/Organization</b>	Gandara Center
	<b>Agency/Group/Organization Type</b>	Services-Children Services-homeless Services-Health services- Substance Abuse
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
21	<b>Agency/Group/Organization</b>	SPRINGFIELD BOYS & GIRLS CLUB
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Education Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Anti-poverty Strategy Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.

22	<b>Agency/Group/Organization</b>	Art for the Soul
	<b>Agency/Group/Organization Type</b>	Business Leaders Civic Leaders Art
	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
23	<b>Agency/Group/Organization</b>	Central City Boxing & Barbell
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Health Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development Anti-poverty Strategy Mentoring
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
24	<b>Agency/Group/Organization</b>	Christina's House
	<b>Agency/Group/Organization Type</b>	Services - Housing Services-Children Services-Victims of Domestic Violence Services-homeless Services-Education Services-Employment

	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
25	<b>Agency/Group/Organization</b>	Center for Human Development
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Health Health Agency Mental Health
	<b>What section of the Plan was addressed by Consultation?</b>	Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
26	<b>Agency/Group/Organization</b>	SPRINGFIELD PARTNERS FOR COMMUNITY ACTION
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Elderly Persons Services-Persons with Disabilities Service-Fair Housing Civic Leaders

	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Market Analysis Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
27	<b>Agency/Group/Organization</b>	East Africa Cultural Center, Inc.
	<b>Agency/Group/Organization Type</b>	Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
28	<b>Agency/Group/Organization</b>	Greater Springfield Habitat for Humanity, Inc
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
29	<b>Agency/Group/Organization</b>	Hill Homes Housing Cooperative
	<b>Agency/Group/Organization Type</b>	Housing Neighborhood Organization

	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
30	<b>Agency/Group/Organization</b>	Mutual Support Consulting
	<b>Agency/Group/Organization Type</b>	Services-Children Services- Mental Health
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
31	<b>Agency/Group/Organization</b>	Commonwealth Care Alliance
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Health Agency
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
32	<b>Agency/Group/Organization</b>	Bilingual Veterans Outreach
	<b>Agency/Group/Organization Type</b>	Services-homeless Civic Leaders Services-Veterans
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
33	<b>Agency/Group/Organization</b>	NEW NORTH CITIZEN'S COUNCIL
	<b>Agency/Group/Organization Type</b>	Services-Children Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Social Services

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
34	<b>Agency/Group/Organization</b>	Viability
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Persons with Disabilities Services-homeless Service-Fair Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
35	<b>Agency/Group/Organization</b>	OPEN PANTRY COMMUNITY SERVICES
	<b>Agency/Group/Organization Type</b>	Services-Health Services- Food

	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
36	<b>Agency/Group/Organization</b>	Baystate Health
	<b>Agency/Group/Organization Type</b>	Health Agency Publicly Funded Institution/System of Care
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
37	<b>Agency/Group/Organization</b>	NAI Plotkin
	<b>Agency/Group/Organization Type</b>	Services - Housing Business Leaders Business and Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.

38	<b>Agency/Group/Organization</b>	SilverBrick Square
	<b>Agency/Group/Organization Type</b>	Housing Business Leaders Business and Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
39	<b>Agency/Group/Organization</b>	Springfield Museums
	<b>Agency/Group/Organization Type</b>	Services-Education Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
40	<b>Agency/Group/Organization</b>	NEBA
	<b>Agency/Group/Organization Type</b>	Services-Employment
	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.

41	<b>Agency/Group/Organization</b>	AFFILIATED CHAMBER OF COMMERCE
	<b>Agency/Group/Organization Type</b>	Services-Employment Regional organization Business Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
42	<b>Agency/Group/Organization</b>	Economic Development Council
	<b>Agency/Group/Organization Type</b>	Services-Education Services-Employment Regional organization Business and Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
43	<b>Agency/Group/Organization</b>	Association of Black Businesses & Professionals
	<b>Agency/Group/Organization Type</b>	Services-Employment Regional organization Business Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted.</b> <b>What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.

44	<b>Agency/Group/Organization</b>	SPRINGFIELD NEIGHBORHOOD HOUSING SERVICES, INC
	<b>Agency/Group/Organization Type</b>	Housing Services-Education Services-Employment Service-Fair Housing Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Public Housing Needs Social Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.
45	<b>Agency/Group/Organization</b>	MassHire
	<b>Agency/Group/Organization Type</b>	Services-Employment Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Attended focus group meeting.

**Identify any Agency Types not consulted and provide rationale for not consulting**

During the Consolidated Plan process, the City of Springfield strived to meet with as many organizations as possible. The City offered organizations five different times in which they could attend a focus group meeting, to discuss the needs of the community. Organizations that could not attend a meeting were called at a different times to solicit their input.

The City held two Facebook Live hearings during the planning process of the Second Program Year Action Plan 2021-2022. The did not hold any focus groups or in person meetings while planning the Action Plan due to the Coronavirus pandemic.

#### **Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	City of Springfield	Ending Veteran and chronic homelessness. Creating a youth centric system to respond to youth under 25 experiencing housing instability.
Regional Housing Plan	Pioneer Valley Planning Project	Strategic Plan included strategies regarding neighborhood revitalization which are consistent with the Regional Housing Plan.
Analysis of Impediments to Fair Housing (2020)	Pioneer Valley Planning Project	Strategic plan incorporates strategies to overcome identified impediments.

**Table 3 – Other local / regional / federal planning efforts**

#### **Narrative (optional)**

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation**

#### **Summarize citizen participation process and how it impacted goal-setting**

The City's Participation Plan is designed to encourage citizens to participate in the development of the Consolidated Plan, Annual Action Plan, substantial amendments to the Consolidated Plan and Performance Reports (CAPER). As described in the plan, the City encourages the input of low/moderate income residents by 1) outreach to the public through mailings, 2) conducting a series of public hearings at various stages of the planning process, 3) creating a system to accept and respond to written comments from the citizens.

To ensure participation among low and moderate income residents the public hearings are held in areas where funds are mostly to be spent and to public housing residents.

The City makes information available and accessible to all interested parties. At a minimum, the City provides information concerning proposed activities, including amount of assistance, range of activities to be undertaken and the amount that will benefit low/moderate income persons. All information is provided in English and Spanish.

The City relied on input received from the public in establishing its priorities and goals for its Consolidated Plan, 2020-2024.

Due to Coronavirus pandemic, the hearings were held on the City of Springfield's Facebook page as Facebook Live meetings. The hearings were in English and in Spanish.

## Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Facebook Live Hearing	Minorities Non-English Speaking - Specify other language: Hispanic Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing City of Springfield Residents	Facebook Live; Hearing #1, February 8, 2021 on the City of Springfield's Facebook page; 773 people reached	One comment received.	All comments have been accepted.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Facebook Live Hearing	Minorities Non-English Speaking - Specify other language: Hispanic  Persons with disabilities  Non-targeted/broad community  Residents of Public and Assisted Housing  City of Springfield residents	Facebook Live; Hearing #2, February 12, 2021 on the City of Springfield's Facebook page; 476 people reached	One comment received.	All comments have been accepted	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Flyer	Minorities Non-English Speaking - Specify other language: Hispanic Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing City of Springfield residents	The OCD has an extensive mailing list. The list includes residents of the City of Springfield, neighborhood councils, City Councilors, businesses and public service agencies. Flyers are sent with dates of public hearings, the release of any RFP's and when the DRAFT Action Plan will be available for public comment/review	1 comment was received during the planning process of the Action Plan 2021-2022. Comments received during the DRAFT comment period are made part of this document, AD-26.	All comments have been accepted	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Facebook Live Hearing	Minorities  Non-English Speaking - Specify other language: Hispanic  Persons with disabilities  Non-targeted/broad community  Residents of Public and Assisted Housing  City of Springfield Residents	Facebook Live; Hearing DRAFT Action Plan 2021-2022; April 26, 2021 on the City of Springfield's Facebook page; 244 views and 380 people were reached.	1 comment was received during the DRAFT comment period of the Action Plan 2021-2022. Comments received during the DRAFT comment period are made part of this document, AD-26.	All comments have been accepted.	

Table 4 – Citizen Participation Outreach

## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

In 2021, the City of Springfield will receive \$3,954,556 of CDBG funding, \$1,673,733 of HOME funding, \$333,698 of ESG funding and \$726,899 of HOPWA funding. The amounts listed in this section do not include any allocations City will receive as a part of the 2020 Coronavirus Aid, Relief, and Economic Security (CARES) Act or the 2021 American Rescue Plan Act (ARPA).

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	3,954,556	220,000	0	4,174,556	12,009,692	CDBG is used to assist low- and moderate-income individuals or households through a variety of activities, including housing development, public services, planning, economic development, and public facility improvements.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,673,733	2,000	0	1,675,733	5,173,827	The HOME Investment Partnerships Program is used for a variety of affordable housing activities, including renovation of housing, new construction of housing and rental assistance

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	726,899	0	0	726,899	2,147,259	The Housing Opportunities for Persons with AIDS (HOPWA) program was established to provide housing assistance and related supportive services for low-income persons living with HIV/AIDS and their families

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	333,698	0	0	333,698	1,036,214	ESG funds may be used for five program components: street outreach, emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS; as well as administrative activities.

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

Sources of Leveraged Funds include:

- Continuum of Care - US Dept. of HUD -\$4,405,674 federal funds (funding for permanent supportive and rapid rehousing housing for persons experiencing homelessness), plus 25% match contributed by subrecipientsContinuum of Care - Youth Homelessness Demonstartion Project (YHDP) - U.S. Dept. of HUD - \$2,430,000 (over a two-year period)
- Health Care for the Homeless - \$2,204,665 (funding for health services for person experiencing homelessness)

- Heartwap - State of MA - \$535,000 (funding for heating system repair and maintenance)
- City Bond Funds - Sidewalks - \$2,500,000 (funding for roads/sidewalks); Mill River Flood Control \$3,500,000
- CDBG-DR - US Dept. of HUD - \$21,896,000 (disaster recovery funds - to be used for projects in the tornado path) NDR - US Dept. of HUD - \$17,056,880 (National Disaster Resilience Grant funds to be used for approved resilience projects)
- Commonwealth of MA Homeless Youth Program - \$586,089 per year
- Mass Dot - \$1,300,000.00 (McKnight Community Trail); \$4,000,000 (Armory Street Bridge Replacement); \$11,000,000 (Sumner Ave/Dickinson Street/Belmont Ave Roadway & Intersection Improvements)
- MA Chapter 90 - \$4,396,606.00 (roads)
- Multiple sources for rehabilitation of Brookings: \$16,094,117 - Federal LIHTC Equity - \$8,999,100; State LIHTC Equity - \$1,382,179; CBH - \$574,790; State (HTC) - \$1,403,655; AHFT - \$1,000,000; DHCD (HSF) - \$1,000,000; HTC Equity - \$1,634,393; City HOME - \$100,000  
Multiple sources for rehabilitation of BayState Place: \$27,069,690 - Federal LIHTC Equity - \$10,375,000; State LIHTC Equity - \$1,382,179; AHT - \$2,000,000; DHCD (HSF) - \$1,000,000; CIPP \$1,750,000.00; City HOME - \$300,000  
Massachusetts PARC Grant Pending - \$400,000.00 (Kenefick Park Reconstruction)
- Massachusetts PARC Grant - \$250,000.00 (Walsh Park Reconstruction)
- LEAD Grant - \$2,300,000.00 Lead Remediation
- Match for ESG is provided by the subrecipient agencies, which identify the amount and source of match in their application to the City, and verify the match after each grant award. ESG administrative funds are matched by the City of Springfield using CDBG funds.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

Springfield regularly becomes the owner of vacant lots and residential buildings through foreclosure for nonpayment of property taxes. The City frequently uses residential vacant lots for development of new homes and seeks new owners for homeownership or management of multi-family buildings. In some instances, the City makes vacant lots available simultaneously with federal funds dedicated to new construction, for the purpose of creating quality affordable homeownership opportunities.

**Discussion**

Springfield will invest multiple resources in projects to maximize the impact of the federal funds in the community. The City's planning for federal HUD funds took into consideration other funding sources available to address community needs. Springfield's Consolidated Plan uses all available resources in a coordinated and leveraged way, working towards and achieving the best possible outcomes.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Support Small Businesses	2020	2024	Non-Housing Community Development	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Foster an Inclusive Economy	CDBG: \$230,000	Jobs created/retained: 6 Jobs Businesses assisted: 7 Businesses Assisted
2	Job Training-Public Service	2020	2024	Non-Housing Community Development	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Foster an Inclusive Economy	CDBG: \$50,000	Public service activities other than Low/Moderate Income Housing Benefit: 50 Persons Assisted
3	Creative Economy	2020	2024	Non-Homeless Special Needs Non-Housing Community Development	NRSA - 2020 Consolidated Plan Renewal	Foster an Inclusive Economy	CDBG: \$50,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 2000 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Public Facilities	2020	2024	Non-Housing Community Development	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Strengthen Neighborhoods	CDBG: \$1,132,429	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 9000 Persons Assisted
5	Public Services - General	2020	2024	Non-Housing Community Development	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Strengthen Neighborhoods	CDBG: \$617,000	Public service activities other than Low/Moderate Income Housing Benefit: 10000 Persons Assisted
6	Clean up of Sites	2020	2024	Non-Housing Community Development	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Strengthen Neighborhoods	CDBG: \$99,000	Buildings Demolished: 1 Buildings Other: 25 Other
7	Street Sweeps	2020	2024	Non-Housing Community Development	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Strengthen Neighborhoods	CDBG: \$40,000	Housing Code Enforcement/Foreclosed Property Care: 700 Household Housing Unit
8	Historic Preservation	2020	2024	Non-Housing Community Development	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Strengthen Neighborhoods	CDBG: \$100,000	Other: 1 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
9	Capacity Building	2020	2024	Non-Housing Community Development	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Strengthen Neighborhoods	CDBG: \$48,500	Other: 10 Other
10	Renovate Affordable Housing - Rental	2020	2024	Affordable Housing	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Provide Healthy and Affordable Housing	HOME: \$521,410	Rental units rehabilitated: 11 Household Housing Unit
11	New Affordable Housing - Owner	2020	2024	Affordable Housing	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Provide Healthy and Affordable Housing	HOME: \$251,750	Homeowner Housing Added: 2 Household Housing Unit
12	Down Payment	2020	2024	Affordable Housing	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Provide Healthy and Affordable Housing	CDBG: \$100,000 HOME: \$400,000	Direct Financial Assistance to Homebuyers: 100 Households Assisted
13	Improve Housing Stock	2020	2024	Affordable Housing	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Provide Healthy and Affordable Housing	CDBG: \$627,202	Homeowner Housing Rehabilitated: 370 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
14	Homelessness Prevention	2020	2024	Homeless	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Provide Healthy and Affordable Housing	ESG: \$109,671	Homelessness Prevention: 250 Persons Assisted
15	Rapid Re-Housing	2020	2024	Homeless	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Provide Healthy and Affordable Housing	ESG: \$92,000	Tenant-based rental assistance / Rapid Rehousing: 35 Households Assisted
16	Overnight Shelter	2020	2024	Homeless	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Provide Healthy and Affordable Housing	ESG: \$107,000	Homeless Person Overnight Shelter: 1325 Persons Assisted
17	HOPWA	2020	2024	Non-Homeless Special Needs	Local Target Area	Provide Healthy and Affordable Housing	HOPWA: \$705,093	Housing for People with HIV/AIDS added: 90 Household Housing Unit
18	Rental Assistance	2020	2024	Affordable Housing	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Provide Healthy and Affordable Housing	HOME: \$335,000	Tenant-based rental assistance / Rapid Rehousing: 45 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
19	Fair Housing	2020	2024	Non-Housing Community Development	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Strengthen Neighborhoods	CDBG: \$7,000	Public service activities other than Low/Moderate Income Housing Benefit: 100 Persons Assisted
20	Public Facilities - Non Profit	2020	2024	Non-Housing Community Development	Local Target Area NRSA - 2020 Consolidated Plan Renewal	Strengthen Neighborhoods	CDBG: \$200,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 1000 Persons Assisted

Table 6 – Goals Summary

## Goal Descriptions

1	<b>Goal Name</b>	Support Small Businesses
	<b>Goal Description</b>	Support small businesses, creating or retaining jobs that target low and moderate income individuals; provide technical assistance to businesses; storefront grants to small businesses.
2	<b>Goal Name</b>	Job Training- Public Service
	<b>Goal Description</b>	Job training and placement with preference given to programs that focus on the hard to serve population, individuals who are out of the educational system and or workforce. Funding included with Goal 5.
3	<b>Goal Name</b>	Creative Economy
	<b>Goal Description</b>	Fund efforts around public art and growth of the creative economy to foster elimination of blight, job creation and create a sense of place.

<b>4</b>	<b>Goal Name</b>	Public Facilities
	<b>Goal Description</b>	Public facilities/improvements, work to improve the physical environment through enhancement of streets, parks, streetscapes, bikeways and open space.
<b>5</b>	<b>Goal Name</b>	Public Services - General
	<b>Goal Description</b>	Support public services for low to moderate income families.
<b>6</b>	<b>Goal Name</b>	Clean up of Sites
	<b>Goal Description</b>	Clean up of sites and structures through the demolition of buildings, removal of graffiti and disposition of properties.
<b>7</b>	<b>Goal Name</b>	Street Sweeps
	<b>Goal Description</b>	Support housing inspectors who enforce local housing codes.
<b>8</b>	<b>Goal Name</b>	Historic Preservation
	<b>Goal Description</b>	Restore and rehabilitate historic housing and building to promote historic preservation, smart growth and creation of new housing and commercial units.
<b>9</b>	<b>Goal Name</b>	Capacity Building
	<b>Goal Description</b>	Work with neighborhood councils to build capacity throughout the neighborhoods.
<b>10</b>	<b>Goal Name</b>	Renovate Affordable Housing - Rental
	<b>Goal Description</b>	Affordable rental housing.
<b>11</b>	<b>Goal Name</b>	New Affordable Housing - Owner
	<b>Goal Description</b>	New affordable homeownership opportunities through new construction for households with incomes below 80 percent of AMI; CHDO and NON CHDO.

<b>12</b>	<b>Goal Name</b>	Down Payment
	<b>Goal Description</b>	Assist households with income at or below 80 percent AMI to become homeowners.
<b>13</b>	<b>Goal Name</b>	Improve Housing Stock
	<b>Goal Description</b>	Improve the City's housing stock through owner occupied repair, repair/replacement of HVAC systems, and/or lead paint remediation.
<b>14</b>	<b>Goal Name</b>	Homelessness Prevention
	<b>Goal Description</b>	Prevent homelessness and rapidly rehouse people experiencing homelessness.
<b>15</b>	<b>Goal Name</b>	Rapid Re-Housing
	<b>Goal Description</b>	Prevent homelessness and rapidly rehouse people experiencing homelessness.
<b>16</b>	<b>Goal Name</b>	Overnight Shelter
	<b>Goal Description</b>	Provide overnight shelter and crisis housing for homeless families and individuals.
<b>17</b>	<b>Goal Name</b>	HOPWA
	<b>Goal Description</b>	Provide housing assistance and supportive services to persons and their families that are HIV positive.
<b>18</b>	<b>Goal Name</b>	Rental Assistance
	<b>Goal Description</b>	Provide housing assistance to households experiencing homelessness, including youth and persons with disabilities.
<b>19</b>	<b>Goal Name</b>	Fair Housing
	<b>Goal Description</b>	Provide comprehensive fair housing education and legal advocacy focusing on low and moderate income persons, minorities, recent immigrants, disabled persons and households with children. Funding included with Goal 5.

<b>20</b>	<b>Goal Name</b>	Public Facilities - Non Profit
	<b>Goal Description</b>	The City will fund non profit organizations for capital improvements to their facility including but not limited to upgrades for a new roof, upgrades to heating systems, energy efficient windows, handicap accessibility.

## **Projects**

### **AP-35 Projects – 91.220(d)**

#### **Introduction**

In 2021, the City of Springfield will receive \$3,897,127 of CDBG funding, \$1,673,733 of HOME funding, \$333,698 of ESG funding, and \$726,899 of HOPWA funding. The amounts listed in this section do not include any allocations the City will receive as a part of the Coronavirus Aid, Relief, and Economic Security (CARES) Act or the American Rescue Plan Act (ARPA).

This section and the next section (Project Summary) are a listing of projects the City of Springfield will undertake with this funding. Projects can begin July 1, 2021 and are scheduled to end on June 30, 2022.

#### **Projects**

#	Project Name
1	CDBG Planning & Administration
2	Public Service- Non Exempt
3	Public Service-Exempt
4	Disposition Program Delivery
5	Clearance & Demo Program Delivery
6	First Time Homebuyer Program
7	Emergency Rehabilitation Program
8	Graffiti
9	HEARTWAP
10	Capacity Building
11	Code Enforcement- Street Sweeps
12	Public Infrastructure
13	Historic Preservation
14	Revitalize CDC
15	Public Art Project
16	Park Restoration
17	Small Business Technical Assistance Program
18	Business Support Program
19	HOME Planning & Administration
20	Tenant Based Rental Assistance (TBRA)
21	Project Based Homeownership-CHDO/ Non-CHDO
22	Rental Production
23	ESG21 City of Springfield

#	Project Name
24	2021-2023 City of Springfield MA21F002 (SPFLD)
25	2021-2023 Cooley Dickinson MAH21F002 (CD)
26	2021-2023 River Valley MAH21F002 (RV)
27	2021-2023 New North Citizens Council MAH21F002 (NNCC)
28	Rehabilitation for Non-Profits Program

**Table 7 - Project Information**

**Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

Springfield's investment of CDBG funds is based on whether the activity falls within a City funding priority, whether the service is an eligible activity, and whether the service or need meets a national objective. In addition, the activity must meet one of the following CDBG objectives: (1) provide a suitable living environment; (2) provide decent housing; and (3) provide economic opportunity.

CDBG and HOME resources are allocated to maximize the benefits within target areas. While public infrastructure, parks, open space and public facility type projects are exclusively within targeted areas, other strategic investments are made for the benefit of low-moderate income residents throughout the City. In order to meet high priority needs of the community such as job creation and economic development, it may be necessary to invest outside low/moderate census tracts.

Emergency Solutions Grant (ESG) funding is dedicated to meet the needs of homeless or at-risk households, wherever they are located. Shelter operation funds go to the geographic location of the eligible shelters. After the CoC and the City determine priorities, specific funding allocations are made through a request for proposal process (RFP).

HOPWA funds are allocated throughout the EMSA which includes Hampden, Hampshire and Franklin counties. HOPWA funds are allocated primarily to alleviate the housing cost burden and provide supportive services for eligible households.

## **AP-38 Project Summary**

### **Project Summary Information**

<b>1</b>	<b>Project Name</b>	CDBG Planning & Administration
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Support Small Businesses Job Training- Public Service Creative Economy Public Facilities Public Facilities - Non Profit Public Services - General Clean up of Sites Street Sweeps Historic Preservation Capacity Building Down Payment Homelessness Prevention Overnight Shelter Fair Housing
	<b>Needs Addressed</b>	Foster an Inclusive Economy Strengthen Neighborhoods Provide Healthy and Affordable Housing
	<b>Funding</b>	CDBG: \$823,425
	<b>Description</b>	Funding for the planning & execution of the CDBG Program; including general management and oversight, fiscal and compliance; includes funding for UMASS Design Center & Neighborhood Councils.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	N/A
	<b>Location Description</b>	N/A
	<b>Planned Activities</b>	N/A
<b>2</b>	<b>Project Name</b>	Public Service- Non Exempt
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Public Services - General
	<b>Needs Addressed</b>	Strengthen Neighborhoods

	<b>Funding</b>	CDBG: \$614,000
	<b>Description</b>	CDBG funds will be used to provide services to low and moderate income persons. Services include job training, employment training, education programs, youth services, substance abuse, childcare, disability services, veterans services, homeless services, fair housing and other public service activities. Decisions on the specific agencies/programs to be funded will be made after July 1, 2021.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	10,000 low and moderate income persons
	<b>Location Description</b>	CDBG eligible areas and NRSA neighborhoods
	<b>Planned Activities</b>	Youth services, including after school programs and summer programs, employment training and education programs, elderly services, adult learning, disability services, veteran services, child care and other eligible public services activities
<b>3</b>	<b>Project Name</b>	Public Service-Exempt
	<b>Target Area</b>	NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Public Services - General
	<b>Needs Addressed</b>	Strengthen Neighborhoods
	<b>Funding</b>	CDBG: \$110,000
	<b>Description</b>	CDBG funds will be used to provide homeless services, recovery support, youth services, ESOL and job training and other public service activities to low and moderate income persons. Funding will be awarded to agencies that are exempt from the public service cap. Decisions on the specific agencies/programs to be funded will be made after July 1, 2021.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	600 low and moderate income persons
	<b>Location Description</b>	NRSA Neighborhoods

	<b>Planned Activities</b>	Education, support, workplace education, supportive services, essential housing supportive services, recovery support services, English instruction to adult learners, GED preparation and youth services.
<b>4</b>	<b>Project Name</b>	Disposition Program Delivery
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Clean up of Sites
	<b>Needs Addressed</b>	Strengthen Neighborhoods
	<b>Funding</b>	CDBG: \$32,000
	<b>Description</b>	Funds are used to provide staff costs associated with the disposition of properties. The program is designed to effect redevelopment.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	25 eligible properties
	<b>Location Description</b>	CDBG Target areas and NRSA neighborhoods
<b>5</b>	<b>Planned Activities</b>	Dispose of City-owned properties
	<b>Project Name</b>	Clearance & Demo Program Delivery
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Clean up of Sites
	<b>Needs Addressed</b>	Strengthen Neighborhoods
	<b>Funding</b>	CDBG: \$27,000
	<b>Description</b>	Clearance & Demo Program will fund program costs associated with clearance and demolition of blighted properties. The program is administered through the Office of Housing.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	5 properties
	<b>Location Description</b>	CDBG Target areas and NRSA neighborhoods

	<b>Planned Activities</b>	Clearance & Demo of blighted properties
<b>6</b>	<b>Project Name</b>	First Time Homebuyer Program
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Down Payment
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	CDBG: \$100,000 HOME: \$400,000
	<b>Description</b>	The FTHB and financial assistance program funds eligible households with down payment and closing costs of \$4000 per household at the time of the closing. Funding includes individual homebuyer assistance and program costs related to the FTHB Program. The program is administered through the Office of Housing.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	100
	<b>Location Description</b>	Income eligible households in Springfield, MA
	<b>Planned Activities</b>	FTHB activities; homeowner financial assistance
<b>7</b>	<b>Project Name</b>	Emergency Rehabilitation Program
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Improve Housing Stock
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	CDBG: \$425,000
	<b>Description</b>	The Office of Housing will offer a 0% deferred interest loan to income eligible homeowner's to complete emergency repairs or modifications to accommodate mobility limitation to homeowners. Funding includes rehab loans and program costs associated with the housing rehabilitation program.
	<b>Target Date</b>	6/30/2022

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Housing rehabilitation for 30 households; program will serve extremely low, low, and moderate-income homeowners
	<b>Location Description</b>	Income eligible households, City of Springfield, MA
	<b>Planned Activities</b>	Emergency rehabilitation costs for 30 households and program delivery
<b>8</b>	<b>Project Name</b>	Graffiti
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Clean up of Sites
	<b>Needs Addressed</b>	Strengthen Neighborhoods
	<b>Funding</b>	CDBG: \$40,000
	<b>Description</b>	Program costs associated with the removal of graffiti from privately owned buildings. The program will be administered through the Office of Housing.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	100 Buildings
	<b>Location Description</b>	CDBG eligible areas and NRSA neighborhoods
	<b>Planned Activities</b>	Removal of graffiti to privately owned buildings.
<b>9</b>	<b>Project Name</b>	HEARTWAP
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Improve Housing Stock
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	CDBG: \$167,202
	<b>Description</b>	The Office of Housing will staff to operate a state funded heating system repair and replacement program for income eligible households. Some of the funding will be set aside to pay direct costs that exceed the programmatic limits for system repairs and replacement.

	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	370 income eligible households
	<b>Location Description</b>	Citywide-Springfield, MA
	<b>Planned Activities</b>	Energy replacement improvements
10	<b>Project Name</b>	Capacity Building
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Capacity Building
	<b>Needs Addressed</b>	Strengthen Neighborhoods
	<b>Funding</b>	CDBG: \$48,500
	<b>Description</b>	Funds will be allocated for staffing costs associated with building capacity within existing neighborhood organizations to undertake neighborhood revitalization projects.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	10 neighborhood organizations
	<b>Location Description</b>	CDBG eligible neighborhoods
	<b>Planned Activities</b>	Build capacity in neighborhoods
11	<b>Project Name</b>	Code Enforcement- Street Sweeps
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Street Sweeps
	<b>Needs Addressed</b>	Strengthen Neighborhoods
	<b>Funding</b>	CDBG: \$40,000
	<b>Description</b>	The Code Enforcement Program is dedicated to the improvement of the quality of life of the citizens of Springfield. Funds will be used to conduct proactive street sweeps on weekends. They will be performed on a house-house/street/street basis. All are located within CDBG target neighborhoods and NRSA neighborhoods.

	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	700 Housing units
	<b>Location Description</b>	
	<b>Planned Activities</b>	Saturday street sweeps
12	<b>Project Name</b>	Public Infrastructure
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Public Facilities
	<b>Needs Addressed</b>	Strengthen Neighborhoods
	<b>Funding</b>	CDBG: \$432,429
	<b>Description</b>	Funding will be used to improve pedestrian and bicycle circulation and safety, bike share and wayfinding infrastructure; lighting, physical repairs and the reconstruction of sidewalks and streets that will improve the infrastructure system within the CDBG eligible neighborhoods. These infrastructure improvements will be targeted to compliment other projects funded with HUD grants and planning initiatives.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	5000 low income persons
	<b>Location Description</b>	CDBG eligible areas and NRSA neighborhoods
	<b>Planned Activities</b>	Physical repairs and reconstruction of sidewalks; design for improvements of major corridor for vehicular and pedestrian circulation.
13	<b>Project Name</b>	Historic Preservation
	<b>Target Area</b>	Local Target Area
	<b>Goals Supported</b>	Historic Preservation
	<b>Needs Addressed</b>	Strengthen Neighborhoods
	<b>Funding</b>	CDBG: \$100,000

	<b>Description</b>	The Office of Planning & Economic Development will work in conjunction with the Office of Housing in looking for funding for historic restoration of city owned properties. An RFP will be issued for funding. Funds will be used for the elimination of slum and blight on the facade of the property.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1 Housing unit
	<b>Location Description</b>	CDBG eligible area
	<b>Planned Activities</b>	Rehab to properties
14	<b>Project Name</b>	Revitalize CDC
	<b>Target Area</b>	NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Improve Housing Stock
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	CDBG: \$35,000
	<b>Description</b>	Funds will be used to run a rehabilitation program. Rehabilitation may include upgrades to a roof, energy efficient windows, age in place modifications, mold remediation, repairing steps and addressing code enforcement issues.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	3 Housing units
	<b>Location Description</b>	NRSA neighborhood- TBD
	<b>Planned Activities</b>	Repairs to homes that will increase homes energy efficiency, health and safety.
15	<b>Project Name</b>	Public Art Project
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Creative Economy
	<b>Needs Addressed</b>	Foster an Inclusive Economy

	<b>Funding</b>	CDBG: \$50,000
	<b>Description</b>	The Office of Planning & Economic Development will procure public art pieces for public display in CDBG eligible areas. The program will seek to purchase art from local artists for display in public areas.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	2000 low and moderate income persons
	<b>Location Description</b>	City of Springfield eligible target neighborhoods
	<b>Planned Activities</b>	RFP process, selection of artists and installation of art work
16	<b>Project Name</b>	Park Restoration
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Public Facilities
	<b>Needs Addressed</b>	Strengthen Neighborhoods
	<b>Funding</b>	CDBG: \$700,000
	<b>Description</b>	CDBG funds will be allocated to provide upgrades and improvements to the parks, splash pads and pools in target and NRSA neighborhoods. The Office of Community Development will work with the Park Department and Capital Assets Department to complete park projects.
	<b>Target Date</b>	3/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	3500 low and moderate income persons
	<b>Location Description</b>	CDBG eligible areas and NRSA neighborhoods- Parks TBD
	<b>Planned Activities</b>	Upgrades to parks, splash pad improvements
17	<b>Project Name</b>	Small Business Technical Assistance Program
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Support Small Businesses
	<b>Needs Addressed</b>	Foster an Inclusive Economy

	<b>Funding</b>	CDBG: \$30,000
	<b>Description</b>	Small Business Technical Assistance Program will be administered by the Office of Planning & Economic Development. The program will provide technical assistance for new and existing businesses within CDBG target areas in Springfield. The program will be structured to help business growth and create new jobs.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	7 businesses
	<b>Location Description</b>	CDBG eligible target areas and NRSA neighborhoods
	<b>Planned Activities</b>	Provide technical assistance to 7 businesses
18	<b>Project Name</b>	Business Support Program
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Support Small Businesses
	<b>Needs Addressed</b>	Foster an Inclusive Economy
	<b>Funding</b>	CDBG: \$200,000
	<b>Description</b>	The Business Support Program is administered through the Office of Planning & Economic Development. The Program will provide financial assistance to businesses within CDBG areas in Springfield. The program will offer storefront grants to small businesses, technical assistance to businesses and business operating assistance to eligible businesses. The program will result in job creation/retention.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	6 jobs
	<b>Location Description</b>	CDBG eligible target areas and NRSA neighborhoods
	<b>Planned Activities</b>	Storefront grants, technical assistance and business operating loans to eligible businesses
	<b>Project Name</b>	HOME Planning & Administration

19	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Renovate Affordable Housing - Rental New Affordable Housing - Owner Down Payment Improve Housing Stock
	<b>Needs Addressed</b>	Strengthen Neighborhoods Provide Healthy and Affordable Housing
	<b>Funding</b>	HOME: \$167,573
	<b>Description</b>	The Office of Housing will use these funds for the planning & execution of the HOME Program including general management and oversight of the program.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	N/A
	<b>Location Description</b>	N/A
	<b>Planned Activities</b>	N/A
20	<b>Project Name</b>	Tenant Based Rental Assistance (TBRA)
	<b>Target Area</b>	Local Target Area
	<b>Goals Supported</b>	Rental Assistance
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	HOME: \$335,000
	<b>Description</b>	Rental assistance will be provided to homeless, special needs or young adult households. On going rental assistance is provided to households; links subsidies together with supportive services. One time security deposit assistance is being provided to assist in moving from homelessness to stable housing. The program provides a preference to households experiencing chronic homelessness.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	45 Households

	<b>Location Description</b>	City of Springfield
	<b>Planned Activities</b>	Rental assistance and security deposit assistance.
<b>21</b>	<b>Project Name</b>	Project Based Homeownership-CHDO/ Non-CHDO
	<b>Target Area</b>	Local Target Area
	<b>Goals Supported</b>	New Affordable Housing - Owner
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	HOME: \$501,750
	<b>Description</b>	Funds for developers to acquire and rehabilitate and/construct housing for resale to income eligible households.
	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	2 Units
	<b>Location Description</b>	CDBG eligible areas
	<b>Planned Activities</b>	Funds for developers to acquire, rehabilitate and/or construct housing for resale to income eligible households.
<b>22</b>	<b>Project Name</b>	Rental Production
	<b>Target Area</b>	Local Target Area
	<b>Goals Supported</b>	Renovate Affordable Housing - Rental
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	HOME: \$271,410
	<b>Description</b>	Funds for the preservation or development of affordable rental housing. The program will be operated by the Office of Housing. Funds will be loaned to for profit and non profit developers.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	11 rental housing units housing units for low and mederate income households
	<b>Location Description</b>	City of Springfield Target Area
	<b>Planned Activities</b>	Rehab/creation of affordable rental housing
	<b>Project Name</b>	ESG21 City of Springfield

<b>23</b>	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Homelessness Prevention Rapid Re-Housing Overnight Shelter
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	ESG: \$333,698
	<b>Description</b>	The Office of Housing will use these funds for the planning & execution of the ESG Program, including the general management and oversight, and will be provided to subrecipients to operate emergency shelter, prevent homelessness and provide rapid rehousing funds and assistance to homeless households. The program will fund financial assistance and social services.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1480 extremely low-income and low-income persons assisted
	<b>Location Description</b>	Springfield, MA
	<b>Planned Activities</b>	Planning & Administration, Shelter Operations, Homeless Prevention and Rapid Rehousing
<b>24</b>	<b>Project Name</b>	2021-2023 City of Springfield MA21F002 (SPFLD)
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	HOPWA
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	HOPWA: \$21,806
	<b>Description</b>	Salaries for Administration of the HOPWA Grant through the Office of Housing.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	N/A

	<b>Location Description</b>	EMSA-Hampden, Hampshire and Franklin counties
	<b>Planned Activities</b>	N/A
<b>25</b>	<b>Project Name</b>	2021-2023 Cooley Dickinson MAH21F002 (CD)
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	HOPWA
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	HOPWA: \$241,442
	<b>Description</b>	Cooley Dickinson - A Positive Place HOPWA Grant. Tenant-Based Rental Assistance and supportive services.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	17 individuals
	<b>Location Description</b>	EMSA - Hampden, Hampshire, and Franklin County
	<b>Planned Activities</b>	Funding for Cooley Dickinson's A Positive Place program to provide supportive services and TBRA to households impacted by HIV/AIDS
<b>26</b>	<b>Project Name</b>	2021-2023 River Valley MAH21F002 (RV)
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	HOPWA
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	HOPWA: \$266,499
	<b>Description</b>	River Valley Counseling Center HOPWA Grant. Tenant-based rental assistance and supportive services.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	23 extremely low and low-income households
	<b>Location Description</b>	EMSA - Hampden, Hampshire, and Franklin County

	<b>Planned Activities</b>	Funding for River Valley Counseling Center to provide rental assistance and supportive services to persons with HIV/AIDS and their families
<b>27</b>	<b>Project Name</b>	2021-2023 New North Citizens Council MAH21F002 (NNCC)
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	HOPWA
	<b>Needs Addressed</b>	Provide Healthy and Affordable Housing
	<b>Funding</b>	HOPWA: \$197,152
	<b>Description</b>	New North Citizens Council (NNCC) HOPWA program - STRMU and rental startup
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	50 individuals with HIV/AIDS and their families
	<b>Location Description</b>	EMSA - Hampden, Hampshire, and Franklin Counties
	<b>Planned Activities</b>	Funding to provide supportive services, STRMU, and housing placement to households impacted by HIV/AIDS
<b>28</b>	<b>Project Name</b>	Rehabilitation for Non-Profits Program
	<b>Target Area</b>	Local Target Area NRSA - 2020 Consolidated Plan Renewal
	<b>Goals Supported</b>	Public Facilities - Non Profit
	<b>Needs Addressed</b>	Strengthen Neighborhoods
	<b>Funding</b>	CDBG: \$200,000
	<b>Description</b>	The City will fund non-profit organizations for capital improvements to their facilities.
	<b>Target Date</b>	6/30/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	8 Non-Profits
	<b>Location Description</b>	Non profit agencies through out Springfield that serve Low income residents of Springfield.

	<b>Planned Activities</b>	Rehab of Non-Profit facilities
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## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

The City of Springfield will continue to focus efforts in a Neighborhood Revitalization Strategy Area (NRSA) on the north side of the City. This area is the same as the NRSA as certified in the previous Consolidated Plan, covering the years 2015-2019. The area meets the requirements set by HUD, having contiguous census tracts, 70 percent of the households earn incomes less than 80 percent HAMFI and have a higher level of distress than the City as a whole. The basis for selecting this area also includes initiatives already in place or underway by the City of Springfield.

### **Geographic Distribution**

Target Area	Percentage of Funds
Local Target Area	70
NRSA - 2020 Consolidated Plan Renewal	30

**Table 8 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

Springfield's investment of CDBG funds is based on whether the activity falls within a City funding priority, whether the service is an eligible activity, and whether the service or need meets a national objective. In addition, the activity must meet one of the following CDBG objectives: (1) provide a suitable living environment; (2) provide decent housing; and (3) provide economic opportunity.

Resources are allocated to maximize the benefits to residents within target areas. While public infrastructure, parks, open space, and public facility type projects are exclusively within targeted areas, other strategic investments are made for the benefit of low- and moderate-income residents throughout the City. In order to meet high priority needs of the community such as job creation and economic development, it may be necessary to invest outside low/moderate census tracts.

HOME Investment Partnership Funds (HOME) will be used to produce affordable rental housing, produce affordable homeownership units, directly assist homebuyers, and provide tenant-based rental assistance.

ESG and HOPWA funds are targeted to meet the identified needs of the eligible populations within the geographic area. For ESG, the service area is the City of Springfield. Investments are made in accordance with relative priority and statutory spending caps. HOPWA funds are allocated throughout the EMSA which includes Hampden, Hampshire and Franklin counties. HOPWA funds are allocated primarily to

alleviate the housing cost burden and provide supportive services for eligible households.

## **Discussion**

In making funding decisions, the City of Springfield will give priority to activities that:

- Meet a goal or priority of the 2020-2024 Consolidated Plan
- Meet an eligibility criterion of the federal funding resources
- Leverage other resources
- Affirmatively further fair housing
- Support, complement or are consistent with other current local unit of government plans
- Address underserved populations with the greatest needs including the elderly, disabled, victims of domestic violence and the disenfranchised such as the homeless and the near homeless
- Are sustainable over time
- Have demonstrated cooperation and collaboration among government, private nonprofit agencies and the private sector to maximize impacts and reduce administrative costs
- Do not have a more appropriate source of funds

## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

The City will fund and undertake multiple housing projects to improve the quality of the City's housing stock. The City will preserve and expand housing options for residents to access safe, sanitary, and affordable homes. In addition, the City will target many of its affordable housing projects in the local Neighborhood Revitalization Strategy Area (NRSA) to revitalize its most distressed neighborhoods.

<b>One Year Goals for the Number of Households to be Supported</b>	
Homeless	80
Non-Homeless	143
Special-Needs	75
Total	298

Table 9 - One Year Goals for Affordable Housing by Support Requirement

<b>One Year Goals for the Number of Households Supported Through</b>	
Rental Assistance	155
The Production of New Units	13
Rehab of Existing Units	30
Acquisition of Existing Units	100
Total	298

Table 10 - One Year Goals for Affordable Housing by Support Type

#### Discussion

The City has set the following annual goals to develop and sustain affordable housing in Springfield. The goals are:

- Create or Renovate Affordable Housing – Rental: Create affordable rental housing through development or renovation, for households with incomes at or below 80 percent of AMI
- New Affordable Housing – Owner: New affordable homeownership opportunities through new construction for households with incomes at or below 80 percent of AMI: 2 Homes
- Down Payment: Assist households with income at or below 80 percent AMI to become homeowners: 100 Households
- Improve Housing: Improve the city's housing stock through owner-occupied repair, and lead paint remediation in both owner-occupied and rental units. 30 units
- Rapid Re-Housing: Prevent homelessness and rapidly rehouse people experiencing

homelessness: 35 households

- HOPWA: Provide tenant-based rental assistance to HIV positive people and their families: 40 households
- HOPWA: Provide short-term rental, mortgage and utilities assistance (STRMU) to individuals with HIV/AIDS and their families: 50 households
- Rental Assistance: Provide tenant-based rental assistance to households experiencing homelessness, including youth and persons with disabilities: 45 households

Through these goals, the City of Springfield will impact 298 households, through rental assistance, down payment assistance, creation of new affordable housing units, and renovation of affordable housing. Of those 298 households estimated to benefit from Springfield's affordable housing projects, 80 households will be homeless and 75 will be special needs households.

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

The Springfield Housing Authority (SHA) is the public housing agency for the City of Springfield. SHA provides a total of 1,809 public housing units in 19 different developments. In addition, SHA administers 2,574 Housing Choice Vouchers, 306 Family Unification Vouchers, and 295 NED vouchers (vouchers for non-elderly, disabled households). SHA provides additional housing through 115 Massachusetts Rental Voucher Program (MRVP) tenant-based subsidies.

The COVID-19 pandemic and stay-at-home orders have presented a challenge for SHA to communicate with residents. The offices at SHA, at the time of the publication of this document, were working to improve these communication lines. Plans under the one-year plan for SHA are reflective of pre-pandemic needs and goals.

### **Actions planned during the next year to address the needs to public housing**

For Families - An ongoing need for SHA families is the availability of skills training to enhance employment opportunities.

For Seniors - Staff will continue to work with community resources to provide services that assist seniors in aging in place.

SHA will continue current partnerships and seek new partners to provide services for its residents.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

Families are referred to community resources, including financial literacy and home ownership opportunities. SHA will continue to work with its current community partners, as well as identify new community partners, to deliver services to public housing residents and housing choice voucher holders.

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be**

## **provided or other assistance**

The SHA does not have a troubled status designation.

## **Discussion**

The SHA undertake the following activities, as listed in its 2020 Annual Plan:

- Continue the process of converting to a new Yardi software system
- Adopt to new income limits for federal aided and state aided public housing programs and Section 8 programs
- Explore disposition application for Avery Building/Hobby Club located at 309 Chestnut Street and to complete the sale of property upon approval
- Adopt a new amendment to the Administrative plan for Project Based Voucher to include MassNAHRO updates to the administration of the waiting list
- Re-open the public housing wait list
- Continue capital improvements as part of the Energy Performance Contract
- Explore opportunities for Rental Assistance Demonstration (RAD) and opportunities for RAD Conversion
- Seek HUD approval to replace fleet using the Marble Street proceeds
- Continue to look for more grant opportunities

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

The response to homelessness in Springfield is coordinated by the Springfield-Hampden County Continuum of Care (CoC), a collaboration of municipalities, service providers and other stakeholders working to prevent and end homelessness. The CoC annually applies for and receives federal and state funds on behalf of multiple agencies that provide housing and services for people experiencing homelessness.

The City uses ESG and HOPWA grants to fund agencies to provide homelessness prevention and rapid rehousing programs. Street outreach is provided through several programs: a SAMHSA Projects for Assistance in Transition from Homelessness (PATH) program carried out by Eliot Community Health Services; Healthcare for the Homeless; and the Mental Health Association. In addition, the Springfield Police Department and clinical staff from the Behavioral Health Network (BHN) conduct regular outreach details to unsheltered individuals as part of Project Hope. Two state funded agencies—the Center for Human Development (CHD) and Gandara—provide outreach to unaccompanied homeless youth. In 2020, the City used ESG-CV funds to support additional street outreach carried out by Catholic Charities/Mercy Medical Center.

The CoC collaborates closely with mainstream service systems to meet the needs of people experiencing homelessness, including chronically homeless individuals and families, veterans and their families, and unaccompanied youth.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The City's efforts complement those of the Springfield-Hampden County Continuum of Care, which uses HUD CoC and the State EOHHS Homeless Youth Grant funds to support a coordinated entry system. As part of coordinated entry, the CoC uses the Vulnerability Index-Services Prioritization Decision Assistance Tool (VI-SPDAT) (and the specialized versions of the tool for youth and families) on a system-wide basis, to uniformly assess homeless persons and refer them to housing and other support services.

Specific actions the City will take to reach out to and assess the individual needs of homeless persons are:

- Support outreach and supportive services for individuals experiencing homelessness by using the Health Services for the Homeless (HSH) grant and ESG-CV funds
- Continue operation of Project Hope, the police department partnership with BHN clinical staff

- that provides outreach and engagement with unsheltered individuals
- Use ESG funds to support case management for homeless individuals

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

The City will use ESG, CDBG, and state funds to support operation of emergency shelter for homeless individuals and youth/young adults, and to support operation of emergency shelter for survivors of domestic violence.

The City of Springfield has set the following one-year goals to address emergency shelter and transitional housing needs of homeless persons

- Provide overnight shelter and crisis housing for homeless families and individuals – 1325 persons assisted
- Provide rapid re-housing assistance to 35 households

In response to the pandemic and using ESG-CV funds, the City began in 2020 to provide funding to Catholic Charities Agency to provide emergency shelter in hotel rooms for individuals unable to access shelter in existing congregate emergency shelters. The hotel project includes street outreach; intensive case management directed toward achieving housing stability; and health care delivered to individuals on the street or in hotel rooms. This work will continue in 2021-2022. The City has also provided ESG-CV rapid rehousing funds to Catholic Charities to provide rental assistance to people exiting homelessness.

The City has also provided ESG-CV funds to the YWCA of Western Massachusetts to provide emergency shelter in hotels for survivors of domestic violence.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The City will use ESG funds to support Rapid Rehousing, which provides rental assistance to enable homeless households to quickly access housing. The ESG funds will supplement CoC and private Rapid Rehousing funds. The City's ESG funds will assist 35 households.

During 2021-2022, the City will provide significant ESG-CV funding for rapid rehousing to Catholic Charities.

The City will use HOME funds to fund supportive housing for persons who have experienced

homelessness and need assistance to prevent repeated homelessness for the households. HOME will fund tenant-based rental assistance (TBRA), which will be matched with support services for people with high service needs or young adults. The City will also use HOPWA funds to provide permanent supportive housing to homeless persons who have HIV/AIDS. The City of Springfield will assist 45 formerly households with TBRA and 40 households living with HIV/AIDS with TBRA in the next year.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

The CoC coordinates with publicly-funded institutions of care via its coordinated entry system to plan for housing needs after people are dismissed from care. This coordination is most developed with hospital emergency rooms and the local system for people involuntarily committed for treatment for a life-threatening level of substance use. In 2021, the CoC began a new collaborative effort with the MA Department of Children and Families (DCF) to identify and provide housing and other assistance to young adults aging out of foster care who are at high risk of homelessness.

The City will use ESG funds to support two types of homelessness prevention programs:

- Financial assistance; and,
- Supportive services to assist people at risk of eviction due to behavioral health issues to preserve their housing.

A total of 120 people will benefit from the Springfield's homelessness prevention program in the next year.

## **Discussion**

The COVID pandemic has increased the resources available to address homelessness in our community. The City has allocated ESG-CV funds to support prevention, street outreach, non-congregate emergency shelter in hotel rooms, and rapid rehousing assistance. The City has found that the availability of non-congregate hotel rooms has been a significant factor in enabling unsheltered homeless individuals to leave the streets and stabilize. In 2021-2022, we hope to use lessons learned from this experience to identify and create housing models that will assist these individuals to transition to stable housing.

## **AP-70 HOPWA Goals– 91.220 (l)(3)**

<b>One year goals for the number of households to be provided housing through the use of HOPWA for:</b>	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	50
Tenant-based rental assistance	40
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
<b>Total</b>	<b>90</b>

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

Springfield zoning, land use, and public financing policies, combined with the City's status as a weak housing market (where the cost of construction is higher than what rents will support), has made it attractive for development of affordable housing. Zoning ordinances allow for the creation of multi-family structures in four different zoning areas. These areas comprise more than a third of all residential zoned parcels in the City and include parts of all 17 City neighborhoods.

The City uses its tax title program and federal funds to develop affordable homeownership opportunities. The City maximizes its federal and state funding to preserve and redevelop existing affordable housing. It provides funds for new workforce or affordable housing when the development is part of an overall strategy for neighborhood revitalization. The City provides down-payment assistance and other financial resources towards the development subsidies to expand affordable homeownership. The City's history of low barriers to development of affordable housing has resulted in a concentration of low-income housing within the City. According to the Commonwealth of Massachusetts' Department of Housing and Community Development, Springfield ranks 5th in the State, with 17.4% of its housing stock dedicated to affordable housing. In addition, the 2014 Pioneer Valley Regional Housing Plan, completed as part of a HUD-funded Sustainable Communities Regional Planning Initiative, indicates that 60% of all housing choice voucher mobile households in the Springfield metropolitan area use their voucher assistance within the City.

The lack of barriers to affordable housing in Springfield, in contradiction to the existence of barriers in communities surrounding the City, has contributed to racial segregation in the region. Springfield's Analysis of Impediments to Fair Housing (AI) notes how the concentration of affordable housing in Springfield, and the lack of affordable housing outside Springfield, are major contributors to regional segregation. Springfield is a minority-majority City, with overall low incomes, adjacent to higher-income suburban communities which are predominantly white. The AI identifies several factors related to housing policy which have contributed to segregation: concentration of public and subsidized rental housing in urban areas, exclusionary zoning, the history of redlining, and use of restrictive covenants.

The large stock of affordable housing is a contributing factor to a smaller tax base in the City, which makes it more difficult for the City to provide high-quality public services to community residents. Increasing taxes, or providing a less than ideal level of services, drives people with resources out of the City, contributing further to area's segregation. Springfield now has 13 census tracts which meet the definition of racially/ethnically concentrated areas of poverty, in which the poverty rate exceeds 40% and the non-White population exceeds 50%. Research indicates that neighborhoods with these characteristics have a detrimental impact on resident health, education, and employment outcomes for its residents.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve**

**as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

Springfield does not plan to address these types of public policies during this action year. Instead, the City is focused on increasing opportunity within areas with high concentrations of affordable housing, as detailed throughout the Action Plan.

**Discussion:**

## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

The City utilize its annual allocation to tackle emergency home repairs, to address blighted homes (rehabilitation/redevelopment, disposition of tax title properties, demolition, code enforcement) and to encourage buyers to purchase in Springfield (homebuyer education, down payment assistance). When existing homes are abandoned, the City boards and secures the home to keep property and the neighborhoods safe. Springfield also administers a "clean and lien" program where the City clears abandoned properties and places a lien to secure the cost.

### **Actions planned to address obstacles to meeting underserved needs**

In 2021, the American Rescue Plan Act (ARPA) will provide significant federal resources to address community needs. In the face of a new influx of funds, the City sees capacity as a challenge to meeting needs. The City and community organizations will create new programs and will need new staff to implement them. There will be a need for training and capacity-building activities in order for Springfield to take full advantage of the opportunity.

### **Actions planned to foster and maintain affordable housing**

Springfield's most prevalent housing problem is housing cost burden, driven by the community's high rate of extreme poverty. Previous sections of both the Consolidated Plan and the Action Plan have discussed initiatives that the City of Springfield with the annual allocations of CDBG, HOME, ESG and HOPWA funding. Many of these projects will work to foster and maintain affordable housing. Specific initiatives and goals the City will take on in the next year will include:

- New affordable housing units through rehab, new construction, and acquisition
- Assist households to become homeowners
- Improve the city's housing stock

### **Actions planned to reduce lead-based paint hazards**

Specific actions the City will take to evaluate lead-paint hazards during the next year will include:

- Utilization of the City's Code Enforcement inspectors to evaluate potential hazards and to enforce remediation in compliance with Massachusetts lead laws. Federal and local funds are used to conduct inspections, which all include lead hazard evaluation
- Evaluation by Office of Housing staff inspectors of every property during the application/underwriting process for any housing assistance program
- Mandatory pre-rehabilitation lead testing including soil samples for all HOME-funded project-

- based homeownership and multi-family production programs
- Public education about the risks of lead-based paint and the danger of disturbing lead-based paint during home renovation projects

Specific actions to reduce the number of housing units containing lead-based paint hazards will include:

- Mandatory remediation within rehabilitation specifications for all project-based and multi-family projects
- Operation of a federal Office of Healthy Homes and Lead Hazard Control grant to abate hazards in privately owned rental and owner-occupied housing

### **Actions planned to reduce the number of poverty-level families**

The City of Springfield has a high concentration of poverty. The COVID pandemic has resulted in increased unemployment. The City is using COVID-CV funds to support local businesses and to try to maintain jobs in the community. As we look to recovery over the next year, the City has identified the need to provide employment training and to address the “cliff effect” to enable families to make the transition from receiving public assistance to becoming self-sufficient.

The City has outlined a variety of one-year goals that include creating an inclusive economy. One-year initiatives include:

- Support small business, creating or retaining jobs that target low- and moderate-income individuals
- Fund efforts to grow the creative economy
- Support public services, including employment training, for low income individuals and families

### **Actions planned to develop institutional structure**

Through a cabinet of departments that includes Office of Community Development, Office of Housing, Office of Neighborhood Services, and the Office of Economic Development and Planning, the City continues to enhance the coordination of the delivery of services and in the development of low- and moderate-income areas regardless of project funding sources. The major institutional focus is to increase coordination and communication among all involved parties from the initial concept through project completion.

Springfield will implement its consolidated plan strategy through private industry; non-profit organizations, including CBDOs and CHDOs; the Springfield Redevelopment Authority; the Springfield Housing Authority; Develop Springfield; and City departments. The utilization of a broad base of organizations will assist the City in addressing its community development, housing, homeless and special needs objectives. However, while the number and abilities of the organizations and departments involved are an institutional strength, the City will constantly work to coordinate the projects and

programs.

**Actions planned to enhance coordination between public and private housing and social service agencies**

The utilization of a broad base of organizations to implement this Action Plan will enable the City to address its community development, economic development, housing, homeless and special needs objectives. The City seeks to enhance coordination between public and private housing, health, and social service agencies through multi-disciplinary/multi-agency teams that focus on particular issues or neighborhoods. Some of these teams that will be active during 2021-2022 are: LiveWell Springfield; the Springfield Climate Justice Initiative; the Springfield Food Policy Council; the Healthy Homes Collaborative; the Buy Springfield Now campaign; the Springfield Police Department's Hub + Core and C3 neighborhood initiatives; the Continuum of Care; and multiple youth providers working collaboratively as part of the CoC's Youth Homelessness Demonstration Project. Our community will continue its partnership in implementation of 413Cares, an innovative online portal that links community members to the broad range of resources available in our community.

**Discussion:**

## **Program Specific Requirements**

### **AP-90 Program Specific Requirements – 91.220(I)(1,2,4)**

#### **Introduction:**

AP-90 HOME and HOME Recapture Polices made as part of this document; AD-26; Appendices.

#### **Community Development Block Grant Program (CDBG)**

##### **Reference 24 CFR 91.220(I)(1)**

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### **Other CDBG Requirements**

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income.Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	96.75%

#### **HOME Investment Partnership Program (HOME)**

##### **Reference 24 CFR 91.220(I)(2)**

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

The City of Springfield will not use forms of investment for the HOME Program during this fiscal year other than those described in 92.205(b).

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

The City of Springfield's HOME Program funds two types of homebuyer activities--1) Down Payment Assistance and 2) Project-Based Homeownership-Homeowner Subsidy. The City applies the same Recapture guidelines for both programs. However, the length of the affordability period differs, depending on the amount of assistance provided.

The City's Down Payment Assistance program does not provide more than \$4000 per recipient, and the affordability period is capped at 5 years. In the Project-Based Homeownership program, the period of affordability varies from 5 to 15 years, depending on the amount of the direct subsidy provided to the homebuyer (up to \$14,999 = 5 years; \$15,000-39,999 = 10 years; \$40,000 or over = 15 years). The amount of HOME assistance/HOME investment subject to recapture is the direct subsidy that enabled the homebuyer to purchase the property. The HOME subsidy is defined as the difference between the fair market value of the property at the date of purchase by the participating owner(s) and the affordable purchase price paid by the participating owner(s) on that date.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

The City of Springfield Resale / Recapture Policies have been included as part of the Appendix G. All subrecipients, grantees and CHDO's must follow the approved City policies regarding Resale / Recapture.

The City of Springfield requires all housing developed to be modest housing, as described in 24 CFR Part 92.254 (a). The City of Springfield utilizes the HUD affordable Homeownership Limit for new construction and existing housing. All CHDOs, recipients and subrecipients must follow these same limits for the sale of affordable housing units.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that

will be used under 24 CFR 92.206(b), are as follows:

The City does not use HOME funds for refinancing.

**Emergency Solutions Grant (ESG)**  
**Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

The City of Springfield has included the written standards for providing ESG Assistance in Appendix H.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The CoC has established a tiered coordinated assessment system for homeless and at-risk standardized assessment and referral, as well as a ranking of people eligible for permanent supportive housing (PSH) which prioritizes those who have the highest service need and longest lengths of stay in homelessness.

The tiered assessment tools used as part of the coordinated system are:

- Prevention Assessment Tool, used to prioritize households seeking prevention financial assistance
- Diversion Questionnaire, used to determine whether a household may be able to avoid shelter use by accessing another safe housing option
- Vulnerability Index-Service Prioritization Decision Assistance Tool (VISPDAT), Transition-Age-Youth (TAY) VISPDAT and Family VISPDAT, used to prioritize among people eligible for permanent supportive housing (PSH)

The tools provide guidance to appropriate standard referrals. Information from the VISPDAT is entered into the online secure assessment tool, which is used at multi-agency case conferencing meetings to fill vacancies in PSH based on length of homelessness and level of service needs, and to refer people for RRH. Vacancies in CoC PSH units are filled solely through referral from the coordinated entry system.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The City's 2021-2022 allocation is \$333,698; the City will retain \$23,358 of that amount for administrative costs. The balance of \$310,340 will be allocated to the agencies selected in spring 2020 using a Request for Proposals (RFP) process led by the Springfield Office of Housing. The RFP

was advertised in the local newspaper, posted on the City's Office of Housing website (<https://www.springfield-ma.gov/housing/>), and sent by email to the members of the Continuum of Care. The selection committee consisted of City Staff and members of the CoC.

Although the City has previously conducted an annual allocation process for distribution of ESG funds, it is using this renewal method this year because of the high burden on homeless service agencies in responding to the COVID pandemic. Staff at these agencies are severely strained, and they have also had to compete this year for the much larger amount of available ESG-CV funds. "Regular" ESG funds support an ongoing basic level of service that the City will support this year without modification.

The agencies that will be funded with the ESG grant are:

- YWCA of Western Massachusetts, for domestic violence emergency shelter operations
  - Clinical Support Options/Friends of the Homeless, for emergency shelter operations and rapid rehousing assistance
  - Mental Health Association, for the Tenancy Preservation Program (TPP)
  - Catholic Charities Agency, for homelessness prevention and rapid rehousing assistance
4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Springfield is unable to directly meet the requirement that it have a homeless or formerly homeless individual on its policy-making Board of Directors, because the City's policy is made by elected officials. The City meets its requirement to include homeless or formerly homeless individuals in considering and making policies and decisions regarding ESG-funded facilities, services, or other assistance through extensive participation in and consultation with the Springfield Continuum of Care, an entity that includes formerly homeless individuals as members of the Board of Directors. The City also requires each subrecipient to meet the ESG homeless participation requirements.

5. Describe performance standards for evaluating ESG.

ESG performance standards vary by type of program as follows:

- Prevention - Utilization: 100%; Exit Data Captured: 90%; Remain in housing or move to other permanent housing: 95%; Missing data elements: no more than 3%.
- Emergency Shelter - Average length of stay: decrease by 5%; Exit data captured: 30%; Exits to PH: 20%; Missing data elements: no more than 5%.
- Rapid Rehousing - Utilization: 100%; Literally homeless at entry: 100%; Exit data captured: 90%;

Received non-cash benefits: at least 90%; missing data elements: no more than 5%.

Project performance is considered during evaluation of project proposals for renewal.

## **Attachments**

## Citizen Participation Comments

### **Public Hearing Comments Action Plan 2021-2022**

**Facebook Live public hearing was held on February 8, 2021 at 2:00 PM:**

773 people reached

736 views

8 Likes

Viewing Audience: 37% men

63% women

Top Viewing audience: women, 35-44 age range

**Comment received:**

**Kira Homes-** Would like to ask Springfield to continue the Community Development Block Grant (CDBG) Program and continue to the Historic Preservation Program. Both of these are crucial for the vitality of Springfield. Tourists and residents are drawn toward historic buildings.

**Facebook Live public hearing on February 12, 2021 at 12:00 PM:**

476 people were reached

4 Likes

Viewing Audience: 37% men

63% women;

Top Viewing audience: women, 35-44 age range

**Comment received:**

**Kim Quinn:** More affordable senior housing.

### **Public Comments**

#### **DRAFT Action Plan 30-day comment period**

**The Facebook Live public hearing to receive comments on the DRAFT Plan was held on April 26, 2021 at 12:30 PM**

224 views

380 people reached

2 Likes; 1 comment

**Comment received:**

**Kira Homes-** Springfield Preservation Trust is very pleased to see funding for historic preservation in the Action Plan for 2021-2022. Additionally, the Trust is happy about the graffiti project but hopes if any historic buildings have graffiti that the methods to take off the graffiti will be careful to preserve the historic character of the building.

Question was also received on May 3, 2021 from Kira Homes. Response and question made as part of this document; AD-26.

**Sibilia, Lori**

**From:** Sibilia, Lori  
**Sent:** Tuesday, May 4, 2021 10:45 AM  
**To:** 'advocacy@springfieldpreservation.org'  
**Subject:** FW: [External] Thank you and some comments and Questions about the Action Plan 2021-2022

Hi Kira,  
I wanted to follow up with you regarding your comment on the DRAFT Action Plan, 2021-2022. We do not do a survey every year. The survey was only done to help us determine the Goals for the Five Year Consolidated Plan. This is done every five years. During our Action Plan process, you can participate in the Public Hearings and submit comments. This year, because of COVID, we had Facebook Live Public Hearings . If you have any questions, please don't hesitate to contact me.

Thank you, Lori

From: Buono, Cathy <CBuono@springfieldcityhall.com>  
Sent: Tuesday, May 4, 2021 9:58 AM  
To: Sibilia, Lori <LSibilia@springfieldcityhall.com>  
Subject: FW: [External] Thank you and some comments and Questions about the Action Plan 2021-2022

**From:** Kira Holmes <advocacy@springfieldpreservation.org>  
**Sent:** Monday, May 3, 2021 7:12 AM  
**To:** Buono, Cathy <CBuono@springfieldcityhall.com>  
**Subject:** [External] Thank you and some comments and Questions about the Action Plan 2021-2022

Hi Cathy,

I would like to submit some comments in regards to the Action Plan for 2021-2022. Springfield Preservation Trust is very pleased to see 100,000 in funding for historic preservation in the Action Plan for 2021-2022. The Trust hopes these funds will be used for historic buildings that need restoration work. The Trust is pleased about the art project, but hopes historic buildings will not be candidates for this project. Additionally, the Trust is happy about the graffiti project but hopes if any historic buildings have graffiti that the methods used will preserve the historic character of the building.

Would it be possible if Springfield Preservation Trust could be part of the survey group next year for the Action Plan?

1

## Citizen Participation Comments

### Public Hearing Comments

#### Action Plan 2021-2022

**Facebook Live public hearing was held on February 8, 2021 at 2:00 PM:**

773 people reached

736 views

8 Likes

Viewing Audience: 37% men

63% women

Top Viewing audience: women, 35-44 age range

**Comment received:**

Kira Homes- Would like to ask Springfield to continue the Community Development Block Grant (CDBG) Program and continue to the Historic Preservation Program. Both of these are crucial for the vitality of Springfield. Tourists and residents are drawn toward historic buildings.

**Facebook Live public hearing on February 12, 2021 at 12:00 PM:**

476 people were reached

4 Likes

Viewing Audience: 37% men

63% women;

Top Viewing audience: women, 35-44 age range

**Comment received:**

Kim Quinn: More affordable senior housing.

### Public Comments

#### DRAFT Action Plan 30-day comment period

**The Facebook Live public hearing to receive comments on the DRAFT Plan was held on April 26, 2021 at 12:30 PM**

224 views

380 people reached

2 Likes; 1 comment

**Comment received:**

Kira Homes- Springfield Preservation Trust is very pleased to see funding for historic preservation in the Action Plan for 2021-2022. Additionally, the Trust is happy about the graffiti project but hopes if any historic buildings have graffiti that the methods to take off the graffiti will be careful to preserve the historic character of the building.

Question was also received on May 3, 2021 from Kira Homes. Response and question made as part of this document; AD-26.



## **Grantee Unique Appendices**

### **AP-90 Program Specific Requirements**

#### **Introduction:**

**HOME** funds are used for four types of activities. The following sections describe eligible applicants, the process for soliciting and funding applications or proposals and where detailed information may be obtained.

#### Homebuyer Downpayment Assistance Program (DAP)

- Eligibility: Households earning at or below 80% AMI who have not owned a home in the last 3 years, with a housing cost to debt ratio of 25% or higher.
- Assistance provided on a first-come, first-served basis.
- Information about the program is on the City's website. Applications are available on the website or at the City's Office of Housing.

#### Tenant-Based Rental Assistance

The TBRA program is operated primarily by a subrecipient, the Mental Health Association. The City has several remaining TBRA recipients from a program the City operated several years ago for people exiting homelessness; no new applicants are accepted for this program, which will end after each of the existing recipients is rehoused.

- Eligibility: Chronically homeless households with income at or below 50% AMI.
- Recipients are referred by the Continuum of Care's coordinated entry system, which prioritizes those with the longest periods of homelessness and greatest service needs.
- Applicants can be screened for eligibility for assistance through the coordinated entry provider- CSO/Friends of the Homeless. Call 413-732-3069.

#### Homeownership Unit Development

The City funds development of single-family and two-family homes in targeted neighborhoods for households with income at or below 80% AMI; some homes are restricted to households with income at or below 50% AMI. Funds are made available by issuance of a Request for Proposal (RFP). The RFP is published on the City's website, sent to a broad email distribution list, and advertised in the local newspaper.

HOME developer applicants may be non-profit, for- profit, CHDO's, or faith-based entities. Selection is based on several factors including: experience/professional capacity, financial capacity, plan design and cost- effectiveness. The developer sells the completed home to an income- qualified household. Developers market the home according to the affirmative marketing plan they have submitted and which has been approved by the City.

**Homeowner Eligibility-** Households with income at or below 80% area median income; for projects developed by Greater Springfield Habitat for Humanity, eligibility is restricted to households with income at or below 50% AMI.

- Income-eligible households approved for a mortgage amount that covers the purchase price are placed on a list of interested buyers and the City conducts a public lottery to choose the buyer.
- The City requires that developer marketing plans include outreach to first-time homebuyer programs, Buy Springfield Now and the Realtors Association. The City puts notice of availability on the City's website.

#### Multi-Family Rental Housing Development or Rehabilitation

HOME funds are used as a gap funding for projects otherwise funded by the state of Massachusetts. Applicants for these funds provide the City with a copy of the "One-Stop" application for funds submitted to the state. Multi-family development/rehabilitation projects are considered on a first-come, first-served basis. Information about the program is available on the City's website.

Units developed or rehabilitated using Springfield HOME funds are subject to affordability restrictions: 20% must be affordable to households with income at or below 50% AMI, and the remaining units affordable to households with income at or below 80% AMI. Projects must use a City-approved affirmative marketing and tenant selection plan to identify tenants for the assisted/restricted units.

## **Appendix G**

### **HOME Recapture Policy**

The City of Springfield's HOME Program funds two types of homebuyer activities:

- 1) Down Payment Assistance
- 2) Project-Based Homeownership-Homeowner Subsidy.

The City applies the same Recapture guidelines for both programs. The length of the affordability period differs, depending on the amount of assistance provided. The City's Down Payment Assistance program does not provide more than \$5,000 per recipient, and the affordability period is capped at 5 years. In the Project-Based Homeownership program, the period of affordability varies from 5 to 15 years, depending on the amount of the direct subsidy provided to the homebuyer (up to \$14,999 = 5 years; \$15,000-\$39,999 = 10 years; \$40,000 or over = 15 years). The direct subsidy to buyer is calculated as the difference between the appraised value and the actual sales price (plus any down payment assistance, if applicable).

The City's Recapture guidelines are:

- In the event the Homebuyer continues to own the property but fails to maintain it as the principal place of residence for the term of the Affordability Period, the entire amount of loaned funds shall be due and payable.
- In the event that the Homebuyer's interest in the property is sold, conveyed, transferred or assigned (in whole or in part) by the Homebuyer within the Affordability Period, and the Homebuyer has provided the City with written notice at least 30 days prior to the sale, conveyance, transfer or assignment of the property, a portion of the loaned funds shall be due and payable (recapture). The 0% interest loans have a declining balance which decreases in a pro-rata percentage for each full year of the loan. For example, the balance of a 5-year loan declines by 20% per year. The amount of the loan that has not been reduced is what is due and payable in this circumstance.
- All recapture amounts are capped at available net proceeds from the sale of the home.
- Down Payment Assistance loans are secured by a second mortgage on the property.
- Project-Based Homeownership direct subsidy loans require a deed rider that describes the specific recapture provisions of the HOME program and puts the buyer on notice of their responsibilities upon purchasing the HOME-assisted property.

The exception to the standard use of recapture, as described above, is for homeownership development that is completed by Greater Springfield Habitat for Humanity (GSHFH). Because of their development model, GSHFH projects typically result in a much larger direct subsidy to the homebuyer. For these projects, the City, in accordance with the 2013 Final Rule Revision of §92.254(a)(5)(ii), will permit a subsequent low-income purchaser to assume the existing HOME loan and recapture obligation entered into by the original buyer. No additional HOME assistance will be provided to the subsequent homebuyer.

## Appendix

### ESG Policies

## **SPRINGFIELD-HAMPDEN COUNTY CONTINUUM OF CARE Standards for Providing Assistance (CoC-ESG Written Standards)**

*Last reviewed and approved by the Board of Directors Sept. 27, 2019*

The CoC, in consultation with recipients of Emergency Solutions Grant (ESG) funds within Hampden County, has established the following written standards for providing CoC and ESG assistance. All CoC- and ESG-funded programs must consistently follow these standards. Other community providers are strongly encouraged to adopt the same standards. The use of standard criteria and assessment are meant to improve referral of people to appropriate resources based on their needs, to provide transparent guidance of eligibility for community programs, and to make the most efficient use of limited funds.

### **STANDARDS FOR ALL COC AND ESG PROGRAMS**

#### ***Eligibility***

CoC and ESG programs are designed to serve those who are homeless or at risk of homelessness as defined in federal regulations. In order to target funds to those most in need, the CoC limits eligibility to those in Categories 1, 2, 3, and 4 of homelessness, as defined on page 8 of these guidelines. Specific types of CoC and ESG programs may further target eligibility as provided in the sections below.

#### ***Emergency Transfer Policy***

A tenant in a CoC program who is the victim of domestic violence, dating violence, sexual assault or stalking and requests a transfer will be transferred within the program or outside the program to next available CoC-funded unit for which the person or household is eligible under HUD's CoC rules, without needing to meet other eligibility or preference requirements. If the next available unit is not acceptable to the person in need of a transfer, they will be offered additional CoC-funded units as they become available. In the alternative, and where it is in the best interest of the tenant who is the victim of domestic violence, dating violence, sexual assault or stalking, the CoC may move the perpetrator to the next available CoC-funded unit.

The eligible person or family retains their original homeless or chronically homeless status for the purposes of the transfer. Remaining family members retain their housing in the original unit, unless terminated by the program or landlord for cause.

#### ***Prohibition on Separation of Family Members***

CoC- and ESG-funded providers that serve families are prohibited from denying admission to families (or a member of a family) due to age and gender of a member of the family. Specifically, providers are required to accommodate whole families, and not deny admission or separate families due to the presence of a teenage boy in the household.

#### ***Access to School and Education Supports***

Providers must ensure that homeless children and youth are enrolled in school or early childhood education and are connected to appropriate education-related services in the community. Providers must distribute materials to family households that make clear that homeless children are able to

1

remain in their school of origin or are able to enroll immediately in their new school; that homeless children who remain in their school of origin are provided transportation to the school; and that homeless children and youth have access to all school programs and services on the same basis as other students. Sample materials for this purpose, which may be adapted by individual providers, are attached as Appendix B. Further, CoC- and ESG-funded homeless assistance providers that serve families are required to have designated staff assigned to ensure adherence to federal and state statutes related to enrollment, transportation requirements, and notification procedures. The CoC requires that CoC- and ESG-funded providers submit an annual certification of compliance with these requirements. The annual certification form is attached in Appendix C.

### **PREVENTION ASSISTANCE (ESG PROGRAM ONLY)**

#### *Eligibility*

Program regulations authorize ESG funds to serve people who meet the definition of homeless, including Category 2, which is persons at imminent risk of homelessness. The CoC elects to use prevention funds only for the purpose of diversion.

- Imminent Risk of Homelessness, Homeless Under Other Federal Statutes, or Fleeing/Attempting to Flee Domestic Violence
- Income at or below 30% area median income

#### *Prioritization*

- For financial assistance:
  - o Combination of extremely low income and homelessness risk factors, as demonstrated by:
    - A minimum score of 20 on the Prevention Assessment, or
    - A score of 15-19 on the Prevention Assessment and presents a compelling reason for an exception to the general prioritization standard, which is documented in the file
  - o Previous receipt of Rapid Rehousing assistance or HomeBASE and at risk of becoming homeless again
- For Tenancy Preservation Support Services
  - o Eviction action initiated in court and tenancy at risk due to behavioral health issue

#### *Assessment*

Households must be assessed for prevention financial assistance with the Prevention Assessment Tool.

#### *Assistance Provided*

Prevention assistance is limited to the costs necessary to help the program participant regain stability in the participant's current permanent housing or move into other permanent housing and achieve stability in that housing.

## **EMERGENCY SHELTER**

The role of emergency shelter is to provide a safe place for persons who have been unable to resolve a housing crisis and have no alternatives for temporary housing. The goal of the CoC is to assist residents to move from shelter into stable housing as quickly as possible. Housing planning should begin immediately upon entry to shelter.

### ***Eligibility***

- Literally Homeless, Imminent Risk of Homelessness, Homeless Under Other Federal Statutes, or Fleeing/Attempting to Flee Domestic Violence

### ***Prioritization***

The CoC includes a sufficient supply of emergency shelter for those in need. However, in the event that existing shelter beds cease to be sufficient, the CoC will prioritize:

- Households that cannot be diverted
- Literally homeless or fleeing/attempting to flee domestic violence

### ***Assessment***

The Diversion Screen is used to identify whether a household may be served with prevention assistance and avoid needing to enter shelter.

## **RAPID REHOUSING**

Rapid rehousing assistance consists of financial assistance and supportive services to assist a household access stable housing as quickly as possible. Rapid rehousing may assist a household recover from a temporary financial setback that caused homelessness, or, for households with more severe challenges, it may be a bridge to provide stability while the household increases income or is approved for affordable housing or permanent supportive housing.

### ***Eligibility***

- Literally homeless (unsheltered or in emergency shelter) or fleeing/attempting to flee domestic violence
- Income at or below 50% area median income

### ***Prioritization***

Household not eligible for Massachusetts Emergency Assistance (EA) program or Residential Assistance for Families in Transition (RAFT)

### ***Use with PSH Placements***

It is allowable for rapid rehousing assistance to be provided to cover move-in cost (first and last months' rent, security deposit, utility deposit) for persons moving into PSH assistance.

### ***Household Contribution***

- Households receiving financial assistance for rapid rehousing must pay a minimum of 30% of their current gross monthly income toward rent and utility costs. This requirement may be waived for the first month, when necessary to enable prompt move-in.

3

- Rapid rehousing programs that exclusively serve young adults age 18-24 do not need to require any financial contribution. These programs can pay full rent for program participants.

*Reassessment of Household Income* Households receiving medium-term rapid rehousing assistance (for 3-24 months) must have household income and circumstances reassessed at least every three months.

## TRANSITIONAL HOUSING

### *Eligibility*

- Literally Homeless (unsheltered or in emergency shelter) or Fleeing/Attempting to Flee Domestic Violence
- Meets target population that TH serves:
  - Youth 18-24
  - Victims of domestic violence
  - Wanting substance use recovery support and currently sober

### *Prioritization*

- Income less than 15% AMI

## PERMANENT SUPPORTIVE HOUSING

### *Eligibility*

- Literally Homeless (unsheltered or in emergency shelter) or Fleeing/Attempting to Flee Domestic Violence
- Disabled
- Chronically Homeless or eligible under DedicatedPLUS criteria

Some units have other funding sources which restrict eligibility to specific populations as follows:

- Veterans
- HIV+
- Eligible for services from the MA Department of Mental Health

### *Prioritization*

The CoC's prioritization for PSH units is consistent with HUD Notice CPD-16-11 (July 25, 2016). All CoC-funded units are dedicated or prioritized for chronically homeless, so the order of priority list in 1 below applies, unless there are no persons in this category to refer, in which case, the order moves to the next priority list.

#### PRIORITY 1: Chronically Homeless

Chronically homeless persons with the longest periods of homelessness and highest severity of service needs. Within this priority, the following orders of priority apply:

1. Individuals (including youth age 18-24) and families who have been cumulatively homeless for more than 2 years (24 months) and have a VI-SPDAT score of 8 or higher. Within this category, prioritization is by highest VI-SPDAT score first.
2. All others who are chronically homeless, prioritized by highest VI-SPDAT score first.

**PRIORITY 2: Other High Need Homeless**

Persons who do not meet the definition of chronically homeless but who are included in the following list. The order of this list is each numbered item, ranked in order by VI-SPDAT score.

1. Episodically homeless with a cumulative stay of at least 12 months and has severe service needs (does not need to verify 4+ occasions of homelessness in 3 years). Must have been homeless at least 12 months in the last 3 years and have a VI-SPDAT score 8 or higher or case conferencing establishes level of service needs that should justify score of 8+.
2. Disabled and has severe service needs, as demonstrated by a VI-SPDAT score 8 or higher or case conferencing establishes level of service needs that should justify score of 8+. There is not a minimum length of homelessness required.
3. Disabled.
4. Persons coming from transitional housing.

***Assessment***

Households must be assessed using the VI-SPDAT, Family-VI-SPDAT, or TAY-VI-SPDAT

## Grantee SF-424's and Certification(s)

OMB Number: 4040-0004  
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424			
* 1. Type of Submission:	* 2. Type of Application:	* If Revision, select appropriate letter(s):	
<input type="checkbox"/> Preapplication	<input type="checkbox"/> New		
<input type="checkbox"/> Application	<input type="checkbox"/> Continuation		
<input checked="" type="checkbox"/> Changed/Corrected Application	<input type="checkbox"/> Revision	* Other (Specify) _____	
* 3. Date Received:	4. Applicant Identifier:		
10/19/2021			
5a. Federal Entity Identifier:	5b. Federal Award Identifier:		
State Use Only:			
6. Date Received by State:	7. State Application Identifier:		
8. APPLICANT INFORMATION:			
* a. Legal Name: City of Springfield, MA			
* b. Employer/Taxpayer Identification Number (EIN/TIN): 94-6001145		* c. UBI: 073011521C00	
d. Address:			
* Street1:	36 Court Street		
Street2:			
* City:	Springfield		
County/Parish:			
* State:	MA: Massachusetts		
Province:			
* Country:	USA: UNITED STATES		
* Zip / Postal Code:	01103-1602		
e. Organizational Unit:			
Department Name:	Division Name:		
Community Development	Development Services		
f. Name and contact information of person to be contacted on matters involving this application:			
Prefix:	* First Name: Cathy		
Middle Name:			
* Last Name:	Bucco		
Suffix:			
Title:	Director of Administration and Finance		
Organizational Affiliation:			
* Telephone Number: (413) 787-6082		Fax Number:	
* Email: cbucco@springieldcitymail.com			

Application for Federal Assistance SF-424			
<p>* 8. Type of Applicant 1: Select Applicant Type:</p> <input type="text" value="City or Township Government"/> <p>Type of Applicant 2: Select Applicant Type:</p> <input type="text"/> <p>Type of Applicant 3: Select Applicant Type:</p> <input type="text"/> <p>* Other (specify):</p> <input type="text"/>			
<p>* 10. Name of Federal Agency:</p> <input type="text" value="U.S. Department of Housing and Urban Development"/>			
<p>11. Catalog of Federal Domestic Assistance Number:</p> <input type="text" value="14.218"/> <p>CFDA Title:</p> <input type="text"/>			
<p>* 12. Funding Opportunity Number:</p> <input type="text" value="14.218"/> <p>* Title:</p> <input type="text" value="Community Development Block Grant Program/Fund Element Grants"/>			
<p>13. Competition Identification Number:</p> <input type="text"/> <p>Title</p> <input type="text"/>			
<p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <input type="text"/> <p>Add Attachment      Delete Attachment      View Attachment</p>			
<p>* 15. Descriptive Title of Applicant's Project:</p> <input type="text" value="Community development block grant action plan, programs and budget details for the year 2021-2022."/>			
<p>Attach supporting documents as specified in agency instructions.</p> <p>Add Attachments      Delete Attachments      View Attachments</p>			

Application for Federal Assistance SF-424			
<b>16. Congressional District(s) Of:</b>			
* a. Applicant	<input type="text" value="62"/>		
* b. Program/Project			
Attach an additional list of Program/Project Congressional Districts if needed.			
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>			
<b>17. Proposed Project:</b>			
* a. Start Date:	<input type="text" value="03/01/2021"/>		
* b. End Date: <input type="text" value="06/30/2024"/>			
<b>18. Estimated Funding (\$):</b>			
* a. Federal	<input type="text" value="3,954,556.00"/>		
* b. Applicant	<input type="text"/>		
* c. State	<input type="text"/>		
* d. Local	<input type="text"/>		
* e. Other	<input type="text"/>		
* f. Program Income	<input type="text" value="220,000.00"/>		
* g. TOTAL	<input type="text" value="4,174,556.00"/>		
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>			
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> . <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.			
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>			
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach: <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>			
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 216, Section 1001)</b>			
<input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.			
<b>Authorized Representative:</b>			
Prefix:	<input type="text"/>	* First Name:	<input type="text" value="Dorothy"/>
Middle Name:	<input type="text"/>		
* Last Name:	<input type="text" value="Serrano"/>		
Suffix:	<input type="text"/>		
* Title:	<input type="text" value="Xayak"/>		
* Telephone Number:	<input type="text" value="412-707-6100"/>	Fax Number:	<input type="text"/>
* Email:	<input type="text" value="dserno@springfieldpublicschools.com"/>		
* Signature of Authorized Representative:			* Date Signed: <input type="text" value="5/14/24"/>

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-0008  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-256), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-610), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§223 and 527 of the Public Health Service Act of 1942 (42 U.S.C. §§290 dd-3 and 290 ee-2), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 4240 (Rev. 7-97)  
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-516) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
  12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
  13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
  14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
  15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11614; (b) notification of violating facilities pursuant to EO 1173B; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11668; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of
  16. Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1990, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-206).
  17. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
  18. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470). EO 11593 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§488a-1 et seq.).
  19. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
  20. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
  21. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Springfield, MA	5/19/21

SF-424D (Rev. 7-87) Back

Application for Federal Assistance SF-424			
* 1. Type of Submission:	* 2. Type of Application:	* If Revision, select appropriate letter(s):	
<input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	<input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	<input type="checkbox"/> Other (Specify): _____	
* 3. Date Received:	4. Applicant Identifier:		
02/26/2021	_____		
5a. Federal Entity Identifier:	5b. Federal Award Identifier:		
_____	_____		
State Use Only:			
6. Date Received by State:	7. State Application Identifier:	_____	
8. APPLICANT INFORMATION:			
* 8. Legal Name: City of Springfield, MA			
* 9. Employer/Taxpayer Identification Number (EIN/TIN): 04-6C01415		* 10. Organizational DUNS: 073611421000	
d. Address:			
* Street:	26 Court Street		
Street#:	_____		
* City:	Springfield		
County/Parish:	_____		
* State:	MA: Massachusetts		
Province:	_____		
* County:	USA: UNITED STATES		
* Zip / Postal Code:	01103-1602		
e. Organizational Unit:			
Department Name:	Division Name:		
Community Development	Development Services		
f. Name and contact information of person to be contacted on matters involving this application:			
Prefix:	* First Name:	Cathy	
Middle Name:	_____		
* Last Name:	Bucco		
Suffix:	_____		
Title:	Director of Administration and Finance		
Organizational Affiliation: _____			
* Telephone Number:	413-787-6082	Fax Number:	413-787-2740
* Email:	cbucco@springfieldcityhall.com		

**Application for Federal Assistance SF-424****\* 9. Type of Applicant 1: Select Applicant Type:**

City or Township Government

**Type of Applicant 2: Select Applicant Type:****Type of Applicant 3: Select Applicant Type:****\* Other (specify):****\* 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.218

CFDA Title:

**\* 12. Funding Opportunity Number:**

14.218

**\* Title:**

Community Development Block Grant Programs/Entitlement Grants

**13. Competition Identification Number:****Title:****14. Areas Affected by Project (Cities, Counties, States, etc.):****Add Attachment****Delete Attachment****View Attachment****\* 15. Descriptive Title of Applicant's Project:**

Community Development Block Grant Action Plan. Program and Budget details for the year 2021-2022.

Attach supporting documents as specified in agency instructions.

**Add Attachments****Delete Attachments****View Attachments**

**Application for Federal Assistance SF-424****16. Congressional Districts Of:**\* a. Applicant \* b. Program/Project 

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**\* a. Start Date \* b. End Date **18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="3,867,127.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="220,000.00"/>
* g. TOTAL	<input type="text" value="4,117,127.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on .
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes  No

If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 21a, Section 1001)

 \*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**Prefix: \* First Name: Middle Name: \* Last Name: Suffix: \* Title: \* Telephone Number: Fax Number: \* Email: \* Signature of Authorized Representative: \* Date Signed:

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant

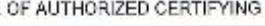
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personnel gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. 554728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1942 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-97)  
Prescribed by OMB Circular A-102

- Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-846) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
  - Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
  - Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§278a to 278a-7), the Copeland Act (40 U.S.C. §278c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
  - Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
  - Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of
  - Federal actions in State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§74101 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
  - Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
  - Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§460a-1 et seq.).
  - Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1998 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
  - Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
  - Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Springfield, MO	5/2/21

SF-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424			
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>	
* 3. Date Received: <input type="text" value="02/25/2021"/>	4. Applicant Identifier <input type="text"/>		
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>		
State Use Only:			
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>		
B. APPLICANT INFORMATION:			
* a. Legal Name: <input type="text" value="City of Springfield, MA"/>			
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="01-6001410"/>		* c. Organizational DUNS: <input type="text" value="0730119216000"/>	
d. Address:			
* Street 1: <input type="text" value="36 Court Street"/>			
Street 2: <input type="text"/>			
* City: <input type="text" value="Springfield"/>			
County/Parish: <input type="text"/>			
* State: <input type="text" value="MA: Massachusetts"/>			
Province: <input type="text"/>			
* Country: <input type="text" value="USA: UNITED STATES"/>			
* Zip / Postal Code: <input type="text" value="01103-1602"/>			
e. Organizational Unit:			
Department Name: <input type="text" value="Community Development"/>	Division Name: <input type="text" value="Development Services"/>		
f. Name and contact information of person to be contacted on matters involving this application:			
Prefix: <input type="text"/>	* First Name: <input type="text" value="Cathy"/>		
Middle Name: <input type="text"/>			
* Last Name: <input type="text" value="Basso"/>			
Suffix: <input type="text"/>			
Title: <input type="text" value="Director of Administration and Finance"/>			
Organizational Affiliation: <input type="text"/>			
* Telephone Number: <input type="text" value="413-787-5082"/>	Fax Number: <input type="text" value="413-787-7990"/>		
* Email: <input type="text" value="cbasson@springfield-ma.gov"/>			

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
<input type="checkbox"/> City or Township Government	
Type of Applicant 2: Select Applicant Type:	
<input type="checkbox"/>	
Type of Applicant 3: Select Applicant Type:	
<input type="checkbox"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency:	
<input type="checkbox"/> U.S. Department of Housing and Urban Development	
11. Catalog of Federal Domestic Assistance Number:	
<input type="checkbox"/> 14.239	
CFDA Title: <input type="text"/>	
* 12. Funding Opportunity Number: <input type="checkbox"/> 14.239	
*Title: <input type="text"/> HOME Investment Partnership Program/Entitlement Grants	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text"/> HOME Grant Action Plan, Program and Budget details for the year 2021-2022.	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

7

Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant	<input type="text" value="02"/>
* b. Program/Project	
Attach an additional list of Program/Project Congressional Districts if needed <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>17. Proposed Project:</b>	
* a. Start Date:	<input type="text" value="07/01/2021"/>
* b. End Date: <input type="text" value="06/30/2024"/>	
<b>18. Estimated Funding (\$):</b>	
* a. Federal	<input type="text" value="1,693,935.00"/>
* b. App/Proj.	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="2,000.00"/>
* g. TOTAL	<input type="text" value="1,695,935.00"/>
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>21. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 216, Section 1001)</b>	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
<b>Authorized Representative:</b>	
Prefix:	<input type="text"/>
* First Name:	<input type="text" value="Dawn L C"/>
Middle Name:	<input type="text"/>
* Last Name:	<input type="text" value="Carrasco"/>
Suffix:	<input type="text"/>
* Title:	<input type="text" value="Mayor"/>
* Telephone Number:	<input type="text" value="413-787-6100"/>
Fax Number:	<input type="text"/>
* Email:	<input type="text" value="dawn.carrasco@springfieldcityhall.com"/>
* Signature of Authorized Representative:	
* Date Signed: <input type="text" value="07/12/21"/>	

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4783) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1685), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-258), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1942 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. 551501-1508 and 7524-7528) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§278a to 278a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §974), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(s) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 90-205).
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17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act: Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE
		<input type="text" value="Manager"/>
APPLICANT ORGANIZATION		DATE SUBMITTED
<input type="text" value="City of Springfield, MA"/>		<input type="text" value="8/12/21"/>

SF-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424			
* 1. Type of Submission: <input type="checkbox"/> Presubmission <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="checkbox"/> Other (Specify): _____	
* 3. Date Received: 02/25/2021	4. Applicant Identifier: _____		
5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____		
State Use Only:			
6. Data Received by State: _____	7. State Application Identifier: _____		
8. APPLICANT INFORMATION:			
* 9. Legal Name: City of Springfield, MA			
* 10. Employer/Taxpayer Identification Number (EIN/TIN): 04-6001415		* 11. Organization's DUNS: 0000000000	
d. Address:			
* Street: 36 Court Street			
Street: _____			
* City: Springfield			
County/Parish: _____			
* State: MA: Massachusetts			
Province: _____			
* Country: USA: UNITED STATES			
* Zip / Postal Code: 01103-1502			
e. Organizational Unit:			
Department Name: Community Development	Division Name: Development Services		
f. Name and contact information of person to be contacted on matters involving this application:			
Prefix: _____	* First Name: Cathy		
Middle Name: _____			
* Last Name: Isaura			
Suffix: _____			
Title: Director of Administration and Finance			
Organizational Affiliation: _____			
* Telephone Number: 413-787-6082	Fax Number: 413-787-7700		
* Email: cmuno@springfieldmail.mill.com			

**Application for Federal Assistance SF-424****\* 9. Type of Applicant 1: Select Applicant Type:**

U.S. City or Township Government

**Type of Applicant 2: Select Applicant Type:****Type of Applicant 3: Selected Applicant Type:****\* Other (specify):****\* 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

16.241

CFDA Title:

**\* 12. Funding Opportunity Number:**

10.201

**\* Title:**

Housing Opportunities for Persons with AIDS Grant

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):****Add Attachment****Delete Attachment****View Attachment****\* 15. Descriptive Title of Applicant's Project:**

HODWA Grant Action Plan, Program and Budget details for the year 2021-2022.

Attach supporting documents as specified in agency instructions

**Add Attachments****Delete Attachments****View Attachments**

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant	<input type="text" value="02"/>
* b. Program/Project	
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date:	<input type="text" value="07/01/2021"/>
* b. End Date: <input type="text" value="06/30/2023"/>	
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="726,899.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="726,899.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> .	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach: <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 21, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
First Name:	<input type="text"/>
Middle Name:	<input type="text"/>
* Last Name:	<input type="text" value="Sanno"/>
Suffix:	<input type="text"/>
* Title:	<input type="text" value="Mayor"/>
* Telephone Number:	<input type="text" value="413-787-6100"/>
Fax Number:	<input type="text"/>
* Email: <input type="text" value="dearbornspringfield@y12.net"/>	
* Signature of Authorized Representative: 	
* Date Signed: <input type="text" value="5/12/2021"/>	

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008  
Expiration Date: 02/29/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

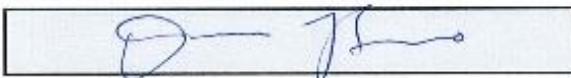
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4601 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §734), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-256), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§623 and 527 of the Public Health Services Act of 1972 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 424D (Rev. 7-97)  
Prescribed by DMS Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§275a to 276e-7), the Copeland Act (40 U.S.C. §276c and 10 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of
- Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1966, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§488a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Springfield, MA	5/12/24

SF 424D (Rev. 7-97) Back

Application for Federal Assistance SF-424			
* 1. Type of Submission:	* 2. Type of Application:	* If Revision, select appropriate letter(s):	
<input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	<input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	<input type="text"/> <input type="text"/> <input type="text"/>	
* 3. Date Received:	4. Applicant Identifier:		
<input type="text"/> 02/25/2021	<input type="text"/>		
5a. Federal Entity Identifier:	6b. Federal Award Identifier:		
<input type="text"/>	<input type="text"/>		
State Use Only:			
6. Date Received by State:	<input type="text"/>	7. State Application Identifier:	<input type="text"/>
8. APPLICANT INFORMATION:			
* 9a. Legal Name:	<input type="text"/> city of springfield, MA		
* 9b. Employer/Taxpayer Identification Number (EIN/TIN):	* 9c. Organizational DUNS:		
<input type="text"/> 04-6001615	<input type="text"/> 0730119210000		
d. Address:			
* Street1:	<input type="text"/> 36 Court Street		
Street2:	<input type="text"/>		
* City:	<input type="text"/> Springfield		
County/Parish:	<input type="text"/>		
* State:	<input type="text"/> MA: Massachusetts		
Province:	<input type="text"/>		
* County:	<input type="text"/> USA: UNITED STATES		
* Zip/Postal Code:	<input type="text"/> 01103-1602		
e. Organizational Unit:			
Department Name:	Division Name:		
<input type="text"/> Community Development	<input type="text"/> Development Services		
f. Name and contact information of person to be contacted on matters involving this application:			
Prefix:	<input type="text"/>	* First Name:	<input type="text"/> Cathy
Middle Name:	<input type="text"/>		
* Last Name:	<input type="text"/> inman		
Suffix:	<input type="text"/>		
Title:	<input type="text"/> Director of Administration and Finance		
Organizational Affiliation:			
<input type="text"/>			
* Telephone Number:	<input type="text"/> 413-509-6002	Fax Number:	<input type="text"/> 413-507-7740
* Email:	<input type="text"/> cmano@springfieldcityhall.com		

Application for Federal Assistance SF-424				
<p>* 9. Type of Applicant 1: Select Applicant Type:</p> <p><input type="checkbox"/> City or Township Government</p> <p>Type of Applicant 2: Select Applicant Type:</p> <p><input type="checkbox"/></p> <p>Type of Applicant 3: Select Applicant Type:</p> <p><input type="checkbox"/></p> <p>* Other (specify): <input type="text"/></p>				
<p>* 10. Name of Federal Agency:</p> <p><input type="text"/> U.S. Department of Housing and Urban Development</p>				
<p>11. Catalog of Federal Domestic Assistance Number:</p> <p><input type="text"/> 14.231</p> <p>CFDA Title: <input type="text"/></p>				
<p>* 12. Funding Opportunity Number:</p> <p><input type="text"/> 14.231</p> <p>* Title: <input type="text"/> Emergency Solutions Grant</p>				
<p>13. Competition Identification Number: <input type="text"/></p> <p>Title: <input type="text"/></p>				
<p>14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/></p> <p>Add Attachment      Delete Attachment      View Attachment</p>				
<p>* 15. Descriptive Title of Applicant's Project: <input type="text"/> ESG Grant Action Plan. Program and Budget details for the year 2021-2022.</p>				
<p>Attach supporting documents as specified in agency instructions  <input type="button"/> Add Attachments    <input type="button"/> Delete Attachments    <input type="button"/> View Attachments</p>				

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant	<input type="text" value="02"/>
* b. Program/Project <input type="text" value=""/>	
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date:	<input type="text" value="07/01/2021"/>
* b. End Date: <input type="text" value="06/30/2023"/>	
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="333,698.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="333,698.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text" value=""/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 21, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix:	<input type="text" value=""/>
* First Name:	<input type="text" value="Domenic L. Sarno"/>
Middle Name:	<input type="text" value=""/>
* Last Name:	<input type="text" value="Sarno"/>
Suffix:	<input type="text" value=""/>
* Title:	<input type="text" value="Mayor"/>
* Telephone Number:	<input type="text" value="413-787-6100"/>
Fax Number:	<input type="text" value=""/>
* Email:	<input type="text" value="domeincs@springfieldcityhall.com"/>
* Signature of Authorized Representative:	
* Date Signed: <input type="text" value="6/32/21"/>	

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0039  
Expiration Date: 12/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personnel or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4720-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 5094), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1944 (42 U.S.C. §§280 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 4240 (Rev. 7-87)  
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-645) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
  12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1601-1608 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
  13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §674), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally assisted construction subagreements.
  14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
  15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11614; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11986; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of
  16. Federal actions to State (Clean Air) Implementation Plans under Section 178(c) of the Clean Air Act of 1965, as amended (21 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-623); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
  17. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
  18. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470c), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
  19. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1990 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
  20. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
  21. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION City of Springfield, MA	DATE SUBMITTED 8/12/12

SF-424D (Rev. 7-97) Back

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

  
Signature of Authorized Official

5/2/21  
Date

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## **Specific Community Development Block Grant Certifications**

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. **Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 19, 20, 21 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

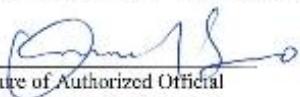
**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** – The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

  
Signature of Authorized Official

5/2/21  
Date

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Title

**OPTIONAL Community Development Block Grant Certification**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

  
Signature of Authorized Official

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Date

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#### Specific HOME Certifications

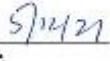
The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

  
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Signature of Authorized Official

  
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### **Emergency Solutions Grants Certifications**

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

**Discharge Policy** -- The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official



Date

5/2/21

Title

Mayor

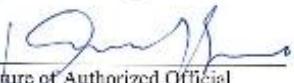
**Housing Opportunities for Persons With AIDS Certifications**

The HOPWA grantee certifies that:

**Activities** – Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

  
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Signature of Authorized Official

5/12/21  
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## **APPENDIX TO CERTIFICATIONS**

### **INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

#### **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.