

City of Springfield, Massachusetts

Action Plan, FY 2012-13

DRAFT

THIRD Program Year Action Plan, 2012-13



**City of Springfield,
Massachusetts**

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Third Program Year Action Plan

GENERAL

Executive Summary

The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

Every five years, the City of Springfield undertakes a planning process in which it assesses its community needs, seeks public input, and devises a strategy to accomplish priority projects, which is set out in the City's Five-Year Consolidated Plan. The plan is carried out under the leadership of the Office of Planning and Economic Development. Through OPED, the City works to create and sustain a healthy, vibrant City that stimulates balanced growth through economic development, housing options, neighborhood revitalization, and support for Springfield residents.

The City undertook this strategic consolidated planning process in 2009-2010, and established 12 top-level goals to guide its use of community development funds during years 2010 through 2014.

City of Springfield Consolidated Plan Goals, 2010-2014

Economic Development Goals

1. Promote an environment that encourages entrepreneurship, strengthens existing businesses and expands economic opportunities.
2. Develop and execute strategies that promote development within neighborhood commercial corridors.
3. Prepare and promote existing commercial sites for development.
4. Expand job training and readiness programs to effectively develop a competitive workforce.

Community Development Goals

5. Improve the physical environment of the City through enhancement of streets and streetscapes, parks and open space, and remediation of blight.
6. Provide support and increased opportunities for residents of Springfield, with a particular focus on the City's youth.

7. Support creation and maintenance of appropriate community facilities to serve residents' needs.

Neighborhood Stabilization and Housing Goals

8. Increase housing stability throughout the City, with a particular emphasis on neighborhoods in need of revitalization.
9. Improve the quality of the City's housing stock.
10. Preserve and expand housing options in order to provide opportunity for residents to access safe, sanitary and affordable homes.

Goals Related to Special Needs Populations

11. Continue efforts to end homelessness throughout the City and region, while continuing to meet the emergency needs of people experiencing a housing crisis.
12. Support special needs populations with housing options, supportive services, and facilities as appropriate.

FY 2012-2013 Plan, Funding, and Outcomes. During each year of the Consolidated Plan, the City publishes an Annual Plan, which indicates the programs to be carried out during the year. This document is the City of Springfield's Annual Plan for the third year of the 5-year Consolidated Plan.

In FY 2012-2013, the City of Springfield will receive approximately \$3,668,911 in federal Community Development Block Grant (CDBG) and \$1,193,153 in the HOME Investment Partnerships Program funding. The City will also receive \$321,965 in Emergency Solutions Grant (ESG) funds and \$474,123 in funds for Housing Opportunities for Persons with AIDS (HOPWA). During this year, the City will continue to administer multi-year programs funded in 2009 and 2011 by the Neighborhood Stabilization Program (NSP).

The Annual Plan describes how these funds will be used. A full listing of the programs to be funded in this fiscal year is provided within the Appendix.

In 2011, the City of Springfield was hit by an EFS 3 tornado, which caused extensive damage in a corridor that cuts across the middle of the City. The City's need to respond to this damage has caused some adjustments in funding priorities, which will be reflected throughout this annual plan. The City will prioritize tornado-impacted neighborhoods, particularly the impacted neighborhoods located within the City's Neighborhood Revitalization Strategy Areas (NRSAs).

During FY2012-2013, the City will undertake or advance the following major activities and initiatives:

- *Economic Development:* continued redevelopment of the Indian Motorcycle building and the Mason Square Fire Station;

- *Job creation:* Job training programs will be available to low income individuals. The programs will offer hands on training, classroom instruction and job placement. The program will create at least 5 jobs.
- *Community Development:* reconstruction of parks and replace spray structures; rehabilitation/reconstruction of streets and sidewalks; and assistance to neighborhood councils to improve City neighborhoods.
- *Public services:* provision of a variety of social and development services, especially services targeted to youth and children to 5188 individuals. These services also include services for elders, people with disabilities, people with substance abuse, health needs, job training and homelessness.
- *Neighborhood stabilization, particularly in critical neighborhoods:* redevelopment of abandoned/vacant homes; homebuyer down payment incentives; demolition of blighted residential structures; receivership of residential properties; targeted proactive code enforcement; board and secure vacant homes; acquisition and disposition of tax-foreclosed residential properties; rehabilitation of historic properties; cleanup of lots and graffiti removal.
- *Homeowner Repairs:* The City is increasing its level of funding for home emergency repairs, in order to meet tornado damage repair needs.
- *Encouragement of homeownership and housing stability:* homebuyer education and down payment assistance for income-eligible households buying throughout the City; this work will complement the “Buy Springfield Now” campaign, which encourages homeownership in the City.
- *Improvement of the City’s housing stock:* rehabilitation of housing units; evaluation and elimination of lead paint hazards in housing units; and increased energy efficiency. The City will provide funding for redevelopment of apartment blocks in the South End, as well as smaller rehabilitation projects in the City, provided these projects receive matching funds from the state in this year.
- *Continued efforts to decrease homelessness:* development of permanent supportive housing opportunities; homelessness prevention and rapid rehousing assistance; and continued provision of emergency and triage services to households newly becoming homeless.

Neighborhood-Specific and Development Initiatives:

- *Tornado-Impacted Areas:* The City will prioritize tornado-impacted areas for preference for CDBG and HOME funds.
- *South End Revitalization:* redevelopment of residential parcels; demolition of blighted buildings; storefront façade improvements to Main Street businesses and completion of park renovation and repaving and streetscaping of Dwight Street Extension. The first phase of

renovations of buildings in the Hollywood section will take place in 2012. The City has been awarded a Choice Neighborhoods Planning Grant for the South End and will undertake implementation planning for inter-connected projects in the areas of Housing, People and Neighborhood. The City has committed CDBG funds to support this initiative.

- *North End Community Development:* investment in human capital; infill housing development targeted to homeownership; targeted demolition of abandoned properties.
- *State Street Corridor and Old Hill/Six Corners Revitalization:* Redevelopment of residential properties; infill housing units; demolition of blighted structures; conveyance of abutter lots to responsible neighbors; and street improvements
- *Continued redevelopment:* Union Station; Court Square; former Asylum building; Mason Square; the former Zanetti School; Indian Orchard Business Park and the former VIC.

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Past Performance. Accomplishments for FY2011-2012 include:

Tornado Response

The June 1, 2011 tornado that devastated sections of the City defined a great deal of the community development and housing work that the City undertook during the last fiscal year. Tornado-related accomplishments include: relocation assistance for over 250 households displaced by the tornado; development of a Rebuilding Guide for impacted homeowners; code and legal enforcement and tracking of over 800 damaged properties, including over 200 condemned properties, and an extensive and inclusive community-wide planning process resulting in a comprehensive master plan for the impacted areas and for revitalization of the City as a whole.

South End Revitalization Initiative

The City will complete the revitalization of Emerson Wight Park this fiscal year. This project included takings by eminent domain of a row of homes to be demolished for park expansion and the connection of the park to the neighborhood. The City secured significant state park funding to assist with the overall renewal of the park.

The City has committed support to a private developer, First Resource Development, to undertake substantial renovation of the 22 multi-family buildings that make up the Hollywood District in this neighborhood

The City and the Springfield Housing Authority were awarded a Choice Neighborhoods Planning Grant for the South End, which will support implementation planning for a range of neighborhood initiatives, including replacement of Marble Street Apartments, renovation of the Hollywood Apartments, a new South End Community Center, and other neighborhood amenities and supports for neighborhood residents.

Neighborhood Stabilization Initiatives

The impact of the foreclosure crisis and housing market decline is seen in abandoned and vacant properties throughout the City. The City was awarded Neighborhood Stabilization Program funds in the amount of \$3.5 million in 2009, and an additional \$1.2 million in 2011; in addition, and developers are eligible to receive NSP2 funds from state agencies for redevelopment efforts in Springfield.

In order to best use this investment, the City undertook a careful planning process for neighborhood stabilization, and is combining the NSP work with a number of City-funded initiatives. The City's neighborhood stabilization efforts include:

- Targeted demolition of blighted properties;
- Infill development of single and two-family homes for homeownership;
- Code enforcement quality-of-life sweeps;
- Receivership of abandoned properties;

- “Clean and lien” of vacant lots;
- Use of tax title properties for homeownership opportunities;
- Emergency home repair program; and
- Foreclosure prevention.

Four NSP-funded developers have completed redevelopment of eight properties in the Old Hill, Six Corners, and South End neighborhoods, and have an additional eleven properties underway. The City has also used NSP funds for the removal of blight in the target neighborhoods. The NSP-funded work in these neighborhoods is being augmented by HOME CHDO funds, which are being used to build five new homeownership units in Old Hill.

State Street Corridor

The State Street Alliance, a collaboration of over 50 private and public entities dedicated to the redevelopment of this corridor, has continued to examine market opportunities for retail, office and housing; identify site-specific development opportunities; and create a market-driven program that identifies catalytic projects.

Reduction of Homelessness; the Worthington Street Homeless Resource Center

One of the City’s key priorities during the prior Consolidated Plan period was addressing homelessness among individuals in the City. The City has continued to make strides in this area. The City has experienced a 52% reduction in street homelessness in the City, as well as a 20% reduction overall in the number of homeless individuals without children in the City since 2007.

In the past fiscal year, the City has fully expended its Homelessness Prevention and Rapid ReHousing Program (HPRP) funds, which supported a triage and rapid rehousing system. The City will use the new Emergency Solutions Grant funds to keep this system operational through the upcoming year.

The City continues to play a major role in leading the Western Massachusetts Network to End Homelessness, a regional effort focused on reducing chronic and family homelessness.

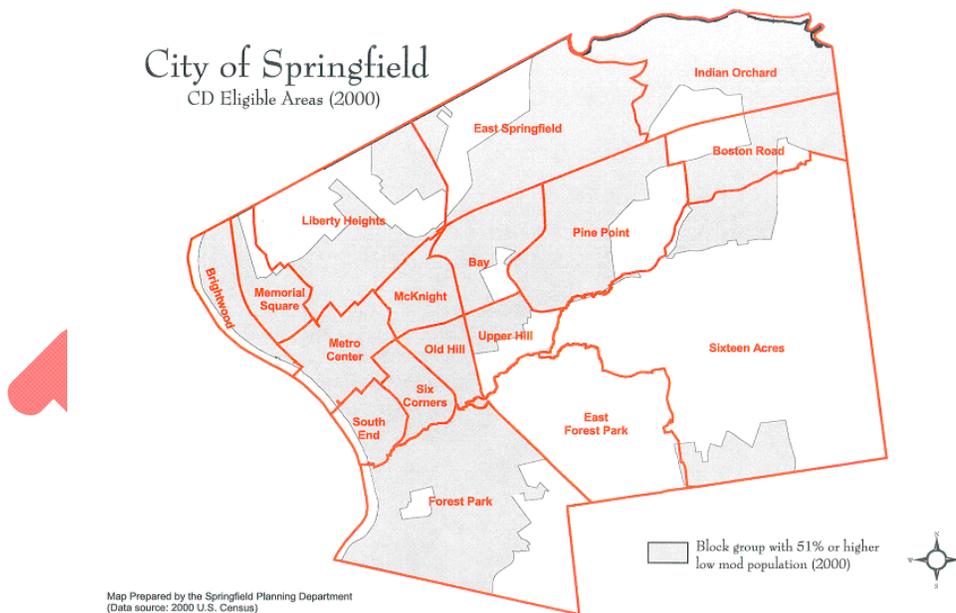
Section 3 Coordination

The City, in partnership with the Springfield Housing Authority and the Regional Employment Board of Hampden County, is one of 12 grantees nationwide to receive a competitive HUD Section 3 Coordination grant. The funds will enable the City to hire a Section 3 coordinator.

General Questions

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.
3. Describe actions that will take place during the next year to address obstacles to meeting underserved needs.
4. Identify the federal, state, and local resources expected to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.

Target Geographic Area. The City of Springfield plans to expend its CDBG allocation predominately within census block group areas made up of 51% or more low/moderate income population. The City estimates that it will spend more than 70% of its CDBG funds within the City's CDBG target area. The map below illustrates the CDBG-eligible areas.



Of 17 City neighborhoods, six are entirely CDBG eligible and ten are partially eligible. Within the Low-Mod CDBG-eligible areas, most of the neighborhoods contain concentrations of low-income households, and greater than average rates of minorities.

The eligible area includes the census tracts and block groups listed in the table below. Within this area, the City will prioritize eligible projects within its existing Neighborhood Revitalization Strategy Areas (NRSAs), which are marked on the table.

| TRACT | BLK GRP | NRSA | LOW MOD PCT | TRACT | BLK GRP | NRSA | LOW MOD PCT | TRACT | BLK GRP | NRSA | LOW MOD PCT |
|---------|---------|------|-------------|---------|---------|------|-------------|---------|---------|------|-------------|
| 8026.01 | 3 | | 59.9 | 8018.00 | 6 | ✓ | 85.7 | 8011.01 | 2 | ✓ | 100.0 |
| 8026.01 | 4 | | 55.8 | 8017.00 | 3 | | 75.4 | 8009.00 | 1 | ✓ | 86.0 |
| 8026.01 | 5 | | 68.2 | 8017.00 | 4 | | 64.0 | 8009.00 | 2 | ✓ | 81.6 |
| 8023.00 | 1 | | 56.2 | 8017.00 | 5 | | 65.8 | 8009.00 | 3 | ✓ | 95.2 |
| 8023.00 | 2 | | 51.8 | 8017.00 | 6 | | 67.4 | 8009.00 | 4 | | 70.3 |
| 8023.00 | 4 | ✓ | 82.8 | 8016.05 | 2 | | 54.2 | 8009.00 | 5 | ✓ | 89.9 |
| 8023.00 | 5 | | 74.4 | 8016.03 | 1 | | 52.3 | 8008.00 | 1 | ✓ | 90.2 |
| 8023.00 | 6 | | 75.4 | 8016.02 | 1 | | 55.9 | 8008.00 | 2 | ✓ | 84.0 |
| 8022.00 | 1 | | 67.8 | 8015.03 | 1 | | 63.5 | 8007.00 | 1 | ✓ | 85.4 |
| 8022.00 | 2 | | 65.2 | 8015.03 | 2 | | 66.0 | 8007.00 | 2 | | 75.4 |
| 8022.00 | 3 | | 77.8 | 8015.02 | 1 | | 56.3 | 8006.00 | 1 | ✓ | 85.9 |
| 8021.00 | 1 | ✓ | 78.6 | 8015.02 | 4 | | 72.4 | 8006.00 | 2 | ✓ | 96.6 |
| 8021.00 | 4 | ✓ | 56.6 | 8015.01 | 3 | | 71.4 | 8006.00 | 3 | ✓ | 99.4 |
| 8021.00 | 6 | | 53.1 | 8015.01 | 4 | | 52.1 | 8005.00 | 1 | | 61.1 |
| 8021.00 | 9 | | 67.1 | 8014.02 | 1 | | 57.1 | 8005.00 | 2 | | 56.9 |
| 8020.00 | 1 | ✓ | 85.7 | 8014.02 | 4 | | 59.1 | 8004.00 | 2 | | 53.8 |
| 8020.00 | 2 | ✓ | 86.2 | 8014.01 | 5 | | 74.3 | 8004.00 | 4 | | 54.1 |
| 8020.00 | 3 | | 79.1 | 8014.01 | 6 | | 78.2 | 8004.00 | 5 | | 62.7 |
| 8019.00 | 1 | ✓ | 84.3 | 8013.00 | 1 | | 75.2 | 8004.00 | 6 | | 66.1 |
| 8019.00 | 2 | ✓ | 84.3 | 8013.00 | 2 | ✓ | 85.2 | 8003.00 | 1 | | 59.0 |
| 8019.00 | 3 | ✓ | 78.4 | 8013.00 | 3 | | 63.2 | 8002.02 | 1 | | 52.6 |
| 8019.00 | 4 | ✓ | 81.7 | 8013.00 | 5 | | 58.4 | 8002.01 | 3 | | 58.6 |
| 8019.00 | 5 | ✓ | 86.6 | 8012.00 | 1 | ✓ | 92.7 | 8002.01 | 6 | | 69.4 |
| 8019.00 | 8 | ✓ | 83.0 | 8012.00 | 2 | ✓ | 82.4 | 8001.00 | 1 | ✓ | 80.6 |
| 8018.00 | 1 | ✓ | 79.0 | 8012.00 | 3 | | 63.4 | 8001.00 | 2 | | 54.8 |
| 8018.00 | 2 | | 64.4 | 8011.02 | 1 | ✓ | 61.1 | 8001.00 | 4 | | 74.4 |
| 8018.00 | 3 | ✓ | 81.9 | 8011.02 | 2 | | 84.3 | 8001.00 | 5 | | 72.0 |
| 8018.00 | 5 | | 68.9 | 8011.01 | 1 | ✓ | 86.6 | 8001.00 | 8 | | 65.1 |

Source: Springfield Planning Department analysis of 2000 US Census

Basis for Allocating Investments. The City of Springfield will predominately expend its FY12-13 CDBG allocation within these target neighborhoods and federally eligible areas. Springfield's investment of CDBG funds is based on whether the activity falls within a City funding priority, whether the service is an eligible activity, and whether the service or need meets a national

objective. In addition, the activity must meet one of the following CDBG objectives: (1) provide a suitable living environment; (2) provide decent housing; and (3) provide economic opportunity.

Resources are allocated to maximize the benefits to residents within target areas. While public infrastructure, parks, open space, and public-facility projects are exclusively within targeted areas, other strategic investments made for the benefit of low and moderate income residents are throughout the City. It has been necessary to invest outside low/moderate census tracts in order to meet high priority needs of the community such as job creation and economic development.

HOME Investment Partnership Funds (HOME) are used to rehabilitate rental housing, directly assist homebuyers, provide tenant-based rental assistance, and produce and/or preserve affordable housing citywide.

ESG and HOPWA funds are targeted to meet the identified needs of the eligible populations within the geographic area. For ESG, the service area is the City of Springfield. Investments are made in accordance with relative priority and statutory spending caps. HOPWA funds are allocated throughout the EMSA which includes the tri-county area. HOPWA funds are allocated primarily to alleviate the housing cost burden for eligible households.

Specific final funding decisions are made by the Mayor, with substantial input from City staff, and taking into consideration the data and community input received in the Consolidated Plan process. In 2012, these decisions are also influenced by plans created through the Rebuild Springfield planning process, undertaken to set priorities for rebuilding post tornado. Where the City chooses not to carry out activities through its own departments, it issues Requests for Proposals to solicit organizations to carry out the activities. In evaluation of responses to RFPs, the City focuses whether particular funding proposals enable Springfield to achieve its overall community development goals.

Addressing Obstacles to Meeting Underserved Needs. The greatest obstacle to meeting the needs identified in the plan is the lack of resources needed to do so. The City strives to maximize the resources it has, through targeting and efficient programming, and it attempts to increase the resources available to address the needs. The City's attempts to increase resources will include:

- Application for competitive grant funding;
- Partnership with the private sector to achieve community goals;
- Assistance to nonprofit agencies and private partners to enable them to compete for government and private funds;
- Advocacy with state administration and local legislative delegation to increase state support for priority City projects and initiatives.

The City's response is also limited by restrictions placed on available funding sources. Most significantly, restrictions on the use of CDBG funds make it difficult for the City to use these funds more broadly for job creation and job training, which are critical needs in the City.

Other obstacles to meeting underserved needs include the difficulty in addressing community problems which are regionally based, and the need to have high quality data and analysis of the City's challenges and opportunities. Springfield works to address the first obstacle by collaborating with our jurisdictional neighbors whenever possible. The City has done this effectively in the area of homelessness, where it has been a leader in creating the Western Massachusetts Network to End Homelessness, which advocates and implements a regional response to homelessness. The City is also collaborating regionally in the initiative to bring a north-south high-speed rail line through Springfield, and in supporting the region's 'brand' as the Education Corridor. The Springfield-Hartford region is a recipient of a Sustainable Communities grant, and the City is an active participant in work associated with this initiative.

Additional Resources to Address Needs in Plan. The following federal, state, and local resources are expected to be made available to address the needs identified in the plan during FY12-13:

| | |
|---|--------------|
| Boston Road Corridor Roadway and Traffic Improvements Design | |
| Chapter 90 Funds | \$300,000 |
| State Highway Funds | \$300,000 |
| Allen Street/Bicentennial Highway Road and Traffic Improvements | |
| State Highway Funds | \$2,000,000 |
| Road and Infrastructure Improvements | |
| Chapter 90 Funds | \$3,626,553 |
| Demolition Program | |
| City Capital Fund | \$750,000 |
| Emerson Wight Park | |
| State PARC Grant | \$500,000 |
| Green Repairs Project | |
| MSBA | \$23,836,456 |
| Forest Park Walkway and Bikeway Improvement | |
| MassDOT | \$60,000 |
| Forest Park Middle School | |
| Mass School Building Authority | \$43,400,000 |
| Parker Street & Wilbraham Road-CVS | |
| Private Investment | \$2,500,000 |
| 603 Hendee Street – Titeflex | |
| Private Investment | \$3,000,000 |
| 1592 Main Street | |

| | |
|---|--------------|
| EPA/PVPC | \$550,000 |
| City Demo Funds | \$300,000 |
| State Funds | \$400,000 |
| Smith and Wesson Industrial Park | |
| Private Investment | \$9,000,000 |
| Elliot Street – State Data Center | |
| State Funding | \$76,000,000 |
| Concord Heights | |
| Tax Credit Equity | \$14,733,072 |
| Affordable Housing Trust Fund | \$1,800,000 |
| MA HOME funds | \$550,000 |
| Developer’s Cash Equity | \$1,251,663 |
| Permanent Debt | \$1,561,849 |
| Neighborhood Stabilization | |
| NSP 1 State Funds | \$1,050,000 |
| NSP 1 Federal Funds | \$2,566,272 |
| NSP3 Funds | \$1,197,000 |
| Heartwap Program | |
| State – DHCD | \$550,000 |
| Health Care for the Homeless | |
| HRSA | \$1,194,003 |
| Supportive Housing Program | |
| Federal HUD Funds | \$869,103 |
| Shelter Plus Care Program | |
| Federal HUD Funds | \$1,073,664 |
| Choice Neighborhood Planning Initiative | |
| Federal HUD CN grant | \$ 300,000 |
| Private matching funds | \$ 350,000 |
| FEMA funds for demolition | \$350,000 |

Managing the Process

1. Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.
2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the process.
3. Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.

Lead Agency and Administering Agencies. OPED is the lead agency responsible for administering the programs covered by the Consolidated Plan, and this Annual Action Plan. This Division is made up of the following departments: Community Development, Planning and Economic Development (OPED), Housing, Building, and Neighborhood Services. The Division administers funds provided to other City Departments to carry out the plan, which include Parks and Recreation, Public Works, Elder Affairs, and Health and Human Services. The Division also administers grant funding to various agencies and nonprofit organizations.

Process for Plan Development. To create the Consolidated Plan, the City undertook an extensive outreach process and needs analysis. OPED and other City departments that implement community and economic development programs worked together to solicit input for the City of Springfield's strategy. Outreach included consultations with residents, private industry and nonprofit organizations, including Community Based Development Organizations (CBDOs). The consultation process included public hearings, meetings of key City boards and Commissions, community meetings sponsored by neighborhood-based organizations, and regularly held meetings of community-based organizations and human service providers. More specifically, in developing the plan the City consulted:

Housing Services, Homeless Services Providers

RiverValley Counseling (HIV); New North Citizens Council (Anti-poverty); Law Consortium (HIV, Low-Income); YWCA (Victims of Domestic Violence); Mental Health Association (Mental health/Dual Diagnosis); Friends of The Homeless (Homeless); Mercy Hospital (Homeless); Springfield Partner's for Community Action (Anti-poverty); Open Pantry Community Services (Homeless); Massachusetts Justice Project (Low-Income); Square One (Low-Income); and Human Resources Unlimited (Mental Health).

Private Sector

Chamber of Commerce; Springfield College; and the Regional Employment Board.

HOPWA Consultation

City of Northampton; River Valley Counseling; HIV/AIDS Law Consortium; Mercy Hospital; New North Citizen's Council; Tapestry Health Systems; Cooley Dickinson Hospital

Social Services

New North Citizens Council; Holyoke-Chicopee-Springfield Head Start, Inc. ; The City of Springfield's Department of Adult Education; The Citywide Violence Prevention Task Force; The Mayor's Commission on Youth; The Mayor's Office of Elder Affairs; Greater Springfield Senior Services; Hungry Hill Senior Center; Pine Point Senior Center; Mason Square Senior Center; Catholic Charities; and Martin Luther King Jr. Family Services.

Health Services

Mercy Medical Center; Baystate Medical Center; Partners for a Healthy Community; American Heart Society, Springfield Chapter; American Cancer Society, Springfield Chapter; Northern Education Services; New North Citizens Council; The Springfield Health Coalition; The Massachusetts Department of Public Health (which sends Springfield data extrapolated from its state wide health indices system); The Massachusetts Office of Emergency Preparedness; and The Executive Office of Health and Human Services.

State Agencies

The City submitted the Draft Consolidated Plan to the Director of the Community Service Division at the Massachusetts Department of Housing and Community Development and the Chief of Staff of the Massachusetts Office of Commonwealth Development.

Adjacent Government

The City notified and consulted with adjacent units of local government. Springfield's Office of Community Development sent notification of the Draft Consolidated Plan and the draft Action Plan web site availability to the Directors of the Community Development Departments in the cities of Chicopee, Westfield, West Springfield, and Holyoke.

Public Housing Agencies

The City of Springfield has been actively engaged with the Springfield Housing Authority during the development of the Plan. A regional public housing authority, HAP Housing, was consulted during the Consolidated Plan development. HAP plays an integral role through its development division, which is a certified CHDO.

Enhanced Coordination. The City seeks to enhance coordination between public and private housing, health, and social service agencies through multi-disciplinary/multi-agency task forces and teams that focus on particular issues or neighborhoods. Some of these teams that will be active during FY12-13 are: The Springfield Food Policy Council, the Springfield Adolescent Sexual Health Advisory Committee; the Implementation Committee for the City's Ten-Year Plan to End Homelessness; the Buy Springfield Now committee; the Closing the Achievement Gap Initiative; the State Street Alliance; the Old Hill Collaborative; and the South End Revitalization Coalition.

Citizen Participation

1. Provide a summary of the citizen participation process.
2. Provide a summary of citizen comments or views on the plan.
3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

Citizen Participation Process. During the development of the Third Year Action Plan, the City used three main mechanisms to solicit citizen input. The City: 1) Conducted two public hearings to obtain input to be used in the plan; 2) Convened a citizen input hearing to receive comments from residents regarding the DRAFT Action Plan; and 3) Solicited written comments about the DRAFT Action Plan.

In order to publicize the public hearings, the City mailed a flyer to the individuals and organizations listed on the Office of Community Development's mailing list, printed an advertisement in the Neighborhoods Plus section of the Republican, printed an advertisement in LaVoz and printed a Legal Notice in the Republican. The public hearings were held in neighborhoods where the majority of funding will be spent. All of these solicitations are posted on the City's Community Development website.

Hearing 1: January 18, 2012 Rebecca Johnson Auditorium, 55 Catherine St.

Attendees:

Cathy Buono, City of Springfield, Office of Community Development

Lori Santaniello, City of Springfield, Office of Community Development

Cathy Buono opened up the hearing by explaining the CDBG process, the development of the Action Plan and what the public hearing was for. She made the public aware of this year's cuts; CDBG was cut 2% and HOME was cut 25% from last year.

Ms. Buono reviewed the various types of programs that have received funding in prior years. The following programs have been funded: rehab to non-profits, park renovations, streets/sidewalks, demolition, business loan program and façade loan program. The feedback from the public hearings will determine if additional RFP's will be released. The RFP for public service activities will be available February 1, 2012. Ms. Buono informed the citizens at the hearing that public service activities have a 15% cap.

The citizens also inquired about the plan for the South End. Ms. Buono said that the plan is supposed to be available to the public next month.

Hearing 2: January 25, 2012 at the Chestnut Accelerated School, 355 Plainfield St.

Attendees:

Cathy Buono, Office of Community Development

Lori Santaniello, Office of Community Development

Cathy Buono opened up the hearing by explaining the CDBG process, the development of the Action Plan and what the public hearing was for.

A representative from the Sheriff's Department was in attendance.

The attendance was low at this hearing. The citizens were under the impression that the meeting was a neighborhood tornado meeting.

A comment was received from the New North Citizens Council. The Council feels that instead of the City using CDBG funds for demolition, the funds should be used to repair the boarded up houses and make them into affordable housing. The City could have Habitat or the students at Putnam do the work/repairs.

The comments received from Armoury Quadrangle Civic Association, McKnight Neighborhood Council, Maple High Six Corners and Indian Orchard CDC are included and part of the document.

Citizen Input: DRAFT 11-12 ACTION PLAN

The DRAFT Action Plan was available for public review and comment from April 6th through May 7th, 2012.

Copies of the DRAFT Plan were available at the Office of Community Development, 1600 East Columbus Avenue; Office of Housing, 1600 East Columbus Avenue; Department of Health & Human Services, 95 State Street; Office of Planning & Economic Development, 70 Tapley Street and the Office of Neighborhood Services, 70 Tapley Street.

A legal notice about this review period including the availability of the plan, and the date of the public hearing for the DRAFT Plan, was published in the Republican on March 23rd. In addition a flyer in English/Spanish was sent to the individuals and organizations listed on the OCD's extensive mailing list. The City also posted them on the City's Community Development website.

Public Hearing

A public hearing to obtain comments on the Draft Annual Action Plan was held on Wednesday, April 11, 2012 at 5:00PM, 36 Court Street, Room 220.

Attendees:

Cathy Buono, Office of Community Development

Lori Santaniello, Office of Community Development

No further comments have been received.

Institutional Structure

1. Describe actions that will take place during the next year to develop institutional structure.

Through a cabinet of departments that includes Office of Community Development, Office of Housing, Office of Neighborhood Services, Office of Economic Development and Planning, the City continues to enhance the coordination of the delivery of services and in the development of low- and moderate-income areas regardless of project funding sources. The major institutional focus is to increase coordination and communication among all involved parties from the initial concept through project completion.

Springfield undertook a City-wide strategic planning process in 2010, in order to empower all City departments to move forward in a more planful and coordinated way to advance the City's overall goals. The City also is implementing the Continuous Improvement Springfield initiative, which uses employee teams to examine and improve how we work, with the goal of eliminating waste, reducing cost and improving services.

The City's receipt of a Choice Neighborhoods Planning Grant has enabled it to increase capacity to move forward on initiatives in the South End over the next 18 months. The majority of the \$300,000 grant will fund a consulting firm that will carry out steps necessary for neighborhood revitalization.

Springfield will implement its consolidated plan strategy through private industry; non-profit organizations, including CBDOs and CHDOs; the Springfield Redevelopment Authority; and City departments. The utilization of a broad base of organizations will assist the City in addressing its community development, housing, homeless and special needs objectives. However, while the number and abilities of the organizations and departments involved are an institutional strength, the City will constantly work to coordinate the projects and programs. Such coordination is integral to the success of the Plan.

Monitoring

1. Describe actions that will take place during the next year to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.

OPED, through its Community Development Administration Division, has an established monitoring plan that governs the oversight of all subrecipient and interdepartmental contract agreements including: Community Development Block Grant (CDBG); HOME Investment Partnership Program; Emergency Solutions Grant (ESG); Housing Opportunities for Persons with AIDS (HOPWA); McKinney-Vento Homeless Assistance grants; Neighborhood

Stabilization Program (NSP); and Homelessness Prevention and Rapid ReHousing Program (HPRP.)

Most community development projects are implemented by CD staff, with internal monitoring via the Integrated Disbursement and Information System (IDIS) project reports, and strong internal procedures. For these projects and others implemented by City departments (such as public works), the CD staff fully understands and incorporates program requirements. The CD program also undergoes an annual performance audit, to insure compliance with HUD regulations.

A comprehensive system has been developed by CD for subrecipients in order to insure compliance with program and budget requirements. These subrecipients are usually implementing a social service or housing program. OPED has contractual agreements with subrecipients of the program funds that require compliance with programmatic statutes and regulations. OPED is responsible for ensuring that subrecipients comply with all regulations governing their administrative, financial and programmatic operations and confirm that the subrecipients are achieving their performance objectives within the contractual schedule and budget and performance measurement system. Per HUD's recommendation, OPED utilizes a coordinated project monitoring process, including coordinated fiscal and program on-site monitoring visits.

Main program files for CDBG-funded programs and projects are maintained within CD's central file system, but the programs and projects are managed by program delivery staff within their respective departments. The Community Development Director of Administration and Finance has primary responsibility for long-term compliance with program and comprehensive planning requirements. In addition, CD staff oversee the fiscal monitoring of all activities funded through CDBG, HOME, ESG, HOPWA, NSP, and HPRP. CD monitors CDBG contracts with organizations, and inter-departmental agreements with other City departments.

The monitoring process includes these essential components:

a. ***Progress Reports***

All subrecipients are required to submit status reports to their Program Monitor. Reports are reviewed by Program Monitors to ensure that subrecipients are undertaking the activities contained within the Scope of Service and that they have achieved or are making diligent efforts to achieve the goals and objectives contained within the contract.

b. ***Invoicing***

Requests for payment are submitted on a reimbursement basis. The subrecipient submits a Requisition Form accompanied by all source documentation totaling the amount of the request. Source documentation includes: time sheets, invoices, canceled checks, purchase orders, and other sufficient documentation to justify the expenditures. In addition to source documentation, all requests for payment must include a status/progress report.

The CD Administrative Division reviews the request for payment to determine it is reasonable, appropriate and in accordance with the contract. If source documentation and monitoring are satisfactory, the request will be scheduled for payment. If deficiencies are found, the subrecipient is notified immediately.

CD is unable to process any requests for payment that do not include source documentation and a current progress report. Payment is contingent on: 1) expenditures being in accordance with the contract; and 2) satisfactory monitoring with no other outstanding issues.

c. *Monitoring Schedule*

The CD Administration Division maintains a master contract schedule to track the dates and results of monitoring for all subrecipients and inter-departmental contracts. The schedule measures each contract against six risk factors.

- RISK FACTOR 1: Subrecipient is new to the program
- RISK FACTOR 2: Turnover of key staff
- RISK FACTOR 3: Prior compliance or performance problems
- RISK FACTOR 4: Subrecipient is carrying out a high risk activity (*e.g.* economic development)
- RISK FACTOR 5: Multiple CDBG Contracts for the first time
- RISK FACTOR 6: Reports not turned in on time

Any contract not included in the program monitoring schedule is subject to “bench monitoring.” This process involves contract scope review and review of monthly report forms and monthly narratives submitted by the subrecipient.

d. *On-Site Monitoring*

A notification letter is sent to the subrecipient confirming the date and the scope of the monitoring and a description of the information that will be required at the visit.

At the visit, the monitor reviews project files to verify: (1) that the activities undertaken by the sub recipient are appropriate to satisfy the contractual obligations; (2) the accuracy of the information contained within the monthly progress reports; and (3) that the sub recipient is properly administering and implementing the program within federal guidelines. In addition, the monitor ensures that the subrecipient is achieving or making diligent efforts to achieve the goals and objectives stated in the contract.

e. *Follow-up*

As a follow-up to a monitoring visit, the monitor will send a determination of compliance letter notifying the sub recipient of the monitoring results. The letter will detail the purpose of the visit, provide feedback, and address areas for improvement, if necessary.

If the monitor identifies findings, corrective action will be recommended to the subrecipient. If the monitor has any concerns, specific recommendations will be provided to the

subrecipient. The subrecipient will be required to provide to CD a written response describing how the subrecipient will resolve any findings and correct any deficiency identified in the letter.

Upon receipt of a subrecipient's response to identified findings or concerns, the monitor will determine if a follow-up site visit is necessary to ensure that (1) corrective action was taken; and (2) the agency is now complying and performing in accordance with its contract.

f. Long Term Compliance

Projects that have long-term compliance requirements are monitored annually to ensure compliance with funding terms.

The City's monitoring policy requires unit inspections and tenant rent and income certifications of Federally-assisted properties every year. All rental units subsidized with CDBG, HOME and Lead Based Paint Hazard Control funds must be recertified to determine that the rent and/or income remains in compliance with the HUD rent and/or income limits for the project and that the units are occupied by income-eligible tenants. In addition, every Federally-assisted unit is inspected to determine compliance with HUD's Housing Quality Standards.

Tenant Rent and Income Recertification. During the Restriction Commitment Period required by the particular loan documents, tenants in all rental units subsidized through the Lead Hazard Control Grant Program, CDBG, NSP and HOME program must be recertified to determine that the rent and/or income remains in compliance with the HUD rent and/or income limits for the project and that the units are occupied by income-eligible tenants. Rental project owners or managers are required to submit annual reports detailing the income-eligibility of tenants.

Unit HQS Inspections. During the Restriction Commitment Period required by the particular loan documents, all rental units subsidized through the Lead-Based Paint Hazard Control Grant Program, the HOME program and the CDBG Program must be periodically re-inspected to determine that they remain in compliance with HQS standards. The frequency of the re-inspection depends on the type of restriction, but is not less than every year. The City schedules and undertakes inspections according to the required schedule.

On-Site Compliance Monitoring. During the Restriction Commitment Period required by the particular loan documents, the City undertakes regular on-site monitoring visits to ensure that self-reporting on income and rent certification is accurate, and to ensure that all program requirements and policies are in compliance with federal requirements.

Lead-based Paint

1. Describe the actions that will take place during the next year to evaluate and reduce the number of housing units containing lead-based paint hazards in order to increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families, and how the plan for the reduction of lead-based hazards is related to the extent of lead poisoning and hazards.

Specific actions the City will take to evaluate lead-paint hazards during the Annual Plan period will include:

- City Code Enforcement inspections to evaluate potential hazards in units where children under six reside and to enforce remediation in compliance with Massachusetts lead laws.
- Aggressive enforcement, including—as necessary—legal prosecution of property owners who fail to comply with orders to remediate hazards.
- Mandatory pre-rehabilitation lead testing including soil samples for all HOME-funded project-based homeownership and multi-family production programs.
- The City has partnered with the Commonwealth of Massachusetts to apply for Lead-Based Paint Hazard Control funding, and is awaiting a HUD decision on grant awards.

Specific actions to reduce the number of housing units containing lead-based paint hazards will include:

- Mandatory remediation within rehabilitation specifications for all project-based and multi-family projects.
- Administration of a state-funded lead abatement program for existing homeowners, if funds are available.

In compliance with Title X, the City has integrated lead hazard controls into all housing policies and programs. Federal requirements for evaluating and remediating lead hazards are fully incorporated into the City's protocol for housing rehabilitation.

Specific Housing Objectives

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve during the next year.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Priorities and Objectives for FY12-13. Responding to tornado damage and rebuilding are high priorities for the City this year. In addition, the City continues to prioritize neighborhood stabilization and responding to foreclosures.

For this year, Springfield's programming is focused on emergency home repairs, addressing blighted homes (rehabilitation/redevelopment, disposition of tax title properties, demolition, code enforcement), and encouraging buyers to purchase in Springfield (homebuyer education, down payment assistance). When existing homes are abandoned, the City boards and secures the home to keep the property and the neighborhood safe. Springfield also administers a "clean and lien" program, where the City clears abandoned properties and places a lien to secure the cost.

The foreclosure crisis has increased the number of distressed multi-family rental properties in the City. Springfield is addressing these problem properties through a receivership program which includes a revolving loan fund for receivers, and through investment in rehabilitation of apartment blocks.

The existing housing stock in the City is aged. High heating costs are associated with aged housing, and the low income levels of Springfield residents make these costs even more burdensome. The City responds to this concern with its energy efficiency and heating system program. Another problem associated with aged housing is the presence of lead-based paint. The City inspects for and identifies lead-based paint hazards. In 2012, it is seeking grant funding to address these hazards.

The City seeks to address the housing cost burden for City residents through the development of new infill affordable homeownership units, the preservation of existing subsidized housing in expiring use properties, and through rehabilitation of poor or marginal rental stock in exchange for income-restricting future occupancy.

The provision of tenant-based rental assistance is another mechanism to reduce cost burden for very-low income households, while providing adequate funds to ensure owner maintenance of aged housing stock. The City will use this type of assistance to increase affordability for vulnerable populations such as the chronic homeless, persons with HIV/AIDS, and other populations in need of permanent supportive housing.

The City's overall strategies and their relationship to identified housing problems are summarized in the following chart.

| | | Strategic Response | | | | | | | |
|-----------------|--------------------------|--------------------|---------------------------|-----------------------|----------------------------|----------------------------|-----------|-------------------|------------------------------|
| | | Home-ownership | New Construction /In-fill | Housing Redevelopment | Homeowner Emergency Repair | Lead-based Paint Abatement | Education | Energy Efficiency | Foreclosure Prevention Funds |
| Housing Problem | Vacant & Abandoned Homes | ✓ | ✓ | ✓ | | ✓ | | | ✓ |
| | Foreclosure | ✓ | ✓ | ✓ | ✓ | | ✓ | | ✓ |
| | Substandard Housing | | ✓ | ✓ | ✓ | ✓ | | | |
| | Lead-Based Paint Hazard | | | | | ✓ | ✓ | | |
| | Cost Burden | ✓ | ✓ | ✓ | ✓ | | ✓ | ✓ | ✓ |

During FY12-13, the City plans to accomplish the following:

Accomplishments which will increase housing stability throughout the City, with a particular emphasis on neighborhoods in need of revitalization.

- 150 households receive homebuyer counseling
- 100 income-eligible households receive down payment assistance of \$2500
- 15 abandoned buildings placed in receivership
- 15 severely deteriorated residential structures demolished

Accomplishments which will improve the quality of the City's housing stock.

- 20 vacant/foreclosed units rehabilitated or redeveloped for homeownership
- 25 housing units receive emergency repair and rehabilitation
- 1200 housing units receive assistance with heating system energy efficiency
- 100 units evaluated for the presence of lead-based paint hazards

Accomplishments which will preserve and expand housing options in order to provide opportunity for residents to access safe, sanitary and affordable homes.

- 104 affordable rental housing units preserved through rehabilitation of existing multi-family stock, including 7 handicap accessible housing units
- 12 affordable homeownership units constructed, which will be made available to moderate and low-income households; 10 of these are expected to be minority households
- 40 extremely-low-income tenants provided tenant-based rental assistance

Additional Resources Expected to Be Available to Meet Needs. The City expects that the funds it commits in this plan will leverage additional funds, and that increased funding will become available through competitive opportunities. Additional resources expected include Neighborhood Stabilization 2 funds, Low Income Housing Tax Credit funds, Affordable Housing Trust Fund, private equity, Lead Paint Hazard Control grant, McKinney and Shelter + Care grants, and City of Springfield bond funds (for demolition).

Needs of Public Housing

1. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.
2. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

Needs of Public Housing and its Residents. The City has a close working relationship with the Springfield Housing Authority. The City is a co-recipient with SHA for a Choice Neighborhoods planning grant, which will be used to explore opportunities to transform the Marble Street Apartments, a 48-unit poorly-designed complex in the City's South End. Together, the City and SHA envision this project as becoming a Neighborhoods of Opportunity project, which will support revitalization of the South End while improving housing and neighborhood conditions for the public housing residents. The City and SHA will apply for a Choice Neighborhoods Implementation Grant in spring 2013.

The City and SHA have partnered to create up to 90 units of supportive housing for chronically homeless individuals and 10 units of supportive housing for families that experience repeat homelessness. The "Housing First" program uses SHA project-based Section 8 vouchers in existing units owned by private landlords. Applicants for the program, who must have been homeless for one year or more or at least four times in the last three years, are screened by a multi-disciplinary team. The team links the applicants to service providers who agree to provide

intensive supportive services, targeted toward helping the tenant to maintain housing. The City funds the supportive services for the tenants.

The Springfield Housing Authority has a Section 8 homeownership program, and the City works with SHA to expand use of the program. In partnership with SHA, the City conducts targeted outreach to public housing and Section 8 residents, and offers customized credit and homebuyer classes to residents on-site at SHA properties. As the City provides development funds for affordable homeownership opportunities, it seeks to find qualified homebuyers among SHA residents. This partnership provides the opportunity for public housing residents to move up into homeownership and obtain the asset of a home, while opening up public housing rental units for very-low income households on the waiting list.

The City and SHA will continue to explore development, homeownership and neighborhood stabilization opportunities, and the City will continue to work with the SHA to ensure fair housing practices are included as part of SHA programs.

“Troubled” Designation. SHA is not designated as “troubled,” and it is not a poorly functioning housing authority. It is currently under very strong management.

Barriers to Affordable Housing

1. Describe the actions that will take place during the next year to remove barriers to affordable housing.

Widespread poverty and the City’s aged housing stock create an enormous demand for safe, affordable housing. However, the City’s high concentration of poverty and associated social problems, along with the fact that households impacted by concentrated poverty are predominantly minority, suggest that significant creation of new affordable rental units in the City may have negative consequences in terms of providing existing City residents with economic opportunity and fair housing choices. The City’s primary response to the need for safe affordable housing in the City is funding for preservation and rehabilitation of existing affordable housing stock, and initiatives which support affordable homeownership opportunities. The City uses HOME funds to provide tenant-based rental assistance, a strategy that both supports housing affordability and addresses the concentration of poverty. The City encourages its partner agencies and municipalities to assist in simultaneously addressing affordability and concentrated poverty through use of mobile housing resources such as Section 8 vouchers, and through creation of affordable housing throughout the Springfield metropolitan area.

The City’s Office of Planning and Economic Development actively promotes the development of collaborations and partnerships with both non-profit and for-profit builders, developers, and other interested parties for the purpose of increasing the capacity for the development of housing opportunities of all types in Springfield. Through collaboration and increased capacity, OPED seeks to position the City to receive additional housing resources.

HOME

1. Describe other forms of investment not described in § 92.205(b).
2. If the participating jurisdiction (PJ) will use HOME or ADDI funds for homebuyers, it must state the guidelines for resale or recapture, as required in § 92.254 of the HOME rule.
3. If the PJ will use HOME funds to refinance existing debt secured by multifamily housing that is that is being rehabilitated with HOME funds, it must state its refinancing guidelines required under § 92.206(b). The guidelines shall describe the conditions under which the PJ will refinance existing debt. At a minimum these guidelines must:
 - a. Demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing.
 - b. Require a review of management practices to demonstrate that disinvestments in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated.
 - c. State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.
 - d. Specify the required period of affordability, whether it is the minimum 15 years or longer.
 - e. Specify whether the investment of HOME funds may be jurisdiction-wide or limited to a specific geographic area, such as a neighborhood identified in a neighborhood revitalization strategy under 24 CFR 91.215(e)(2) or a Federally designated Empowerment Zone or Enterprise Community.
 - f. State that HOME funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.
4. If the PJ is going to receive American Dream Down payment Initiative (ADDI) funds, please complete the following narratives:
 - a. Describe the planned use of the ADDI funds.
 - b. Describe the PJ's plan for conducting targeted outreach to residents and tenants of public housing and manufactured housing and to other families assisted by public housing agencies, for the purposes of ensuring that the ADDI funds are used to provide down payment assistance for such residents, tenants, and families.
 - c. Describe the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as provision of housing counseling to homebuyers.

Forms of Investment. The City of Springfield will not use forms of investment for the HOME Program during this fiscal year other than those described in 92.205(b).

Resale/Recapture provisions. The City of Springfield's HOME Program Recapture provisions are as follows:

HOME Purchase Assistance. In the event that the buyer continues to occupy and does not sell or refinance the property during their period of affordability, the buyer's obligation to repay under the loan shall be null and void. If the buyer continues to own the property during the period of affordability but fails to maintain it as a principal place of residence, the entire loan shall be due and payable.

Project Based Homeownership (PBHO) Projects. The City allocates funds in the form of deferred payment loans for the rehabilitation of existing houses or new construction of single-family houses to be marketed and sold to first-time homebuyers. These homebuyers must be income-eligible under HOME program guidelines and, depending upon the amount of funding that was allocated for a project, must maintain the home as their primary residence for between 5 and 15 years. To enforce this provision, the City requires all deeds conveying HOME assisted houses contain a deed rider that outlines the specific 'recapture' provisions of the HOME program and puts the buyer on notice of their responsibilities upon purchasing the HOME-assisted property.

During the affordability period (absent any other violations of the Deed Rider) the homeowner may sell to any third party with the following recapture provision:

- Homebuyer shall repay the Assistance Amount (defined below) reduced by one-(fifth, tenth or fifteenth--however long the duration of the deed rider is) for each full year that has elapsed from the date of this Deed Rider until the date of such sale; OR

The Assistance Amount shall be defined as the Direct Subsidy to the homebuyer. This includes financial assistance that reduces purchase price for homebuyer below market or otherwise subsidizes the homebuyer (i.e. downpayment loan, closing cost assistance, etc.)

Refinancing. The City does not intend to use HOME funds for refinancing.

HOME Matching Funds. Springfield is not currently subject to a requirement for matching HOME funds.

Affirmative Marketing of Units. Springfield requires all housing developers funded by the City to submit an Affirmative Fair Housing Marketing plan, which must be approved by the City's Office of Housing. The AFHM plan must describe the proposed activities to be carried out during advance marketing and during all rent-ups. The affirmative marketing program should ensure that any groups of persons not ordinarily likely to apply for the housing without special outreach know about the housing, feel welcome to apply, and have the opportunity to rent or buy.

Outreach to Women- and Minority-Owned Businesses, and Section 3 Requirements. The City requires developers of City-funded housing to undertake outreach to women and minority-owned business for inclusion in subcontracts. The City also requires developers to comply with Section 3 hiring requirements.

For both W/MBE outreach and Section 3 hiring responsibilities, the City requires that applicants describe their plans as part of the application process for HOME funds, and includes the requirements in funding contracts. At project completion, developers are required to report on compliance with both these requirements.

In 2012, the City is hiring a Section 3 coordinator.

HOMELESS

Specific Homeless Prevention Elements

1. Sources of Funds—Identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness. These include the McKinney-Vento Homeless Assistance Act programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and any publicly-owned land or property. Please describe, briefly, the jurisdiction's plan for the investment and use of funds directed toward homelessness.
2. Homelessness—In a narrative, describe how the action plan will address the specific objectives of the Strategic Plan and, ultimately, the priority needs identified. Please also identify potential obstacles to completing these action steps.
3. Chronic homelessness—The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2012. Again, please identify barriers to achieving this.
4. Homelessness Prevention—The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.
5. Discharge Coordination Policy—Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.

Sources of Funds and Uses for Addressing Needs of Homeless. The City of Springfield anticipates the use of significant public and private resources to address homeless needs and to prevent homelessness. The most significant of these resources are the federal McKinney Vento funds and funding through the federal Department of Health and Human Services.

The City will receive \$1,942,767 in McKinney-Vento Homeless Assistance Act funds in 2012. The City will use McKinney-Vento Homeless Assistance Act funds to renew existing permanent supportive and transitional housing programs, and will receive new McKinney funds to provide funding for 13 units of permanent supportive housing for chronically homeless mentally ill individuals and 5 units of permanent supportive housing for homeless individuals with HIV/AIDS.

The City will receive Emergency Solutions Grant funds of \$321,965.00 in FY12-13, which it will use to support operation of emergency shelter; to fund rapid rehousing programs for households experiencing homelessness; and to fund homelessness prevention for households at

imminent risk of homelessness. The City is releasing a Request for Proposals for these funds, and will make funding awards to agencies in June 2012. The Commonwealth of Massachusetts is expected to award ESG funds to agencies in Springfield.

The City receives \$1.2 million annually from the Department of Health and Human Services to support the Health Care for the Homeless program.

In addition to the funds detailed above, each homeless service provider funded by McKinney or ESG funds is required to demonstrate other public or private funds targeted to provide services to eligible households. McKinney funds require a 20% match; ESG requires a 100% match. A conservative estimate of the funds matched and leverage to support homeless programs within the City exceeds \$1 million annually.

Action Plan Activities that Support the City's Strategic Plan to Address Homelessness. The City will fund several initiatives during the FY12-13 program year to assist homeless and special needs individuals and families transition to permanent housing and independent living.

Specifically:

Homeless Assessment and Resource Center

Funds have been allocated for operating costs for the new 24-hour facility, built with City support in 2010, which provides emergency shelter beds and meals, triage services, a medical and dental clinic, employment and housing resources, and an array of supportive services and opportunities to apply for mainstream services; the facility includes 32 SRO units for chronically homeless individuals with high service needs.

Tenant Based Rental Assistance: HOME funds provide TBRA subsidies to support housing in the community with wrap-around services for chronically homeless households. Use of TBRA in the local housing market is effective because the City's vacancy rate is high; there are available units but they are not affordable to the population served.

The City's efforts to prevent and end homelessness are limited by the extraordinary need in our community and the lack of sufficient resources to meet the need.

Chronic Homelessness. In 2007, the City committed to the creation of 250 units of permanent supportive housing targeted to chronically homeless individuals, and 50 units of permanent supportive housing targeted to chronically homeless families, over a ten year period. To date, the City has produced 183 units for individuals, and 36 for families.

Obstacles to continued progress in reducing chronic homelessness include:

- Reluctance by landlords—private and publicly-funded—to rent to people who are chronically homeless;

- Severe cuts to mainstream services programs—particularly community-based mental health services—that assist the chronically homeless and those at risk of chronic homelessness to maintain their housing;
- The very high cost of developing permanent supportive housing, combined with uncertainty about stable funding in the future for the supportive services.

Homelessness Prevention. In FY12-13, the City will allocate a significant amount of ESG prevention and rapid rehousing initiatives that were started under the HPRP program. The City also provides CDBG funding for homelessness prevention through its receivership program. Three specific prevention programs are notable:

- **The Housing Court Homelessness Prevention Collaboration.** Several agencies providing prevention services and assistance attend housing court eviction sessions, in order to resolve cases before they become evictions. The network of providers works together, to ensure that needy tenants are able to easily access the resources of any of the collaborating agencies.
- **Rapid Response to Fire and Housing Condemnation.** Numerous tenants in the City become homeless each year due to fire or condemnation of housing units. The Red Cross, HAP Housing, Catholic Charities and Springfield Partners for Community Action collaborate to ensure that families receive quick-response relocation assistance and funds. As a result, these households facing homelessness are now often able to avoid shelter altogether.
- **Receivership.** The City Legal Department observed that it was frequently causing homelessness in the winter when it ordered vacate orders due to lack of heat or hot water. While the City attempted to assist tenants through relocation assistance, the vacate orders in these situations created empty buildings even though the lack of heat or hot water might not be an expensive problem to resolve. Working with the Springfield Housing Authority and the Massachusetts Housing Partnership, the City created and partially funds a receivership program, which repairs conditions causing no heat or no hot water, and enables tenants to remain in their housing.

Discharge Coordination Policy. The Springfield CoC monitors compliance with state-mandated discharge policies, which discourage or prohibit discharge into homelessness. The monitoring effort consists of collecting and reviewing local data regarding discharge status. Local adherence to and improvement of discharge policies is a regular topic for CoC monthly meetings. The CoC also coordinates directly with entities with discharge responsibilities, in order to create options other than homelessness for individuals being discharged.

The City of Springfield and city non-profit agencies are active participants in the Western Massachusetts Network to End Homelessness, which is working to enhance discharge practices throughout the region. The Network has brought providers and discharging institutions together to coordinate and to strategize about ways to avoid discharge into homelessness.

Specific Goals and Action Steps.

| Measure | Current | Goal | Action Steps |
|--|---------|------|---|
| Permanent supportive housing units for chronically homeless individuals | 208 | 220 | <ol style="list-style-type: none"> 1. Use new MHA S+C for 8 PSH units; 2. Use ne VASH for PSH; <p>Use new McKinney funds to create additional PSH units</p> |
| Number of homeless veterans as reported in point-in-time count | 52 | 30 | <ol style="list-style-type: none"> 1. Use HMIS to identify homeless veterans and target for VASH |
| Number of homeless households with children as reported in the point-in-time count | 248 | 200 | <ol style="list-style-type: none"> 1. Undertake a focused effort to bring housing and other services to homeless families living in hotels; 2. Use HMIS to identify families that meet definition for chronic homelessness and make referrals to PSH for families |

Emergency Solutions Grant Program.

ESG Consultation with the Continuum of Care. The City of Springfield has consulted with the Springfield Continuum of Care in revising its Emergency Solutions Grant program. The ESG program was on the agenda for the February and March 2012 regularly-scheduled CoC meetings, and will be on the agenda for the April 2012 meeting. In between meetings, drafts of proposed policies were circulated to CoC members for review and comments. Multiple changes were made to proposed policies in response to CoC comments and suggestions.

ESG Written Standards. The City's written standards for providing ESG Assistance are as follows:

- Must be currently homeless or at risk of homelessness as defined in 24 CFR § 576.2. The regulation states that *at risk of homelessness* means: (1) An individual or family who:(i) Has an annual income below 30 percent of median family income for the area, as determined by HUD;(ii) Does not have sufficient resources or support networks, *e.g.*, family, friends, faith-based or other social networks, immediately available to prevent them from moving to an emergency shelter or another place described in paragraph (1) of the "homeless" definition in this section; and (iii) Meets one of the following conditions: (A) Has moved because of economic reasons two or more times during the 60 days immediately preceding the application for homelessness prevention assistance; (B) Is living in the home of another because of economic hardship; (C) Has been notified in writing that their right to occupy their current housing or living situation will be

terminated within 21 days after the date of application for assistance; (D) Lives in a hotel or motel and the cost of the hotel or motel stay is not paid by charitable organizations or by Federal, State, or local government programs for low-income individuals; (E) Lives in a single-room occupancy or efficiency apartment unit in which there reside more than two persons or lives in a larger housing unit in which there reside more than 1.5 persons reside per room, as defined by the U.S. Census Bureau; (F) Is exiting a publicly funded institution, or system of care (such as a health-care facility, a mental health facility, foster care or other youth facility, or correction program or institution); or (G) Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient's approved consolidated plan; (2) A child or youth who does not qualify as "homeless" under this section, but qualifies as "homeless" under section 387(3) of the Runaway and Homeless Youth Act (42 U.S.C. 5732a(3)), section 637(11) of the Head Start Act (42 U.S.C. 9832(11)), section 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e-2(6)), section 330(h)(5)(A) of the Public Health Service Act (42 U.S.C. 254b(h)(5)(A)), section 3(m) of the Food and Nutrition Act of 2008 (7 U.S.C. 2012(m)), or section 17(b)(15) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(b)(15)); or (3) A child or youth who does not qualify as "homeless" under this section, but qualifies as "homeless" under section 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2)), and the parent(s) or guardian(s) of that child or youth if living with her or him.

- Case files must include a completed eligibility form and certification (which meets HUD specifications) that the household meets the eligibility criteria.
- Household must have a connection to Springfield, which may include:
 - a. Currently homeless in Springfield;
 - b. Employed in Springfield; or
 - c. Using rapid rehousing funds to move into an apartment in Springfield.
- For households meeting the definition of "at risk of homelessness", case files must include all documentation required by 24 CFR § 576.500.
- For each individual and family determined ineligible to receive ESG assistance, the record must include documentation of the reason for that determination.
- Records must be kept for each program participant that document: the services and assistance provided to that program participant; compliance with requirements under 24 CFR §§ 576.101-106, 576.401 (a) and (b), and 576.401 (d) and (e); and, where applicable, compliance with the termination of assistance requirement in § 576.402.
- **Eligible Uses of Funds:** Funds may be used for security deposit, last months' rent, utility payments/deposits, moving cost assistance, and short-term rental assistance (including first months' rent). Funds may not be used to pay eviction court costs, even where payment of these costs is part of an agreement to preserve a tenancy. Funds may be paid only to a third-party provider; they may not be provided directly

to the person applying for assistance. Funds may be used for a Springfield-connected household to move outside of Springfield.

ESG Performance Standards. For this round of ESG funds, the CoC will set a baseline and measure performance for number of households that move to permanent housing within 30 days of entering shelter. The CoC is also setting baselines and beginning to measure performance system-wide regarding: incidence of homelessness, average length of time that people are homeless, level of first-time homelessness, and amount of repeat homelessness.

ESG Homeless Participation Requirement. The City plans for its use of ESG in consultation with its CoC; the membership of the CoC includes persons who are formerly homeless.

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Community Development

1. Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), public facilities, public improvements, public services and economic development.
2. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

Springfield's non-housing CDBG-eligible community development needs are specified under each funding category below, along with the City's primary goal(s), strategies to accomplish those goals, and specific activities funded in FY 12-13.

Public Facilities. The City supports creation and maintenance of appropriate community facilities to serve residents' needs.

Public Improvements. The City will improve the physical environment of the City through enhancement of streets and streetscapes, parks and open space, and remediation of blight.

Strategies:

1. Renovate/rehabilitate various City parks and recreational facilities. In FY 12-13, the City will provide CDBG funding for the redevelopment of Hubbard Park, Camp Wilder and for play equipment at Jaime Ullola Park. Funds will be allocated to replace various spray structures in CDBG and NRSA areas.
Fund street improvements and sidewalks in CDBG-eligible areas, with particular emphasis on the NRSAs.
2. Eliminate blight. In FY12-13, the City will acquire and dispose of tax-title properties; preserve historic buildings; remove graffiti from businesses; clean vacant lots; undertake quality-of-life proactive code enforcement inspections; and board and secure abandoned buildings.

Public Services. The City will provide support and increased opportunities for residents of Springfield, with a particular focus on the City's youth and children to 2718. Strategies:

1. Provide assistance in meeting residents' basic needs, such as food, shelter, homelessness prevention, and fuel assistance. The City will fund the following programs in FY 12-13: Friends of the Homeless, New North Citizen Council, Council of Churches of Greater Springfield and the Springfield V.A.C.A. which will serve a total of 1360 people.

2. Provide early education and childcare services; Square One will serve a total of 3 children.
3. Provide services for youth and teenagers that include recreational activities with a particular focus on at-risk youth. In FY12-13, the City will fund 5A, Boy Scouts of America, Black Men of Greater Springfield, Greater New Life Christian Center, South End Community Center, Springfield Boys & Girls Club, Square One, New North Citizen Council, Martin Luther King Jr. Family Center, Salvation Army, YMCA, Urban League, Springfield Girls Club & Family Center, Pool Program administered through the Park Department, Springfield College, Springfield V.A.C.A., Community Music School and the Greater New Life Christian Center. These programs will serve 2690 youth.
4. Provide community health programs and disabled services, including programs directed toward prevention of teen pregnancy and substance abuse; Dunbar Y Family and Community Center, New North Citizen Council, the Greater New life Christian Center and Friends of Camp Star, a summer camp program offered to youths and young adults with special needs. The program is administered through the Park Department. These programs will serve 330 people.
5. Provide job training and career development programs with a particular emphasis on benefiting young adults. In FY 12-13, the City will fund MCDI, YWCA YouthBuild and Square One.
6. ***Economic Development.*** The City has four key economic development goals. The goals and strategies to carry out each goal follow.
 - Promote an environment that encourages entrepreneurship, strengthens existing businesses and expands economic opportunities. Strategies:
 1. Offer low interest and forgivable loans to small businesses seeking capital in its Small Business Loan Program.; including the Small Business Support Program targeting businesses in the technology sector.
 - Provide funding and assistance to providers offering technical assistance to small businesses and microenterprises.
 - Develop and execute strategies that promote development within neighborhood commercial corridors. Strategies:
 1. Fund exterior improvements to local businesses.
 2. Best Retail Practices Program would combine funding for interior improvements as well as storefront improvements.
 - Prepare and promote existing commercial sites for development.

1. Prepare and promote existing commercial sites for development. Provide renovation funding for vacant buildings in business corridors.
- Expand job training and readiness programs to effectively develop a competitive workforce. Strategy:
 4. Fund programs which create jobs, with a particular emphasis on programs that serve youth. In FY 13, Smith & Wesson will provide an onsite skills upgrade and job training program to employees and new hires, “Tooling U” Program. The program will allow for present employees to upgrade and refine their skills and make them eligible for higher learning positions which will create new jobs. Smith & Wesson will also reach out to graduating students from Putnam High School who will be looking for jobs in this trade.

Antipoverty Strategy

1. Describe the actions that will take place during the next year to reduce the number of poverty level families.

Springfield is faced with an alarmingly high percentage of families living on or below the poverty level, as defined by the Office of Management and Budget. Almost 27% of Springfield households live in poverty (\$22,350 for a family of four in 2010). Over a third (33.9%) of children under 18 live in poverty, giving the City one of the highest child poverty rates in the state. The rate is higher for Latino families, with 58% of children under 18, and 74% of children under five, living in poverty. Of all household types, single-parent households headed by women are the poorest, with 62% with children under age five living in households with poverty-level incomes.

There is a strong correlation between literacy rates (early childhood education, K-12, and adult education) and the incidence of poverty. Many poor families are headed by parents who have not finished high school, and cannot compete for better-paying jobs. In Springfield, 26.6% of adults age 25 and over have not completed high school or earned a GED; only 15.4% have earned a bachelor’s degree. Without further education, many low-income parents are unlikely to earn incomes that will support their families.

The City's anti-poverty efforts focus on three broad categories: increasing education and literacy; increasing employment and training opportunities; and increasing household assets.

Increasing education and literacy. Many low-income adults lack the education and English language proficiency needed to support their efforts to attain self-sufficiency. In order to assist individuals in overcoming these barriers, the City shall support Adult Basic Education, GED and English Language classes. In FY 11-12, the City will support the following adult education programs: Russian Community Association, NNCC, The Gray House, Springfield Housing Authority and YWCA, serving 195 people.

Through the City's anti-poverty effort, the YMCA works to increase education and literacy. YMCA provides an on-site construction, GED academic and job skills training placement program increasing employment and training opportunities. The YouthBuild Program benefits minority young people between the ages of 17-24 living in the Springfield community searching for a path to economic self-sufficiency. YouthBuild provides education, employment and leadership skills while expanding the supply of affordable housing by teaching these young adults to build and rehabilitate housing for low income and homeless persons. This program benefits 30 low income young adults.

The City is active in many collaborative efforts to improve literacy and education of young children, including Cherish Every Child, Talk/Read/Succeed, and targeted efforts to address the achievement gap. The City supports and will pursue additional grant opportunities regarding these efforts.

Increasing employment and training opportunities. Within the City, there is a mis-match between the jobs available and the skill levels of local residents; the problem is even more pronounced with regard to youth. In FY12-13, the City will support education, employment and job training opportunities for at-risk youth in the following programs: YWCA, MCDI, ROCA and Square One, serving 110 at risk youth.

In addition, the City has identified and will pursue economic development strategies that improve income, job expansion and job accessibility. Efforts will be made to develop partnerships with businesses and educational institutions to create work-site and distance learning strategies for job progression. Massachusetts Career Development Institute (MCDI) administers programs in the health care and precision manufacturing areas that provide job training, counseling and educational training to people and assists them in obtaining jobs in these areas. The City administers the Senior Community Employment Program, which provides subsidized on-the-job training for adults 55 years and older.

The City will, in its projects and through contractual relationships with subgrantees, ensure compliance with the provision of Section 3 of the Housing and Urban Development (HUD) Act of 1968, which helps foster local economic development, neighborhood economic improvement, and individual self-sufficiency by assuring that job training, employment, and contracting opportunities will be made available for low- or very-low income residents in connection with projects and activities in their neighborhoods. The City has recently been awarded a Section 3 coordination grant, which will pay for a staff person who will focus on increasing Section 3 opportunities and compliance.

Increasing household assets. Families living in poverty are often unable to take any steps toward building wealth, thereby continuing to struggle in poverty and being unable to support a better life for children or other family members. The City encourages strategies that enable families to save, to invest, and to become homeowners, and believes that these strategies support families and their future generations in escaping poverty. Creation of affordable

homeownership opportunities using HOME and NSP funds, the Section 8 Homeownership Program, use of City-owned tax-title houses for rehabilitation or redevelopment, and support for sweat-equity programs such as Habitat for Humanity, provide affordable homeownership opportunities. The City provides further support for low-income households to become homeowners through homebuyer education classes, fair housing education, and post-purchase counseling.

NON-HOMELESS SPECIAL NEEDS HOUSING

Non-homeless Special Needs (91.220 (c) and (e))

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the Action Plan.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Springfield’s overall goal with regard to Special Needs Populations is to support special needs populations with housing options, supportive services, and facilities as appropriate.

Many of the needs of persons with special needs are addressed in the sections that discuss the City’s strategies regarding community and economic development, housing, and homeless services. The City’s types of responses and the relationship to identified special needs populations are summarized in the following chart.

| | | Strategic Response | | | | | |
|--------------------------|--|---|------------------------------|----------------------|---------------------|-----------------------------------|----------------|
| | | General Housing Needs | Permanent Supportive Housing | Transitional Housing | Supportive Services | Recreational or Other Programming | Other Facility |
| Special Needs Population | Elderly | ✓ | | | ✓ | ✓ | ✓ |
| | Persons with Disabilities | ✓ | ✓ | | ✓ | ✓ | ✓ |
| | Persons with Alcohol or other Drug Addiction | | ✓ | | ✓ | | |
| | Victims of Domestic Violence | ✓ | | ✓ | ✓ | | |
| | Persons with HIV/AIDS | Strategies for this population are covered in the section about Housing Opportunities for Persons With AIDS (HOPWA) | | | | | |

The City’s specific objectives and strategies to meet those objectives are below.

- Support persons who are elderly or disabled to remain in their homes or otherwise access stable affordable housing. Strategies:
 1. Provide elderly and disabled homeowners with deferred payment loans to perform needed emergency repair to their homes. A part of the Homeowner Emergency Repair Program, which will serve a total of 25 households in FY12-13.
 2. Allow modifications needed due to mobility limitations to be financed under the homeowner emergency repair program. This will be funded as part of the Homeowner Emergency Repair Program.
 3. Support fair housing education and advocacy.
- Provide community support for elders and persons with disabilities. Strategies:
 1. Provide senior centers with programming and support directed toward elderly residents.
 2. Provide supportive services for seniors and persons with disabilities.
 3. Create permanent supportive housing opportunities and handicap-accessible units.
- Promote housing stability and treatment for persons with behavioral health disabilities and people with alcohol or other drug addiction. Strategies:
 1. Create permanent supportive housing opportunities
 2. Provide community support for people in recovery from alcohol or drug addiction.
 3. Provide programs which seek to engage active substance abusers, with the goal of encouraging treatment. The City is providing operating support for the Homeless Resource Center, which prioritizes engagement around recovery.
- Support victims of domestic violence in their transition to safety and housing stability.

Housing Opportunities for People with AIDS

1. Provide a Brief description of the organization, the area of service, the name of the program contacts, and a broad overview of the range/ type of housing activities to be done during the next year.
2. Report on the actions taken during the year that addressed the special needs of persons who are not homeless but require supportive housing, and assistance for persons who are homeless.
3. Evaluate the progress in meeting its specific objective of providing affordable housing, including a comparison of actual outputs and outcomes to proposed goals and progress made on the other planned actions indicated in the strategic and action plans. The

evaluation can address any related program adjustments or future plans.

4. Report on annual HOPWA output goals for the number of households assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. Include any assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.
5. Report on the use of committed leveraging from other public and private resources that helped to address needs identified in the plan.
6. Provide an analysis of the extent to which HOPWA funds were distributed among different categories of housing needs consistent with the geographic distribution plans identified in its approved Consolidated Plan.
7. Describe any barriers (including non-regulatory) encountered, actions in response to barriers, and recommendations for program improvement.
8. Please describe the expected trends facing the community in meeting the needs of persons living with HIV/AIDS and provide additional information regarding the administration of services to people with HIV/AIDS.
9. Please note any evaluations, studies or other assessments that will be conducted on the local HOPWA program during the next year.

Brief summary description. The City of Springfield administers the Housing Opportunities for Persons with AIDS (HOPWA) program for the three-county area of Hampden, Hampshire and Franklin Counties. In this area, there are 1947 reported cases of HIV/AIDS: 61 in Franklin County, 125 in Hampshire County, and 1761 in Hampden County (Mass. HIV/AIDS surveillance county reports, 2008).

The grant administrator for the HOPWA grant is the Springfield Office of Housing. The Director oversees the full grant; two senior program managers are responsible for generating contracts and monitoring program compliance; and the City's Community Development Administration and Finance office provides fiscal controls and oversight.

The Springfield area HOPWA grant is used to fund Tenant-Based Rental Assistance (TBRA); Short-Term Rent, Mortgage and Utility (STRMU) Assistance; housing information and advocacy/legal services; and supportive services. In past years, over 70% of funds have been used to provide Tenant-Based Rental Assistance or Short Term Rental, Utilities or Mortgage Assistance. The rest of the funds go to supportive services, including Rental Start-Up (first, last and security deposit) and legal assistance related to housing issues.

Report on 2010-11 actions. In FY10-11, recipients of HOPWA funding served 439 households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) for 31 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance for 79 households; housing information and advocacy/legal services to 78 households; and supportive services to 251 households. FY11-12 activities are still underway, and will be reported in the City's 2011-2012 CAPER.

Projected goals and actual accomplishments are summarized in the following table:

| Type of Services | Performance Measure | Projected Accomplishment | FY10-11 Actual Accomplishment |
|------------------------------|---------------------|--------------------------|-------------------------------|
| TBRA | Households | 25 | 31 |
| STRMU | Households | 70 | 79 |
| Supportive Services | Households | 107 | 251 |
| Housing Placement Assistance | Households | 70 | 78 |

Evaluation of progress. Provider organizations regularly meet target goals. The primary barrier in our region to achieving the goal of meeting the housing needs of persons with AIDS is the lack of sufficient resources in the face of such overwhelming need.

Annual HOPWA output goals. The annual output goals for HOPWA for FY12-13 are:

| | |
|------------------------------|----------------|
| TBRA | 30 Households |
| STRMU | 80 Households |
| Housing Placement Assistance | 80 Households |
| Support Services | 250 Households |

Leveraging. Housing services leverage substantial supportive services and health care funds.

Distribution of funds. Because the highest rates of HIV/AIDS are overwhelmingly in the cities located in Hampden County (Springfield, Holyoke and Chicopee), this area is targeted to receive the bulk of the funding.

In order to select providers of HOPWA programs, Springfield undertakes a formal Request for Proposals process. The funding opportunity is advertised through a legal notice and direct mailing to potential sponsors, including faith-based organizations. The RFP solicits annual goals for each eligible HOPWA activity.

In FY09, the City RFP process was designated as a three-year round of funding. Agencies funded in the first year would receive renewal funding in the following two years, provided that they met performance targets and complied with all grant obligations. For FY12, the City used an RFP process to select agencies for the next three years of funding. The following agencies will be funded:

HIV/AIDS Law Consortium
 Cooley Dickinson AIDS Care
 New North Citizens Council
 River Valley Counseling Center

Barriers encountered. Through consultation with consumers and service providers, the single greatest stated housing need has been identified as affordable rental housing. The preference is for scattered-site housing with a range of wrap around services to be matched to the household needs. The greatest obstacle to serving this need is the lack of sufficient resources to provide both the number and depth of subsidy required. In recognition of this, HOPWA has prioritized rental assistance activities.

Expected trends. Due to improved medication regimens, people with HIV/AIDS are living longer and have a higher quality of life. The primary housing need identified by the community for this population is housing affordability. Some households also need supportive services to maintain their housing.

In Western Massachusetts, the highest contributing factor to HIV infection is injection drug use. Due to this factor, impacted households have barriers to accessing and retaining housing that are in addition to affordability. These include overcoming negative tenant histories and criminal backgrounds. These households are in need housing information and referral, and advocacy and legal services related to housing issues.

Within Hampden County, there is not a shortage of housing units, but there is a shortage of affordable units. Consequently, development of housing facilities has not been identified as a priority need for HOPWA funds.

Planned Evaluations/studies/assessments. No evaluations, studies or other assessments are planned for FY12-13.

Specific HOPWA Objectives

Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the Action Plan.

Additional funding sources are committed to meeting the housing needs of persons with AIDS as follows:

- Springfield receives a McKinney Supportive Housing Program grant to provide permanent supportive housing to 23 individuals and three families impacted by AIDS. In 2012, the City will receive new McKinney funds to provide an additional 5 units of permanent supportive housing to homeless persons with HIV/AIDS.
- Springfield commits the use of HOME tenant-based rental assistance to serve individuals with AIDS.

NEIGHBORHOOD REVITALIZATION STRATEGY AREA

The City of Springfield intends to maintain its intense efforts to fundamentally change its urban neighborhoods that are located within the NRSAs. These communities are home to some of the City's poorest residents and have a wealth of ethnic diversity. The residents, businesses owners, and key stakeholders within the NRSA are dynamic, diverse, and eager for positive change and new investment.

The premise of a NRSA is that a concentrated investment of resources in a limited impact area can have a substantial impact for a targeted revitalization area. Springfield's strategy for these areas hinges on a coordinated marshaling of resources, including those of federal, state and local governments; the private sector; community organizations; and neighborhood residents.

Springfield's NRSA initiatives started in 2005 with initial planning, investment in capacity, and identification of partners and resources. As a result, revitalization has been taking place at a steady rate since NRSA designation, but many major initiatives were started in 2008 or 2009, and are still ongoing. In addition, success in the NRSAs has been handicapped by the down-turn in the housing market. These neighborhoods have been very hard-hit by foreclosures, and now have significant numbers of vacant and abandoned homes and blighted commercial structures. Continued investment in these neighborhoods has the potential to take advantage of redevelopment of these properties, would build on the work that that has been initiated, and would support the City's overall efforts to attract private investment.

In 2011, two of the NRSAs (South End and Six Corners/Old Hill) were hit by a EFS-3 tornado, which caused extensive damage. The City has undertaken a community planning process to guide the rebuilding process. It is expected that rebuilding will bring new resources to these neighborhoods, and may have a revitalizing impact.

Specific objectives and strategies for each of the NRSAs are below. Many of these are subsets of goals otherwise set forth in this plan, demonstrating an intention to focus some programs specifically in the NRSAs.

Specific NRSA Objectives

THE SOUTH END

During the period of this Consolidated Plan, the City's specific objectives for the South End and strategies to meet those objectives are below.

Objective 1. Attract and retain business on Main Street.

Strategies:

- CDBG Small Business Loan Program
- CDBG Neighborhood Storefront Improvement Program

- The South End Revitalization Coalition will meet 4-6 times throughout the year, to plan for and guide redevelopment of Main Street.

Objective 2. Increase income diversity by providing new housing ownership opportunities.

Strategies:

- Use vacant lots for new homeownership opportunities; 2 new homes to be built
- Promote homeownership opportunities through HAP, SNHS and other buyer down payment programs
- Create a Housing Plan for the South End as part of the Choice Neighborhoods planning process

Objective 3. Improve appearance and appeal of existing apartment buildings.

Strategies:

- Ongoing Saturday Sweeps Program
- Provide funding for rehabilitation of apartment buildings in neighborhoods; City will fund rehabilitation of Concord Heights Apartments (7 buildings in the Hollywood section) in this fiscal year
- Removal of blighted structures to reduce density in area; at least 4 blighted structures to be removed this year

Objective 4. Increase the visibility and safety of Emerson Wight Park.

Strategies:

- Complete the expansion and renewal of the park

Objective 5. Improve opportunities and support for neighborhood residents.

Strategies:

- Support job training for South End residents, with a particular focus on youth.
- Support key agencies in the neighborhood, such as the South End Community Center, Square One, and Caring Health Center, with funds for programming and/or facilities.
- Initiate planning for a new South End Community Center
- Provide neighborhood-level outreach and information regarding upcoming programs.
- Create an Education Plan for the South End as part of the Choice Neighborhoods planning process
- Partner with the Springfield Housing Authority to submit an application for a Choice Neighborhoods Implementation grant

Objective 6. Increase public safety.

Strategies:

- Create a neighborhood public safety plan as part of the Choice Neighborhoods planning initiative
- Demolish vacant, abandoned and blighted properties
- Clean vacant and/or abandoned properties
- Acquisition / Disposition of foreclosed properties

THE OLD HILL/SIX CORNERS NRSA

Objective 1. Attract retail, commercial, and market-rate housing to the State Street Corridor.

Strategies:

- CDBG Small Business Loan Program
- CDBG Neighborhood Storefront Improvement Program
- Small Business Forums to make business aware of City Resources.

Objective 2. Improve appearance of the Central Street Corridor.

Strategies:

- Create a housing plan for redevelopment of the Central Street corridor Street and other areas.
- Coordinate with the neighborhood council to identify and create strategies to address blighted properties and vacant lots

Objective 3. Increase income diversity by providing new housing ownership opportunities.

Strategies:

- Create new homeownership opportunities by new redevelopment or rehabilitation of residential properties throughout the neighborhood; 12 new homes to be constructed/rehabilitated this year.
- Promote homeownership in the neighborhood through buyer down payment program.

Objective 4. Assist existing homeowners to preserve their housing and stay in the neighborhood.

Strategies:

- Continue to provide neighborhood-level outreach and information regarding the City's assistance programs, especially the homeowner emergency repair program and the heating system program

Objective 5. Increase public safety.

Strategies:

- Clear abandoned and vacant property.
- Continue with quality of life code enforcement sweeps in neighborhood.
- Demolish blighted houses in the neighborhood; at least ten structures will be demolished this year.

- Sell vacant lots as abutter lots where appropriate, so that they will be absorbed into neighboring properties.

Objective 6. Improve the physical attractiveness of the neighborhood.

Strategies:

- Target streets with newly-developed homeownership opportunities for street improvements.

MEMORIAL SQUARE/BRIGHTWOOD NRSA

Objective 1. Revitalize the Main Street retail/commercial corridor.

Strategies:

- CDBG Small Business Loan Program
- CDBG Neighborhood Storefront Improvement Program
- Provide street, sidewalk and streetscape improvements which augment the Mass Highway project, including on side streets directly off Main Street.

Objective 2. Provide training to assist neighborhood residents to obtain living wage jobs, particularly jobs expected to be created as a result of the Baystate Hospital expansion.

Strategies:

- Fund workforce development initiatives targeted to the North End.

Objective 3. Increase income diversity by providing new housing ownership opportunities.

Strategies:

- Create infill homeownership opportunities on vacant lots in the neighborhood
- Promote homeownership in the neighborhood through buyer down payment program.
- Continue promotion of neighborhood homeownership through the Buy Springfield Now campaign and promotion of the Baystate employee assistance program.

Objective 4. Assist existing homeowners to preserve their housing and stay in the neighborhood.

Strategies:

- Provide neighborhood-level marketing of the City's Programs that will assist in the enhancement of properties for homeowners.

Objective 5. Improve neighborhood facilities.

Strategies:

- Assist New North Community Center in development of a new facility.
- Continue to assist in the repurposing the Greek Cultural Center facility.

Objective 6. Improve the physical attractiveness of the neighborhood.

Strategies:

- Clear abandoned and vacant property.
- Demolish distressed structures; at least 2 structures to be demolished this year.
- Continue with quality of life code enforcement sweeps.
- Improve neighborhood parks; Calhoun and Jamie Ulloa.

Objective 7. Improve appearance and appeal of existing apartment buildings.

Strategies:

- Commit funding for rehabilitation of Memorial Square apartment buildings

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Appendix

2012-2013 Funding Sources and Uses

CDBG 2012-2013 Funding Allocations

CDBG Public Service Allocations 2012-2013

Table 3: Consolidated Plan List of Projects

Certifications

Notices of Public Meetings

Notice of Release of Requests for Proposals

Additional Comments

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2012-2013 Funding Sources and Uses

| SOURCES | Amounts |
|---------------------|------------------------|
| CDBG Entitlement | \$ 3,668,911.00 |
| CDBG Carryover | \$ 450,000.00 |
| CDBG Program Income | \$ 250,000.00 |
| HOPWA | \$ 474,123.00 |
| ESG Entitlement | \$ 321,965.00 |
| HOME Entitlement | \$ 1,194,936.00 |
| HOME Carryover | \$ 280,000.00 |
| HOME Program Income | \$ 150,000.00 |
| TOTAL | \$ 6,789,935.00 |

CDBG Activities

| | | |
|--|---------------|-----------------|
| Administration | \$ 783,782.20 | |
| Public Service-Exempt | \$ 110,000.00 | |
| Public Service-Non-Exempt | \$ 587,828.80 | |
| Economic Development | \$ 275,000.00 | |
| Public Facilities/Parks/Infrastructure | \$ 967,000.00 | |
| Clearance and Demolition | \$ 703,000.00 | |
| Code Enforcement | \$ 40,000.00 | |
| Housing | \$ 803,000.00 | |
| Neighborhoods | \$ 76,800.00 | |
| Disposition | \$ 22,500.00 | |
| | | \$ 4,368,911.00 |

HOME Activities

| Program | Funding | |
|--------------------------------------|----------------|-----------------|
| Administration | \$ 134,493.60 | |
| Homebuyer Assistance | \$ 250,000.00 | |
| Tenant Based Rental Assistance | \$ 350,000.00 | |
| Project Based Homeownership-CHDO | \$ 201,740.40 | |
| Project Based Homeownership-NON-CHDO | \$ 138,702.00 | |
| Rental Production | \$ 550,000.00 | |
| | | \$ 1,624,936.00 |

ESG Activities

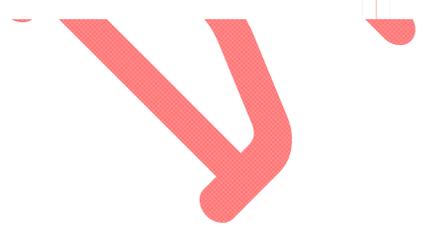
| Program | Funding | |
|-----------------------------|----------------|---------------|
| Administration | \$ 16,098.25 | |
| Homeless Shelter Operations | \$ 112,687.75 | |
| Homeless Essential Services | \$ 96,589.50 | |
| Homeless Prevention | \$ 96,589.50 | |
| | | \$ 321,965.00 |

HOPWA Activities

| Program | Funding | |
|--------------------------------|----------------|---------------|
| Administration | \$ 14,223.69 | |
| Project Sponsor Administration | \$ 33,188.61 | |
| HOPWA | \$426,710.70 | \$ 474,123.00 |

CDBG 2012-2013 Funding Allocations

| Project Title | Amount |
|--|---------------|
| Economic Development Prog Delivery | \$ 50,000.00 |
| Small Business Support Program | \$225,000.00 |
| Existing Homeowner Rehab-Emergency Repairs | \$300,000.00 |
| HEARTWAP Program | \$175,000.00 |
| Housing Program Delivery-Rehabilitation | \$ 78,000.00 |
| Housing Program Delivery-Direct Assistance | \$100,000.00 |
| Historic Restoration-Rehab blight Choice Neighborhoods | \$100,000.00 |
| | \$150,000.00 |
| | |
| Clearance and Demolition - Program Delivery | \$ 28,000.00 |
| Bond Payment | \$375,000.00 |
| Demolition of Vacant/Abandon Properties Acquisition/Disposition | \$300,000.00 |
| | \$ 22,500.00 |
| Code Enforcement - Street Sweeps | \$ 35,000.00 |
| Code Enforcement – Keep Springfield Beautiful | \$ 5,000.00 |
| Park Reconstruction | \$467,000.00 |
| Streets/Sidewalks | \$400,000.00 |
| Neighborhood Capacity Building Program Delivery. | \$ 45,000.00 |
| Graffiti Removal | \$ 31,800.00 |



CDBG Public Service Allocation

| 2012-2013 Applicant | Program Name | Address | 2012-2013 Proposed Public Service Funding |
|---|---|---|--|
| Public Service Cap -- Non Exempt | | | |
| 5A | 5A | Springfield College, 263 Alden Street | \$6,000.00 |
| Black Men of Greater Springfield | W.E.B. Dubois Academy | Springfield Boys & Girls Club, 481 Carew Street | \$10,000.00 |
| Boys & Girls Club Family Center | Pathway to Success | 100 Acorn Street | \$5,000.00 |
| Boy Scouts of America | ScoutReach Financial Aid | 1 Arch Road, Westfield, MA | \$4,500.00 |
| Community Music School | After School Music Program | 127 State Street | \$5,000.00 |
| Council of Churches | Emergency Fuel Assistance | 39 Oakland Street | \$17,350.00 |
| Friends of the Homeless | Worthington Street Shelter Program | 755 Worthington Street | \$90,000.00 |
| Greater New Life Christian Center | Youth Empowerment Services (YES) | 1323 Worcester Street, Indian Orchard | \$3,500.00 |
| Greater New Life Christian Center | New Life Center for Recovery | 1323 Worcester Street, Indian Orchard | \$3,500.00 |
| Hungry Hill Senior Center | Senior Center | 1212 Carew Street | \$5,000.00 |
| Martin Luther King Family Center | Violence Prevention Program | 106 Wilbraham Road | \$5,000.00 |
| Massachusetts Fair Housing Center | Fair Housing Program | 54 Suffolk Street, Holyoke, MA | \$5,000.00 |
| MCDI | Healthcare Training Program | 140 Wilbraham Ave | \$25,000.00 |
| No Small Victories | Autism Awareness | 106 Wilbraham Road | \$5,000.00 |
| MCDI | Precision Manufacturing | 140 Wilbraham Ave | \$50,000.00 |
| Park Department | Pool Program | Forest Park, 200 Trafton Road | \$108,978.80 |
| Parents & Friends of Camp Star | Camp Star/Camp Angelina | Forest Park, 200 Trafton Road | \$80,000.00 |
| Pine Point Senior Center | Senior Center | 335 Berkshire Avenue | \$6,500.00 |
| ROCA | Transitional Employment | 25-33 School Street | \$13,000.00 |
| Russian Community Association | Russian-Vietnamese Community Health Liaison Project | 25 Barney Lane | \$7,500.00 |
| Russian Community Association | Springfield Pathway to Employment | 25 Barney Lane | \$7,000.00 |
| Salvation Army | Bridging the Gap | 170 Pearl Street | \$14,000.00 |
| South End Community Center | Summer Activities | 29 Howard Street | \$21,000.00 |
| Springfield Boys & Girls Club | Indian Orchard Unit | Program operates at the Indian Orchard Elementary School, Milton Street | \$6,000.00 |
| Springfield Boys & Girls Club | Summer Youth Development | 481 Carew Street | \$8,000.00 |
| Springfield College | Literacy Awards Program | 263 Alden Street | \$5,000.00 |

| | | | |
|--|---|---------------------|--------------|
| Springfield Housing Authority | GED Classes | 160 Nursery Street | \$5,000.00 |
| Springfield Vietnamese American Civic Association (V.A.C.A.) | Family Empowerment Program | 433 Belmont Avenue | \$5,000.00 |
| Springfield Vietnamese American Civic Association (V.A.C.A.) | Housing Options mean Empowerment Program (HOME) | 433 Belmont Avenue | \$5,000.00 |
| Square One | Access Funds for Early Education & Child Care for At-Risk Youth | 947 Main Street | \$7,000.00 |
| Square One | Fitness & Healthy Living Clinics | 947 Main Street | \$5,000.00 |
| Square One | Career Development | 947 Main Street | \$6,000.00 |
| The Gray House | Community Education Support | 22 Sheldon Street | \$6,000.00 |
| Urban League | Digital Connectors Program | | \$5,000.00 |
| YMCA | Safe Summer Streets | 1777 Dwight Street | \$10,000.00 |
| Dunbar Y Family Center-YMCA | Teen Pregnancy Prevention | 275 Chestnut Street | \$5,000.00 |
| YWCA | Youth Build | 1 Clough Street | \$12,000.00 |
| Public Service Cap – Exempt From Cap | | | |
| NNCC | Rockets to Success | 2383 Main Street | \$15,000.00 |
| NNCC | Recovery Community Engagement | 2383 Main Street | \$ 22,500.00 |
| NNCC | Homeless Prevention Program | 2383 Main Street | \$ 20,000.00 |
| NNCC | Underground Youth Network | 2383 Main Street | \$ 17,500.00 |
| NNCC | ESOL | 2383 Main Street | \$ 35,000.00 |

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Planning and Administration

Project HOME Administration

Description

The Office of Housing will use these funds for planning and execution of the HOME Program including general management and oversight of the program.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

| | | | |
|---|--|-------------------------|--------------|
| Objective Number N/A | Project ID | Funding Sources: | |
| HUD Matrix Code 21A | CDBG Citation N/A | CDBG | |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective N/A | ESG | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | HOME | \$134,493.60 |
| Performance Indicator N/A | Annual Units N/A | HOPWA | |
| Local ID | Units Upon Completion | Total Formula | \$134,493.60 |
| | | Prior Year Funds | |
| | | Assisted Housing | |
| | | PHA | |
| | | Other Funding | |
| | | Total | \$134,493.60 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Housing

Project First Time Homebuyer Financial Assistance Program

Description

Funding for the first time homebuyers financial assistance program, eligible households will be provided purchase assistance (down payment, closing costs and interest rate buy down) at the time of closing; priority funding will be made available to first time homebuyers acquiring foreclosed properties. The program is operated by the Office of Housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target: CDBG eligible target neighborhoods

| | |
|---|--|
| Objective Number DH-2 | Project ID |
| HUD Matrix Code 13 | CDBG Citation N/A |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective N/A |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 |
| Performance Indicator Households | Annual Units 83 |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|--------------|
| CDBG | |
| ESG | |
| HOME | \$250,000.00 |
| HOPWA | |
| Total Formula | \$250,000.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$250,000.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Housing

Project Rental Production

Description

Funds for a program to expand and/or preserve affordable rental housing. The program will be operated by the Office of Housing. Funds will be loaned to both for profit and non-profit developers.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, MA

| | | |
|---|--|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG _____ ESG _____ HOME \$550,000.00 HOPWA _____ Total Formula \$550,000.00 Prior Year Funds _____ Assisted Housing _____ PHA _____ Other Funding _____ Total \$550,000.00 |
| HUD Matrix Code 14B | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | |
| Performance Indicator Housing Units | Annual Units 10 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Housing

Project Project Based Homeownership - CHDO

Description

Funds for developers to acquire and rehabilitate and/or construct housing for resale to income eligible households.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, MA

| | | |
|---|--|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG ESG HOME \$201,740.40 HOPWA Total Formula \$201,740.40 Prior Year Funds Assisted Housing PHA Other Funding Total \$201,740.40 |
| HUD Matrix Code 14G | CDBG Citation 570.208(a)(3) | |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | |
| Performance Indicator Housing Units | Annual Units 4 | |
| Local ID | Units Upon Completion | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Housing

Project Project Based Homeownership – NON-CHDO

Description

Funds for NON-CHDO developers to acquire and rehabilitate and/or construct housing for resale to income eligible households.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, MA

| | | |
|---|--|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG ESG HOME \$138,702.00 HOPWA Total Formula \$138,702.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$138,702.00 |
| HUD Matrix Code 14G | CDBG Citation 570.208(a)(3) | |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | |
| Performance Indicator Housing Units | Annual Units 1 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Housing

Project Tenant Based Rental Assistance (TBRA)

Description

Rental assistance will be provided to special needs households. Ongoing rental assistance is provided to as many as 50 households in an initiative that links housing subsidies with supportive services for chronically homeless individuals. One time security deposit assistance is being provided to as many as 50 households to assist them in moving from homelessness into stable housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City of Springfield

| | | |
|---|--|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG ESG HOME \$350,000.00 HOPWA Total Formula \$350,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$350,000.00 |
| HUD Matrix Code 31F | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | |
| Performance Indicator Households | Annual Units 25 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need Planning & Administration

Project ESG Administration

Description

The Office of Housing will utilize these funds for the planning & execution of the ESG Program, including general management and oversight,

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|---|
| Objective Number N/A | Project ID | Funding Sources: CDBG ESG \$16,098.25 HOME HOPWA Total Formula \$16,098.25 Prior Year Funds Assisted Housing PHA Other Funding Total \$16,098.25 |
| HUD Matrix Code 21A | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Housing Units | Annual Units N/A | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need Homeless

Project ESG Homeless Essential Services

Description

Funds will be provided to homeless service providers to operate ESG eligible service programs for homeless households.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|---|
| Objective Number SL-1 | Project ID | Funding Sources: CDBG ESG \$96,589.50 HOME HOPWA Total Formula \$96,589.50 Prior Year Funds Assisted Housing PHA Other Funding Total \$96,589.50 |
| HUD Matrix Code 03T | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator People | Annual Units TBD | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need Homeless

Project ESG Homeless Prevention

Description

Funds will be provided to homeless service providers to operate ESG eligible homeless prevention programs.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|---|
| Objective Number SL-1 | Project ID | Funding Sources: CDBG ESG \$96,589.50 HOME HOPWA Total Formula \$96,589.50 Prior Year Funds Assisted Housing PHA Other Funding Total \$96,589.50 |
| HUD Matrix Code 03T | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator People | Annual Units TBD | |
| Local ID | Units Upon Completion | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need Homeless

Project ESG Homeless Shelter Operations

Description

Funds will be provided to existing emergency shelter operators to expend the current capacity of emergency systems.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | |
|--|---|
| Objective Number DH-1 | Project ID |
| HUD Matrix Code 03T | CDBG Citation N/A |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 |
| Performance Indicator People | Annual Units TBD |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|--------------|
| CDBG | |
| ESG | \$112,687.75 |
| HOME | |
| HOPWA | |
| Total Formula | \$112,687.75 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$112,687.75 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need Planning & Administration

Project HOPWA Administration

Description

The Office of Housing will utilize these funds for the planning and execution of the HOPWA Program, including general management and oversight.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: N/A

| | | |
|--|---|--|
| Objective Number N/A | Project ID | Funding Sources: CDBG ESG HOME HOPWA \$14,223.69 Total Formula \$14,223.69 Prior Year Funds Assisted Housing PHA Other Funding Total \$14,223.69 |
| HUD Matrix Code 21A | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator N/A | Annual Units N/A | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need Planning & Administration

Project HOPWA Project Sponsor Administration

Description
The Office of Housing will allocate these funds for administrative expenses associated with HOPWA funding.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Tri-County areas; Hampden, Hampshire and Franklin Counties

| | | |
|--|---|---|
| Objective Number N/A | Project ID | Funding Sources: CDBG ESG HOME HOPWA \$33,188.61 Total Formula \$33,188.61 Prior Year Funds Assisted Housing PHA Other Funding Total \$33,188.61 |
| HUD Matrix Code 31D | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator N/A | Annual Units N/A | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need Non-Homeless Special Needs

Project HOPWA

Description

Funding to provide rental assistance, short term subsidies and support services to households impacted by HIV/AIDS. Programs will be operated by human service providers in the tri-county areas: Hampshire and Franklin counties

Objective category: Suitable Living Environment X Decent Housing Economic Opportunity
Outcome category: X Availability/Accessibility Affordability Sustainability

Location/Target Area: Tri-County areas; Hampden, Hampshire and Franklin Counties

| | | |
|--|---|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG ESG HOME HOPWA \$426,710.70 Total Formula \$426,710.70 Prior Year Funds Assisted Housing PHA Other Funding Total \$426,710.70 |
| HUD Matrix Code 31 | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Housing Units | Annual Units 25 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Planning & Administration

Project CDBG Planning & Administration

Description

Funding for the planning and execution of the CDBG program; including the general management and oversight, fiscal management and compliance.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

N/A

| | | |
|--|---|--|
| Objective Number N/A | Project ID | Funding Sources: CDBG \$783,782.20 ESG HOME HOPWA Total Formula \$783,782.20 Prior Year Funds Assisted Housing PHA Other Funding Total \$783,782.20 |
| HUD Matrix Code 21A | CDBG Citation N/A | |
| Type of Recipient Grantee | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator N/A | Annual Units N/A | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Human Capital

Project Public Service

Description

CDBG funds will be used for public service programs to improve the lives of low income residents of the City of Springfield. Categories of funding may include but are not necessarily limited to programs for youth, elders, job training, GED, self-sufficiency training, health care, gang prevention, day care for young children, substance abuse and housing security.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible target areas in Springfield, MA

| | | |
|--|---|--|
| Objective Number SL-1 | Project ID | Funding Sources: CDBG \$697,828.80 ESG HOME HOPWA Total Formula \$697,828.80 Prior Year Funds Assisted Housing PHA Other Funding Total \$697,828.80 |
| HUD Matrix Code 05 | CDBG Citation 570.201 (e) | |
| Type of Recipient Grantee | CDBG National Objective LMC | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator People | Annual Units 5188 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Business Development

Project Economic Development Program Delivery

Description

CDBG funds will be used to staff a Business Development person for eligible costs related to business assistance and to provide technical assistance to new businesses in CDBG target neighborhoods.

Objective category: Suitable Living Environment Decent Housing x Economic Opportunity
Outcome category: x Availability/ Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible target areas in Springfield, MA

| | | |
|--|---|---|
| Objective Number EO-1 | Project ID | Funding Sources: CDBG \$50,000.00 ESG HOME HOPWA Total Formula \$50,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$50,000.00 |
| HUD Matrix Code 18B | CDBG Citation 570.203 (b) | |
| Type of Recipient Grantee | CDBG National Objective LMJ | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Jobs | Annual Units 2 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Business Development

Project Small Business Support Program

Description

The Small Business Support Program will be used along with the Small Business Loan Program; Emerging Technology Start-Up Technical Assistance Program targeting businesses in the technology sector. The program will also take an existing program, Springfield Neighborhood Storefront Improvement Program, and modify to "Best Retail Practices Program" which would combine funding for interior improvements as well as storefront improvements.

The program embraces a comprehensive approach to assisting small businesses by combining technical assistance support and financial resources available through the program which results in job creation/retention.

Objective category: Suitable Living Environment Decent Housing x Economic Opportunity
Outcome category: x Availability/ Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible target areas and NRSA neighborhoods in Springfield, MA

| | | |
|--|---|--|
| Objective Number EO-1 | Project ID | Funding Sources: CDBG \$225,000.00 ESG HOME HOPWA Total Formula \$225,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$225,000.00 |
| HUD Matrix Code 18C | CDBG Citation 570.201 | |
| Type of Recipient Grantee | CDBG National Objective LMJ | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Jobs | Annual Units 7 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need Housing

Project Existing Homeowner Rehab – Emergency Repairs

Description

The Office of Housing will offer 0% deferred interest loans to income eligible homeowner's to complete emergency repairs or modifications to accommodate mobility limitation to homeowners.

Objective category: Suitable Living Environment X Decent Housing Economic Opportunity
Outcome category: X Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | | |
|--|---|-------------------------|--------------|
| Objective Number DH-1 | Project ID | Funding Sources: | |
| HUD Matrix Code 14A & 14B | CDBG Citation 570.202 | CDBG | \$300,000.00 |
| Type of Recipient Grantee | CDBG National Objective LMH | ESG | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | HOME | |
| Performance Indicator Housing Units | Annual Units 15 | HOPWA | |
| Local ID | Units Upon Completion | Total Formula | \$300,000.00 |
| | | Prior Year Funds | |
| | | Assisted Housing | |
| | | PHA | |
| | | Other Funding | |
| | | Total | \$300,000.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need
Housing

Project
HEARTWAP Program

Description

The Office of Housing will provide staff to operate a state funded heating system repair and replacement program for income eligible households. Some funding will set aside to pay for direct costs that exceed the programmatic limits for system repairs and replacement.

Objective category: Suitable Living Environment X Decent Housing Economic Opportunity
Outcome category: X Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG \$175,000.00 ESG HOME HOPWA Total Formula \$175,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$175,000.00 |
| HUD Matrix Code 14F | CDBG Citation 570.202 | |
| Type of Recipient Grantee | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Housing Units | Annual Units 350 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need Housing

Project Housing Program Delivery – Rehabilitation

Description

Funds for staff costs associated with administering housing rehabilitation programs including homeowner rehabilitation, project based homeownership, rental rehabilitation, graffiti and lead removal programs operated through the Office of Housing.

Objective category: Suitable Living Environment X Decent Housing Economic Opportunity
Outcome category: X Availability/Accessibility Affordability Sustainability

Location/Target Area: Eligible households in Springfield, Ma

| | | |
|--|---|---|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG 78,000.00 ESG HOME HOPWA Total Formula \$78,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$78,000.00 |
| HUD Matrix Code 14H | CDBG Citation 570.202 | |
| Type of Recipient Grantee | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Linked | Annual Units Linked to Activity | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need
Housing

Project
Housing Program Delivery – Direct Assistance

Description

Funds for staff costs associated with administering homebuyer/homeowner assistance programs; including First Time Homebuyers. NRSA homebuyer incentive and homeowner emergency repair programs. The program is administered through the Office of Housing.

Objective category: Suitable Living Environment X Decent Housing Economic Opportunity
Outcome category: X Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG \$100,000.00 ESG HOME HOPWA Total Formula \$100,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$100,000.00 |
| HUD Matrix Code 13 | CDBG Citation 570.201 (n) | |
| Type of Recipient Grantee | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Housing Units | Annual Units Linked to Activity | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Historic Restoration-Rehab Blight

Description

The Office of Planning & Economic Development will work in conjunction with the Office of Housing in looking for funding to historic renovation of City owned properties. The properties will be put out to RFP if chosen. The properties have to be within one of the City's local or national registered historic districts.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
CDBG eligible properties in Springfield, MA

| | |
|--|---|
| Objective Number DH-3 | Project ID |
| HUD Matrix Code 14G | CDBG Citation 570.280 (b) (2) |
| Type of Recipient Grantee | CDBG National Objective SBS |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 |
| Performance Indicator Housing Units | Annual Units 3 |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|--------------|
| CDBG | \$100,000.00 |
| ESG | |
| HOME | |
| HOPWA | |
| Total Formula | \$100,000.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$100,000.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's City of Springfield Massachusetts

Priority Need Housing

Project Choice Neighborhoods

Description

Match funding for the implementation of the Choice Neighborhoods planning grant. Predevelopment housing and community development costs.

Objective category: Suitable Living Environment X Decent Housing Economic Opportunity
Outcome category: X Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG \$150,000.00 ESG HOME HOPWA Total Formula \$150,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$150,000.00 |
| HUD Matrix Code 06 | CDBG Citation 570.201 (h) | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 7/1/2011 | Completion Date (mm/dd/yyyy) 6/30/2012 | |
| Performance Indicator Housing Units | Annual Units TBD | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Clearance & Demo- Program Delivery

Description

Funding for staff costs associated with the clearance and demolition of blighted properties in CDBG eligible areas. The program is administered through the Office of Housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/ Accessibility Affordability Sustainability

Location/Target Area:

CDBG Target areas in Springfield

| | |
|---|---|
| Objective Number SL-3 | Project ID |
| HUD Matrix Code 04 | CDBG Citation 570.201 (d) |
| Type of Recipient Grantee | CDBG National Objective LMA |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 |
| Performance Indicator Linked to Activity | Annual Units Linked to Activity |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|-------------|
| CDBG | \$28,000.00 |
| ESG | |
| HOME | |
| HOPWA | |
| Total Formula | \$28,000.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | \$28,000.00 |
| Total | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

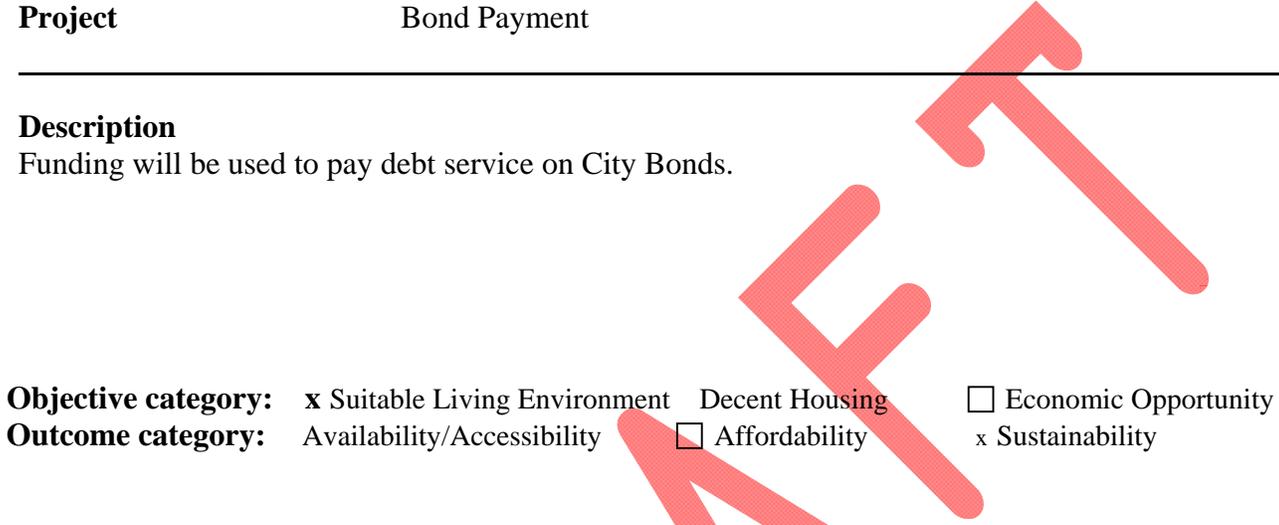
Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Bond Payment

Description

Funding will be used to pay debt service on City Bonds.



Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
CDBG Target areas in Springfield

| | |
|--|---|
| Objective Number SL-3 | Project ID |
| HUD Matrix Code 04 | CDBG Citation 570.201 (d) |
| Type of Recipient Grantee | CDBG National Objective SBS |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 |
| Performance Indicator Public Facilities | Annual Units 1 |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|--------------|
| CDBG | \$375,000.00 |
| ESG | |
| HOME | |
| HOPWA | |
| Total Formula | \$375,000.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$375,000.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Acquisition/Disposition

Description

Funds to provide staff and administrative costs associated with the acquisition and disposition of tax title properties. Program is designed to effect redevelopment.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible properties in Springfield, MA

| | |
|--|---|
| Objective Number DH-1 | Project ID |
| HUD Matrix Code 02 | CDBG Citation 570.201 (b) |
| Type of Recipient Grantee | CDBG National Objective LMA |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 |
| Performance Indicator People | Annual Units 75 |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|-------------|
| CDBG | \$22,500.00 |
| ESG | |
| HOME | |
| HOPWA | |
| Total Formula | \$22,500.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$22,500.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Targeted Code Enforcement-Street Sweeps

Description

CDBG funds will be used to conduct proactive street sweeps on Saturday's. They will be done on a house-house/street-street basis. All are located within CDBG eligible target neighborhoods.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible properties in Springfield, MA

| | |
|--|---|
| Objective Number DH-3 | Project ID |
| HUD Matrix Code 15 | CDBG Citation 570.202 (c) |
| Type of Recipient Grantee | CDBG National Objective LMA |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 |
| Performance Indicator Housing Units | Annual Units 2000 |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|-------------|
| CDBG | \$35,000.00 |
| ESG | |
| HOME | |
| HOPWA | |
| Total Formula | \$35,000.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$35,000.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Code Enforcement

Project Keep Springfield Beautiful

Description

A program dedicated to the improvement of the quality of life of the citizens of the City of Springfield through events and projects related to litter prevention, recycling and beautification. Specific staging areas will be in the NRSA neighborhoods. The funds will be used for the dumpster for this event.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
NRSA Neighborhoods

| | | |
|--|--|---|
| Specific Objective Number SL-3 | Project ID | Funding Sources: CDBG <u>\$5,000.00</u> ESG _____ HOME _____ HOPWA _____ Total Formula <u>\$5,000.00</u> Prior Year Funds _____ Assisted Housing _____ PHA _____ Other Funding _____ Total <u>\$5,000.00</u> |
| HUD Matrix Code 15 | CDBG Citation 570.201 (c) | |
| Type of Recipient Grantee | CDBG National Objective LMA | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | |
| Performance Indicator People | Annual Units 8000 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Park Reconstruction

Description

CDBG funds to be allocated to provide upgrades and improvements to Parks and Playgrounds in CDBG target areas/. Renovations and upgrades to Hubbard Park, Camp Wilder and playground equipment at Jamie Ullola a park that is located in the NRSA. Funds will also be allocated to replace splash pads at the various spray structures in CDBG and NRSA neighborhoods.

The Office of Community Development will work with the Park Department and the Capital Assets Department to complete these various projects.

Hubbard Park, \$142,000
Camp Wilder, \$125,000
Splash pads, \$200,000

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible and NRSA neighborhoods in Springfield, MA

| | | |
|--|---|--|
| Objective Number SL-3 | Project ID | Funding Sources: CDBG \$467,000.00 ESG HOME HOPWA Total Formula \$467,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$467,000.00 |
| HUD Matrix Code 03F | CDBG Citation 570.201 (c) | |
| Type of Recipient Grantee | CDBG National Objective LMA | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator People | Annual Units 1700 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Streets/Sidewalk Improvements

Description

DPW will prepare plans specifications and bidding docs for the paving of streets and the replacement or reconstruction of sidewalks that will improve the infrastructure system in these CDBG eligible neighborhoods which will also compliment other projects in eligible areas.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
CDBG eligible properties in Springfield, MA

| | |
|--|---|
| Objective Number SL-3 | Project ID |
| HUD Matrix Code 03K & 03L | CDBG Citation 570.201 (c) |
| Type of Recipient Grantee | CDBG National Objective LMA |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 |
| Performance Indicator People | Annual Units TBD |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|--------------|
| CDBG | \$400,000.00 |
| ESG | |
| HOME | |
| HOPWA | |
| Total Formula | \$400,000.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$400,000.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Graffiti

Description

Funds for staff and materials associated with the removal of graffiti from privately-owned buildings. This program is administered through the Office of Housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible areas in Springfield, MA

| | |
|--|---|
| Objective Number SL-3 | Project ID |
| HUD Matrix Code 14H | CDBG Citation 570.202 |
| Type of Recipient Grantee | CDBG National Objective LMA |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 |
| Performance Indicator Business | Annual Units 25 |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|-------------|
| CDBG | \$31,800.00 |
| ESG | |
| HOME | |
| HOPWA | |
| Total Formula | \$31,800.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$31,800.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

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