

Fiscal Year 2008-09 Consolidated Annual Performance and Evaluation Report (CAPER)



Leonardo Da Vinci Park
South End, Springfield, MA
Project funded with CDBG Entitlement Grant Funding

PLACEHOLDER FOR HUD LETTER APPROVING CAPER

DRAFT

**CITY OF SPRINGFIELD, MASSACHUSETTS
OFFICE OF COMMUNITY DEVELOPMENT Consolidated Annual
Performance and Evaluation Report (CAPER)**

Program Year: July 1, 2008 - June 30, 2009

Table of Contents

| | |
|--|----|
| EXECUTIVE SUMMARY..... | 5 |
| A. Purpose..... | 5 |
| B. Executive Summary..... | 5 |
| ASSESSMENT OF FIVE YEAR AND ANNUAL GOALS AND OBJECTIVES.... | 9 |
| A. Five Year Goals..... | 10 |
| B. Annual Goals..... | 23 |
| C. Multi Year Activities..... | 26 |
| NARRATIVE AND FINANCIAL SUMMARY BY PROGRAM..... | 31 |
| A. CDBG..... | 31 |
| B. HOME/ADDI..... | 40 |
| C. HOPWA..... | 54 |
| D. ESG..... | 59 |
| HUD REPORTING REQUIREMENTS..... | 75 |
| A. Affirmatively Furthering Fair Housing..... | 75 |
| B. Affordable Housing..... | 77 |
| C. Homeless/Continuum of Care Narrative..... | 78 |
| D. Foreclosure and Neighborhood Stabilization Program..... | 82 |
| E. Other Actions..... | 84 |
| 1. Addressing Obstacles to Meeting Underserved Needs..... | 84 |
| 2. Foster and Maintain Affordable Housing..... | 84 |
| 3. Eliminate Barriers to Affordable Housing..... | 86 |
| 4. Overcome Gaps in Institutional Structures and Enhance Coordination..... | 87 |
| 5. Improve Public Housing and Resident Initiatives..... | 88 |
| 6. Evaluate and Reduce Lead Based Paint Hazards..... | 90 |
| 7. Ensure Compliance with program and Comprehensive Planning Requirements... | 95 |
| 8. Reduce Number of Persons Living Below the Poverty Level..... | 96 |

| | |
|--|-----|
| 9. Leveraging Resources..... | 97 |
| 10. Citizen Comments and Citizen Participation..... | 97 |
| 11. Self Evaluation and Performance Measurement..... | 99 |
| 12. Sources of Funds..... | 100 |
| | |
| E. Low Mod Calculation..... | 103 |
| F. Annual Performance Report Reconciliation..... | 104 |
| G. HOME Activities Total..... | 105 |
| H. Financial Summary Grantee Performance..... | 108 |
| I. Financial Summary Attachment..... | 106 |
| J. HOPWA Financial Report..... | 113 |
| K. Financial Status Report..... | 115 |

APPENDIX I: CAPER PUBLIC NOTICE AND FLYER IN ENGLISH AND SPANISH
APPENDIX II: CAPER PUBLIC HEARING MEETING
APPENDIX III: WRITTEN COMMENTS

DRAFT

Executive Summary

Purpose

The City of Springfield's Consolidated Annual Performance and Evaluation Report (CAPER) is designed to illustrate the accomplishments of projects and programs funded by the U.S. Department of Housing and Urban Development. These programs include the Community Development Block Grant (CDBG) program, the Home Investment Partnership (HOME) program, the Emergency Shelter Grant (ESG) program, the McKinney-Vento funds, and Housing Opportunities for Persons with AIDS (HOPWA) program.

The goal of this report is to compare the anticipated benefits projected in the City's Annual and Five year plans with the actual accomplishments achieved. Every attempt is made to provide a programmatic and financial analysis in a meaningful, user-friendly format comprehensible to all Springfield's residents.

Executive Summary

A DRAFT of the CAPER for the fiscal year that commenced on July 1, 2008 and ended on June 30, 2009 (FY08-09) was posted online and available for public review from Monday, August 31st through Wednesday, September 23, 2009 and a public hearing was held on Monday, September 14, 2009 at 6:00 PM in room 220 in City Hall. During the review period copies of the Draft CAPER are available to all Springfield residents at the following locations:

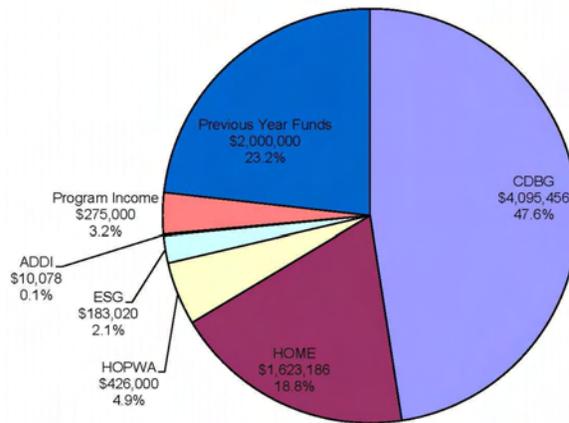
- Office of Planning & Economic Development, 70 Tapley Street
 - Office of Community Development, City Hall, Room 101, 36 Court Street, Room 101
 - Office of Housing, 1600 E Columbus Avenue, 1st Floor
 - Department of Health and Human Services, 95 State Street
 - Central Library, 220 State Street
 - Springfield Neighborhood Councils, including the: South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, McKnight Neighborhood Council.
- [-http://www.springfieldcityhall.com/cos/Services/dept_cd.htm](http://www.springfieldcityhall.com/cos/Services/dept_cd.htm)

An announcement about the hearing and the availability of the draft document was published in English and Spanish in the Springfield Republican on August 31, 2009 and a flyer was mailed to persons and organizations included on the Office of Community Development and Office of Housing mailing lists. The advertisement also solicited written feedback from Springfield residents. A summary of comments received will be included in the final version of the CAPER.

Introduction

In FY08-09, the Department of Housing and Urban Development (HUD) awarded the City of Springfield a total of \$6,337,740 in entitlement funding; the City received \$4,095,456 through the Community Development Block Grant (CDBG) Program, \$183,020 through the Emergency Shelter Grant (ESG), \$1,623,186 through the HOME Investment Partnership (HOME) Program, \$10,078 through American Dream Development Initiative (ADDI) and \$426,000 through the Housing Opportunities for Persons with AIDS (HOPWA) Program. Prior year funds of \$2,000,000, as well as estimated program income totaling \$275,000 were also available. Therefore, total entitlement funding available for the program year was \$8,612,740.

Total Sources of Funds FY08-09: \$8,612,740



During this program year, 84.69 percent of the City's CDBG funds were used to benefit low- to moderate-income persons. The majority of CDBG funding was allocated for activities classified as economic development, housing, public services, or public infrastructure and facilities. Details of the services, programs, and accomplishments and an analysis of expenditures are provided throughout the CAPER.

Geographic Distribution, Location of Investments and Families and Persons Assisted

Within the City of Springfield's Five Year Consolidated Plan, the City committed to the overarching goal of undertaking activities that would result in substantial public benefit through the revitalization of depressed areas and in assistance to low/moderate income residents.

HOME and ESG funds were allocated citywide providing persons and/or households assisted who met the eligibly criteria of the applicable program. HOPWA funds were allocated throughout the EMSA, which includes the tri-county area. HOPWA funds are allocated primarily to alleviate the housing cost burden for eligible households.

CDBG funds were used to serve persons residing in CDBG eligible area, which, in total, is comprised of almost 103,000 persons, an estimated 73.5 percent of which are deemed low- or moderate-income by the 2000 US Census. In 2000, these residents represented many races and ethnicities. Of these persons, approximately 44.0 percent were White, 25.5 percent were Black or African American, 0.4 percent were American Indian/Alaska Native, 2.1 percent were Asian, 0.1 percent were Native Hawaiian and Other Pacific Islander and 29 percent were Other/Multi Racial. In terms of ethnicity, approximately 37% of these persons were Hispanic.

Note that the CDBG and NRSA areas include the following block groups and census tracts (recently added census tract/block groups due to an administrative change implemented by HUD as detailed above are noted in red type).

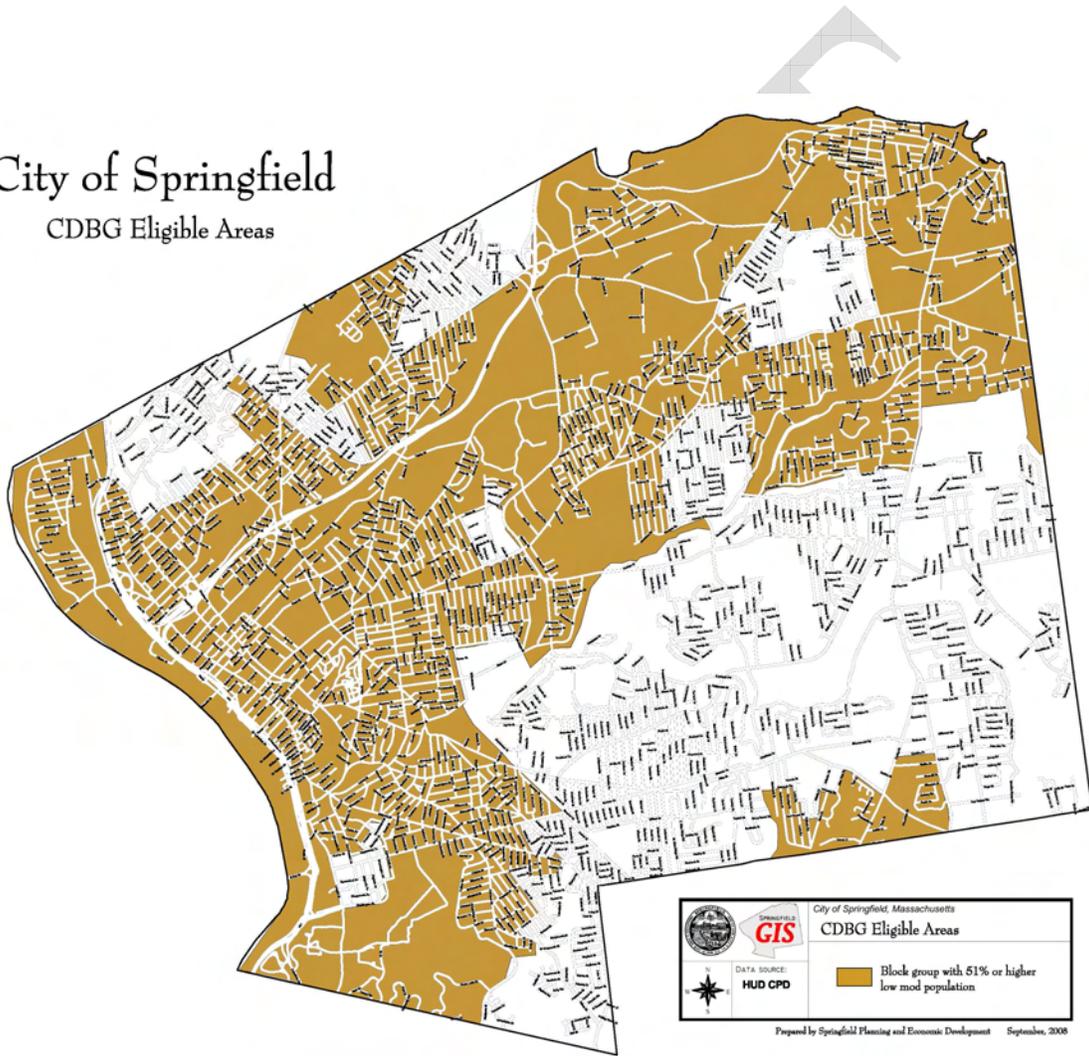
CDBG Eligible Census Tract / Block Groups in Springfield, MA effective 7/1/07

| TRACT | NRSA | BLKGRP | LOWMOD PCT | TRACT | NRSA | BLKGRP | LOWMOD PCT | TRACT | NRSA | BLKGRP | LOWMOD PCT |
|---------|------|--------|------------|---------|------|--------|------------|---------|------|--------|------------|
| 8026.01 | | 3 | 64.8 | 8017.00 | | 1 | 59.7 | 8011.01 | | 2 | 100.0 |
| 8026.01 | | 4 | 60.6 | 8017.00 | | 3 | 80.3 | 8009.00 | | 1 | 86.0 |
| 8026.01 | | 5 | 74.7 | 8017.00 | | 4 | 64.5 | 8009.00 | | 2 | 84.7 |
| 8023.00 | | 1 | 61.7 | 8017.00 | | 5 | 68.6 | 8009.00 | | 3 | 96.8 |
| 8023.00 | | 2 | 57.4 | 8017.00 | | 6 | 73.4 | 8009.00 | | 4 | 70.3 |
| 8023.00 | | 4 | 87.4 | 8016.05 | | 2 | 57.9 | 8009.00 | | 5 | 90.3 |
| 8023.00 | | 5 | 76.2 | 8016.03 | | 1 | 55.8 | 8008.00 | X | 1 | 91.4 |
| 8023.00 | | 6 | 78.2 | 8016.02 | | 1 | 60.4 | 8008.00 | X | 2 | 84.5 |
| 8022.00 | | 1 | 69.5 | 8015.03 | | 1 | 68.0 | 8007.00 | X | 1 | 88.0 |
| 8022.00 | | 2 | 68.9 | 8015.03 | | 2 | 68.9 | 8007.00 | X | 2 | 79.5 |
| 8022.00 | | 3 | 79.1 | 8015.02 | | 1 | 60.6 | 8006.00 | X | 1 | 89.3 |
| 8021.00 | | 1 | 80.9 | 8015.02 | | 2 | 51.5 | 8006.00 | X | 2 | 96.6 |
| 8021.00 | | 4 | 59.5 | 8015.02 | | 4 | 73.0 | 8006.00 | X | 3 | 99.4 |
| 8021.00 | | 6 | 57.2 | 8015.01 | | 3 | 78.2 | 8005.00 | | 1 | 67.5 |
| 8021.00 | | 9 | 69.1 | 8015.01 | | 4 | 60.9 | 8005.00 | | 2 | 62.2 |
| 8020.00 | X | 1 | 87.6 | 8014.02 | | 1 | 59.3 | 8004.00 | | 2 | 62.8 |
| 8020.00 | | 2 | 86.5 | 8014.02 | | 4 | 60.7 | 8004.00 | | 4 | 61.5 |
| 8020.00 | X | 3 | 84.2 | 8014.01 | | 5 | 76.5 | 8004.00 | | 5 | 67.1 |
| 8019.00 | X | 1 | 85.5 | 8014.01 | | 6 | 79.5 | 8004.00 | | 6 | 69.4 |
| 8019.00 | X | 2 | 85.7 | 8013.00 | | 1 | 76.6 | 8003.00 | | 1 | 64.9 |
| 8019.00 | X | 3 | 85.4 | 8013.00 | | 2 | 87.8 | 8003.00 | | 2 | 54.7 |
| 8019.00 | X | 4 | 84.6 | 8013.00 | | 3 | 70.2 | 8002.02 | | 1 | 57.2 |
| 8019.00 | X | 5 | 88.7 | 8013.00 | | 5 | 65.5 | 8002.01 | | 3 | 62.2 |
| 8019.00 | X | 8 | 89.0 | 8012.00 | | 1 | 94.1 | 8002.01 | | 4 | 53.1 |
| 8018.00 | X | 1 | 79.0 | 8012.00 | | 2 | 86.7 | 8002.01 | | 6 | 75.5 |
| 8018.00 | X | 2 | 75.9 | 8012.00 | | 3 | 67.1 | 8001.00 | | 1 | 82.9 |

| | | | | | | | | | | |
|-----------------|---|---|------|---------|---|---|------|---------|---|------|
| 8018.00 | X | 3 | 85.2 | 8011.02 | X | 1 | 64.6 | 8001.00 | 2 | 60.5 |
| 8018.00 | X | 5 | 78.6 | 8011.02 | X | 2 | 87.1 | 8001.00 | 4 | 76.2 |
| 8018.00 | X | 6 | 91.0 | 8011.01 | | X | 88.0 | 8001.00 | 5 | 76.2 |
| Source: HUD CPD | | | | | | | | 8001.00 | 8 | 70.9 |

City of Springfield

CDBG Eligible Areas



Assessment of Five Year and Annual Goals and Objectives

The five-year Consolidated Plan for the entitlement programs covers the period July 1, 2005-June 30, 2010. The City has completed the fourth year covered by the FY06-10 Consolidated Plan. The analysis contained within this CAPER demonstrates that within most program areas the City had already met the goals quantified in the one year FY06-07 Action Plan. Within each priority area, a brief synopsis of objectives outcomes of the activities in the Consolidated Plan and a report on the accomplishments achieved as of the end of FY06-10 is provided. Further detail about each activity is provided in the Integrated Disbursement and Information System (IDIS) Reports included as appendices to this report. Ahead of HUD's schedule, the City incorporated HUD's new performance measurement system into its Consolidated Planning Process as detailed below.

A. Background Information: HUD's New Performance Measurement System

In order to better quantify the impacts that HUD-funded programs and projects are having on communities, HUD has developed and is in the process of implementing nationwide a performance measurement system to help determine how well programs and activities are meeting established needs and goals. Performance measurement is now a requirement for all federal programs, and performance is a key consideration in program funding decisions.

HUD's new Outcome Performance Measurement System contains three main components: Objectives, Outcomes and Indicators. This system tracks the City's progress meeting three objectives. Descriptions of these objectives are excerpted from the CPD Manual and Guidebook below:

1. **Providing Decent Housing.** This objective "covers the wide range of housing activities that are generally undertaken with HOME, CDBG or HOPWA funds. This objective focuses on housing activities whose purpose is to meet individual family or community housing needs. It does not include programs where housing is an element of a larger effort to make community-wide improvements, since such programs would be more appropriately reported under Suitable Living Environments."
2. **Creating Suitable Living Environments.** This second objective is "related to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment. This objective related to activities that are intended to address a wide range of issues faced by low- and moderate-income persons, from physical problems with their environment, such as poor quality infrastructure, to social issues such as crime prevention, literacy or elderly health services."
3. **Creating Economic Opportunities.** This third and final objective "applies to activities related to economic development, commercial revitalization, or job creation."

The system also establishes the following three **outcomes** to show the anticipated result of the activity:

1. **Availability/Accessibility.** This first outcome “applies to activities that make services, infrastructure, public services, public facilities, housing or shelter available or accessible to low and moderate-income people, including persons with disabilities. In this category, accessibility does not refer only to physical barriers, but also to making the basics of daily living available and accessible to low- and moderate-income people where they live.”
2. **Affordability.** This outcome “applies to activities that provide affordability in a variety of ways to low- and moderate-income people. It can include the creation or maintenance of affordable housing, basic infrastructure hook-ups, or services such as transportation or day care. Affordability is an appropriate objective whenever an activity is lowering the cost, improving the quality, or increasing the affordability of a product or service to benefit a low-income household.”
3. **Sustainability.** This third and final outcome “applies to activities that are aimed at improving communities or neighborhoods, helping to make them livable or viable by providing benefit to persons of low- and moderate-income or by removing or eliminating slums or blighted areas, through multiple activities or services that sustain communities or neighborhoods.”

The following table overviews the link between objectives and outcomes.

| | Availability/ Accessibility (1) | Affordability (2) | Sustainability (3) |
|---|--|------------------------------|-------------------------------|
| Decent Housing (DH) | DH-1 | DH-2 | DH-3 |
| Suitable Living Environment (SL) | SL-1 | SL-2 | SL-3 |
| Economic Opportunity (EO) | EO-1 | EO-2 | EO-3 |

City of Springfield’s Implementation of HUD’s new Performance Measurement System

The City implemented this system early; it was fully implemented into the FY05-06 Action Planning Process. A progress summary is detailed below. HUD mandated that their Performance Measurement system be fully implemented during FY 06-07. Ahead of schedule, the City of Springfield fully incorporated HUD’s new performance measurement system into the FY05-06 CAPER. The FY08-09 CAPER is the fourth caper to include data broken down by HUD’s Performance Measurement categories. Both CAPER’s identifies objectives and outcomes for each activity listed in the Annual Action Plans.

B. Assessment of Annual and Five Year Goals and Objectives

Within the Annual Action Plan, the City proposes to operate a number of programs to further the goals identified in the Consolidated Plan. This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan in Performance Measurement Objective Tables and in a table that overviews Annual Accomplishments as detailed in the FY08-09 Action Plan. Additional detail about each accomplishment is provided in the IDIS Reports included as appendices to this document.

Performance Measurement Objective Tables

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Federal Year | Expected Number | Actual Number | Percent Completed |
|--|--|---|-------------------------------|---------------------|------------------------|----------------------|--------------------------|
| DH-1 Availability/Accessibility of Decent Housing | | | | | | | |
| DH-1.1 | Produce affordable rental housing units | HOME Other private Other Public | Housing units | 2005 | 30 | 55 | 183.3% |
| | | | | 2006 | 30 | 0 | 0.0% |
| | | | | 2007 | 50 | 53 | 106% |
| | | | | 2008 | 50 | 52 | 104% |
| | | | | 2009 | 90 | | |
| | | MULTI-YEAR GOAL | | | | | 250 |
| DH-1.2 | Provide rehabilitation financing to existing homeowners | HOME CDBG Other Private | Housing units | 2005 | 15 | 16 | 106.7% |
| | | | | 2006 | 15 | 27 | 100% |
| | | | | 2007 | 15 | 16 | 106% |
| | | | | 2008 | 25 | 12 | 48% |
| | | | | 2009 | 10 | | |
| | | MULTI-YEAR GOAL | | | | | 75 |
| DH-1.3 | Increase energy efficiency for existing homeowners | CDBG Other Public | Housing units | 2005 | 200 | 749 | 374.5% |
| | | | | 2006 | 200 | 1070 | 535.0% |
| | | | | 2007 | 300 | 1117 | 372% |
| | | | | 2008 | 300 | 1334 | 444.6% |
| | | | | 2009 | 200 | | |
| | | MULTI-YEAR GOAL | | | | | 1,000 |
| DH-1.4 | Evaluate and eliminate lead based paint hazards | CDBG HOME Other Public | Housing units | 2005 | 500 | 570 | 114.0% |
| | | | | 2006 | 500 | 613 | 122.6% |
| | | | | 2007 | 150 | 946 | 631% |
| | | | | 2008 | 500 | 550 | 110% |
| | | | | 2009 | 850 | | |
| | | MULTI-YEAR GOAL | | | | | 2500 |
| DH-1.5 | Targeted Code Enforcement | CDBG Other Public | Housing units | 2005 | 500 | 3,249 | 649.8 |
| | | | | 2006 | 700 | 1,828 | 261.1 |
| | | | | 2007 | 700 | 1,442 | 206% |
| | | | | 2008 | 700 | 750 | 107% |
| | | | | 2009 | 500 | | |
| | | MULTI-YEAR GOAL | | | | | 3,300 |
| DH-1.6 | Redevelop blighted properties into homeownership opportunities | HOME CDBG Other public/private | Housing units | 2005 | 15 | 10 | 66.7% |
| | | | | 2006 | 10 | 6 | 60.0% |
| | | | | 2007 | 10 | 7 | 70% |
| | | | | 2008 | 10 | 10 | 100% |
| | | | | 2009 | 10 | | |
| | | MULTI-YEAR GOAL | | | | | 50 |

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Federal Year | Expected Number | Actual Number | Percent Completed |
|---|--|------------------|------------------------|-----------------|-----------------|---------------|-------------------|
| DH-1 Availability/Accessibility of Decent Housing | | | | | | | |
| DH-1.7 | Acquisition/ Disposition | CDBG | Housing units | 2005 | 56 | 31 | 55.4% |
| | | | | 2006 | 20 | 216 | 1,080% |
| | | Other Public | | 2007 | 30 | 205 | 683% |
| | | | | 2008 | 100 | 131 | 131% |
| | | | | 2009 | 44 | | |
| | | | | MULTI-YEAR GOAL | | 250 | 583 |
| DH-1.8 | Board & Secure: Operation and repair of foreclosed properties | CDBG | Housing units | 2005 | 15 | 43 | 43.0% |
| | | | | 2006 | 100 | 77 | 77.0% |
| | | Other public | | 2007 | 75 | 87 | 116% |
| | | | | 2008 | 75 | 106 | 141% |
| | | | | 2009 | 50 | | |
| | | | | MULTI-YEAR GOAL | | 150 | 313 |
| DH-1.9 | Residential Historic Preservation | | Housing units | 2005 | 2 | 2 | 100.0 |
| | | | | 2006 | 0 | 0 | 100.00 |
| | | | | 2007 | 2 | 22 | 110% |
| | | | | 2008 | 2 | 0 | 0% |
| | | | | 2009 | 2 | | |
| | | | | MULTI-YEAR GOAL | | 10 | 24 |
| DH-1.10 | Develop special needs housing units | HOME | Housing units | 2005 | ----- | ----- | ----- |
| | | | | 2006 | ----- | ----- | ----- |
| | | Other public | | 2007 | 10 | 10 | 100% |
| | | | | 2008 | 10 | 10 | 100% |
| | | | | 2009 | 20 | | |
| | | | | MULTI-YEAR GOAL | | 40 | 20 |

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Federal Year | Expected Number | Actual Number | Percent Completed |
|---|---|---------------------------|------------------------|-----------------|-----------------|---------------|-------------------|
| DH-1 Availability/Accessibility of Decent Housing | | | | | | | |
| DH-1.11 | Ensure sufficient capacity at emergency shelters so individuals can come off the streets and be engaged around housing options <i>* Note that in this category accomplishment data may count individuals more than once versus unique individuals served</i> | ESG Other Public | People served annually | 2005 | 335 | 2,643 | 789.0% |
| | | | | 2006 | 300 | 2,872 | 957.3% |
| | | | | 2007 | 500 | 2,684 | 536.8% |
| | | | | 2008 | 140 | 3677 | 2626.4% |
| | | | | 2009 | 225 | | |
| | | | | MULTI-YEAR GOAL | | 1,500 | 8,199 |
| | | CDBG Other Public | People served annually | 2005 | 1,400 | 1,291 | 86.1% |
| | | | | 2006 | 900 | 696 | 77.3 % |
| | | | | 2007 | 900 | 1327 | 147.4% |
| | | | | 2008 | 900 | 1242 | 138% |
| | | | | 2009 | 900 | | |
| | | | | MULTI-YEAR GOAL | | 7,000 | 3,314 |
| DH-1.12 | Increase range of housing options and related services, including rental assistance, short term subsidies and support services in the tri county area for persons with HIV/AIDS | HOPWA Other Public | Households | 2005 | 270 | 642 | 237.8% |
| | | | | 2006 | 250 | 578 | 231.2% |
| | | | | 2007 | 272 | 214 | 78.6% |
| | | | | 2008 | 272 | 215 | 79.0% |
| | | | | 2009 | 200 | | |
| | | | | MULTI-YEAR GOAL | | 650 | 1,649 |

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Fed. Year | Expected Number | Actual Number | Percent Completed |
|---|---|---------------------------------------|------------------------|-----------------|-----------------|---------------|-------------------|
| DH-1 Availability/Accessibility of Decent Housing | | | | | | | |
| DH-1.13 | Public Facilities: Homeless | CDBG Other Public Other Private | Public Facility | 2005 | ----- | ----- | ----- |
| | | | | 2006 | ----- | ----- | ----- |
| | | | | 2007 | TBD | ----- | ----- |
| | | | | 2008 | TBD | ----- | ----- |
| | | | | 2009 | 1 | | |
| | | | | MULTI-YEAR GOAL | | | |
| DH-1.14 | Create permanent supportive housing opportunities for chronically homeless individuals and other vulnerable populations | HOME Other public | People | 2005 | 25 | 41 | 164.0% |
| | | | | 2006 | 24 | 36 | 150.0% |
| | | | | 2007 | 25 | 31 | 124% |
| | | | | 2008 | 100 | 26 | 26% |
| | | | | 2009 | 25 | | |
| | | | | MULTI-YEAR GOAL | | | |

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Fed. Year | Expected Number | Actual Number | Percent Completed |
|---|---|---------------------------|------------------------|-----------------|-----------------|---------------|-------------------|
| DH-2 Affordability of Decent Housing | | | | | | | |
| DH-2.1 | Direct homebuyer down payment assistance | ADDI HOME | Households | 2005 | 15 | 22 | 146.7% |
| | | | | 2006 | 15 | 34 | 233.3% |
| | | | | 2007 | 15 | 40 | 266.6% |
| | | | | 2008 | 15 | 109 | 726.7% |
| | | | | 2009 | 40 | | |
| | | | | MULTI-YEAR GOAL | | | |
| DH-2.2 | Homebuyer education/counseling | CDBG Other private | Households | 2005 | 50 | 223 | 446.0% |
| | | | | 2006 | 50 | 189 | 378.0% |
| | | | | 2007 | 150 | 129 | 86% |
| | | | | 2008 | 100 | 305 | 305.0% |
| | | | | 2009 | 100 | | |
| | | | | MULTI-YEAR GOAL | | | |

DRAFT

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Fed. Year | Expected Number | Actual Number | Percent Completed | |
|---|---|----------------------------------|---|------------------------|-----------------|---------------|-------------------|--------------|
| SL-1 Availability/Accessibility of Suitable Living Environment | | | | | | | | |
| SL-1.1 | Prevent homelessness | ESG Other public | People served through tenant mediation and legal assistance | 2005 | 130 | 300 | 230.8% | |
| | | | | 2006 | 115 | 121 | 105.2% | |
| | | | | 2007 | 150 | 282 | 188% | |
| | | | | 2008 | 150 | 215 | 143.3% | |
| | | | | 2009 | 150 | | | |
| | | MULTI-YEAR GOAL | | | | 1,500 | 918 | 61.2% |
| | | CDBG Other public/ private | People receiving housing placement assistance | 2005 | 20 | 69 | 345.0% | |
| | | | | 2006 | 20 | 73 | 364.0% | |
| | | | | 2007 | 100 | 1586 | 158.6% | |
| | | | | 2008 | 100 | 372 | 372% | |
| | | | | 2009 | 100 | | | |
| | | MULTI-YEAR GOAL | | | | 100 | 2100 | 210% |
| | | People served through Homesavers | 2005 | ----- | ----- | ----- | | |
| | | | 2006 | ----- | ----- | ----- | | |
| | | | 2007 | 115 | 0 | ----- | | |
| | | | 2008 | 150 | 0 | ----- | | |
| | | | 2009 | 100 | | | | |
| MULTI-YEAR GOAL | | | | 300 | 0 | 0.0% | | |
| SL-1.2 | Provide essential services to assist homeless people to become housed | ESG Other public | Households | 2005 | 200 | 476 | 238.0% | |
| | | | | 2006 | 300 | 411 | 137.0% | |
| | | | | 2007 | 200 | 297 | 148.5% | |
| | | | | 2008 | 200 | 278 | 139.0% | |
| | | | | 2009 | 200 | | | |
| | | | | MULTI-YEAR GOAL | | | | 500 |
| SL-1.3 | Employment training | CDBG Other public/ private | People | 2005 | 190 | 442 | 232.6% | |
| | | | | 2006 | 200 | 358 | 179.0% | |
| | | | | 2007 | 141 | 474 | 336.1% | |
| | | | | 2008 | 122 | 586 | 480.3% | |
| | | | | 2009 | 120 | | | |
| | | | | MULTI-YEAR GOAL | | | | 250 |
| SL-1.4 | Health services | CDBG Other public/ private | People | 2005 | 200 | 50 | 25% | |
| | | | | 2006 | ----- | ----- | ----- | |
| | | | | 2007 | ----- | ----- | ----- | |
| | | | | 2008 | 50 | 133 | 26.6% | |
| | | | | 2009 | 100 | | | |
| | | | | MULTI-YEAR GOAL | | | | 1,000 |

| Specific Obj. # | Outcome/Objective | | Sources of Funds | Performanc e Indicators | Fed. Year | Expected Number | Actual Number | Percent Completed |
|--|----------------------------------|---|---------------------|----------------------------|--------------|--------------------|------------------|----------------------|
| | Specific Annual Objectives | | | | | | | |
| SL-1 Availability/Accessibility of Suitable Living Environment | | | | | | | | |
| SL-1.5 | Senior services | CDBG Other public/ private | People | 2005 | 575 | 1,463 | 254.4% | |
| | | | | 2006 | 800 | 1,074 | 134.3% | |
| | | | | 2007 | 250 | 1,096 | 438.4% | |
| | | | | 2008 | 250 | 249 | 99.6% | |
| | | | | 2009 | 300 | | | |
| | | | | MULTI-YEAR GOAL | | | | |
| SL-1.6 | Childcare Services | CDBG Other public/ private | People | 2005 | 3 | 2 | 66.7% | |
| | | | | 2006 | 5 | 3 | 60.0% | |
| | | | | 2007 | 3 | 2 | 66% | |
| | | | | 2008 | 3 | 2 | 66% | |
| | | | | 2009 | 3 | | | |
| | | | | MULTI-YEAR GOAL | | | | |
| SL-1.7 | Services for disabled persons | CDBG Other public/ private | People | 2005 | 145 | 195 | 382.9% | |
| | | | | 2006 | 220 | 305 | 138.6% | |
| | | | | 2007 | 330 | 300 | 90.9% | |
| | | | | 2008 | 260 | 207 | 79.6% | |
| | | | | 2009 | 210 | | | |
| | | | | MULTI-YEAR GOAL | | | | |
| SL-1.8 | Fair Housing | CDBG Other public/ private | People | 2005 | 140 | 536 | 382.9% | |
| | | | | 2006 | 200 | 204 | 102.0% | |
| | | | | 2007 | 200 | 295 | 147.5% | |
| | | | | 2008 | 200 | 247 | 123.5% | |
| | | | | 2009 | 180 | | | |
| | | | | MULTI-YEAR GOAL | | | | |
| SL-1.9 | Youth Services | CDBG Other public/ private | People | 2005 | 635 | 1,739 | 273.9% | |
| | | | | 2006 | 1,275 | 1,524 | 119.5% | |
| | | | | 2007 | 1,320 | 3,006 | 227.7% | |
| | | | | 2008 | 2,140 | 3,909 | 182.7% | |
| | | | | 2009 | 2,121 | | | |
| | | | | MULTI-YEAR GOAL | | | | |
| SL-1.10 | Battered & abused spouses | CDBG/ ESG public private | People | 2005 | 100 | ----- | ----- | |
| | | | | 2006 | 100 | ----- | ----- | |
| | | | | 2007 | 100 | 162 | 162% | |
| | | | | 2008 | 100 | 113 | 113% | |
| | | | | 2009 | 100 | | | |
| | | | | MULTI-YEAR GOAL | | | | |

| Specific Obj. # | Outcome/Objective | | Sources of Funds | Performanc e Indicators | Year | Expected Number | Actual Number | Percent Completed |
|--|--|---|---------------------|----------------------------|-------|--------------------|------------------|----------------------|
| | Specific Annual Objectives | | | | | | | |
| SL-1 Availability/Accessibility of Suitable Living Environment | | | | | | | | |
| SL-1.11 | Public service general | CDBG Other public/ private | People | 2005 | 5,050 | 15,764 | 311.8% | |
| | | | | 2006 | 1,000 | 3,316 | 331.6% | |
| | | | | 2007 | 2,325 | 1,830 | 78.7% | |
| | | | | 2008 | 925 | 2033 | 219.8% | |
| | | | | 2009 | 2,610 | | | |
| | | | | MULTI-YEAR GOAL | | | | |
| SL-1.12 | Mental Health Services | CDBG Other public/ private | People | 2005 | 40 | ----- | ----- | |
| | | | | 2006 | 40 | ----- | ----- | |
| | | | | 2007 | 40 | 417 | 1042.5 | |
| | | | | 2008 | 40 | ----- | ----- | |
| | | | | 2009 | 40 | ----- | ----- | |
| | | | | MULTI-YEAR GOAL | | | | |
| SL-1.13 | Substance Abuse Services | CDBG Other public/ private | People | 2005 | 200 | ----- | ----- | |
| | | | | 2006 | 200 | ----- | ----- | |
| | | | | 2007 | 200 | 545 | 272.5% | |
| | | | | 2008 | 200 | ----- | ----- | |
| | | | | 2009 | 200 | | | |
| | | | | MULTI-YEAR GOAL | | | | |
| SL-1.14 | CDBG Non-profit Organization Capacity Building | CDBG | Organization | 2005 | 9 | 9 | 100% | |
| | | | | 2006 | 9 | 9 | 100% | |
| | | | | 2007 | 9 | 9 | 100% | |
| | | | | 2008 | 9 | 9 | 100% | |
| | | | | 2009 | 9 | | | |
| | | | | MULTI-YEAR GOAL | | | | |

| Specific Obj. # | Outcome/ Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Fed. Year | Expected Number | Actual Number | Percent Completed |
|---|--|------------------|------------------------|-----------|-----------------|---------------|-------------------|
| SL-3 Sustainability of Suitable Living Environment | | | | | | | |
| SL-3.1 | Parks, Recreational Facilities | CDBG | Public Facilities | 2005 | 3 | 7 | 233.3% |
| | | | | 2006 | 2 | 2 | 100% |
| | | | | 2007 | 3 | 2 | 66.6% |
| | | | | 2008 | 6 | 3 | 50.0% |
| | | | | 2009 | 6 | | |
| | | MULTI-YEAR GOAL | | 8 | 14 | 175% | |
| SL-3.2 | Street Improvements | | People | 2005 | ---- | ---- | ---- |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | 5,000 | 6,038 | 120% |
| | | | | 2008 | 10,000 | 5,850 | 58.5% |
| | | | | 2009 | 10,000 | | |
| | | | MULTI-YEAR GOAL | | 25,000 | 11,888 | 47.5% |
| SL-3.3 | Sidewalks | | People | 2005 | ---- | ---- | ---- |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | ---- | 6,038 | ---- |
| | | | | 2008 | 1,000 | 0 | 0% |
| | | | | 2009 | 1,000 | | |
| | | | MULTI-YEAR GOAL | | 1,000 | 6,038 | 603.8% |
| SL-3.4 | Urban Reforestation | | Units | 2005 | 25 | 316 | 1264.0% |
| | | | | 2006 | 200 | --- | --- |
| | | | | 2007 | 200 | 709 | 355% |
| | | | | 2008 | 0 | 0 | 0% |
| | | | | 2009 | 0 | | |
| | | | MULTI-YEAR GOAL | | 50 | 1016 | 2032% |
| SL-3.5 | Clearance and Demolition | CDBG | Housing Units | 2005 | 20 | 18 | 90% |
| | | | | 2006 | 15 | 30 | 200% |
| | | | | 2007 | 15 | 29 | 519% |
| | | | | 2008 | 15 | 14 | 93% |
| | | | | 2009 | 35 | | |
| | | MULTI-YEAR GOAL | | 100 | 91 | 91.0% | |

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Fed. Year | Expected Number | Actual Number | Percent Completed |
|--|---|------------------|------------------------|-----------------|-----------------|---------------|-------------------|
| SL-3 Availability/Accessibility of Suitable Living Environment | | | | | | | |
| SL-3.6 | Graffiti | CDBG | Businesses | 2005 | 50 | 50 | 100% |
| | | | | 2006 | 50 | 70 | 114.0% |
| | | | | 2007 | 50 | 169 | 338% |
| | | | | 2008 | 50 | 137 | 274% |
| | | | | 2009 | 50 | | |
| | | | | MULTI-YEAR GOAL | 250 | 426 | 170% |
| | | Other public | People | 2005 | ----- | ----- | ----- |
| | | | | 2006 | ----- | ----- | ----- |
| | | | | 2007 | 7 | 0 | 0% |
| | | | | 2008 | 3 | 3 | 100% |
| | | | | 2009 | 3 | | |
| | | | | MULTI-YEAR GOAL | 10 | 3 | 30% |
| | | SL-3.7 | Vacant Lot Cleanup | CDBG | Units | 2005 | 50 |
| 2006 | 100 | | | | | 286 | 286.0% |
| 2007 | 100 | | | | | 347 | 347% |
| 2008 | 100 | | | | | 416 | 416% |
| 2009 | 100 | | | | | | |
| MULTI-YEAR GOAL | 250 | | | | | 1283 | 513% |
| | | Other public | | 2005 | | | |
| | | | | 2006 | | | |
| | | | | 2007 | | | |
| | | | | 2008 | | | |
| | | | | 2009 | | | |
| | | | | MULTI-YEAR GOAL | | | |

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Fed. Year | Expected Number | Actual Number | Percent Completed |
|--|---|------------------|--------------------------|-----------------|-----------------|---------------|-------------------|
| EO-1 Availability/Accessibility of Economic Opportunity | | | | | | | |
| EO-1.1 | Cleanup of Contaminated Sites | | Jobs | 2005 | 3 | 0 | 0% |
| | | | | 2006 | 0 | 0 | 0% |
| | | | | 2007 | 3 | 3 | 100% |
| | | | | 2008 | 20 | 60 | 300% |
| | | | | 2009 | 26 | | |
| | | | | MULTI-YEAR GOAL | | 40 | 63 |
| EO-1.2 | Relocation | | Businesses | 2005 | ---- | ---- | ---- |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | 1 | 2 | 200% |
| | | | | 2008 | 1 | 1 | 100% |
| | | | | 2009 | 0 | | |
| | | | | MULTI-YEAR GOAL | | 2 | 3 |
| EO-1.3 | CI Land Acquisition | | Jobs | 2005 | ---- | ---- | ---- |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | TBD | ---- | ---- |
| | | | | 2008 | 100 | 100 | 100% |
| | | | | 2009 | 100 | | |
| | | | | MULTI-YEAR GOAL | | 200 | 100 |
| EO-1.4 | CI Infrastructure Development | | Feet of Public Utilities | 2005 | ---- | ---- | ---- |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | 2200 | 2,600 | 118% |
| | | | | 2008 | 0 | 0 | 0% |
| | | | | 2009 | 0 | | |
| | | | | MULTI-YEAR GOAL | | 2,200 | 2,600 |
| EO-1.5 | CI Building Acquisition, Construction, Rehabilitation | | Jobs | 2005 | ---- | ---- | ---- |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | TBD | 34 | ---- |
| | | | | 2008 | 250 | 250 | 100% |
| | | | | 2009 | 0 | | |
| | | | | MULTI-YEAR GOAL | | 250 | 284 |
| EO-1.6 | Direct Financial Assistance to For Profits | | Businesses | 2005 | ---- | ---- | ---- |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | TBD | 0 | ---- |
| | | | | 2008 | TBD | 0 | ---- |
| | | | | 2009 | 5 | | |
| | | | | MULTI-YEAR GOAL | | 5 | 0 |

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Fed. Year | Expected Number | Actual Number | Percent Completed |
|--|---|------------------|------------------------|-----------|-----------------|---------------|-------------------|
| EO-1 Availability/Accessibility of Economic Opportunity | | | | | | | |
| EO-1.7 | ED Technical Assistance | | Businesses | 2005 | 0 | 7 | ---- |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | 15 | 12 | 80% |
| | | | | 2008 | 30 | 30 | 100% |
| | | | | 2009 | 5 | | |
| | | | MULTI-YEAR GOAL | | 50 | 49 | 98% |
| | | | Jobs | 2005 | 24 | 0 | 0% |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | TBD | ---- | ---- |
| | | | | 2008 | 50 | 250 | 500% |
| | | | | 2009 | 25 | | |
| MULTI-YEAR GOAL | | 75 | 250 | 333% | | | |
| EO-1.8 | Micro-Enterprise Assistance | | Jobs | 2005 | ---- | ---- | ---- |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | TBD | 0 | ---- |
| | | | | 2008 | 10 | 0 | ---- |
| | | | | 2009 | 15 | | |
| | | | MULTI-YEAR GOAL | | 25 | 0 | 0% |
| | | | Businesses | 2005 | ---- | ---- | ---- |
| | | | | 2006 | ---- | ---- | ---- |
| | | | | 2007 | TBD | 3 | ---- |
| | | | | 2008 | 20 | 0 | ---- |
| | | | | 2009 | 80 | | |
| MULTI-YEAR GOAL | | 100 | 3 | 3% | | | |
| EO-1.9 | Clearance and Demolition | | Businesses | 2005 | 1 | 1 | 100.0% |
| | | | | 2006 | 1 | 0 | 0% |
| | | | | 2007 | TBD | 0 | 0% |
| | | | | 2008 | 4 | 14 | 350% |
| | | | | 2009 | 1 | | |
| | | | MULTI-YEAR GOAL | | 5 | 15 | 300% |

Assessment of Annual Goals and Objectives

Within the Annual Action Plan, the City proposes to operate a number of programs to further the goals identified in the Consolidated Plan. This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan. Additional detail about each accomplishment is provided in the IDIS Reports included as appendices to this document.

| Project # | Obj. # | Project Name | Accomplishment | |
|-----------|--------|--|-------------------------|-------------------|
| | | | Proposed in Action Plan | Actual |
| 1 | DH-3 | Targeted Code Enforcement | 700 Housing Units | 750 Housing Units |
| 2 | EO-1 | State Street Corridor Redevelopment Program | 3 Jobs | underway |
| 3 | SL-3 | South End Revitalization Program | underway | underway |
| 4 | SL-1 | Human Capital-Public Service | 4580 People | 8771 People |
| ----- | SL-1 | 5A | 300 people | 240 People |
| ----- | SL-1 | W.E.B. Dubois Academy-Black Men of Greater Springfield | 40 People | 51 People |
| ----- | SL-1 | Bilingual Community Liaison-Boat People SOS | 30 People | 133 People |
| ----- | SL-1 | Worthington Street Shelter Program-Friends of the Homeless | 900 People | 1242 People |
| ----- | SL-1 | Community Education Support Program-The Gray House | 60 People | 100 People |
| ----- | SL-1 | Fuel Assistance Program-Council of Churches of Greater Springfield | 125 People | 70 People |
| ----- | SL-1 | Fair Housing Project-Mass Fair Housing Center | 200 People | 247 People |
| ----- | SL-1 | Springfield Foreclosure Relief Program-Mass Fair Housing Center | 250 People | 600 People |
| ----- | SL-1 | Youth Development Program-Martin Luther King Community Center | 80 People | 282 People |
| ----- | SL-1 | Meals Program-MCDI | 500 People | 1096 People |
| ----- | SL-1 | Adult Basic Education-MCDI | 20 People | 20 People |
| ----- | SL-1 | Culinary Arts Training Program-MCDI | 10 People | 8 People |
| ----- | SL-1 | After School & Summer Fun/Gerena-NNCC | 45 People | 170 People |
| ----- | SL-1 | After School Recreation Program-Brightwood | 60 People | 64 People |
| ----- | SL-1 | Loaves & Fishes-Open Pantry | 900 People | 867 People |
| ----- | SL-1 | Camp Star-Parents & Friends | 225 People | 111 People |
| ----- | SL-1 | Recreational Program/Pools-City of Springfield Park & Recreation | 1000 People | 2237 People |
| ----- | SL-1 | Pine Point Senior Services-City of Springfield | 100 People | 85 People |
| ----- | SL-1 | Hungry Hill Senior Services-City of Springfield | 100 People | 164 People |
| ----- | SL-1 | Latino Employment Assistance Program-Puerto Rican Cultural Center | 50 People | 288 People |
| ----- | SL-1 | GED-Puerto Rican Cultural Center | 5 People | 36 People |
| ----- | SL-1 | Latino Youth Program-Puerto Rican Cultural | 10 People | 127 People |
| ----- | SL-1 | ESOL-Puerto Rican Cultural Center | 5 People | 81 People |

| | | | | |
|-------|------|---|--------------------|-----------------------|
| ----- | SL-1 | Bridging the Gap-Salvation Army | 125 People | 151 People |
| ----- | SL-1 | Teens for Aids-Solid Rock Church of God in Christ | 10 People | 12 People |
| ----- | SL-1 | Summer Activities Camp-South End Community Center | 25 People | 47 People |
| ----- | SL-1 | Indian Orchard Unit-Springfield Boys & Girls Club | 180 People | 162 People |
| ----- | SL-1 | Summer Youth Development-Springfield Boys & Girls Club | 100 People | 107 People |
| ----- | SL-1 | Visually Impaired Elders Program-Mass Association for the Blind (MAB) | 80 People | 96 People |
| ----- | SL-1 | Children of Incarcerated Parents-Square One | 3 People | 2 People |
| ----- | SL-1 | Urban Achievement- Urban League of Springfield | 40 People | 32 People |
| ----- | SL-1 | Vietnamese Community Access Program-Vietnamese American Civic Association | 250 People | 163 People |
| ----- | SL-1 | Family Empowerment Program-Vietnamese American Civic Association | 105 People | 170 People |
| ----- | SL-1 | Safe Summer Streets-YMCA | 40 People | 71 People |
| ----- | SL-1 | YouthBuild | 32 People | 37 People |
| 5 | SL-1 | Capacity Building-Neighborhood Revitalization | 1 Organization | underway |
| 6 | SL-3 | Neighborhood Targeted Improvement Program | 11 Units | underway |
| 7 | SL-3 | Neighborhood Program Delivery-Public Facility & Improvements | Linked to Activity | Linked to Activity |
| 8 | SL-3 | Graffiti Removal | People/Businesses | 137 people |
| 9 | SL-3 | Neighborhood Enhancement-Old Hill | 2 Units | underway |
| 10 | SL-1 | Neighborhood Centers | 8 Units | underway |
| 11 | SL-1 | Neighborhood Capacity Building | 9 Units | 9 units |
| 12 | SL-3 | Leonardo daVinci Park | 2706 People | 2706 People |
| 13 | SL-3 | Keep Springfield Beautiful | 2000 People | 2500 people |
| 14 | SL-3 | Jamie Ulloa Park | 4485 People | 4485 People |
| 15 | SL-3 | Indian Orchard Riverfront Park | 9065 People | 9065 People-Completed |
| 16 | DH-1 | Housing Placement Program Delivery | Linked to Activity | Linked to Activity |
| 17 | DH-2 | Housing Placement Assistance | 75 Units | 136 Units |
| 18 | DH-1 | Housing Program Delivery-Rehabilitation | Linked to Activity | Linked to Activity |
| 19 | DH-1 | Housing Program Delivery-Housing First Services | Linked to Activity | Linked to Activity |
| 20 | SL-1 | Housing Restoration-Rehab Blight Reduction | 2 Units | underway |
| 21 | DH-1 | HEARTWAP Program | 300 Housing Units | 1334 Housing Units |
| 22 | SL-3 | Code Enforcement-Flex Squad | 150 Units | 200 Units |
| 23 | SL-3 | NRSA Code Enforcement-Flex Squad | 150 People | 550 People |
| 24 | DH-1 | Family Residence for At-Risk Youth | 1 Unit | underway |

| | | | | |
|----|------|---|-------------------|------------------------------|
| 25 | DH-2 | HOME Rehab-NRSA | 6 Units | underway |
| 26 | DH-2 | Existing Homeowner Rehabilitation | 4 Housing Units | underway |
| 27 | EO-1 | Economic Development Program Delivery | TBD | cancelled |
| 28 | EO-1 | Business Loan Pool-NDC | 9 jobs | underway |
| 29 | SL-1 | Downtown Physical Improvements | TBD | underway |
| 30 | EO-3 | Economic Development Workshops | 2 Jobs | pending |
| 31 | SL-1 | DeBerry School Outdoor Classroom | 1 Public Facility | Project Underway |
| 32 | SL-3 | Clearance & Demolition | 10 Units | 14 Units |
| 33 | N/A | CDBG Planning & Administration | N/A | N/A |
| 34 | SL-3 | Brighter Greener Springfield | 100 Units | underway |
| 35 | SL-3 | Bond Payment | 1 Public Facility | 1 Public Facility |
| 36 | SL-3 | Blunt Park Improvements | 6153 People | 6153-Project Complete |
| 37 | SL-3 | Armory Commons Park Reconstruction | 1536 People | 1536 People-Project Complete |
| 38 | DH-1 | Acquisition/ Disposition | 30 Units | 131 Units |
| 39 | EO-1 | Workforce Development | 3 Jobs | Underway |
| 40 | DH-1 | Project Based Homeownership | 7 Units | 11 Units |
| 41 | DH-1 | Rental Production | 50 Housing Units | 52 Housing Units |
| 42 | DH-1 | Homeowner Rehab | 15 Units | 12 Units |
| 43 | N/A | HOME Administration | N/A | N/A |
| 44 | DH-2 | First Time Homebuyer Financial Assistance | 15 Households | 109 Households |
| 45 | DH-1 | Tenant Based Rental Assistance (TBRA) | 200 Households | 326 Households |
| 46 | SL-1 | ESG Homeless Prevention | 150 Households | 215 Households |
| 47 | SL-1 | ESG Homeless Essential Services | 200 People | 278 Households |
| 48 | N/A | ESG Administration | N/A | N/A |
| 49 | DH-1 | ESG Homeless Shelter Operations | 1400 People | 3790 People |
| 50 | N/A | HOPWA Planning/Administration | N/A | N/A |
| 51 | DH-1 | HOPWA | 272 Households | 347 Households |
| 52 | N/A | HOPWA Project Sponsor Administration | N/A | N/A |

Multi Year Activities

As part of the City's work to track projects that have been in progress for more than one year, the City has developed the following status of pre-FY07-08 multi year projects that are currently listed as activities in IDIS. Further details about multi year activities funded through CDBG are provided in the IDIS report attached as an appendix to this document.

| IDIS Activity# | Project |
|-------------------|--|
| 823 | Former Cottage Street Landfill. The City continues to work on a reuse strategy with Waste Management, Cottage Street LLC, Massachusetts DEP, and the East Springfield neighborhood to develop a reuse strategy for the landfill. Closure of the landfill continues with monitoring by the State. The feasibility of a recreational facility on the landfill once it is capped is being studied by all parties. |
| 1313 | Former York Street Jail. The former York Street Jail was demolished in early 2008 leaving a 3.5 acre site along the Connecticut River and adjacent to I-91 available for development. The City began seeking developers in the Spring of 2009 to complement the Naismith Memorial Basketball Hall of Fame and the recent successful retail, restaurant, and hotel development existing on the Riverfront. Discussions with potential developers have ensued in the summer of 2009. |
| 1332 | Former Crane Site. Located in the Indian Orchard Neighborhood of Springfield at 225 Goodwin Street, this site was formerly the location of the Crane/Chapman Valve manufacturing facility. The City of Springfield is assembling the Indian Orchard Business Park at the site. The proposed business park consists of fifty-four acres and will be developed as a light industrial use park. The approximately 41 net acres of the 54 acres of the Park will be redeveloped for ultimate disposition to business users either by lease or sale, conservative estimates indicate approximately 500,000 square feet and 500 new jobs will be created. Private investment is expected to be over \$100 million in the business park alone. Phase I of demolition activities have been completed and additional demolitions and environmental work will continue in the early fall of 2009. |

- 1504 **Public Market.** The Springfield Business Development Corporation (SBDC) continues to work toward the development of a Public Market in Springfield. This Public Market could potentially include an open food market, office space, and a restaurant. SBDC will continue to pursue feasibility study of the Market at different locations. SBDC was successful in securing a \$400,000 state earmark for the continued pursuit of this project.
- 1628 **Former Bing Theater.** The X Main Street Corporation received a \$100,000 funding allocation from the State for the rehabilitation and conversion of this former theater into a multi-use arts center. The two store fronts and new marquee have been completed and the next phase of renovations will continue in the upcoming year with the assistance of a CDBG award.
- 671 **Former Technical High School and ancillary historic structure.** Located in the downtown Springfield adjacent to the site of the new Federal Courthouse and the main branch of the Springfield Public Library and the Springfield Museums, this site was selected by the State of Massachusetts as the location for a state data center at this location. Design has started with construction starting in late 2010.

1712

Former Gemini Site. Formerly the site of the Gemini Manufacturing building, this 3-acre site is a prime site for development in the City's South End Neighborhood. It is also one of the City's largest tax-foreclosed brownfield sites. The City of Springfield foreclosed on this property for non-payment of taxes in 1998, and the building burned down in 2003. During the FY '05-'06 fiscal year, Economic Development procured the engineering services of Weston and Sampson who will design the cleanup and prepare bid specs for the City. Weston and Sampson was the engineering firm that completed the environmental assessment of the Gemini Site in 2002. The Springfield Law Department determined that the original contract was written to allow for an amendment for future cleanup engineering services. An amendment was completed and Weston and Sampson began their work assembling engineering data for the bid specs for remediation services. Residual contaminated soil and groundwater exists below the former sub-basement slab and building foundation. The City of Springfield hired a contractor to excavate the current backfill, break the slab and to excavate impacted soil and remove associated impacted groundwater. The project includes the preparation of plans and specifications for Site remediation as well as compliance with the Massachusetts Contingency Plan (MCP) during construction activities. Cleanup of the site is complete, and the city plans to regrade and reseed the site in the fall of 2009 as it moves forward with the larger South End revitalization plan which will include developing a plan with the neighborhood for the future development of the site.

Multi

Redevelopment of the Springfield Riverfront.

Completed and opened in September 2002, the Naismith Memorial Basketball Hall of Fame is the key element in the City of Springfield's Riverfront Development Plan. In March, 2008 the Rivers Landing complex opened in the former Basketball Hall of Fame on the Riverfront, featuring a 60,000 square foot LA Fitness Center and Onyx Restaurant & Fusion Bar. This complex represents over \$15 million worth of private investment with no public subsidies. The City is in the process of relocating the Visitors Information Center from its own stand alone building to being housed within the Basketball Hall of Fame. This move will be completed in September 2009, allowing the 4,100 square foot VIC building to be available for reuse.

Other multi year projects include:

Downtown Revitalization

Federal Building(Main Street)

The city partnered with Mass Development in Mass Development's efforts to purchase the old Federal Building on Main Street for a redevelopment project. The City committed the School Department headquarters to the redevelopment project, as they will lease space in the building as well as Bay State Health and multiple existing federal tenants. The property is expected to close in September with School Department headquarters moving in December, 2009.

Court Square Redevelopment

In June 2008, Connolly & Partners was named out of 7 original proposers as the preferred developers for the Court Square Redevelopment Project, which will redevelop 3-7 Elm Street and 13-31 Elm Street, two historic buildings on Court Square Park. This project will include 42 apartments, 8 artist lofts, 82 units of extended stay hotel, 2629 square feet of office space and a 122 space inner parking facility, however due to economic concerns was shelved in 2009. A reworked plan is currently under consideration with the assistance of the National Development Council.

Union Station Rehabilitation Project

The Union Station rehabilitation project is slated to involve the rehabilitation and conversion of Union Station into an inter-modal transportation facility with ancillary uses that will support the station project. This project is currently under evaluation by FTA and PVRTA. On June 8, 2007, the Lt. Governor announced a \$350,000 planning grant from the Commonwealth's Executive Office of Transportation which will be used to create a new, revised development plan for the Union Station and for a market analysis aimed at making the project feasible and achievable. Through a partnership with PVRTA, the City, and Springfield Redevelopment Authority the plan is moving towards implementation stages.

South End Project

Noted by ULI as the top priority neighborhood in the City, redevelopment efforts are focused on infrastructure improvements on the Hollywood district, Main Street, and Gemini site. The expected \$10 million project was recently funded through the city bond of \$6.6 million, a \$1.1 million CDBG commitment, and \$3.0 million of grant applications. The funding will be used to construct new streets and sidewalks, and create new open space connections and support the proposed rehabilitation of historic apartment buildings, while connecting the neighborhood more effectively to Main Street.

Construction of South End Main Street begins in September, 2009. Other private developers including an office development nearing completion on Arlington Court, and a potential Hampton Inn on East Columbus Ave are also

slated.

Liberty Mutual

Liberty Mutual has secured a new Customer Response Center at the Springfield Technical Community College Technology Park on State Street. Liberty Mutual plans to hire up to 300 new employees with the office opening in July, 2008. Total investment is expected to be over \$3.5 million with potential for further expansion.

Friends of the Homeless: Worthington Street Homeless Resource Center

Friends of the Homeless Inc. is rehabilitating its existing facility and developing a new public facility, the Homeless Resource Center. In FY05-06, the City provided \$60,000 for FOH to determine the rehabilitation needs of a homeless shelter at 769 Worthington Street and to assess alternative site(s) for expanded emergency shelter. In FY06-07, the City committed an additional \$200,000 of CDBG funds for architectural and engineering plans, environmental testing and remediation, and development consultant, application and lending fees. These amounts have been fully expended. In FY08-09, the City committed HOME funds in the amount of \$400,000 to this project.

The planned Homeless Resource Center is being developed in conjunction with 32 new supportive housing units, in a campus setting. The entire project was granted approval for state funding and for a tax credit allocation in 2008. The local business community has undertaken a capital campaign to raise \$1 million for the project, and has obtained commitments for this amount. The planned new housing units are also being funded with McKinney grant funds, and with a grant from the Federal Home Loan Bank Board.

In August 2009, the project was awarded Tax Credit Assistance Project funds, and construction is scheduled to begin October 2009.

Narrative and Financial Summary by Program

The City has completed the second year covered by the current Consolidated Plan.

The analysis contained within this CAPER demonstrates that within most program areas the City has already met the goals described. Where results have not been achieved, the analysis provides guidance for the City in the remaining year.

A. CDBG Narratives

In order to comply with the reporting requirements laid out in the CDBG regulations, the City utilizes this CDBG Narrative section to incorporate CDBG-specific information into the CAPER that is not easily included in the Five Year and Annual Report sections included above. This section is broken into the following four components:

- A. FY08-09 CDBG Expenditures Breakdown by Category (commences on page 32);**
- B. Required narratives pertaining to the administration of the CDBG program (pg. 32);**
- C. Status Report on Neighborhood Revitalization Strategy Area (NRSA) (pg. 36).**

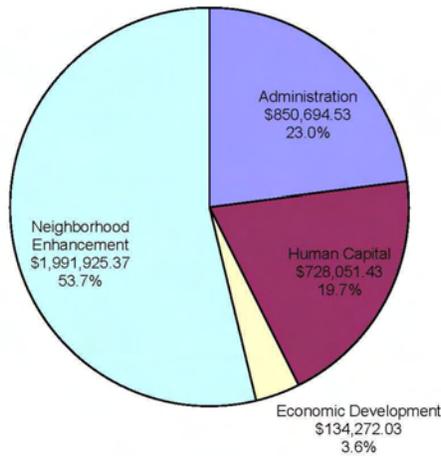
A. FY08-09 CDBG Expenditures Breakdown by Category

In FY 08-09, the City's CDBG allocation was \$4,095,456. During this fiscal year the City expended \$ 3,704,943.36 of CDBG entitlement funding.

The following pie chart codifies these expenditures into three major categories, including Human Capital, Neighborhood Enhancement and Economic Development plus Administration. These categories line up with the priorities, needs, goals, and specific objectives identified in the Consolidated Plan and the FY08-09 Action Plan.

FY08-09 CDBG Expenditures by Category
Total Expended: \$ 3,704,943.36

Priority Categories



B. Required narratives pertaining to the administration of the CDBG program.

Nature and Reason for any Changes in Program Objectives

The City amended the FY08-09 Action Plan three times to reflect additional funding from HUD. Amendment one was for the Neighborhood Stabilization Program (NSP) for \$2,566,272 with the State awarding an additional \$1,000,000 for the program. The second amendment increased the Action Plan by \$1,700,802 for the Homeless Prevention and Rapid Re-housing Program (HPRP). The third amendment was for Community Development Block Grant Recovery Funds (CDBG-R) for \$1,111,756. All three amendments were submitted and approved by HUD. Accomplishments will be detailed in the 2009-2010 CAPER.

Certification Narrative/Plan Implementation

The City strongly supports the receipt of assistance from various organizations regarding the furtherance of the Consolidated Plan goals. The City views these organizations as our partners. During the course of the year, the City provided certification for numerous programs including those for funding applications for the Springfield Housing Authority and the New North Citizens

Council. The City of Springfield did not hinder the implementation of the Action Plan by action or willful inaction. The City of Springfield pursued all resources it indicated it would. A summary of leveraged resources is located in the table starting on page 101.

Compliance with National Objective

During FY08-09 the City used its CDBG funds exclusively for the benefit of low and/or moderate income persons or to eliminate or prevent slum and blight. Of funding expended, 84.69 percent was directed toward low and/or moderate income persons.

During the FY 08-09 program year, extremely low income, low income and moderate income persons were served by CDBG-funded activities. A summary of accomplishment for activities that require a determination of income by family to determine the eligibility of the activity is provided on the following table. These accomplishments are for Program Year 2008 (FY 08-09) Summary of Accomplishments based on the PR23 report in the HUD database system.

NON-HOUSING: CDBG BENEFICIARIES BY RACIAL/ETHNIC CATEGORY

| | Persons | | Households | |
|--|---------------|--------------|------------|------------|
| | Total # | # Hispanic | Total # | # Hispanic |
| White | 4,143 | 101 | 14 | 0 |
| Black/African American | 5,894 | 0 | 0 | 0 |
| Asian | 200 | 0 | 0 | 0 |
| American Indian/Alaskan Native | 29 | 0 | 0 | 0 |
| Native Hawaiian/Other Pacific Islander | 27 | 0 | 0 | 0 |
| American Indian/Alaskan Native & White | 10 | 0 | 0 | 0 |
| Asian & White | 48 | 0 | 0 | 0 |
| Black/African American & White | 469 | 0 | 0 | 0 |
| American Indian/Alaskan Native & Black/African | 48 | 0 | 0 | 0 |
| Other Multi Racial | 7,704 | 2,464 | 13 | 13 |
| Total | 18,572 | 2,565 | 27 | 13 |

CDBG BENEFICIARIES BY INCOME CATEGORY

| CATEGORY | Type | Extremely Low Income | Low Income | Moderate Income | TOTAL LMI | TOTAL |
|---------------------------|------------|----------------------|------------|-----------------|-----------|--------|
| Housing - Owner Occupied | Households | 620 | 440 | 58 | 1,118 | 1,123 |
| Housing - Rental Occupied | Households | 9 | 6 | 0 | 15 | 15 |
| Housing Total | Households | 633 | 449 | 58 | 1,140 | 1,145 |
| Non-Housing | Persons | 14,034 | 2,321 | 1,186 | 17,541 | 18,593 |
| | Households | 27 | 0 | 0 | 27 | 27 |
| Total | Persons | 14,034 | 2,321 | 1,186 | 17,541 | 18,593 |
| | Households | 660 | 449 | 58 | 1,167 | 1,172 |

Relocation Narrative

The City of Springfield ensures proper relocation for all revitalization projects. For economic development projects resulting from implementation of urban renewal plans, the City through its redevelopment authority uses a private qualified consultant. For housing projects, the City's Office of Housing oversees and monitors project developer compliance with the Uniform Relocation Act. No housing initiatives resulted in permanent displacement.

Limited Clientele Narrative

Programs funded by CDBG are required to demonstrate that their activities are serving low to moderate income persons/households. The City does permit presumed benefit from some public service programs that serve hard-to-reach sub-populations and/or are located in qualified census tracts. In those special circumstances, the City, prior to funding, considers who the targeted beneficiaries are intended to be, the nature of the program, and its location. This evaluation must result in the determination that at least 51% of the intended beneficiaries will be low and moderate income persons.

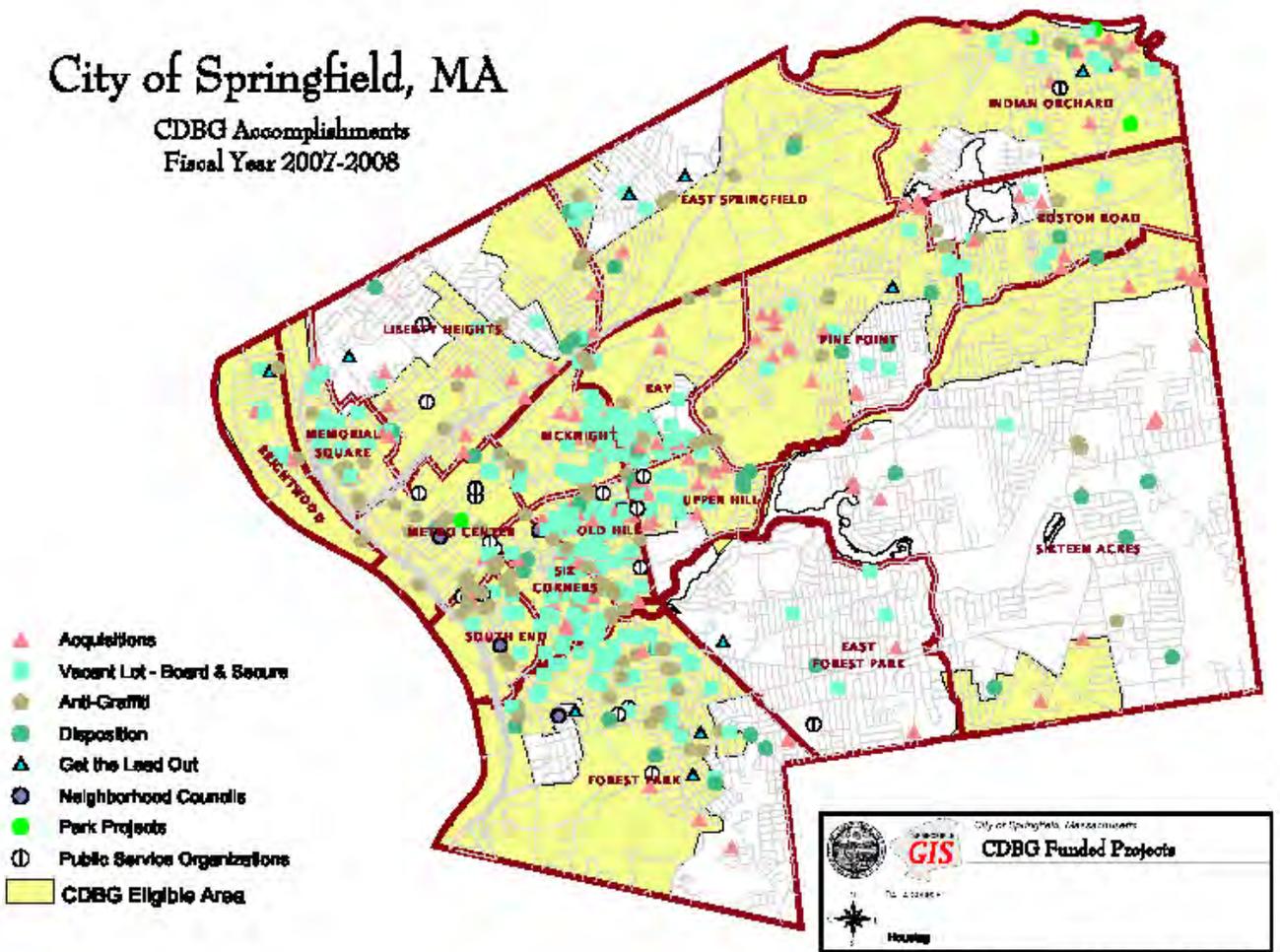
Program Income Narrative

During the course of the year, the City realized \$205,137.12 in CDBG program income and \$45,260.27 in HOME program income. Program Income funds are utilized to operate programs identified in the Action Plan. A summary of realized program income and its utilization is contained within the Financial Summary on page 117 for CDBG and 104 for HOME.



City of Springfield, MA

CDBG Accomplishments
Fiscal Year 2007-2008



**Springfield, MA Neighborhood Revitalization Strategy Area
July 1, 2006 through June 30, 2010
Year 4 Accomplishment Narrative**

The following accomplishments were achieved during the third year of the City of Springfield's 2006-2010 Neighborhood Revitalization Strategy Area (NRSA). A map that indicates the locations of the activities described below immediately follows this narrative.

NRSA Need I: Investing in residents through community-based organizations

NRSA residents are lagging behind the balance of residents of the city and region on a number of key socio-economic and health indicators. Poverty, unemployment, teen births, single parent households are all prevalent within the NRSA. Given the complexity of the issues that face the NRSA, a coordinated, concentrated effort utilizing result oriented models carried out by capable community-based organizations is needed to effect positive change.

Year 4 Results:

During the fourth program year, the City worked on a number of activities to increase the organizational capacity of organizations in the NRSA, including the following:

- Members of four NRSA organizations, the Old Hill Neighborhood Council and Maple High Six Corners Neighborhood Council, South End Neighborhood Council and New North Citizens Council participated in capacity building trainings regarding project management and project assessments. All these organizations were involved in the implementation of a citywide project to clean and revitalize their neighborhoods.
- The City worked to meet its goal to enroll 200 NRSA households in home buying counseling, small business development and other programs to expand opportunities for financial stability. Accomplishments during this program year included:
 - Homebuyer education classes provided by the New North Citizens Council were attended by 106 NRSA residents,
 - Homebuyer education classes in Spanish were provided by the New North Citizens Council and 49 NRSA residents participated,
 - Credit/home buying counseling was provided to 106 NRSA residents,
 - Financial assistance for homeownership was provided to 65 NRSA residents, and
 - Technical assistance was provided to 25 businesses located in NRSA
- Two comprehensive commercial district road and sidewalk redevelopment initiatives continued on State Street and Walnut Streets in the NRSA during this period as well. These

projects are considered to be a major component of the City's work to improve the physical environment and bolster the City's focus on economic stability in the Six Corners and Old Hill NRSA neighborhoods.

- 59 trees are scheduled to be planted in South End, Memorial Square, and Brightwood Sections of the City.

NRSA Need II: Neighborhood infrastructure/blighted properties

A high percentage of housing in NRSA neighborhoods was built before 1940. Blighted and abandoned properties are concentrated in NRSA neighborhoods. Sidewalks, roads, tree belts and public facilities are generally in poor condition.

Year 4 Results:

To meet this established need, the NRSA Strategy seeks to improve neighborhood infrastructure, housing stock and the overall aesthetics of NRSA neighborhoods to bring back civic pride and encourage private investment. During the third year of the NRSA, the City undertook the following actions:

- Continued to provide website mechanism to allow neighborhoods to track code enforcement issues. An additional 1650 actions were resolved in the 3 NRSA neighborhoods during the fourth year due to increased activity in regards to targeted areas surpassing the NRSA goal to resolve 1500 during the 5 year NRSA period.
- Worked with each of the neighborhood councils located in the NRSA and completed the task of identifying the top ten priorities for each. As of the end of the program year, the priorities were identified by the 3 NRSA neighborhoods and the assessment and implementation of the redevelopment projects included on these lists are underway.
- Took major strides toward achieving its NRSA goal to accomplish the redevelopment of 10 NRSA properties. During the fourth of five years, 8 properties were demolished, and 4 properties were redeveloped. A total of 53 properties demolished and 36 properties redeveloped in the first four years of the NRSA strategy.
- 95 properties were acquired and 25 properties were awarded for redevelopment.
- 109 lots were cleaned and 26 properties were boarded up in NRSA areas

The New North Citizens' Council, a NRSA organization located in the Memorial Square neighborhood, continues the process of completing predevelopment activities related to the development of additional public facility in the NRSA. The completion of this predevelopment work is the first step toward the five year NRSA goal to develop one additional public facility in the NRSA.

NRSA Need III: Engaging key stakeholders/building partnerships

NRSA Neighborhoods lack partnerships between key businesses, governmental bodies, and CBDOs. As a result, there are missed opportunities relative to job growth, neighborhood

commercial district enhancements and support for improving educational attainment and vocational training for NRSA residents.

Year 4 Results:

To engage all NRSA neighborhood stakeholders and the governing body of the City to form a partnership to make the NRSA neighborhoods a better place to live, work and recreate, the City identified and brought together key neighborhood stakeholders and CBDO's in NRSA neighborhoods. During this program year four NRSA neighborhood councils partnered with a total of 27 stakeholders to participate in a citywide project to clean and revitalize their neighborhoods. The project involved the clean up of lots in their respective neighborhoods, the removal and recycling of metal and tires as well as revitalizing entryways. These partnerships continue beyond this project to develop plans for other activities to improve the quality of life in these NRSA neighborhoods.

Partner Organizations:

- | | |
|------------------------------------|---|
| 1. YMCA of Greater Springfield | 14. Maple High Six Corners Neigh. Council |
| 2. Springfield Museums | 15. New North Citizens Council |
| 3. Mass Mutual | 16. Old Hill Neighborhood Council |
| 4. The Republican | 17. South End Citizens Council |
| 5. Pride Gas Stations | 18. Assembly Baptist Church |
| 6. American International College | 19. El Pueblo Latino |
| 7. Springfield Chamber of Commerce | 20. Avery Sebastian Garden & Outdoor Living |
| 8. Springfield College | 21. The Reminder |
| 9. Baystate Health | 22. United Way |
| 10. Waste Management | 23. Keller Williams |
| 11. Springfield Schools Department | 24. ReStore |
| 12. Step Up Springfield | 25. The McDuffie School |
| 13. Sheriff's Department | 26. Basketball Hall of Fame |

NRSA Need IV: Promote meaningful job creation for NRSA residents

NRSA neighborhoods have high rates of unemployment. Residents generally lack educational attainment and vocational training in these neighborhoods. This makes it difficult for local businesses to recruit qualified employees from within the neighborhood. Economic activities in these neighborhoods do not target local residents for employment opportunities.

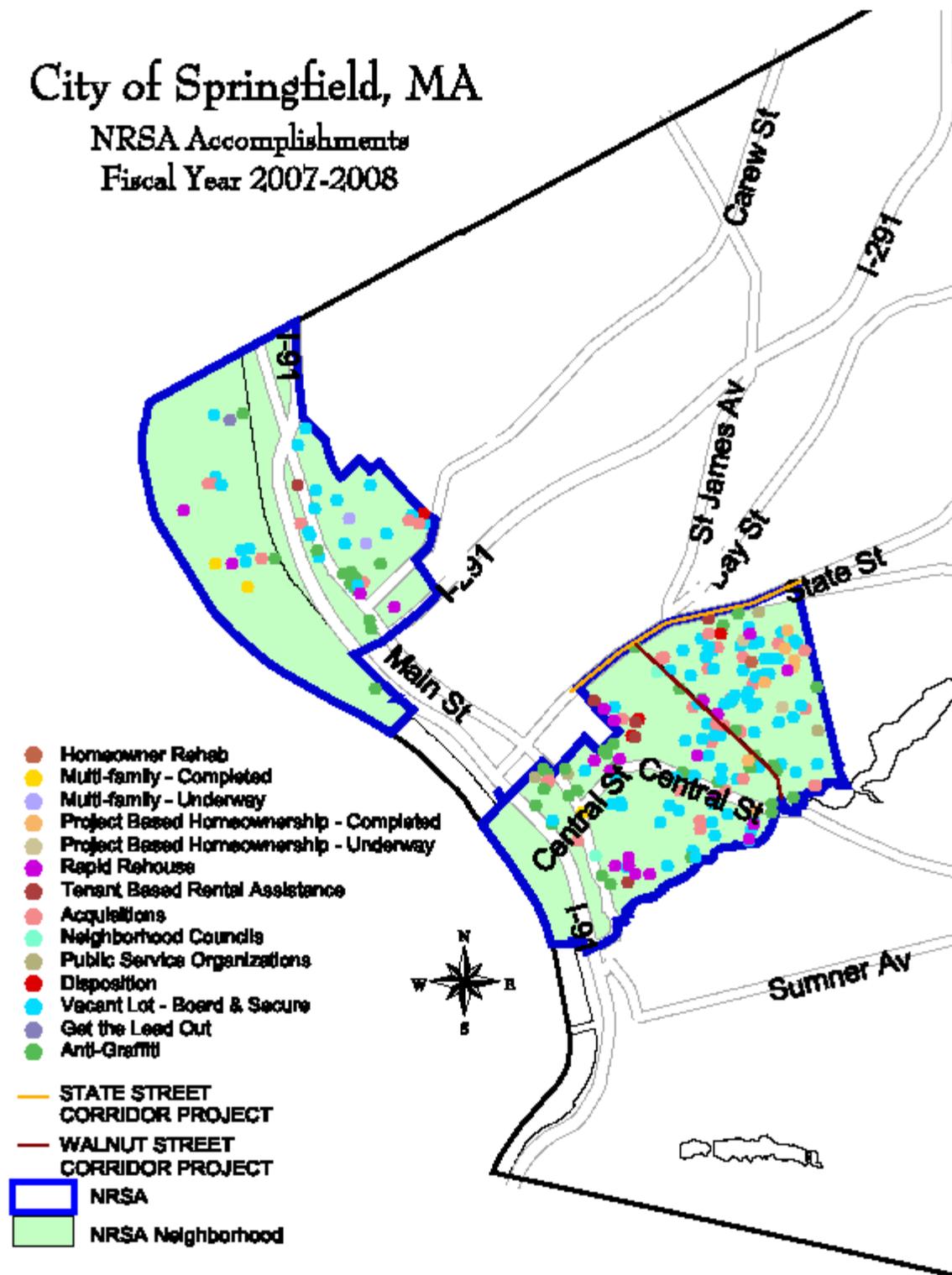
Year 4 Results

To improve jobs and economic opportunities for NRSA residents, the city continues to address negative influences in NRSA neighborhood business districts by fostering economic development activities that promote the hiring of local residents. These include:

- Technical assistance was provided to 42 businesses located in NRSA
- 6 NRSA businesses received financial assistance.
- 15 jobs were created within NRSA assisted businesses.
- Graffiti was removed from 63 private sites in NRSA neighborhoods

City of Springfield, MA

NRSA Accomplishments
Fiscal Year 2007-2008



B. HOME Narratives

After the evaluation of housing needs, the City targeted its FY08-09 HOME funds into five program areas: Homebuyer Assistance, Existing homeowner Rehabilitation, Project Based Homeownership, Multi-Family Rental Housing, and Tenant-Based Rental Assistance. The allocations within the Action plan permitted the City to commit resources to affordable housing projects.

In FY08-09 the City's HOME allocation was \$1,623,186. When added to the \$75,000 of anticipated program income, the amount of HOME funding available for use in FY 08-09 totaled \$1,698,186, of which \$1,528,359 was available for projects. The timely expenditure of federal funds for the furtherance of the City's identified housing goals is imperative. During this fiscal year, the City expended \$1,439,194.81 of available funds.

As part of the work conducted by the City to increase the rate of expenditure during FY08-09, the City has continued its outreach efforts and provided direct technical assistance to both organizations and developers interested in acquisition and redevelopment of distressed properties within the city. Chart A below illustrates the City's program expenditures for FY08-09.

Each year, the Participating Jurisdiction is required to commit 15% of its HOME entitlement program dollars to CHDO organizations. A two year window is provided for commitment of CHDO funds and five years is provided to complete the eligible activity. In FY08-09 the City expended \$266,708.43 of funds for CHDO activities. The City is on pace to meet both the two (2) year commitment and the five (5) year expenditure requirements for CHDO Organizations.

Another important analysis is the extent to which the federal HOME allocation leverages additional resources. Within FY08-09, the City's completed projects leveraged a total of \$15,834,176 from private, state and federal sources. Chart B on the following page illustrates the breakdown of leveraged resources.

Chart A
FY08-09 HOME Expenditures by Category
Total Expended \$1,439,194.81

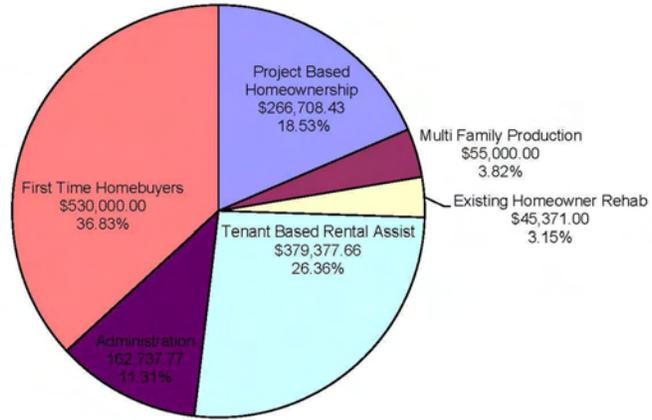
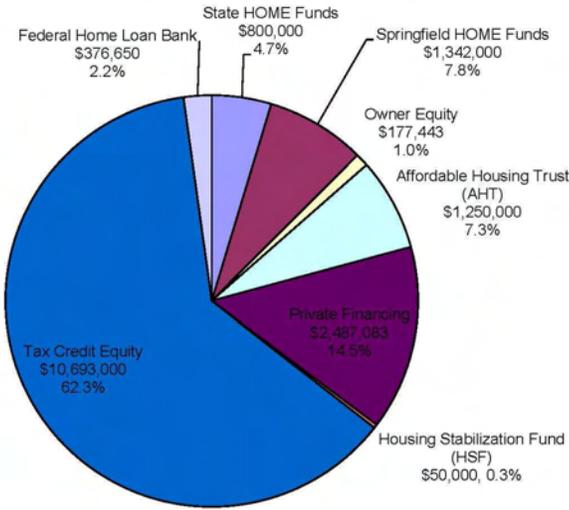


Chart B
Completed Projects Leveraged Resources



DRY RUN

American Dream Down Payment Initiative (ADDI)

Within the annual action plan, the city proposed to 1) Broaden the existing homebuyer assistance program and 2) Continue an outreach program targeting Section 8 and public housing residents. During FY 08-09 both activities were undertaken.

The existing homebuyer assistance program targeted Section 8 Program certificate holders in addition to low and moderate income households. The program provided assistance to 2 buyers, both of which were minority households.

The targeted marketing program, undertaken in partnership with the Springfield Housing Authority was continued this year. The education program consists of the City's certified homebuyer education with extensive additional credit counseling. The participation is restricted to SHA residents and certificate holders. Direct outreach is undertaken by the SHA. During the fiscal year, 30 households enrolled in the education program.

COMPLETED PROJECTS

| Project Address | Project Type | HOME Amount | Total Development Costs | Total Units/ HOME Units |
|--|--|-------------|-------------------------|-------------------------|
| 71 Eastern Avenue | Homeownership/new const./CHDO | \$154,000 | \$281,703 | 1/1 |
| 122 Eastern Avenue | Homeownership/new const./CHDO | \$50,000 | \$255,482 | 1/1 |
| 161 Eastern Avenue | Homeownership/new const./CHDO | \$50,000 | \$221,926 | 1/1 |
| 175 Eastern Avenue | Homeownership/new const./CHDO | \$50,000 | \$242,592 | 1/1 |
| 217 Tyler Street | Homeownership/new const./CHDO | \$50,000 | \$244,001 | 1/1 |
| 145 Florence Street | Homeownership/new const./CHDO | | | 1/1 |
| 17 Monson Avenue | Homeownership/new const./CHDO | \$50,000 | \$263,900 | 1/1 |
| 661 Union Street | Homeownership/new const./CHDO | \$50,000 | \$243,801 | 1/1 |
| 62 Maynard Street | Homeownership/new const./CHDO | \$50,000 | \$242,300 | 1/1 |
| 17 Wilbraham Ave. | Homeownership/new const./CHDO | \$50,000 | \$253,300 | 1/1 |
| 445 Hancock | Homeownership/new const./CHDO | \$138,000 | \$247,286 | 1/1 |
| Ashford Place | Rental rehabilitation/For profit developer | \$550,000 | \$9,059,105 | 132/40 |
| Liberty Hills Cooperative Housing 5 Nursery Street | Rental rehabilitation/For profit developer | \$275,000 | \$7,143,823 | 88/1 |
| Jefferson Park 1245 Dwight Street/6-10 Allendale Street & 391 Dwight Street/85 Jefferson Ave. | Rental rehabilitation/For profit developer | \$550,000 | 5,820,506 | 31/11 |

HOME Projects – Before and After



71 Eastern Avenue



217 Tyler Street

HOME Projects – Before and After



145 Florence Street

DRAFT

HOME Projects – New Construction



17 Monson Street



661 Union Street

HOME Projects – New Construction



62 Maynard Street



111 Wilbraham Avenue

Additional projects currently in the development phase but not yet completed:

Multi-Family Rental Housing Development

The City currently has two HOME-funded rental housing projects in development. The total number of affordable rental units that will be created as a result is 119. The two projects will achieve a total of twenty-two HOME units upon completion. The projects have affordability terms of at least twenty years.

| Project Address | Project Type | HOME Amount | Total Development Costs | Total Units/ HOME Units |
|---|--|-------------|-------------------------|----------------------------|
| YWCA Transitional Housing | New construction/ non-profit | \$275,000 | \$5,856,822 | 24/11 |
| Longhill Gardens | Rental rehabilitation/For profit developer | \$550,000 | \$21,119,199 | 109/11 |
| Friends of the Homeless Worthington Street Campus | Rental rehabilitation and new construction/ non-profit | \$400,000 | \$14,554,190 | 32/11 |
| Bouriquen | Rental Rehabilitation/Nonprofit developer | \$250,000 | \$10,124,419 | 57/11 |

Homeownership/New Construction CHDO

The development projects consisting of single-family housing that are currently under development total five (5). Five (5) of the projects are CHDO development projects. The City commitment of HOME funds totals \$250,000 for all five (5) properties, with total development costs exceeding \$1,247,302. All five (5) properties will be sold to eligible first-time homebuyers upon project completion.

| Project Address | Project Type | HOME Amount | Total Development Costs | Total Units/ HOME Units |
|------------------------|---|-------------|-------------------------|----------------------------|
| 143 Cambridge St | Homeownership/new const./CHDO | \$30,000 | \$176,350 | 1/1 |
| 127 Massachusetts Ave. | Homeownership/new const./CHDO | \$70,000 | \$205,000 | 1/1 |
| 17 Jefferson | Homeownership/new const./CHDO | \$96,600 | \$123,300 | 1/1 |
| 33 Jefferson | Homeownership/new const./CHDO | \$96,600 | \$123,300 | 1/1 |
| 287-309 Central | Homeownership/new const./For-profit developer | | | 6/6 |

Fair Housing and Affirmative Marketing

All development projects were reviewed for compliance with the City’s affirmative marketing requirements. Each developer was required to provide an affirmative marketing plan as well as marketing materials. The developer’s plans identified community organizations, places of

worship, employment centers, fair housing groups or housing counseling agencies where special outreach was conducted. In addition, all marketing materials included the Equal Housing logo. Upon project completion, the accomplishments were assessed based on the initial plan, outreach efforts including mailing lists/ads and the resulting HOME-assisted recipient.

Affirmative marketing procedures and requirements are in place for all rental and homebuyer projects assisted with HOME funds administered by the City of Springfield.

The City of Springfield promotes equal opportunity for all its citizens in every aspect of public procurement and contracting by assuring that opportunities to participate in City procurement and contracting are open to all without regard to age, ancestry, color, national origin, disability, race, religion or sex. The City encourages the utilization of minority, women and persons with disabilities by private businesses that contract with the City. The City encourages the award of procurement and construction contracts to business owned by minorities, women and persons with disabilities.

The City's Minority/Women Business Enterprise (MBE/WBE) Program to implement the City equal opportunity policy. The Program is a set of specific results-oriented procedures, and has been formulated to further implement the City's policies. The main objective of the Program is to develop maximum feasible MBE/WBE participation in construction contracts and in the procurement of goods, services, and supplies.

The City's goal for MBE/WBE participation and minority or women workforce on all construction projects and procurement of goods, supplies and services contracts is not less than twenty percent (20%). Success in meeting this objective will be affected by the availability of minority and women businesses with qualifications required by the City of Springfield.

For all federally assisted housing programs, the City requires the project developer to conduct an analysis of those least likely to apply and to develop an Affirmative Marketing Plan. These two documents must be submitted at the time a funding application is submitted.

- The analysis must identify the protected classes least likely to apply for housing and make recommendations on how the likely reasons should be addressed.
- The Affirmative Marketing Plan shall include actions that shall be taken to implement the recommendations the result from the analysis. The Plan shall include but not necessarily be limited to the implementation of a minority outreach program that ensures the inclusion of, to the maximum extent possible minorities, women, and entities owned by minorities and women.

Such outreach shall include without limitation, real estate firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction with such persons or entities, public or private, in order to facilitate the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other applicable Federal housing law.

The Developer's Affirmative Marketing Plan must identify specific community organizations, place of worship, employment centers, fair housing groups or housing counseling agencies where special outreach will be conducted.

Once the City approves the affirmative marketing plan, the project is monitored for compliance through project completion. Documentation is maintained for all marketing activities as part of the project records.

Additionally, City staff people operating the housing rehabilitation and lead abatement programs maintain a list of qualified insured contractors. Although the lists are updated on a regular basis, property owners are encouraged to solicit from a wider circle of contractors. Often, contractors who undertake our projects are willing to be placed on our list for future contracts.

Minority and Women-Owned Business Outreach

In addition to ensuring that HOME benefits are delivered to minority households, the City undertakes extensive outreach to ensure that minority and women-owned enterprises are contract recipients. The opportunities to expand M/WBE participation are through direct funding to M/WBE developers and/or through monitoring developers for their M/WBE contracting process/accomplishments.

In the year covered by the Action Plan, HOME project developers awarded M/WBE contracts and subcontracts in the amount of \$4,146,614.00. These accomplishments may not be reflected in the HOME Annual Performance Report as the projects may not have been fully occupied and closed out in the IDIS system prior to the end of the reporting period. The City will continue to strive to increase M/WBE and Section 3 business participation.

Relocation

None of the development projects that were completed during the program year involved permanent displacement of tenants from housing units. All approved projects were reviewed to determine applicability of the Uniform Relocation Assistance (URA) guidelines.

Matching Report

The city of Springfield is 100% forgiven from HOME matching requirements for the FY08-09 fiscal year and the following years through FY09-10. Current demographic trends in the City of Springfield cause the City to meet the regulatory definition of a local government participating jurisdiction that is in severe fiscal distress as stated in section 92.222(a)(1) of the HOME Investment Partnership regulations. This means that in Springfield:

- “the average poverty rate in the participating jurisdiction was equal to or greater than 125 percent of the average national poverty rate during the calendar year for which the most recent data are available, as determined according to information of the Bureau of the Census.”

| Poverty Rate | | |
|------------------------|----------------------|--|
| Springfield, MA | United States | % of Average United States Poverty Rate |
| 29.6 | 13.3 | 223% |

Source: US Census Bureau, 2005 American Community Survey

- “the average per capita income in the participating jurisdiction was less than 75 percent of the average national per capita income during the calendar year for which the most recent data are available.”

| Per Capita Income* | | |
|---------------------------|----------------------|---------------------------|
| Springfield, MA | United States | % of United States |
| 17,023 | 25,035 | 68% |

*In 2005 inflation-adjusted dollars

Source: US Census Bureau, 2005 American Community Survey

Program Income Narrative

During the course of the year, the City realized \$205,137.12 in CDBG program income and \$45,260.27 in HOME program income. Program Income funds are utilized to operate programs identified in the Action Plan. A summary of realized program income and its utilization is contained within the Financial Summary on page 117 for CDBG and 104 for HOME.

On-Site Inspections

On-site inspections of affordable rental projects assisted with HOME funds are conducted by qualified City staff in accordance with HOME regulations. Standard practice is that units are inspected as part of the annual recertification process. Project units inspected include:

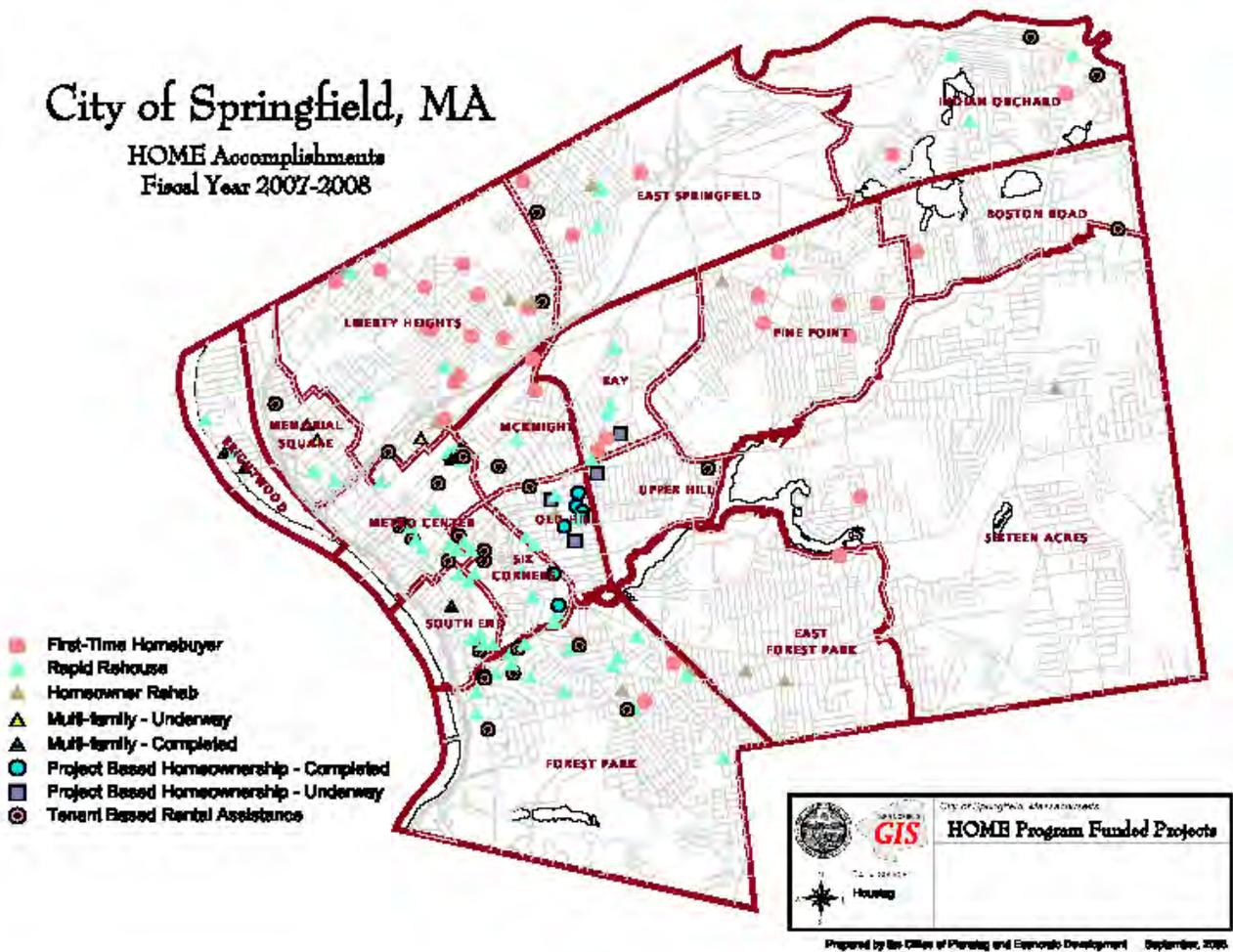
| Project | HOME Units |
|-----------------------|------------|
| Tapley Court | 8 |
| 32 Byers Street | 3 |
| 17-23 Rutland Street | 11 |
| The “Kenwyn” | 23 |
| Memorial Parish House | 9 |
| 30 High Street | 2 |
| 207 Bay Street | 8 |
| 52 Maple Court | 10 |
| Mason-Wright | 11 |
| Quadrangle Court | 5 |
| 34-36 Terrance Street | 6 |
| Spring Meadow Apts. | 50 |
| YMCA | 26 |
| St. James Manor | 4 |
| Maple High Apts. | 17 |
| 888 -892 State Street | 10 |
| 91-93 Pine Street | 3 |
| 116 Hancock Street | |
| 71-73 Adams Street | |
| 22-24 Winthrop Street | |
| Spring Hill Apts. | 11 |
| Belle Franklin I | 11 |
| Belle Franklin II | 11 |
| New Court II | 11 |
| Cathedral Hill | 48 |
| High Street Commons | 11 |
| Total | 309 |

In HOME projects that have Section 8 subsidies or in which the owner has an on-going relationship with a PHA/LHA, the City accepts the PHA/LHA inspections but reserves the right to randomly re-inspect.

City staff conducts initial, progress, and close-out (final) inspections on all developer projects--project-based homeownership and multi-family production--throughout the development process.

City of Springfield, MA

HOME Accomplishments
Fiscal Year 2007-2008



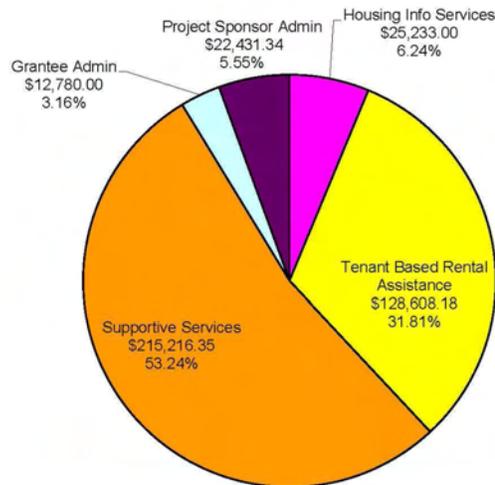
DRAFT

C. HOPWA Narrative

The City of Springfield is the HOPWA grantee for the tri-county area, which is comprised of Hampden, Hampshire, and Franklin County. The designation as an entitlement community for HOPWA funds occurred in 2001.

FY08 - FY09 Expenditures by Category

Total HOPWA Expended: \$404,626.87 ▲



Projects which were selected for funding a formal Request for Proposal process, included:

1. **River Valley Counseling Center** provided intensive support services and Tenant Based Rental Assistance to 17 households. Housing Information Services were provided to 144 individuals. River Valley primarily serves residents of Hampden County. HOME funds were utilized to provide the balance of Tenant-Based Rental Assistance to HOPWA households.
2. **Center for Human Development HIV/AIDS Law Consortium** provided legal assistance, advocacy, and small group workshops to clients and case managers on issues of discrimination in housing and benefits. The Law Consortium provided legal services

to 61 households.

3. **New North Citizen's Council** provided support services, rental start up and short-term assistance to eligible households. The program provided supportive services to 21 individuals and short-term rental assistance to 66 households.
4. **Cooley Dickinson Hospital's AIDS Care** provided tenant-based rental assistance and support services to 15 households. Supportive Services were provided to an additional 15 households. Cooley Dickinson primarily serves residents of Hampshire County.

The City of Springfield's Office of Housing and Neighborhood Services provides the grant management and the Community Development Department provides financial oversight. Program oversight consists of program monitoring through quarterly reports and on-site monitoring as needed. The City's quarterly report mirror HUD's Annual Progress Reports with the addition of a program narrative, which details challenges and accomplishments.

Sponsors are selected through a competitive formal Request for Proposal process. The RFP process has been consistent since Springfield's designation of an entitlement area.

Project Accomplishments Overview

HOPWA funds provided a range of housing activities including:

- Emergency or short-term housing for 66 households
- Rental assistance to 32 households
- Housing Information Services to 144 persons
- Supportive Services to 105 households

HOPWA Grantees reported matching funds of \$461,447.00 from the following sources: River Valley Counseling Services, Center for Human Development, New North Counseling Center, AIDS Care of Hampden/Hampshire County, American Red Cross, MA Bar Association, Substance Abuse and Mental Health Services Administration (SAMHSA), USDPH, MDPH, HDAP, Ryan White Care Act and AIDS Foundation of Western Mass.

Barrier/Trends Overview

The greatest challenge has been the scarcity of resources to serve the eligible population..

The Tri-county area continues to see an increase in the number of HIV/AIDS cases and a decrease in the public dollars available to serve this growing population. Coupling these factors with an increased life expectancy results in a tremendously burdened system. Over the next five years, providers will need to evaluate the use of mainstream health and housing programs to provide for impacted households. The City as an administrator of HOPWA funds will continue to stress the importance of a community partnership and leveraging of non-HOPWA resources.

Name of HOPWA Grantee: **City of Springfield/Office of Housing & Neighborhood Services**
 Report covers the period: **7 / 1 / 08to 6 / 30 / 09**

Performance Chart 1—Actual Performance. Types of Housing Units Dedicated to Persons with HIV/AIDS that were Supported during the Operating Year Name of HOPWA

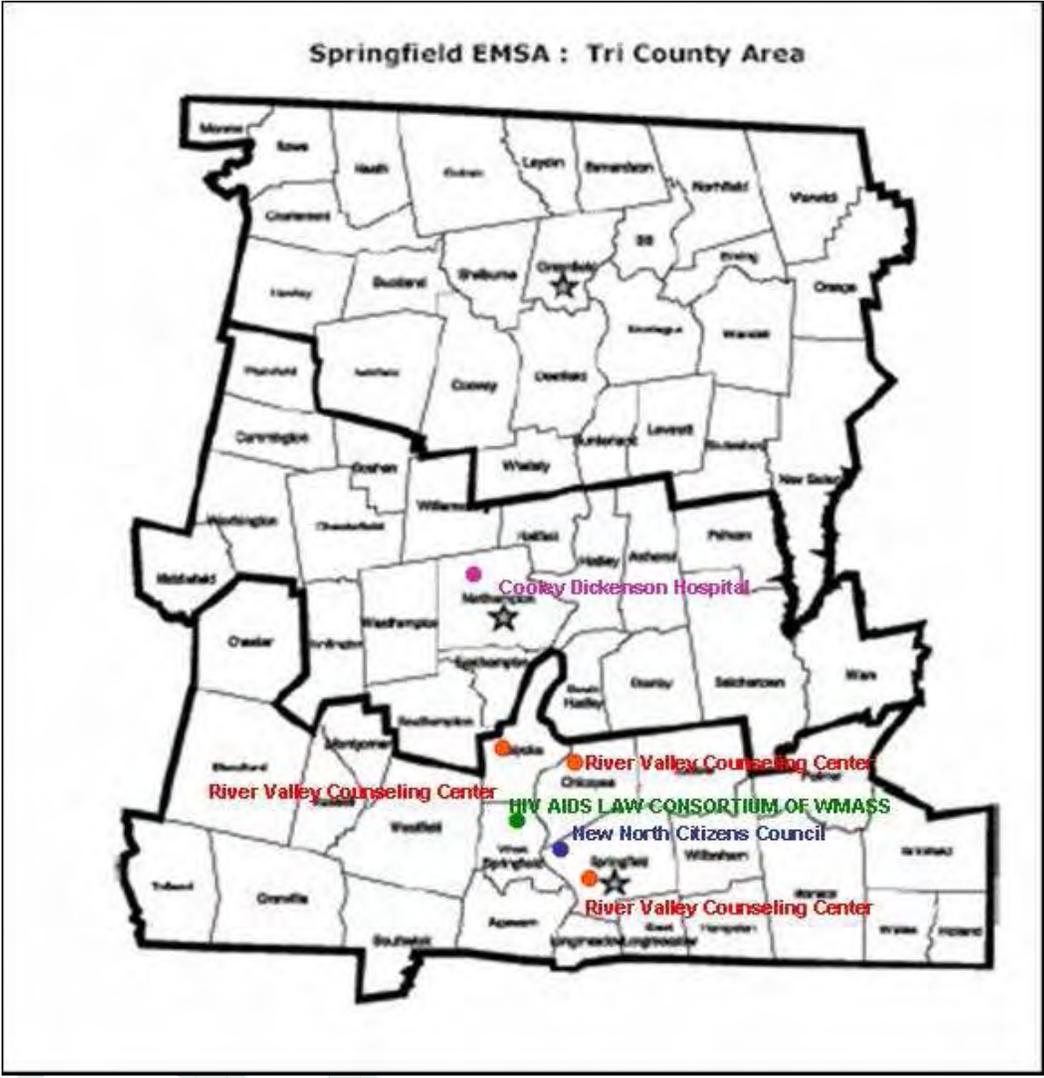
| Type of Unit | Estimated Number of Units by type in the approved Consolidated Plan/Action Plan for this operating year | Comment, on comparison with actual accomplishments (or attach) |
|--|---|--|
| 1. Rental Assistance | 32 | 33 – met goal |
| 2. Short Term/emergency Housing & Information Services | 66- STRA <u>144 – Housing Info</u> **210 - total | 56 – STRA <u>126- Housing Info.</u> 182 – total |
| | | |
| Total | 242 | 215 |

****The project accomplishments are for all eligible activities including Housing Information Services.**

Grantee: **City of Springfield/Office of Housing & Neighborhood Services**
 Report covers the period: **7 / 1 / 08 to 6 / 30 / 09**

Performance Chart 2 – Comparison to Planned Actions, as approved in the Action Plan/Consolidated Plan for this Operating Year (Estimated Numbers of Units)

| Type of Unit: | Number of units with HOPWA funds | Amount of HOPWA funds |
|---|----------------------------------|-----------------------|
| 1. Rental Assistance | 32 | 101,414.00 |
| 2. Short-term/emergency housing payments | 66 | 38,870.00 |
| 3-a. Units in facilities supported with operating costs | | |
| 3-b. Units in facilities that were developed with capital costs and opened and served clients | | |
| 3-c. Units in facilities being developed with capital costs but not yet opened | | |
| Subtotal | | |
| Deduction for units reported in more than one category | | |
| TOTAL | 98 | 140,284.00 |



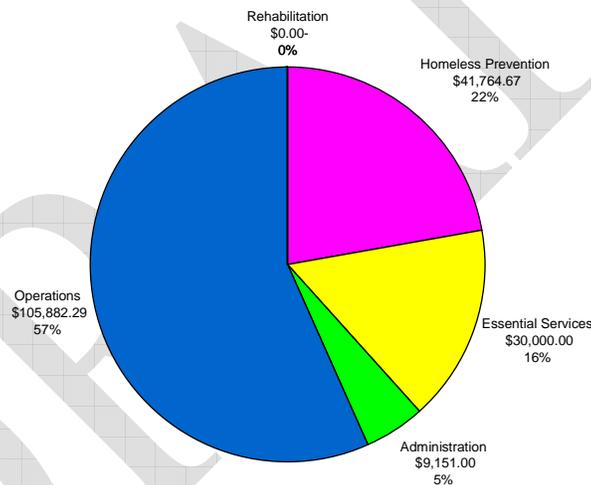
HOPWA Funded Organizations
2008-2009

D. ESG Narrative

After a community needs assessment, the City requested proposals from Homeless Service Providers to operate ESG eligible programs. As part of the City's review process, the statutory spending caps on certain ESG activities are a consideration. The City expenditures for FY08-09 within the allowable activities and expenditure caps are located on page 115-116.

ESG funds have statutory match funds requirement of one to one. For every ESG dollar expended, one dollar of other private or eligible public money must be expended. The City's ESG program far exceeded this requirement by leveraging \$453,836.00.

FY08 - FY09 Expenditures by Category
Total ESG Expended: \$186,797.96



ESG Activities

The Emergency Shelter Grants program is designed to perform four eligible activities: increase the number and quality of emergency shelters/and transitional housing facilities, to operate these facilities, to provide essential services, and to help prevent homelessness. During the period of the Action Plan, the City of Springfield utilized eligible entities to provide effective programs to Springfield's homeless population.

Eligible ESG activities, with corresponding funded programs, are:

1. **Renovation** - Rehabilitation and conversion of buildings for use as emergency shelters or

transitional housing for the homeless.

The City did not fund any renovation activities this fiscal year.

2. **Essential services** - Assistance may be used for activities relating to emergency shelter for homeless individuals. The provision of essential services, including services concerned with employment, health, drug abuse or education, and may include but are not limited to:

- 1) Assistance in obtaining permanent housing;
- 2) Medical and psychological counseling and supervision;
- 3) Employment counseling;
- 4) Nutritional counseling;
- 5) Substance abuse treatment and counseling;
- 6) Assistance in obtaining other Federal, State and local assistance including mental health benefits; employment counseling; medical assistance; Veteran's benefits; and income support assistance such as supplemental Security Income benefits, Aid to Families with Dependent children, General Assistance, and Food Stamps;
- 7) Other services such as child care, transportation, job placement and job training.

PROJECTS

Health Care for the Homeless provided essential health services to 278 persons; these services included medical encounters, counseling, nutrition and referrals to mental health and additional services. Services were provided on-site at every Springfield singles and family shelter.

3. **Operating costs** - such as maintenance, insurance, rent, utilities and furnishings incurred by a recipient operating a facility

PROJECTS

Friends of the Homeless received funding for the operation of an emergency shelter for homeless singles. The shelter served 3677 persons.

The **YWCA** provided emergency shelter to women and their children who were victims of domestic abuse. The project served 113 households.

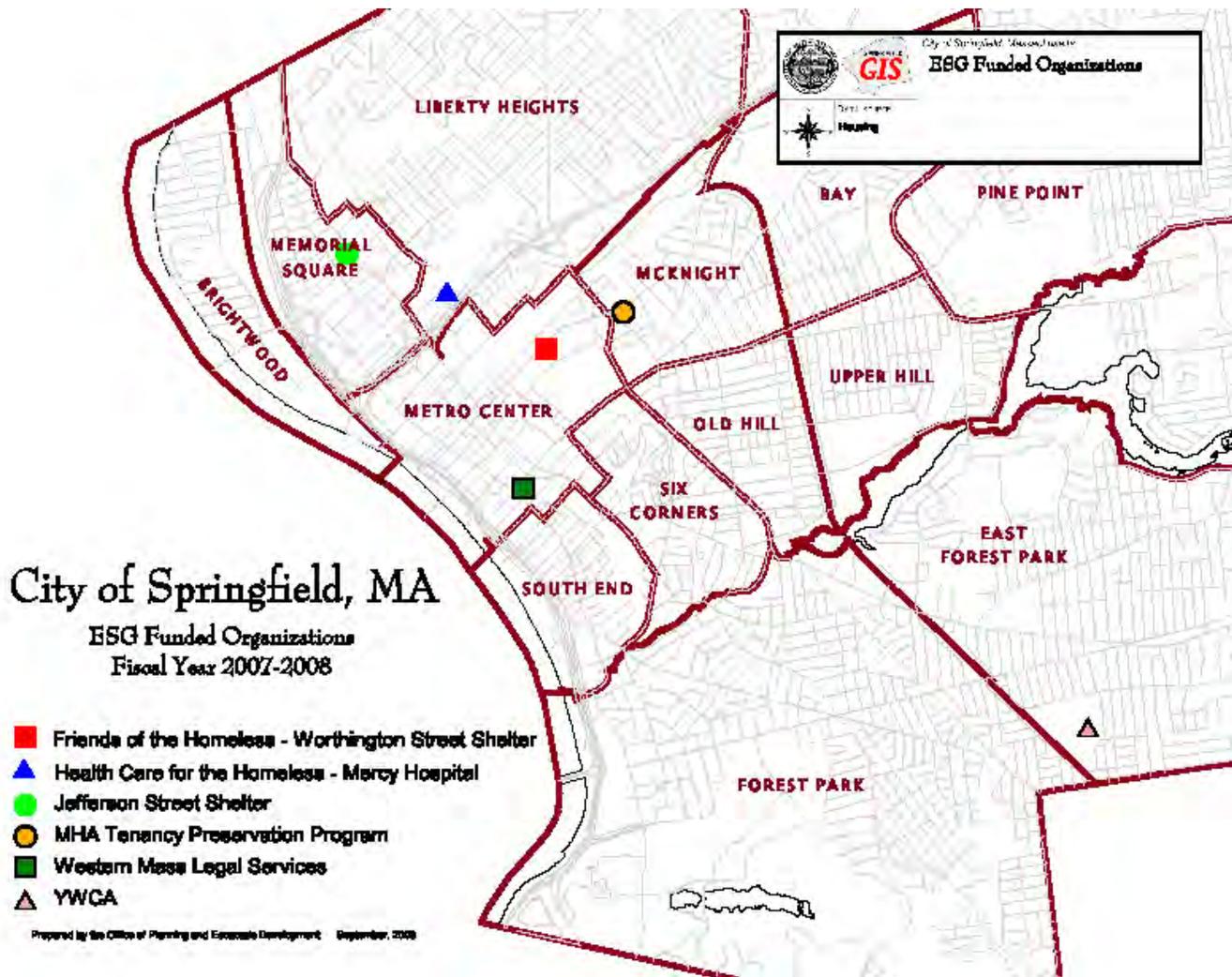
4. **Homeless prevention** and efforts to prevent homelessness such as financial assistance to families who have received eviction notices or notices of termination of utility services if - a) the inability of the family to make the required payments is due to a sudden reduction in income; b) the assistance is necessary to avoid the eviction or termination of services; c) there is a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and d) the assistance will not supplant funding for preexisting homelessness prevention activities from other sources.

PROJECTS

Tenancy Preservation Program (TPP) provides case management, mediation and mental health intervention for households with tenancy at-risk due to mental health issues. The program utilizes a community-based team that works in conjunction with community organizations to identify and intervene in situations where there is imminent risk of homelessness. This program is a state-wide model that has received national acclaim. During this fiscal year, the TPP assisted 127 people.

Court Plus, a program operated by Western Massachusetts Legal Services, provides legal advocates to assist low-income Springfield households facing evictions. This program initiates representation in Housing Court on eviction day, and continues until the tenant is stabilized. During this fiscal year, the Court Plus program assisted 88 people.

DRAFT



| | Friends of the Homeless | Health Care for the Homeless | MHA - TPP | WMLS | YWCA | FY TOTAL |
|-------------------------------|-------------------------|------------------------------|-------------|-------------|-------------|-------------|
| CONTRACT # | 1554 | 1555 | 0881 | 1556 | 1542 | |
| Unaccompanied males | 3011 | 41 | 34 | 11 | 0 | 3097 |
| Unaccompanied females | 666 | 237 | 28 | 10 | 55 | 996 |
| Under 18 female | 0 | 0 | 0 | 0 | 0 | 0 |
| Under 18 male | 0 | 0 | 0 | 0 | 0 | 0 |
| Single Parent Families | 0 | 0 | 61 | 46 | 58 | 165 |
| Two Parent Families | 0 | 0 | 4 | 9 | 0 | 13 |
| Adult couples w/o child | 0 | 0 | 0 | 0 | 0 | 0 |
| Don't know | 0 | 0 | 0 | 12 | 0 | 0 |
| HOUSEHOLDS SERVED: | 3677 | 278 | 127 | 88 | 113 | 4283 |
| RACE | | | | | | |
| White | 1212 | 151 | 38 | 14 | 45 | 1460 |
| Black/African American | 1078 | 89 | 22 | 28 | 20 | 1237 |
| Asian | 27 | 0 | 0 | 2 | 1 | 30 |
| Amer Indn/Alaskan Native | 6 | 0 | 0 | 0 | 0 | 6 |
| Asian & White | 0 | 0 | 0 | 0 | 0 | 0 |
| Amer Indian & White | 0 | 0 | 0 | 0 | 0 | 0 |
| Hispanic | 1354 | 38 | 65 | 42 | 28 | 1527 |
| Other - Multi | 0 | 0 | 2 | 2 | 19 | 23 |
| # OF POPULATION SERVED | | | | | | |
| Chronically Homeless | 1299 | 310 | 0 | 20 | 0 | 1629 |
| Severely Mentally Ill | 1447 | 258 | 113 | 0 | 1 | 1819 |
| Chronic Substance Abuse | 1457 | 187 | 33 | 10 | 4 | 1691 |
| Other Disability | 1448 | 2 | 47 | 41 | 8 | 1546 |
| Veterans | 379 | 1 | 2 | 3 | 1 | 386 |
| Persons w/HIV/AIDS | 183 | 5 | 3 | 0 | 0 | 191 |
| Domestic Violence | 638 | 128 | 21 | 2 | 95 | 884 |
| Elderly | 0 | 0 | 0 | 1 | 25 | 26 |

| Agency FY 08 - 09 | Friends of the Homeless | Health Care for the Homeless | MHA - TPP | WMLS | YWCA | FY TOTAL |
|------------------------------------|-------------------------|---|---------------------|--------------------|--------------------|----------------------|
| ESG CONTRACT AMOUNT (spent) | \$85,000.00 | \$30,000.00 | \$ 21,361.00 | \$21,000.00 | \$20,000.00 | \$ 177,361.00 |
| Dept. Social Services | | | | | \$20,000.00 | \$20,000.00 |
| DHCD | | | \$ 53,888.00 | | | \$53,888.00 |
| DMH | | | | | | \$ - |
| Ma. Bar Asso./MA Legal | | | | \$21,000.00 | | \$21,000.00 |
| HHS | | \$ 30,000.00 | | | | \$30,000.00 |
| MHCD | | | \$ 40,274.00 | | | \$40,274.00 |
| City of Chicopee | | | | | | \$ - |
| DTA | \$288,674.00 | | | | | \$288,674.00 |
| TOTAL MATCHING FUNDS | \$288,674.00 | \$ 30,000.00 | \$ 94,162.00 | \$21,000.00 | \$20,000.00 | \$453,836.00 |
| GOALS | | | | | | |
| F.O.H. | 1554 | To operate an emergency shelter for single individuals. | | | | |
| HC4H | 1555 | To provide health care to homeless individuals residing in shelters & on streets. | | | | |
| MHA - TPP | 1556 | To provide advocacy for at-risk households and for homeless. | | | | |
| WMLS | 1591 | To provide legal representation for homeless and at risk households. | | | | |
| YWCA | 1542 | To provide an emergency shelter for victims of domestic violence. | | | | |

EMERGENCY SHELTER GRANT PROGRAM

Contract NO. **C 1554**

| | | | |
|---|---------------------------------------|----------------|---------------------------------------|
| AGENCY NAME: | <u>FRIENDS OF THE HOMELESS</u> | REPORT PERIOD: | <u>07/01/08 TO</u> <u>06/30/09</u> |
| <p>Scope: Friends of the Homeless operated an emergency open bed shelter for the homeless in the City of Springfield. The population served was homeless men and women, which included individuals who lacked a fixed, regular and adequate nighttime residence. The Shelter provided temporary shelter for the homeless along with services needed to assist individuals in obtaining secure permanent housing. The shelter operated 7 days a week, 365 days a year.</p> | | | |

HOMELESS BENEFICIARIES AND TYPES:

| | |
|--------------------------------|--------------------|
| Unaccompanied Males | <u>3011</u> |
| Unaccompanied Females | <u>666</u> |
| Unaccompanied Female Youth<18 | <u>0</u> |
| Unaccompanied Male Youth<18 | <u>0</u> |
| Single Parent Families | <u>0</u> |
| Two Parent Families | <u>0</u> |
| Adult Couples without Children | <u>0</u> |
| Don't Know | <u>0</u> |
| TOTAL | <u>3677</u> |

NUMBER OF CLIENTS SERVED WHO SELF-IDENTIFY AS:

| | |
|------------------|-------------|
| African-American | <u>1078</u> |
| Caucasian | <u>1212</u> |
| Hispanic | <u>1354</u> |
| Other - Asian | <u>27</u> |
| Am.Indian/Alaska | <u>6</u> |

ESG Contract Amount: \$85,000.00
Match Amount and Source: \$288,674.16/DTA

The number of the population served who are:

| | |
|-------------------------------|-------------|
| Chronically Homeless | <u>1299</u> |
| Severely Mentally Ill | <u>1447</u> |
| Chronic Substance Abuse: | <u>1457</u> |
| Other Disability | <u>1448</u> |
| Veterans | <u>379</u> |
| Persons with HIV/AIDS: | <u>183</u> |
| Victims of Domestic Violence: | <u>638</u> |
| Elderly: | <u>0</u> |
| Other: | <u>0</u> |

DRAFT

EMERGENCY SHELTER GRANT PROGRAM

Contract NO. **C 1555**

| | | | |
|---|------------------------|--|---|
| AGENCY NAME: HOMELESS | HEALTH CARE FOR | HEALTH CARE FOR THE <u>HOMELESS</u> | REPORT PERIOD: <u>07/01/08 to</u> <u>06/30/09</u> |
| Scope: Health Care for the Homeless provided essential services onsite at shelters, soup kitchens, job placement sites and transitional programs within the City of Springfield. The program provided primary health care and substance abuse services at locations accessible to homeless people. The majority of the clients served are single individuals currently residing in shelters, transitional housing and/or unfit living situations. | | | |

HOMELESS BENEFICIARIES AND TYPES:

| | | | | |
|--------------------------------|-------|------------|--|--|
| Unaccompanied Males | | 41 | | |
| Unaccompanied Females | | 237 | | |
| Unaccompanied Female Youth<18 | | 0 | | |
| Unaccompanied Male Youth<18 | | 0 | | |
| Single Parent Families | | 0 | | |
| Two Parent Families | | 0 | | |
| Adult Couples without Children | | 0 | | |
| Don't Know | | 0 | | |
| | TOTAL | 278 | | |

NUMBER OF CLIENTS SERVED WHO SELF-IDENTIFY AS:

| | | | | |
|----------------------|--|-----|--|--|
| African-American | | 89 | | |
| Caucasian | | 151 | | |
| Hispanic | | 38 | | |
| Other - Am.In/Alaska | | 0 | | |
| Asian | | 0 | | |

ESG Contract Amount: \$30,000.00
Match Amount and Source: \$30,000.00/Health & Human Services

The number of the population served who are:

| | |
|------------------------------|------------|
| Chronically Homeless | <u>310</u> |
| Severly Mental Ill | <u>258</u> |
| Chronic Substance Abuse: | <u>187</u> |
| Other Disability: | <u>2</u> |
| Veterans: | <u>1</u> |
| Persons w/HIV/AIDS | <u>5</u> |
| Victims of Domestic Violence | <u>128</u> |
| Elderly | <u>0</u> |
| Other | <u>0</u> |

DRAFT

EMERGENCY SHELTER GRANT PROGRAM

Contract NO. **C 1556**

| | | |
|--|---|---|
| AGENCY NAME: | MHA - The Tenancy Preservation Program | REPORT PERIOD: <u>07/01/08 TO 06/30/09</u> |
| Scope: The Tenancy Preservation Program provided prevention services, utilizing a community based engagement team. The team assertively interfaces with community entities to identify and intervene with families and individuals imminently at risk of homelessness within the City of Springfield. TPP provided prevention services primarily to households in which at least one family member had a mental disability (substance abuse, mental health, mental retardation, or problems related to aging or any combination of these), that contributed to a lease violation and put the family at risk of homelessness. | | |

HOMELESS BENEFICIARIES AND TYPES:

| | | |
|--------------------------------|------------|-------|
| Unaccompanied Males | 34 | <hr/> |
| Unaccompanied Females | 28 | <hr/> |
| Unaccompanied Female Youth<18 | 0 | <hr/> |
| Unaccompanied Male Youth<18 | 0 | <hr/> |
| Single Parent Families | 61 | <hr/> |
| Two Parent Families | 4 | <hr/> |
| Adult Couples without Children | 0 | <hr/> |
| Don't Know | 0 | <hr/> |
| TOTAL | 127 | <hr/> |

NUMBER OF CLIENTS SERVED WHO SELF-IDENTIFY AS:

| | | |
|------------------|----|-------|
| African-American | 22 | <hr/> |
| Caucasian | 38 | <hr/> |
| Hispanic | 65 | <hr/> |
| Other | 2 | <hr/> |

ESG Contract Amount: \$21,361.00
Match Amount and Source: \$94,162.00
\$40,274.00 - DMH
\$53,888.00 - MA Housing

MHA-TPP

The percentage of the population served who are:

Chronically Homeless: 0
Severly Mentally Ill: 113
Chronic Substance Abuse: 33
Other Disability: 47
Veterans: 2
Persons w/HIV/AIDS: 3
Victims of Domestic Violence: 21
Elderly: 0
Other: 0

EMERGENCY SHELTER GRANT PROGRAM

Contract NO. **C 1571**

| | | |
|--|--|---|
| AGENCY NAME: HOMELESS | HEALTH CARE FOR <u>W.M.L.S./COURT PLUS PROGRAM</u> | REPORT PERIOD: <u>07/01/08 TO</u> <u>06/30/09</u> |
| Scope: The Court Plus Program provided prevention services for tenants facing eviction in the City of Springfield. Court Plus utilized an attorney and a paralegal to prevent at-risk families from becoming homeless. | | |

HOMELESS BENEFICIARIES AND TYPES:

| | | |
|--------------------------------|----|------------------|
| Unaccompanied Males | 11 | <u>11</u> |
| Unaccompanied Females | 10 | <u>10</u> |
| Unaccompanied Female Youth<18 | 0 | <u>0</u> |
| Unaccompanied Male Youth<18 | 0 | <u>0</u> |
| Single Parent Families | 46 | <u>46</u> |
| Two Parent Families | 9 | <u>9</u> |
| Adult Couples without Children | 0 | <u>0</u> |
| Don't Know | 12 | <u>12</u> |
| TOTAL | | <u>88</u> |

NUMBER OF CLIENTS SERVED WHO SELF-IDENTIFY AS:

| | | |
|------------------|----|-----------|
| African-American | 28 | <u>28</u> |
| Caucasian | 14 | <u>14</u> |
| Asian & White | 2 | <u>2</u> |
| Hispanic | 42 | <u>42</u> |
| Am.Ind/White | 0 | <u>0</u> |
| Other: Multi | 2 | <u>2</u> |

ESG Contract Amount Spent: \$21,000.00

Match Amount and Source: \$ 21,000.00/MA Bar & MA Legal Association

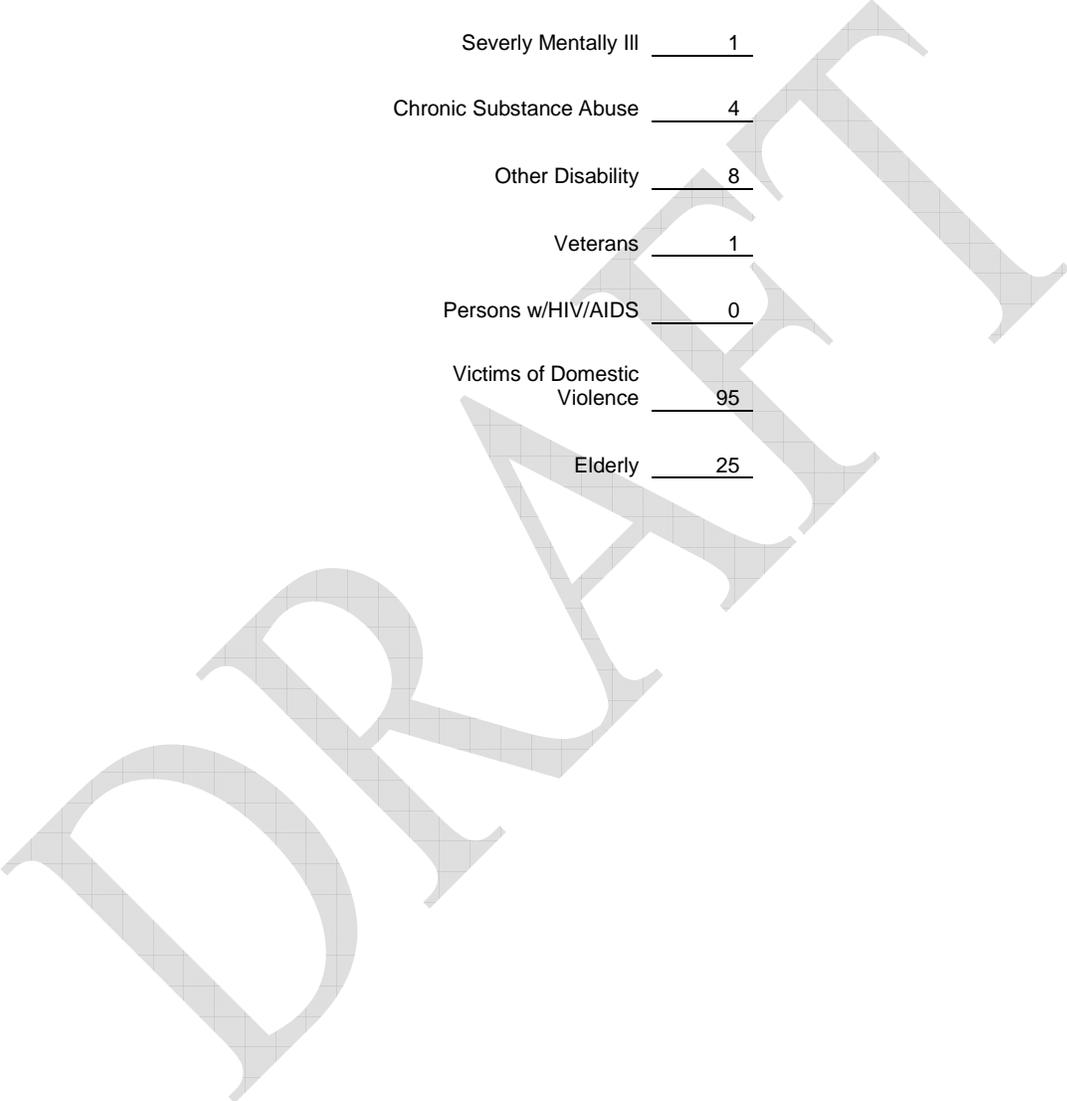
The number of the population served who are:

Chronically Homeless 20
Severly Mentally Ill 0
Chronic Substance Abuse: 10
Other Disability 41
Veterans: 3
Persons With HIV/AIDS 0
Victims of Domestic Violence 2
Other: 1

DRAFT

The number of the population served who are:

| | |
|------------------------------|-----------|
| Chronically Homeless | <u>0</u> |
| Severly Mentally Ill | <u>1</u> |
| Chronic Substance Abuse | <u>4</u> |
| Other Disability | <u>8</u> |
| Veterans | <u>1</u> |
| Persons w/HIV/AIDS | <u>0</u> |
| Victims of Domestic Violence | <u>95</u> |
| Elderly | <u>25</u> |



HUD Reporting Requirements

A. Affirmatively Furthering Fair Housing

According to the Fair Housing Planning Guide published by HUD, “the CDBG program contains a regulatory requirement to affirmatively further fair housing based upon HUD’s obligation under Section 808 of the Fair Housing Act. The CDBG regulation also reflects the CDBG statutory requirement that grantees certify that they will affirmatively further fair housing. Similarly, the HOME program regulation states the statutory requirement from the Comprehensive Housing Affordability Strategy (CHAS) that the jurisdictions must affirmatively further fair housing.

In support of these regulations, HUD’s CPD Department also requires CD grantees, including entitlement communities like Springfield, to document Affirmatively Furthering Fair Housing AFFH actions in their annual CAPERs. Grantees must:

- Conduct an analysis to identify impediments to fair housing choice within the jurisdiction;
- Take appropriate actions to overcome the effects of any impediments identified through the analysis; and
- Maintain records reflecting the analysis and actions to eliminate impediments to fair housing choice.

In order to comply with these regulations and requirements, the City of Springfield conducted a Fair Housing planning process in 2001, which included completion of an analysis of impediments to fair housing. In 2003, the City of Springfield’s Office of Community Development revised its analysis of impediments (AI) with the help of MBL Housing and Development Inc., a consultant hired based on direction from HUD.

In 2006, the City of Springfield augmented this AI with additional analysis and measurable action steps. A DRAFT AI was made available for public review as part of the public review process for the 05-06 CAPER, and it was sent for review and comment to organizations that are directly or indirectly involved with affirmatively furthering fair housing in the region. A copy of the final AI was included in the City’s FY06-07 Action Plan. An overview of the impediments found and a list of actions taken during the FY06-07 program year to address the impediments are detailed below.

IMPEDIMENTS FOUND

The following impediments to fair housing in Springfield were identified in the AI:

- a. Lack of extensive amounts of undeveloped land.
- b. Imbalance between rental and homeownership in various neighborhoods.
- c. Presence of deteriorated privately-owned properties which are vacant or not actively managed.

- d. Evidence of predatory lending and redlining.
- e. Existing patterns of segregation.
- f. Language barriers and cultural differences.
- g. The age of the housing stock and the prevalence of lead-based paint hazards.

ACTIONS TAKEN TO ADDRESS IMPEDIMENTS

The City of Springfield has taken positive steps to affirmatively further fair housing and to address the impediments to fair housing identified in the AI.

1. Provision of housing opportunities. Springfield's AI indicates that some of the greatest barriers to fair housing are related to the lack of housing opportunities for all people. The City continues to address this issue through the following initiatives:
 - a. Expansion of affordable, affirmatively marketed housing stock throughout all Springfield's neighborhoods. Through the strategic use of its federal housing funds, the city has financed affordable housing opportunities within nearly all of Springfield's neighborhoods. The City's financing requires the units to be affirmatively marketed by the developer/owner with the results of those marketing efforts reported to the City annually.
 - b. Utilization of municipal properties as redevelopment sites for affordable housing opportunities. Springfield has undertaken a comprehensive program to take abandoned blighting properties through the land court process. The reuse of these properties, while not restricted to affordable housing, has enabled the city to create homeownership opportunities.
 - c. Multi-family dwelling owners seeking financial assistance for work, including rehabilitation and lead paint abatement, are required to demonstrate how the project will be marketed to 'those persons least likely to apply' and demonstrate, to the greatest extent possible, that the multi-family complexes are integrated communities.
 - d. Provision of financial assistance to eligible homebuyers in all Springfield neighborhoods. A basic premise of Springfield's homebuyer assistance program is that each buyer should be able to achieve homeownership in any neighborhood.
 - e. Advocacy at the regional level, as part of a regional planning process to address homelessness, to expand affordable and supportive housing opportunities throughout the region.
 - f. Research leading to creation of a program, the Home Savers Program, designed to address problems with predatory lending and home repair scams.

2. Provision of Education concerning Fair Housing and Housing Discrimination
 - a. The City provided homebuyer education workshops in English and Spanish throughout the program year. While the primary objective was to prepare first-time homebuyers for ownership, the education workshops contain a component on fair housing.
 - b. The City, through a consultant, provided financial literacy assistance to public housing residents throughout the program year.
 - c. The City, through its Office of Housing and through a subcontract relationship, provided education and legal advocacy for households facing housing discrimination.

B. Affordable Housing

Through a variety of programs, the City was able to assist low and moderate income homeowners and renters. The following table illustrated the numbers of households assisted. The accompanying program descriptions provide a brief overview of the programs and funding sources.

AFFORDABLE HOUSING: HOUSEHOLDS ASSISTED

| | First-Time Homebuyer | HOME Rehab | GTLO | Heating Systems | Clean & Tune | Relocation | Project-Based Homeownership | Multi-Family Rental Production | TBRA | Totals |
|------------------|----------------------|------------|------|-----------------|--------------|------------|-----------------------------|--------------------------------|------|--------|
| 0-30 MFI Renter | | | | | 8 | 68 | | | 36 | 112 |
| 0-30 MFI Owner | 1 | 4 | 1 | 59 | 547 | | | | | 612 |
| 31-51 MFI Renter | | | | | 5 | 3 | | | | 8 |
| 31-50 MFI Owner | 13 | 12 | 1 | 40 | 369 | | | | | 435 |
| 51-80 MFI Renter | | | | | 2 | 2 | | | | 4 |
| 51/80 MFI Owner | 20 | 10 | 9 | 3 | 22 | | 6 | | | 72 |

Key:

G.T.L.O. – "Get The Lead Out" a state funded lead abatement financing program.

Heating Systems - a state funded heating system repair and replacement system that serves fuel assistance eligible households.

Clean and Tune – a state funded program that provides annual maintenance service to heating systems for fuel assistance eligible homeowners.

Relocation – a federally funded (CDBG) program, which offers assistance to households displaced as a result of condemnation.

Project Based Homeownership – a federally funded (HOME) program, which provides 'turn-key' homeownership units for first-time homebuyers. Developers are provided financial assistance to acquire and rehabilitate distressed properties.

Multi-family Rental Production – a federally funded (HOME) program which produces affordable rental units. Not all units produced had been filled by the end of the reporting period.

T.B.R.A. –Tenant-based Rental Assistance - a federal funded (HOME) program used to provide rental subsidies for formerly homeless singles and families.

C. Homeless/Continuum of Care Narrative

The City is in its third year of implementing its Ten-Year Plan to End Homelessness, "Homes Within Reach," which was released in January 2007. The plan addresses the needs of both chronically homeless and crisis homeless, and both individuals and families. The plan sets forth numerous strategies to achieve eight core goals: 1) permanent supportive housing for the chronic homeless; 2) homelessness prevention; 3) rapid exit from homelessness; 4) employment and training to increase incomes; 5) deeply subsidized housing; 6) improved access to mainstream services; 7) coordination and advocacy with our community, our region, and state and federal governments; and 8) accountability through data collection and analysis.

Implementation of the plan has been led by the City's Deputy Director of Homeless and Special Needs Housing, and an Implementation Committee. The Committee is composed of individuals from government, non-profit entities, the business community, the faith community, housing providers and foundations. Members of the Implementation Committee have been very active in advocating for and committing resources to the plan, as well as in building community support for the plan.

Our January 2009 point-in-time count showed that, in its second year, Homes Within Reach **reduced homelessness** among single individuals in Springfield by 9%,; over the first two years of the plan's implementation, the point-in-time count has shown a 18% reduction in the number of homeless single individuals. Our January 2008 count identified 215 homeless individuals and

107 homeless families in the City. This reduction was due to our community's creation of 26 permanent supportive housing opportunities for chronically homeless individuals in FY08-09, added to the 76 housing opportunities for chronically homeless individuals created in prior two fiscal years.

During FY08-09, Springfield and City-funded agencies began use of a new Homeless Management Information System (HMIS) purchased the prior year from Social Solutions. As we collect data, we expect to improve our understanding of our local homeless population and the tools that are effective in helping them to become stably housed. It will also improve our capacity to track progress in reducing homelessness.

The Homes Within Reach plan calls for development of a Homeless Resource Center, which will combine shelter beds and day center space with the services necessary to exit homelessness: an employment and housing resource center, a medical and dental clinic, on-site social services, and flexible office space to be used by providers of mainstream services on a rotating basis. The Center is to be combined, in a campus model, with 32 SRO units, which will be permanent housing for individuals experiencing homelessness. The project has recently received been awarded Tax Credit Assistance Program funds, which is the final funding piece needed. The project is expected to break ground in October 2009. Additional funding sources for the project include HOME funds through both the City and state, McKinney funds, additional state funding, a Federal Home Loan Bank Board grant, and \$1 million raised by the local business community.

We have continued to make progress over the past year toward our goal of engaging our regional partners in the goal of ending homelessness, and in obtaining financial support for a regional initiative to end homelessness. In FY 08-09, multiple participants throughout the region, including the City of Springfield, collaborated to form a regional network to end homelessness, which was awarded \$1.1 million from the Commonwealth of Massachusetts to pilot innovative approaches to ending homelessness. The funds, which will be spent over an 18-month period, will be used for prevention and rapid re-housing for 310 families, and intensive supportive services for 38 chronically homeless individuals moving into affordable housing units.

Springfield was awarded \$1.7 million in Homelessness Prevention and Rapid Re-housing program (HPRP) funds, which it will begin using in FY09-10. The City is using the funds to set up one coordinated response system for families, and one system for single individuals. These systems, each organized by a single lead agency, will be single points of entry for the populations they serve. The City has just completed a competitive process to select the two lead entities; the entities chosen are Catholic Charities and HAP.

Leadership for the City's homelessness initiatives originates from both the Ten-Year-Plan Implementation Committee and the Continuum of Care. The two committees share several common members. The CoC serves to identify issues at the service level that the Implementation Committee seeks to address at the policy level. The two Committees collaborate on a number of committees and initiatives, including a Supportive Housing Development Workgroup, a Homeless Employment and Training Workgroup, and our annual Project Homeless Connect event.

The CoC has regular monthly meeting, attended by 30-40 individuals. The meetings are scheduled on a regular date (the third Thursday of the month), with agendas sent out in advance. Agendas over the past year have included HMIS coordination and implementation; discharge planning; planning for HPRP; discussion of strategies regarding family homelessness; coordination of the point-in-time count; discussions about youth homelessness; input into Project Homeless Connect planning; review of CoC project performance; and sessions on trauma-informed services, and accessing Adult Court services for mentally ill individuals. The CoC has three regular committees that meet quarterly: HMIS and Data Coordination, Access to Mainstream Resources; and Discharge Planning.

The Implementation Committee meets quarterly, and focuses on our community's progress toward achieving the goals set out in the Ten-Year Plan, and addressing barriers to achieving those goals.

DRAFT

| Goal | Accomplishment Steps | Year 3 Actual Accomplishment |
|---|--|--|
| End chronic homelessness | Ensure implementation of 10-year plan to end homelessness | Implementation committee met regularly to monitor progress and address obstacles. |
| Identify housing and service needs to address chronic homelessness | Quantify needs for planning and resource allocation | January 2007 Plan quantifies needs and necessary resources to meet those needs; City has been shifting its own funding and seeking new funds to meet the identified needs. |
| | Commit resources within Consolidated Plan | City intends to revise its Consolidated Plan to commit resources according to priorities set forth in Ten Year Plan. |
| Expand availability of appropriate housing units through development of additional permanent supportive housing | Establish permanent supportive housing as a priority for City administered funding resources (HOME, HOPWA, LEAP) | City has established permanent supportive housing as a priority. |
| | Obtain mainstream resource commitment for required services | City is partnering with mental health agency and housing authority to provide permanent supportive housing to chronically homeless persons with mental illness; mental health agency is providing wrap-around supportive services. |

| | | |
|---|--|--|
| Coordinate discharge planning | Participate in evaluating and revising Commonwealth policies | City continued to participate in state meetings regarding discharge policies. |
| | Compile discharge data in Point-in-Time Count | Discharge data collected in Jan. 09 Point-in-Time Count; City also began collecting quarterly discharge data. |
| | Engage relevant agencies and funding sources in development of discharge protocols | City continues to meet with sheriff's department, hospitals, and foster care services regarding discharge. |
| | Create housing options for persons being discharged from institutions | City partnering with Hampden County Sheriff's office for post-release sober transitional housing. City committed CDBG funding for rehabilitation of a home to provide supportive housing to 6 youth aging out of foster care. |
| Expand capacity of day center to enable homeless people to link to services | Develop new Homeless Assistance Center with room for basic and mainstream services | Construction of Homeless Resource Center expected to begin October 2009. |

D. Foreclosure and Neighborhood Stabilization Program

Western Massachusetts Foreclosure Prevention Center. In FY07-08, the City partnered with HAP, Inc. and the Massachusetts Fair Housing Partnership, along with 12 other collaborating agencies throughout the region, to create the Western Massachusetts Foreclosure Prevention Center. The Center continued operation in FY08-09, funded by grants from the Massachusetts Department of Housing and Community Development and the Massachusetts Division of Banks. The Center's goals are:

- Addressing the needs of current homeowners facing possible mortgage defaults and foreclosures;
- Educating the next generation of homebuyers to both avoid future inappropriate loans and to produce a pool of new qualified, educated, responsible buyers able to acquire

homes that have been foreclosed and that might otherwise become vacant or even abandoned; and

- Developing effective strategies, using the collective capacity of the region's nonprofit housing developers to address the challenges of dealing with those properties that may never the less become vacant and abandoned.

HAP and the collaborating non-profit entities address the foreclosure problem by providing a range of services including: foreclosure prevention counseling and negotiation, homebuyer education, financial literacy education, legal assistance, down payment and closing cost assistance, foreclosure assistance grants and loans and neighborhood stabilization activities in areas with high foreclosure rates. The Center coordinates these for maximum effect and efficiency and increases capacity.

Foreclosure Rescue Funds. In FY07-08, Springfield committed \$100,000 in CDBG funds to create a Foreclosure Rescue Fund. The fund is to make grants to homeowners facing foreclosure, where the grant will enable the homeowner to address arrears and maintain the home into the future. The funds may only be accessed through foreclosure counseling agencies, where the funds are one part of the strategy to prevent foreclosure. The City initiated operation of the program in FY08-09.

Foreclosure Prevention Workshop. The City sponsored a second foreclosure prevention workshop in FY08-09 that brought together homeowners in trouble with representatives from eight national lenders to work toward foreclosure solutions. The event was done in conjunction with the Western Massachusetts Foreclosure Prevention Center and with the support of the Massachusetts Division of Banks and Office of Consumer Affairs and Business Regulation. In addition to the banks, homeowners had access to foreclosure prevention specialists and pro bono attorneys.

Outreach to Homeowners, Tenants, and Neighbors. In FY08-09, the City continued distribution of "doorknockers"—pamphlets hung on front door handles—which contain information for all those impacted by foreclosure: it provided referral information for tenants (Legal Services), for homeowners (Western Massachusetts Foreclosure Prevention Center) and for neighbors (code enforcement).

Increasing Receivership Activity. In FY08-09, the City continued working with The Massachusetts Housing Partnership and the Department of Housing and Community Development to increase our capacity to initiate and maintain receiverships, including heat receiverships. These receiverships enable the City to stabilize and preserve multi-family properties in foreclosure.

Neighborhood Stabilization Program. In FY08-09, the City was awarded \$2.5 million in Neighborhood Stabilization Program funds from HUD, and an additional \$1 million in NSP funds from the Commonwealth of Massachusetts. The City conducted a public planning process for use of these funds, created a draft plan for public comment, and received approval for its final plan from HUD. Pursuant to the plan, the City will provide funding for acquisition and rehabilitation of 25 vacant or foreclosed properties, provide financing incentives and education

for homebuyers, demolish 6 blighted properties, and landbank 2 properties for future development. This activity will take place in the targeted NSP area, which consists of Old Hill, Six Corners, the South End and lower Forest Park. These are the areas of the City that have been most impacted by foreclosure and vacant properties.

The City used a competitive process to select five qualified developers to conduct the redevelopment of the properties. The five developers are: HAP, Inc; Brightwood Development Corp.; Better Homes; Springfield Neighborhood Housing Services; and the Criminal Justice Organization of Hampden County. These entities are currently in the process of identifying and creating proposals for specific redevelopment projects. At the end of FY08-09, HAP is poised to begin the first redevelopment project.

E. Other Actions

i. Addressing Obstacles to Meeting Underserved Needs

While there are numerous obstacles to serving underserved needs and subpopulations in Springfield, the two primary obstacles are:

- The coordination of resources from multiple funding sources across various agencies and providers. Each funding source has its own eligibility, definitions and objectives.
- Lack of sufficient resources to engage and serve special needs sub-populations.

During FY 06-07, the City worked to overcome these obstacles by advocating for legislative change, when appropriate, hosting forums for special needs persons and providers to improve coordination and communication, providing technical and financial assistance, and commencing the implementation of the City's ten year plan to end homelessness, and Project Homeless Connect, a day-long one-stop-shopping event offering services and programs available for homeless persons and persons at risk of becoming homeless was organized during the program year. The event was held in August, 2007.

ii. Foster and Maintain Affordable Housing

The City of Springfield's population, according to the 2000 United States census, stands at 152,082. According to census data, nearly 60% of Springfield's households are low or moderate income and there are 7,100 households living in poverty. This figure represents close to 20% of the population of Springfield.

Springfield has 61,172 housing units. Of this number, 49.88% are owner occupied and 50.12% are rental units. According to the 2000 census, there are 28,631 occupied rental units. Of these rental housing units, 10,522 are occupied by households with a public housing certificate (Section 8 or Mass Rental Voucher) or are legally deed-restricted to provide affordable housing to low-income households. Nearly 37% of Springfield's rental stock provides affordable housing to low-income persons. Springfield has achieved this impressive number by fostering affordable housing initiatives.

Despite this overwhelming number, the Local Housing Agencies cite a growing demand for affordable rental housing. The two agencies that administer Section 8 rental subsidies report thousands of households on their wait lists. The demand for larger, family units is especially acute.

In response to the need for affordable family rental units, the City has prioritized the appropriate redevelopment of family units. Utilizing its federal entitlement funds, HOME Investment Partnership Program, the City financially assists projects that create or preserve family housing units. Through projects funded with this HOME assistance the City increases the number of deed restricted affordable housing units. Springfield utilizes long term deed restrictions to foster affordability.

The City's attempt to maintain quality rental housing is complicated by the age of Springfield's housing stock. Of Springfield's 61,172 housing units, 36.3% were built prior to 1940. In excess of 60% of the multi-family housing units were constructed prior to 1940.

Until 2007, Springfield had experienced a steady increase in the number of building permits issued for residential rehabilitation and residential construction. For the fiscal year ended June 30, 2006, 194 building permits were issued for the construction of new residential housing in Springfield compared to just 76 building permits for the fiscal year ending June 30, 2008.

The single family development projects and rental housing rehabilitation and construction projects are currently underway or were recently completed in the City, aggregating at 76 homes with worked completed valued at an average of about \$134,500 each. It was estimated at the time the permits were issued that approximately \$.13.1 million of private investment would be directed toward the construction and/or rehabilitation of 946 residential units.

Annually, the City submits an application to the Commonwealth of Massachusetts seeking certification under Execution Order 418. This certification requires the City to document its efforts to increase the number of affordable units and to set goals for the upcoming year.

Springfield set and accomplished the following goals for FY07-08. Each short-term goal is a direct response to identified community housing needs.

| Goal | Proposed | Accomplished |
|--|---|--|
| Improve the quality of rental housing stock through rehabilitation and lead abatement efforts | → 30 rehabilitated rental units → 10 units cleared of lead hazards | → 53 units → 935 units |
| Ensure the availability of affordable rental housing through multi-family rental production and preservation | → 30 units created through rental production program → 16 households assisted through TBRA program | → 53 units → 42 households |
| Support court-ordered condemnations and | → 20 at risk tenants assisted | → 73 at risk tenants received voluntary relocation |

| | | |
|--|---|---|
| receiverships of problem rental properties and provided relief to tenants impacted by court ordered condemnations and receiverships. | | assistance |
| Increase homeownership among low-income households | → 15 households assisted through the Homebuyer Assistance Program → 15 units benefiting from the project based homeownership program → 30 households benefiting the American Dream Down payment Initiative (ADDI) | → 15 households → 7 units → 25 households |
| Improve the quality of owner-occupied housing thereby permitting low-income owners to remain in safe housing | → 15 family units | → 28 family units |

iii. Eliminate Barriers to Affordable Housing

The City undertakes an annual analysis of barriers to affordable housing. According to the Massachusetts Department of Housing and Community Development, 16.5 percent of housing units in Springfield were classified as subsidized housing on their Subsidized Housing Inventory as of their August 13, 2007 report.

Within the public hearing process and the City’s application as an EO418 community, a detailed analysis of barriers and effective responses is undertaken.

The City has undertaken proactive steps to eliminate barriers to affordable housing. Specifically, during FY08-09 the City:

- Maintained an inventory of municipally owned land that is suitable for the development of housing;
- Aggressively pursued tax-taking of properties to be utilized to promote the goals of its’ housing strategy;
- Worked with local lenders and non-profit agencies to provides first-time homebuyer education and counseling;
- Provided housing search and relocation assistance to households residing in sub-standard rental units and to persons experiencing homelessness;
- Pursued projects to meet needs identified in the Balanced Housing Task Force’s analysis of housing needs based on 2000 census;

- Supported project applications for affordable housing resources including LIHTC, HIF, HSF and HOME; and
- Administered state funds for the abatement of lead hazard controls.
- Provided leadership for the regional planning process to end homelessness, advocating that affordable housing needs be considered on a regional basis.

iv. Overcome Gaps in Institutional Structures and Enhance Coordination

A collaborative approach is utilized by the City of Springfield to implement programs and projects that involve the use of entitlement funding. Administered by the Community Development Office, service delivery is completed by a number of City departments and other organizations. Although this collaborative approach is working, the City works continuously to identify gaps of service and coordinates efforts to ensure that necessary actions are taken to fill the gaps.

The Office of Housing administered and implemented programs described in the Five Year Consolidated Plan and Annual Action Plans.

Key Staff includes:

- Chief Development Officer
- Deputy Director of Neighborhoods
- Deputy Director of Economic Development
- Deputy Director of Homeless and Special Needs
- Director of Housing
- Director of Administration and Finance

To implement the City’s strategy, during FY07-08 these departments utilized private industry, non-profit organizations, including CBDO’s, CHDO’s, and City departments. The utilization of such a broad base of organizations enabled the City to address its community development, housing, homeless and special needs objectives. However, while the number and abilities of the organizations and departments involved are an institutional strength, the City constantly works to coordinate the projects and programs. Such coordination is integral to the success of the Plan. During the past OCD continued to find success through its efforts to coordinate with these organizations and departments.

During this 08-09 program year areas of particular strength included:

- The State, through the Financial Control Board, is directly involved in the implementation of the City’s community development strategy.
- As the result of a directive from the Financial Control Board, the City continued to implement the citywide performance based budget. Programs funded in part or in whole with entitlement funds were also measured using HUD’s performance measurement system.
- The City’s strong homeless provider network is a particularly important strength of the delivery system, especially the components of the Plan that pertain to the implementation of

the 10 year plan to end chronic homelessness in Springfield that was finalized during the prior program year.

Major gaps identified included:

- A need to determine business needs as part of a comprehensive economic development strategy. Pursuant to this identified gap, the City in partnership with the business community in Springfield contracted the Urban Land Institute to develop an economic development strategy for the City. The City also contracted the Donahue Institute at the University of Massachusetts to study the needs of small businesses in Springfield, particularly minority- and women-owned businesses located in Neighborhood Revitalization Strategy Areas.
- Lack of programming to support low and moderate income persons directly or indirectly affected by anti-blight initiatives, including court-ordered receiverships and court-ordered condemnations. In response to this need the City has implemented a voluntary relocation assistance program.

A number of mechanisms were used in FY08-09 to help fill these gaps.

In particular, the continued reorganization of the community development departments led to identification of non federal funding to hire new staff to conduct economic development and revitalization programs and projects and to continue to improve the delivery of code enforcement, demolition and related programs.

Also, the City continued to work with community based development organizations and other non profits to improve the delivery of programs serving residents of CDBG eligible areas in a coordinated, efficient and thorough manner. The upfront investment during the first two years of resources has yielded increased capacity at underperforming community-based organizations, CDCs, and nonprofits; leverage additional funds for projects and programs; and result in improved living conditions and quality of life for low and moderate income persons in Springfield.

In FY08-09 this increased coordination will be particularly evident through work conducted to revitalize Springfield's neighborhoods through City anti blight programs and initiatives aimed to eliminate blight.

v. Improve Public Housing and Resident Initiatives

Each year, the Springfield Housing Authority produces an Annual Plan, which includes numerous goals and objectives for public housing and resident initiatives. As part of the SHA's process, the annual plan is reviewed by the City's Director of Housing prior to the Mayor's certification of its consistency with the Consolidated Plan. The most recent Annual Plan was finalized in winter, 2007.

In this current Annual Plan, the Springfield Housing Authority committed to the following in their strategy for addressing the housing needs for families in the jurisdiction and on the waiting list:

NEED: Shortage of affordable housing for all eligible populations

Strategy 1: Maximize the number of affordable units available to the PHA within its current resources by

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate with Consolidated Plan development process to ensure coordination with broader community strategies.

Strategy 2: Increase the number of affordable housing units by:

- Apply for additional section 8 units should they become available.
- Pursue housing resources other than public housing or Section 8 tenant-based assistance. [Will investigate partnering on Section 202 property in conjunction with non-profit entity.]

NEED: Specific Family Types: Families at or below 30% median

Strategy 1: Target Available assistance to families at or below 30% of AMI

- Adopt rent policies to support and encourage work

NEED: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

- Adopt rent policies to support and encourage work

NEED: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly

- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Conduct an analysis of demand for units for the elderly and determine if it should designate any units as elder-only.

NEED: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities

- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profits agencies that assist families with disabilities

NEED: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs.

Strategy 2: Conduct activities to affirmatively further fair housing

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty/minority concentrations.
- Create transitional housing program at Marble Street Apartments for up to 15 homeless families with on site case-management support.
- Assess opportunities for project based program in Housing Choice Voucher Program to address needs of chronically homeless individuals and families from Springfield, MA.

vi. Evaluate and Reduce Lead Based Paint Hazards

Springfield's attempt to supply adequate safe affordable quality housing is complicated by the age of Springfield's housing stock. Of Springfield's 61,172 housing units, 36.3% were built prior to 1940. In excess of 60% of the multi-family housing units were constructed prior to 1940. A full 89.9% of the housing units in Springfield were built pre-1978 and are therefore likely to contain lead-based products. Quality lead free units are increasingly difficult to locate even if rental assistance is provided.

Springfield as a whole continues to be defined as a "high risk" community for lead poisoning by

the Commonwealth's Department of Public Health. In Massachusetts, a high-risk community is defined as a town or city with an incidence rate equal to or higher than the state's rate, for cases ≥ 20 ug/dL per 1,000 children screened. High risk rates are created by averaging the last 5 years of data, with adjustments for the percentage of housing built before 1950 and the percentage of low to moderate income families in each community.

The high-poverty level in Springfield coupled with the age of the housing stock together produce an at-risk population that is most susceptible to lead poisoning due to the living conditions as well as the access to proper medical care and testing. The following table demonstrates that among even those communities designated as "high risk" Springfield lags far behind on screening for lead poisoning. Among the 19 communities listed by the Department of Public Health as "high risk" Springfield has the 3rd lowest screening percentage.

High Risk Communities for Childhood Lead Poisoning
July 01, 2001 through June 30, 2006

| Community | 5-yr Cases | Rate Casesx1000 | % Low Income | % Pre-1950 | Adjusted Rate | % Screened |
|--------------------|-------------------|------------------------|---------------------|-------------------|----------------------|-------------------|
| Brockton | 76 | 3.1 | 44% | 46% | 4.1 | 88 |
| New Bedford | 73 | 3 | 58% | 66% | 7.5 | 94 |
| Chelsea | 32 | 2.6 | 56% | 60% | 5.7 | 95 |
| Lawrence | 54 | 2.3 | 59% | 61% | 5.4 | 80 |
| Fitchburg | 18 | 2.2 | 47% | 65% | 4.4 | 73 |
| Springfield | 81 | 2.1 | 56% | 52% | 4 | 76 |
| Lynn | 45 | 1.9 | 47% | 66% | 3.8 | 84 |
| Lowell | 45 | 1.8 | 45% | 54% | 2.8 | 73 |
| Boston | 200 | 1.7 | 45% | 67% | 3.3 | 87 |
| Haverhill | 18 | 1.4 | 35% | 49% | 1.6 | 73 |
| Holyoke | 15 | 1.4 | 55% | 55% | 2.8 | 75 |
| Worcester | 50 | 1.4 | 49% | 57% | 2.5 | 81 |
| Taunton | 15 | 1.3 | 40% | 43% | 1.5 | 72 |
| Fall River | 23 | 1 | 57% | 64% | 2.4 | 82 |

(*) Only communities with at least 15 cases and with their Adjusted Rate no less than the state rate of 1.0 for this 5-yr period have been included.

5-yr Cases = Numbers of newly confirmed cases with blood lead levels ≥ 20 mcg/dL (children 6 to 72 months) identified between July 1, 2001 and June 30, 2006

Rate: Cases x 1000 = Numbers of cases per 1,000 children (6 to 72 months) screened during this period

% Low Income = Percentage of households with low or moderate income

% Pre-1950 = Percentage of housing units built prior to 1950

Adjusted Rate = (Rate by town) * (%Low Income by town / %Low Income MA) * (%Pre-1950 by town / %Pre-1950 MA)

% Screened = Percentage of children 9 to 48 months of age tested for lead poisoning during this period using Census 2000 population estimates (*some communities have a percentage above 100 because the population is underestimated)

When compared to the "high-risk" communities and the Massachusetts average, Springfield's needs are even more glaring.

| <i>Community</i> | 5-yr Cases | Rate Cases x 1000 | % Low Income | % Pre-1950 | Adjusted Rate | % Screened |
|--------------------|-------------------|--------------------------|---------------------|-------------------|----------------------|-------------------|
| MA High Risk | 745 | 1.9 | 48% | 61% | 3.6 | 82 |
| Massachusetts | 1190 | 1.0 | 35% | 44% | 1.0 | 73 |
| Springfield | 81 | 2.1 | 56% | 52% | 4 | 76 |

As the chart above shows, Springfield is second only to Boston in 5 year cases. When this fact and the other factors used to determine ‘high risk’ are considered together, Springfield could very easily be defined as the highest risk community in Massachusetts.

An analysis of the childhood poisoning cases and age of housing stock shows a full 52% of poisoned children reside in older, deteriorating stock.

The City has aggressively sought to improve the quality of its affordable housing stock. The City through its’ Office of Housing markets and administers the Commonwealth’s “Get the Lead Out” program. The administration of these funds has resulted in the abatement of lead hazard controls in over 300-family rental units in the past five years. Utilizing the City’s GIS system, the Planning Department has created a lead safe housing registry, which assists housing search workers, public health advocates, and families to identify lead-safe housing.

The City uses federal dollars to evaluate and reduce lead based paint hazards. CDBG funds support the Division of Code Enforcement, which conducted over 4,000 inspections within target areas. In accordance with Mass lead laws, lead based paint hazards determination is undertaken during all state sanitary inspections in units that house children under 6 years of age.

The number of “seriously sub-standard” units are compiled through City surveys and inspections. The housing characteristics detailed below document the need for aggressive Code Enforcement.

HOUSING CHARACTERISTICS

| Neighborhood | Number (%) of Neighborhood Dwelling Units built Prior to 1978 | Number (%) of Neighborhood Dwelling Units built prior to 1940 | Number of Seriously Sub-Standard Units |
|-----------------|---|---|--|
| Old Hill | 1,668 (88%) | 823 (44%) | 314 |
| McKnight | 1,655 (93%) | 1,309 (74%) | 128 |
| Upper Hill | 1,975 (94%) | 1,024 (49%) | 131 |
| Six Corners | 2,106 (89%) | 974 (40%) | 241 |
| Liberty Heights | 5,972 (94%) | 3,051 (48%) | 245 |
| Memorial Square | 1,953 (84%) | 925 (39%) | 306 |
| Brightwood | 1,366 (90%) | 250 (16.5%) | 78 |
| Forest Park | 10,625 (95%) | 7,475 (68%) | 329 |
| South End | 1,800 (95%) | 1,080 (57%) | 192 |

The Lead Hazards section of Environmental Defense "Scorecard", which is co-sponsored by the Alliance to End Childhood Lead Poisoning, ranks census tracts by the potential lead hazards. "Scorecard's" summary of Lead Hazards clearly documents the unmet need. The following chart summarizes the lead hazards that are present in Springfield.

Source: http://www.scorecard.org/env-releases/lead/county.tcl?fips_county_code=25013

SUMMARY OF LEAD HAZARDS – CITY OF SPRINGFIELD

| Census Tract | Neighborhood | # Of Units at High Risk | Units Built Pre 1950 | Units With Low Income | Children Under 5 Living In Poverty | State Rank out of 50 | County Rank out of 92 |
|--------------|------------------|-------------------------|----------------------|-----------------------|------------------------------------|----------------------|-----------------------|
| 801601 | 16 Acres | 10 | 180 | 92 | 21 | | 82 |
| 801602 | 16 Acres | 23 | 180 | 230 | 120 | | 68 |
| 801603 | 16 Acres | 94 | 180 | 89 | 15 | | 87 |
| 801604 | 16 Acres | 67 | 150 | 68 | 38 | | 89 |
| 801605 | 16 Acres | 22 | 160 | 230 | 150 | | 70 |
| | | | | | | | |
| 801900 | 6 Corners | 730 | 1800 | 1200 | 590 | 1 | 1 |
| | | | | | | | |
| 801401 | Bay | 240 | 700 | 450 | 200 | | 16 |
| | | | | | | | |
| 800700 | Brightwood | 150 | 350 | 610 | 260 | | 31 |
| 801503 | Brightwood | 44 | 300 | 230 | 32 | | 62 |
| | | | | | | | |
| 802400 | East Forest Park | 18 | 680 | 40 | 8 | | 74 |
| 802500 | East Forest Park | 67 | 950 | 200 | 55 | | 47 |
| | | | | | | | |
| 800201 | East Springfield | 160 | 1300 | 300 | 160 | | 29 |
| | | | | | | | |
| 802100 | Forest Park | 440 | 1900 | 610 | 230 | 15 | 2 |
| 802200 | Forest Park | 230 | 730 | 330 | 97 | | 17 |
| 802300 | Forest Park | 370 | 1700 | 490 | 320 | 31 | 5 |
| 802601 | Forest Park | 220 | 1700 | 320 | 100 | | 18 |
| 802602 | Forest Park | 22 | 300 | 78 | 24 | | 71 |
| | | | | | | | |
| 800100 | Indian Orchard | 300 | 1600 | 600 | 230 | | 10 |
| 800202 | Indian Orchard | 14 | 170 | 43 | 19 | | 79 |
| | | | | | | | |
| 800300 | Liberty Heights | 86 | 820 | 190 | 41 | | 43 |
| 800400 | Liberty Heights | 210 | 1600 | 290 | 150 | | 19 |
| 800500 | Liberty Heights | 79 | 700 | 130 | 62 | | 44 |
| 800900 | Liberty Heights | 200 | 460 | 740 | 310 | | 22 |
| | | | | | | | |
| 801300 | McKnight | 380 | 1100 | 550 | 200 | 24 | 3 |
| | | | | | | | |
| 800600 | Memorial Square | 210 | 330 | 541 | 280 | | 20 |
| 800800 | Memorial Square | 91 | 210 | 370 | 130 | | 41 |

| | | | | | | | |
|--------|--------------|-----|------|-----|-----|----|----|
| 801101 | Metro Center | 180 | 460 | 440 | 100 | | 25 |
| 801200 | Metro Center | 350 | 870 | 480 | 100 | 37 | 7 |
| 801800 | Old Hill | 320 | 910 | 510 | 300 | | 9 |
| 801402 | Pine Point | 47 | 300 | 130 | 62 | | 57 |
| 801501 | Pine Point | 100 | 800 | 220 | 150 | | 39 |
| 801502 | Pine Point | 88 | 380 | 300 | 220 | | 42 |
| 801102 | South End | 100 | 470 | 150 | 51 | | 38 |
| 802000 | South End | 370 | 790 | 590 | 290 | 28 | 4 |
| 801700 | Upper Hill | 260 | 1500 | 330 | 270 | | 15 |

According to this chart shows and accompanying research conducted on the “Scoreboard” website, Census Tract 801900, which located within the Six Corners/Maple High neighborhood in Springfield:

- Has the highest percentage of high-risk units in Massachusetts
- Is in the top fifty high risk census tracts nationwide.

Further, Springfield has six of the top fifty high-risk census tracts state-wide and nine of top ten county-wide.

Nationwide, Massachusetts ranks 7th with the 100,000 high-risk units. Of these, Springfield is home to over 4,000 units, giving Springfield 5% of the entire state’s high-risk units. The average number of high-risk units per city state wide is 400, Springfield has more than ten times this amount.

These numbers demonstrate an alarming reality that Springfield, with its poverty level and aging housing stock, needs to dedicate all available resources to combatting the level of lead poisoning and the possible incidence of lead poisoning.

In addition to evaluating lead based paint hazards, the City administers a state-funded lead abatement program and insures compliance with Title X on all federal funded rehabilitation projects.

Lead abatement activities were completed on properties under the state lead abatement financing program, and all project-based and multi-family units funded the HOME funds.

vii. Ensure Compliance with Program and Comprehensive Planning Requirements

The City strives to improve its compliance and sub-recipient management systems to achieve efficient administration of our federal programs.

In FY06-07, the City implemented the following changes to its CDBG compliance program:

- Improved tracking of accomplishment and performance measurement data at regular project tracking meetings.
- Increased level of detail provided in scope of services and budgets that will help the city measure its success with goals and outcomes for the performance measurement system being implemented.
- Implementation of project management system regarding parks and other public facility projects.
- Work to implement the MUNIS system which will help to ensure efficient and accurate contract management procedures.
- Continued improvements to the master contract list used to tracks projects from initiative through closeout.

In FY07-08, CDBG subrecipients generally performed their work in accordance with their scope of service and achieved a national objective. For organizations that required additional guidance, program monitors provided technical assistance and, in some cases, withheld funds until said objectives were met.

During this program year with input from HUD, the City continued to improve the system used to monitor projects and programs paid for in whole or in part with entitlement funds, including CDBG, HOME, ADDI, HOPWA and ESG, including improvements to the long term compliance process and increased use of the logic model in RFP's and contract scopes of services and budgets. With the introduction of MUNIS during the program year, the Department also anticipates additional improvements to the project management process will be seen during the 07-08 program year.

viii. Reduce Number of Persons Living Below the Poverty Level

Almost 27% of Springfield households live in poverty (\$15,020 for a family of three in 2002). Over a third (33.9%) of children under 18 live in poverty, giving the City one of the highest child poverty rates in the state (Census 2000). The rate is higher for Latino families, with 58% of children under 18, and 74% of children under five living in poverty. Of all household types, single-parent households headed by women are the poorest, with 62% with children under age five living in households with poverty-level incomes. In addition 87% of students in the City's Public Schools are classified as low income.

During FY06-07, the City worked to reduce the number of families living in poverty. Specific actions to provide housing opportunities, economic development opportunities, adult basic education, and job training programs, financial education and financial literacy programs, life skills counseling, transportation, day-care, health and other support services included:

- Operating a financial literacy program to help public housing residents become better informed about the process of obtaining financing for a home and the importance of credit.
- Developing a new Home savers Program to assist low and moderate income persons at risk of losing their homes.
- Continued implementation of the City's 10 Year Plan to End Homelessness.

- Funding numerous human service programs that delivered programs for at-risk youth and adults that provided self-sufficiency training and employment services.

The Community Development, Housing and Neighborhood Services, Health and Human Services and Economic Development offices, made a concerted and focused effort to independently address poverty issues during this program year. Further, the City continued to place strong emphasis on development partnerships with neighborhood organizations, private corporations, state and federal social service agencies and economic development agencies, non profit service providers and impacted parties who are residents of Springfield.

The City also incorporated the services and programs provided by the Massachusetts Career Development Institute (MCDI) into its anti-poverty strategy. MCDI administers job training, adult basic education, on the job training related programs for incumbent workers, the unemployed, the underemployed, welfare recipients, dislocated workers, at-risk youth, and the homeless. As one of only two major skills centers in the county, MCDI continues to play an important role in the City’s economic development and anti-poverty strategy.

MCDI provides basic instruction in precision tooling and manufacturing program for those Springfield residents who are underemployed or incumbent workers looking to start a career in the machine industry. The program will expose students via hands on computer simulation to CNC machining equipment, academic remediation and will create 3 jobs for low and moderate income persons.

ix. Leveraging Resources

During the 08-09 Action Plan, the City of Springfield attracted and utilized significant non-entitlement funds. The sources of these funds include federal grants, local and state bonds, Low-Income Housing Tax Credits, State Affordable housing funds, resources from numerous State agencies, private foundation grants, and private financing. A summary that details the source, dollar value and use of funds is included in the table on page below.

x. Citizen Comments/Citizen Participation

FY08-09 Action Plan

During the development of the Annual Action Plan the City held two (2) public hearings in the NRSA neighborhoods to obtain input from residents and to identify priority community needs. The City’s major initiatives were Code Enforcement, Public Infrastructure, Quality of Life Issues, Parks & Public Facilities, Workforce Development, Economic Development, Commercial Districts, Youth, Elderly, Special Needs Persons, Persons with HIV/AIDS, Homeless Persons, Affordable Housing and Homebuyer Counseling and Assistance. The City advertised the public hearings in the Springfield Republican newspaper and sent notices from the OCD mailing list, which includes all existing sub-recipients, the business community, residents of CDBG eligible areas and other interested parties. The City also utilized its neighborhood councils and Community Development Corporations to provide information at their monthly meetings. The City also held a Public Meeting on March 31st to present the DRAFT Action Plan and its priorities to citizens. A summary of comments received during these hearings was

included as part of the final Annual Action Plan submitted to HUD in 2008.

The Draft Action Plan was available for public review and comment from March 31st through April 29th, 2008.

Copies of the DRAFT Annual Action Plan were available at the Office of Community Development, 36 Court Street, Room 101; Office of Planning & Economic Development, 70 Tapley Street, Office of Housing, 1600 East Columbus Avenue, 1st Floor; Department of Health and Human Services; 95 State Street, Central Library, 220 State Street; Springfield Neighborhood Councils, including the: South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, the McKnight Neighborhood Council and on the City's website.

A public hearing to obtain comments on the Draft Annual Action Plan was held on April 22nd at 6:00 PM, 36 Court Street in Room 220.

A notice about this review period, the availability of the draft plan, and the public hearing about the draft plan, was published in the Republican on March 17, 2008. In addition, a flyer in English and Spanish was sent to the individuals and organizations listed on the Office of Community Development's mailing list, including library branches and neighborhood councils as prescribed in the Consolidated Plan. The City also posted the flyer on the City's community development website.

Details about comments received were included in the final 08-09 Annual Action Plan submitted to HUD.

The City will continue to strive to make the document accessible through several mediums in a timely manner to ensure maximum citizen participation.

Consolidated Annual Performance Evaluation and Review (CAPER)

An executive summary of the CAPER for the fiscal year that commenced on July 1, 2008 and ended on June 30, 2009 (FY08-09) was posted online and available for public review from August 31, 2009 through September 23, 2008 and a public hearing was held on September 14th at 6:00, at Springfield City Hall in Room 220. During the review period copies of the Draft CAPER were available to all Springfield's residents, at the following locations:

- Office of Planning & Economic Development, 70 Tapley Street
- Office of Community Development, 36 Court Street, Room 101
- Office of Housing, 1600 E Columbus Avenue, 1st Floor
- Department of Health and Human Services, 95 State Street
- Office of Planning & Economic Development, 70 Tapley Street
- Central Library, 220 State Street
- Springfield Neighborhood Councils, including the: South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, McKnight Neighborhood Council.

[-http://www.springfieldcityhall.com/cos/Services/dept_cd.htm](http://www.springfieldcityhall.com/cos/Services/dept_cd.htm)

An announcement about the hearing and the availability of the draft document was published in English and Spanish in the Springfield Republican on August 31, 2009 and a flyer was mailed to persons and organizations included on the Office of Community Development and Office of Housing mailing lists. The advertisement also solicited written feedback from Springfield residents.

xi. Self Evaluation and Performance Measurement

In addition to meeting and often exceeding the ambitious goals established in the Action Plan at the start of the fiscal year. During the FY06-07, the City of Springfield undertook a through self evaluation process as part of its planning process for the FY07-08 Action Plan. The City also allocated time and resources for gathering and analyzing data and community input to assist with the identification of annual priorities, goals and objectives for the Action Plan and for problem solving and technical assistance to subrecipients.

As indicated in the “Overcome Gaps in Institutional Structures and Enhance Coordination” section above, during this 06-07 program year the City conducted an analysis of the Consolidated Plan delivery system and took measurable steps toward eliminating or reducing the gaps identified.

In particular, the continued reorganization of the community development departments led to identification of non federal funding to hire new staff to conduct economic development and revitalization programs and projects and to continue to improve the delivery of code enforcement, demolition and related programs.

Also, the City continued to work with community based development organizations and other non profits to improve the delivery of programs serving residents of CDBG eligible areas in a coordinated, efficient and thorough manner. As stated the 05-06 CAPER, it is anticipated that such upfront investment during the first two years of the Consolidated Plan will yield increased capacity at underperforming community-based organizations, CDC’s, and nonprofits; leverage additional funds for projects and programs; and result in improved living conditions and quality of life for low and moderate income persons in Springfield.

In FY06-07 this increased coordination will be particularly evident through work conducted to revitalize Springfield’s neighborhoods through City programs and initiatives aimed to eliminate blight.

xii. Sources of Funds

The City of Springfield (the “City”) expects to receive amounts allocated under HUD Formula grant programs and through program income during the year to address the priority needs and objectives identified in the City’s strategic plan.

SOURCES OF FUNDS

| | |
|--|------------------------|
| CDBG | \$ 4,095,456.00 |
| HOME | \$ 1,623,186.00 |
| HOPWA | \$ 426,000.00 |
| ESG | \$ 183,020.00 |
| ADDI | \$ 10,078.00 |
| <hr/> | |
| Subtotal | \$ 6,552,304.00 |
| | |
| Total Estimated Program Income for FY2008-2009 | |
| CDBG | \$ 200,000.00 |
| HOME | \$ 75,000.00 |
| | |
| Grant funds from previous years for which the planned use has not been included in prior statements or plans | |
| | |
| CDBG | \$ 2,000,000.00 |
| <hr/> | |
| TOTAL ENTITLEMENT FUNDING SOURCES | \$ 8,612,740.00 |

Federal funds from non-entitlement sources were used for toward programs and projects underway in Springfield during the fiscal year. (NOTE: Many of the following sources of funds are intended to be utilized over a period of several years.)

Other Sources of Funds Expended during 2008-2009

| PROJECT | EXPENDITURE | SOURCES OF FUNDS |
|--|-------------------|-----------------------------------|
| Riverfront Development | \$ 21,938.74 | City of Springfield Bond |
| | \$ 17,000,000.00 | Private Investment |
| Memorial Industrial Park II | \$ 995,000.00 | EDA |
| | \$ 1,013,567.19 | PWED |
| | \$ 15,000,000.00 | Private Investment |
| Court Square Development | \$ 1,738,164.44 | City of Springfield Bond |
| | \$ 825,376.11 | CDAG-DHCD |
| Brownfields | | |
| 126 Memorial Drive | \$ 33,736.94 | EPA |
| Gemini Building | \$ 133,760.06 | EPA |
| Neighborhood Development - Demolition Program | | |
| Derelict Structures | \$ 1,674,588.83 | City of Springfield Bond |
| York Street Jail | \$ 1,159,428.47 | City of Springfield Bond |
| Chapman Valve | \$ 990,821.45 | City of Springfield Bond |
| Neighborhood Development – Sidewalk program | | |
| | \$ 383,234.78 | State Highway Funds |
| | \$ 291,880.43 | City of Springfield Bond |
| Neighborhood Development - Road Construction | | |
| | \$ 3,222,939.32 | City of Springfield Bond |
| | \$ 1,125,757.83 | State Highway Funds |
| Housing Initiatives | | |
| | \$ 315,000.00 | MFHA-Get the Lead Out |
| | \$ 575,000.00 | DHCD-Heartwap |
| | \$ 1,430,000.00 | DHCD – Home |
| | \$ 1,950,000.00 | Affordable Housing Trust |
| | \$ 811,835.00 | Owners Equity |
| | \$ 11,535,170.00 | Private Financing |
| | \$ 108,400.00 | Private Grants |
| | \$ 991,084.00 | Housing Stabilization Fund |
| | \$ 43,997,508.00 | Tax Credit Equity |
| Homeless Initiatives | | |
| | \$ 221,498.76 | HUD-Shelter Plus Care |
| | \$ 1,429,708.64 | HUD-McKinney Grant |
| | \$ 1,993,666.66 | Health Care for the Homeless-5 yr |
| | \$ 110,947,126.91 | |

The City of Springfield is 100% forgiven from HOME matching requirements for the FY07-08 fiscal year and the following year of FY08-09. Current demographic trends in the City of Springfield cause the City to meet the regulatory definition of a local government participating jurisdiction that is in severe fiscal distress as stated in section 92.222(a)(1) of the HOME Investment Partnership regulations. This means that in Springfield:

- “The average poverty rate in the participating jurisdiction was equal to or greater than 125 percent of the average national poverty rate during the calendar year for which the most recent data are available, as determined according to information of the Bureau of the Census.”

| Poverty Rate | | |
|------------------------|----------------------|--|
| Springfield, MA | United States | % of Average United States Poverty Rate |
| 29.6 | 13.3 | 223% |

Source: US Census Bureau, 2005 American Community Survey

- “The average per capita income in the participating jurisdiction was less than 75 percent of the average national per capita income during the calendar year for which the most recent data are available.”

| Per Capita Income* | | |
|---------------------------|----------------------|---------------------------|
| Springfield, MA | United States | % of United States |
| 17,023 | 25,035 | 68% |

*In 2005 inflation-adjusted dollars

Source: US Census Bureau, 2005 American Community Survey

Vendors and service providers provide the required ESG match.

As a component of their applications and monthly reports, each provider must detail their matching funds. Resources used include:

- Department of Social Services
- Department of Transitional Assistance
- Mass Bar Foundation
- Department of Mental Health
- Department of Transitional Assistance
- SMOC/CSBG
- HRSA

The City also anticipates it will use publicly owned land to further its objectives.

City-owned properties will be used to further affordable housing, citizen participation and economic development objectives.

The City, through its Tax Title foreclosure process, strives to create as much affordable

housing as is feasible either through public auction or via a Request for Proposals process. The City is currently devising a city-wide, neighborhood-specific housing strategy geared towards maximizing homeownership.

E. Low Mod Calculation

LOW/MOD CALCULATION-FY09-FEDERAL YEAR 2008

| | | | |
|--|-------|----|---------------------|
| Total Expenditures | | \$ | 3,704,943.36 |
| Less: | | | |
| Planning and Administration | | | <u>(850,694.53)</u> |
| | | \$ | 2,854,248.83 |
| Activities Categorized as Slum & Blight | | | |
| Activity | HUD # | | |
| Bond Payment | 2737 | \$ | <u>(437,038.03)</u> |
| Total Expenditures qualifying as Low/Mod | | \$ | 2,417,210.80 |
| Percentage Benefit | | | 84.69% |

LOW/MOD MULTI-YEAR CALCULATION

| | | |
|--------------------|----|--------------|
| FY07 | \$ | 2,628,836.65 |
| FY08 | \$ | 3,055,976.78 |
| FY09 | \$ | 2,854,248.83 |
| TOTAL | \$ | 8,539,062.26 |
| FY07 | \$ | 2,167,714.53 |
| FY08 | \$ | 2,647,880.69 |
| FY09 | \$ | 2,417,210.80 |
| TOTAL | \$ | 7,232,806.02 |
| Percentage Benefit | | 84.70% |

F. Annual Performance Report Reconciliation

F. Annual Performance Report Reconciliation

FEDERAL YEAR 2007-FISCAL YEAR 2008

Annual Performance Report Reconciliation-HOME Entitlement

| | | |
|-------------------------------------|-----------------------------|--------------------|
| Beginning Balance | | 11,623.46 |
| Amount Received (Prior Year Report) | | |
| Program Income | | |
| | Comm. Dev/Office of Housing | 45,260.27 |
| Amount Expended | | <u>(42,037.64)</u> |
| | Balance on Hand | 14,846.09 |

Detail-Program Income Draws

Draws:

| Date | HUD# | Voucher # | Amount |
|-----------|------|-----------|--------------|
| 12/5/2008 | 2843 | #1614083 | 15,785.05 |
| 1/15/2009 | 2843 | #1627213 | 7,115.33 |
| 6/17/2009 | 2809 | #1685370 | 7,513.80 |
| 8/4/2009 | 2809 | #1702903 | 3,300.00 |
| 8/4/2009 | 2848 | #1702903 | 433.00 |
| 8/4/2009 | 2870 | #1702903 | 1,236.00 |
| 8/4/2009 | 2891 | #1702903 | 651.00 |
| 8/4/2009 | 2944 | #1702903 | 393.00 |
| 8/4/2009 | 2912 | #1702903 | 1,328.00 |
| 8/4/2009 | 2915 | #1702903 | 1,572.00 |
| 8/4/2009 | 2916 | #1702903 | 3,118.00 |
| 8/4/2009 | 2946 | #1702903 | 2,418.00 |
| 8/4/2009 | 2919 | #1702903 | 379.09 |
| 8/4/2009 | 2957 | #1702912 | <u>18.00</u> |
| | | | 45,260.27 |

Expenditure Category Detail:

| | |
|----------------------------------|------------------|
| Tenant Based Rental Assistance | 45,242.27 |
| First Time Homebuyer | <u>18.00</u> |
| Total 2008 Program Income | 45,260.27 |

91A

G.

HOME Activities Total

HOME ACTIVITIES TOTALS-FY2009-FEDERAL YEAR 2008

| | | |
|--|-------|---------------|
| 1. Homebuyer Assistance | | |
| PBHO-CHDO | | \$ 266,708.43 |
| PBHO-NON-CHDO | | \$ _____ - |
| | Total | \$ 266,708.43 |
| 2. Multi-Family Production | | |
| PBHO-CHDO | | \$ - |
| PBHO-NON-CHDO | | \$ 55,000.00 |
| | Total | \$ 55,000.00 |
| 3. First Time Homebuyer | Total | \$ 530,000.00 |
| 4. Existing Owner Rehab | | |
| | Total | \$ 45,371.00 |
| 5. Tenant Based Rental Assistance (TBRA) | | |
| | Total | \$ 379,377.61 |
| 6. Administration | | |
| | Total | \$ 162,737.77 |

| | | |
|-------------------|--|-----------------------|
| HOME TOTAL | | \$1,439,194.81 |
|-------------------|--|-----------------------|

| | | |
|-------------------------|--|----------------|
| Home Administration Cap | | |
| Entitlement | | \$1,623,186.00 |
| Program Income | | \$ 45,260.27 |
| Total | | \$1,668,446.27 |
| Amount Expended | | \$ 162,737.77 |
| Percentage | | 9.75% |

**Annual Performance Report
HOME Program**

**U.S. Department of Housing
and Urban Development**
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

| | | | |
|---|---|---------------------|-----------------------------|
| Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410 | This report is for period (mm/dd/yyyy) Starting 7/01/2008 | Ending 6/30/2009 | Date Submitted (mm/dd/yyyy) |
|---|---|---------------------|-----------------------------|

Part I Participant Identification

| | | | |
|--|---|----------------|----------------------|
| 1. Participant Number M-08-MC-25-0209 | 2. Participant Name City of Springfield | | |
| 3. Name of Person completing this report Geraldine McCafferty | 4. Phone Number (Include Area Code) 413-787-6500 | | |
| 5. Address 1600 East Columbus Avenue | 6. City Springfield | 7. State MA | 8. Zip Code 01103 |

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

| | | | | |
|---|--|--|---|---|
| 1. Balance on hand at Beginning of Reporting Period | 2. Amount received during Reporting Period | 3. Total amount expended during Reporting Period | 4. Amount expended for Tenant-Based Rental Assistance | 5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 |
| \$11,623.46 | \$45,260.27 | \$42,037.64 | | \$14,846.09 |

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

| | a. Total | Minority Business Enterprises (MBE) | | | f. White Non-Hispanic |
|-------------------------|----------|--------------------------------------|------------------------------|-----------------------|-----------------------|
| | | b. Alaskan Native or American Indian | c. Asian or Pacific Islander | d. Black Non-Hispanic | |
| A. Contracts | | | | | |
| 1. Number | | | | | |
| 2. Dollar Amount | | | | | |
| B. Sub-Contracts | | | | | |
| 1. Number | | | | | |
| 2. Dollar Amount | | | | | |
| | a. Total | b. Women Business Enterprises (WBE) | c. Male | | |
| C. Contracts | | | | | |
| 1. Number | | | | | |
| 2. Dollar Amount | | | | | |
| D. Sub-Contracts | | | | | |
| 1. Number | | | | | |
| 2. Dollar Amounts | | | | | |

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

| | a. Total | Minority Property Owners | | | | f. White Non-Hispanic |
|------------------|----------|--------------------------------------|------------------------------|-----------------------|-------------|-----------------------|
| | | b. Alaskan Native or American Indian | c. Asian or Pacific Islander | d. Black Non-Hispanic | e. Hispanic | |
| 1. Number | | | | | | |
| 2. Dollar Amount | | | | | | |

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

| | a. Number | b. Cost |
|--|-----------|---------|
| 1. Parcels Acquired | | |
| 2. Businesses Displaced | | |
| 3. Nonprofit Organizations Displaced | | |
| 4. Households Temporarily Relocated, not Displaced | | |

| Households Displaced | a. Total | Minority Business Enterprises (MBE) | | | | f. White Non-Hispanic |
|----------------------------------|----------|--------------------------------------|------------------------------|-----------------------|-------------|-----------------------|
| | | b. Alaskan Native or American Indian | c. Asian or Pacific Islander | d. Black Non-Hispanic | e. Hispanic | |
| 5. Households Displaced - Number | | | | | | |
| 6. Households Displaced - Cost | | | | | | |

H. Financial Summary Grantee Performance

Financial Summary
Grantee Performance Report
Community Development Block Grant Program

U. S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0077 (Exp. 5/31/97)

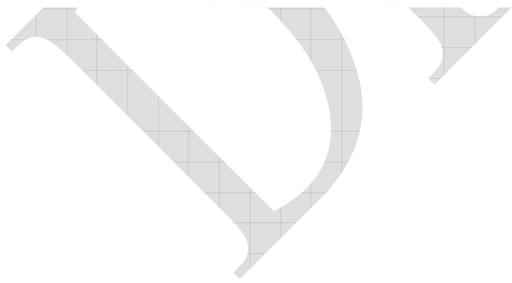
| | | |
|--|------------------------------------|--|
| 1. Name of Grantee City of Springfield | 2. Grant Number B-08-MC-25-0023 | 3. Reporting Period From 7/1/08 to 6/30/098 |
| Part I: Summary of CDBG Resources | | |
| 1. Unexpended CDBG funds at end of previous reporting period (Balance from prior program years) | | \$ 5,565,015 |
| 2. Entitlement Grant from Form HUD-7082 | | \$ 4,095,456 |
| 3. Surplus Urban Renewal Funds | | \$ - |
| 4. Section 108 Guaranteed Loan Funds (Principal Amount) | | \$ - |
| 5. Program Income received by: | Grantee (Column A) | Subrecipient (Column B) |
| a. Revolving Funds | \$ - | \$ - |
| b. Other (identify below, if more space is needed use an attachment) | | |
| Program income | \$ 205,137 | |
| c. Total Program Income (sum of columns a and b) | | \$ 205,137 |
| 6. Prior Period Adjustments (if column is a negative amount, enclose in brackets) | | \$ - |
| 7. Total CDBG Funds available for use during this reporting period (sum of lines 1 through 5) | | \$ 9,865,608 |
| Part II: Summary of CDBG Expenditures | | |
| 8. Total expenditures reported on Activity Summary, forms HUD-4949.2 & 4949.2A | | \$ 3,704,943 |
| 9. Total expended for Planning & Administration (form HUD-4949.2) | \$ 850,695 | |
| 10. Amount subject to Low/Mod Benefit Calculation (line 8 minus line 9) | \$ 2,854,248 | |
| 11. CDBG funds used for Section 108 principal & interest payments | | \$ - |
| 12. Total expenditure (line 8 plus line 11) | | \$ 3,704,943 |
| 13. Unexpended balance (line 7 minus line 12) | | \$ 6,160,665 |
| Part III: Low/Mod Benefit This Reporting Period | | |
| 14. Total Low/Mod credit for multi-unit housing expenditures from form HUD-4949.2A | | \$ - |
| 15. Total from all other activities qualifying as low/mod expenditures from forms HUD-4949.2 and 4949.2A | | \$ 2,417,211 |
| 16. Total (line 14 plus line 15) | | \$ 2,417,211 |
| 17. Low/Mod Benefit percentage | | 84.69% |

| | | | | |
|--|--------------|--------------|--------------|-----------------|
| Part IV: Low/Mod Benefit for Multi-Year Certifications (Complete only if certification period includes prior years) | | | | |
| Program years (PY) covered in certification | PY <u>06</u> | PY <u>07</u> | PY <u>08</u> | |
| 18. Cumulative net expenditures subject to program benefit calculation | | | | \$ 8,539,062 |
| 19. Cumulative expenditures benefitting low/mod persons | | | | \$ 7,232,806 |
| 20. Percent benefit to low/mod persons (line 19 divided by line 18) | | | | 84.70% |
| Part V: For Public Service (PS) Activities Only: Public Service Cap Calculation | | | | |
| 21. Total PS expenditures from column h, form HUD-4949.2A | | | | \$ - |
| 22. Total PS unliquidated obligations from column i, form HUD-4949.2A | | | | \$ - |
| 23. Sum of line 21 and line 22 | | | | SEE |
| 24. Total PS unliquidated reported at the end of the previous reporting period | | | | ATTACHED |
| 25. Net obligations for public services (line 23 minus line 24) | | | | \$ - |
| 26. Amount of Program Income received in the preceding year | | | | \$ - |
| 27. Entitlement Grant Amount (from line 2) | | | | \$ - |
| 28. Sum of line 26 and 27 | | | | \$ - |
| 29. Percent funds obligated for Public Service Activities (line 25 divided by line 28) | | | | % |
| Part VI: Planning and Program Administration Cap Calculation | | | | |
| 30. Amount subject to planning and administrative cap (grant amount from line 2 plus line 5c) | | | | \$ 4,300,593 |
| 31. Amount expended for Planning & Administration (from line 9 above) | | | | \$ 850,695 |
| 32. Percent funds expended (line 31 divided by line 30) | | | | 19.78% |

PUBLIC SERVICE CAP 15% - CALCULATION

| | | TOTAL EXPENDITURE | TOTAL EXEMPT | ADJUSTED TOTAL |
|----|--|------------------------------|-------------------------|---------------------------|
| 21 | Total Public Service Expenditures | 728,051.43 | (96,043.53) | 632,007.90 |
| 22 | Total PS Un-liquidated Obligations | 44,514.08 | (18,756.47) | 25,757.61 |
| 23 | Sum of line 21 and 22 | 772,565.51 | (114,800.00) | 657,765.51 |
| 24 | Total PS Un-liquidated obligation reported at end of previous reporting period | (15,443.21) | 0.00 | (15,443.21) |
| 25 | Net Obligation for Public Service (line 23-line 24) | 757,122.30 | (114,800.00) | 642,322.30 |
| 26 | Amount of Program Income received in the preceding program year | 205,794.14 | | 205,794.14 |
| 27 | Entitlement Grant Amount | 4,095,456.00 | | 4,095,456.00 |
| 28 | Sum of lines 26 and 27 | 4,301,250.14 | | 4,301,250.14 |
| 29 | Percent of Funds Obligated for PS (line 25A divided by line 28) | 17.60% | | 14.93% |

| Grant ID | HUD/IDIS Number | Community Development Activity | Original Budget | Incr/decr | Amended Budget | Expenditures Prior Yrs | Expenditures FY08 | Total Expenditures | Balance |
|-----------------------------|-----------------|--------------------------------|-------------------|------------|-------------------|------------------------|-------------------|--------------------|------------------|
| Public Service | | | | | | | | | |
| B-08-MC-25-0001 | 2713 | 5A | 9,000.00 | (1,266.06) | 7,733.94 | | 7,733.94 | 7,733.94 | 0.00 |
| B-08-MC-25-0001 | 2714 | Blackmen of Greater Spfld | 7,650.00 | | 7,650.00 | | 7,650.00 | 7,650.00 | 0.00 |
| B-08-MC-25-0001 | 2716 | Council of Churches | 15,300.00 | | 15,300.00 | | 15,300.00 | 15,300.00 | 0.00 |
| B-08-MC-25-0001 | 2717 | Friends of the Homeless | 81,600.00 | | 81,600.00 | | 80,023.85 | 80,023.85 | 1,576.15 |
| B-08-MC-25-0001 | 2715 | Boat People | 7,500.00 | | 7,500.00 | | 6,817.00 | 6,817.00 | 683.00 |
| B-08-MC-25-0001 | 2718 | Gray House | 7,500.00 | | 7,500.00 | | 7,500.00 | 7,500.00 | 0.00 |
| B-08-MC-25-0001 | 2724 | Mass Fair Housing Center | 5,100.00 | | 5,100.00 | | 4,437.50 | 4,437.50 | 662.50 |
| B-08-MC-25-0001 | 2726 | Mass Fair Housing Center | 15,000.00 | (332.84) | 14,667.16 | | 12,412.09 | 12,412.09 | 2,255.07 |
| B-08-MC-25-0001 | 2720 | Hungry Hill-H&HS | 5,100.00 | | 5,100.00 | | 4,759.04 | 4,759.04 | 340.96 |
| B-08-MC-25-0001 | 2887 | MLK | 20,400.00 | (478.19) | 19,921.81 | | 14,480.23 | 14,480.23 | 5,441.58 |
| B-08-MC-25-0001 | 2727 | MCDI-culinary | 15,000.00 | | 15,000.00 | | 15,000.00 | 15,000.00 | 0.00 |
| B-08-MC-25-0001 | 2746 | MCDI-ABE | 20,000.00 | | 20,000.00 | | 19,477.35 | 19,477.35 | 522.65 |
| B-08-MC-25-0001 | 2745 | MCDI-meals | 86,000.00 | | 86,000.00 | | 86,000.00 | 86,000.00 | 0.00 |
| B-08-MC-25-0001 | 2747 | NNCC-after school | 15,400.00 | 21,167.00 | 36,567.00 | | 33,315.75 | 33,315.75 | 3,251.25 |
| B-08-MC-25-0001 | 2748 | NNCC | 15,400.00 | 14,833.00 | 30,233.00 | | 14,727.78 | 14,727.78 | 15,505.22 |
| B-08-MC-25-0001 | 2749 | Open Panty | 20,400.00 | | 20,400.00 | | 18,700.00 | 18,700.00 | 1,700.00 |
| B-08-MC-25-0001 | 2750 | Friends of Camp Star | 105,710.00 | | 105,710.00 | | 105,710.00 | 105,710.00 | 0.00 |
| B-08-MC-25-0001 | 2753 | Park Dept-Recreation Program | 90,000.00 | | 90,000.00 | | 90,000.00 | 90,000.00 | 0.00 |
| B-08-MC-25-0001 | 2754 | Pine Point CC-H&HS | 9,180.00 | | 9,180.00 | | 8,304.16 | 8,304.16 | 875.84 |
| B-08-MC-25-0001 | 2852 | PRCC-leap-1917 | 10,000.00 | | 10,000.00 | | 10,000.00 | 10,000.00 | 0.00 |
| B-08-MC-25-0001 | 2853 | PRCC-1915 | 10,000.00 | | 10,000.00 | | 10,000.00 | 10,000.00 | 0.00 |
| B-08-MC-25-0001 | 2855 | PRCC-1914 | 10,000.00 | | 10,000.00 | | 10,000.00 | 10,000.00 | 0.00 |
| B-08-MC-25-0001 | 2854 | PRCC-1916 | 10,000.00 | | 10,000.00 | | 10,000.00 | 10,000.00 | 0.00 |
| B-08-MC-25-0001 | 2755 | Salvation Army | 10,200.00 | | 10,200.00 | | 10,200.00 | 10,200.00 | 0.00 |
| B-08-MC-25-0001 | 2818 | Solid Rock | 8,000.00 | | 8,000.00 | | 8,000.00 | 8,000.00 | 0.00 |
| B-08-MC-25-0001 | 2756 | South End CC | 27,900.00 | (25.00) | 27,875.00 | | 27,875.00 | 27,875.00 | 0.00 |
| B-08-MC-25-0001 | canceled | Spanish American Unions | 5,000.00 | (5,000.00) | 0.00 | | 0.00 | 0.00 | 0.00 |
| B-08-MC-25-0001 | 2744 | Spfld Boys & Girls Club | 8,160.00 | | 8,160.00 | | 4,728.63 | 4,728.63 | 3,431.37 |
| B-08-MC-25-0001 | 2743 | Spfld Boys & Girls Club | 10,200.00 | (622.05) | 9,577.95 | | 9,577.95 | 9,577.95 | 0.00 |
| B-08-MC-25-0001 | 2757 | Square One | 8,160.00 | | 8,160.00 | | 8,160.00 | 8,160.00 | 0.00 |
| B-08-MC-25-0001 | 2719 | Mass Association for the Blind | 5,100.00 | | 5,100.00 | | 4,250.00 | 4,250.00 | 850.00 |
| B-08-MC-25-0001 | 2758 | Urban League | 10,200.00 | (713.56) | 9,486.44 | | 8,701.88 | 8,701.88 | 784.56 |
| B-08-MC-25-0001 | 2760 | VACA | 15,000.00 | | 15,000.00 | | 15,000.00 | 15,000.00 | 0.00 |
| B-08-MC-25-0001 | 2759 | VACA | 5,000.00 | | 5,000.00 | | 5,000.00 | 5,000.00 | 0.00 |
| B-08-MC-25-0001 | 2761 | YMCA | 5,000.00 | | 5,000.00 | | 5,000.00 | 5,000.00 | 0.00 |
| B-08-MC-25-0001 | 2819 | YWCA | 20,400.00 | | 20,400.00 | | 13,766.07 | 13,766.07 | 6,633.93 |
| B-07-MC-25-0001 | 2553 | YWCA | 20,400.00 | | 20,400.00 | 4,956.79 | 15,443.21 | 20,400.00 | 0.00 |
| TOTAL PUBLIC SERVICE | | | 749,960.00 | | 777,522.30 | 4,956.79 | 728,051.43 | 733,008.22 | 44,514.08 |



**TOTAL EXEMPT
Public Service**

| | | | | | | | | | |
|-----------------------------|-----------|-------------------------|------------------|------------|-------------------|-------------|------------------|------------------|------------------|
| B-08-MC-25-0001 | 2747 | NNCC-after school | 15,400.00 | 21,167.00 | 36,567.00 | 33,315.75 | 33,315.75 | 3,251.25 | |
| B-08-MC-25-0001 | 2748 | NNCC | 15,400.00 | 14,833.00 | 30,233.00 | 14,727.78 | 14,727.78 | 15,505.22 | |
| B-08-MC-25-0001 | 2852 | PRCC-leap-1917 | 10,000.00 | | 10,000.00 | 10,000.00 | 10,000.00 | 0.00 | |
| B-08-MC-25-0001 | 2853 | PRCC-1915 | 10,000.00 | | 10,000.00 | 10,000.00 | 10,000.00 | 0.00 | |
| B-08-MC-25-0001 | 2855 | PRCC-1914 | 10,000.00 | | 10,000.00 | 10,000.00 | 10,000.00 | 0.00 | |
| B-08-MC-25-0001 | 2854 | PRCC-1916 | 10,000.00 | | 10,000.00 | 10,000.00 | 10,000.00 | 0.00 | |
| B-08-MC-25-0001 | 2818 | Solid Rock | 8,000.00 | | 8,000.00 | 8,000.00 | 8,000.00 | 0.00 | |
| B-08-MC-25-0001 | cancelado | Spanish American Unions | 5,000.00 | (5,000.00) | 0.00 | 0.00 | 0.00 | 0.00 | |
| TOTAL PUBLIC SERVICE | | | 83,800.00 | | 114,800.00 | 0.00 | 96,043.53 | 96,043.53 | 18,756.47 |

**TOTAL NON-EXEMPT
Public Service**

| | | | | | | | | | |
|------------------------------------|------|--------------------------------|-------------------|------------|-------------------|-----------------|-------------------|-------------------|------------------|
| B-08-MC-25-0001 | 2713 | 5A | 9,000.00 | (1,266.06) | 7,733.94 | 7,733.94 | 7,733.94 | 0.00 | |
| B-08-MC-25-0001 | 2714 | Blackmen of Greater Spfld | 7,650.00 | | 7,650.00 | 7,650.00 | 7,650.00 | 0.00 | |
| B-08-MC-25-0001 | 2716 | Council of Churches | 15,300.00 | | 15,300.00 | 15,300.00 | 15,300.00 | 0.00 | |
| B-08-MC-25-0001 | 2717 | Friends of the Homeless | 81,600.00 | | 81,600.00 | 80,023.85 | 80,023.85 | 1,576.15 | |
| B-08-MC-25-0001 | 2715 | Boat People | 7,500.00 | | 7,500.00 | 6,817.00 | 6,817.00 | 683.00 | |
| B-08-MC-25-0001 | 2718 | Gray House | 7,500.00 | | 7,500.00 | 7,500.00 | 7,500.00 | 0.00 | |
| B-08-MC-25-0001 | 2724 | Mass Fair Housing Center | 5,100.00 | | 5,100.00 | 4,437.50 | 4,437.50 | 662.50 | |
| B-08-MC-25-0001 | 2726 | Mass Fair Housing Center | 15,000.00 | (332.84) | 14,667.16 | 12,412.09 | 12,412.09 | 2,255.07 | |
| B-08-MC-25-0001 | 2720 | Hungry Hill-H&HS | 5,100.00 | | 5,100.00 | 4,759.04 | 4,759.04 | 340.96 | |
| B-08-MC-25-0001 | 2887 | MLK | 20,400.00 | (478.19) | 19,921.81 | 14,480.23 | 14,480.23 | 5,441.58 | |
| B-08-MC-25-0001 | 2727 | MCDI-culinary | 15,000.00 | | 15,000.00 | 15,000.00 | 15,000.00 | 0.00 | |
| B-08-MC-25-0001 | 2746 | MCDI-ABE | 20,000.00 | | 20,000.00 | 19,477.35 | 19,477.35 | 522.65 | |
| B-08-MC-25-0001 | 2745 | MCDI-meals | 86,000.00 | | 86,000.00 | 86,000.00 | 86,000.00 | 0.00 | |
| B-08-MC-25-0001 | 2749 | Open Panty | 20,400.00 | | 20,400.00 | 18,700.00 | 18,700.00 | 1,700.00 | |
| B-08-MC-25-0001 | 2750 | Friends of Camp Star | 105,710.00 | | 105,710.00 | 105,710.00 | 105,710.00 | 0.00 | |
| B-08-MC-25-0001 | 2753 | Park Dept-Recreation Program | 90,000.00 | | 90,000.00 | 90,000.00 | 90,000.00 | 0.00 | |
| B-08-MC-25-0001 | 2754 | Pine Point CC-H&HS | 9,180.00 | | 9,180.00 | 8,304.16 | 8,304.16 | 875.84 | |
| B-08-MC-25-0001 | 2755 | Salvation Army | 10,200.00 | | 10,200.00 | 10,200.00 | 10,200.00 | 0.00 | |
| B-08-MC-25-0001 | 2756 | South End CC | 27,900.00 | (25.00) | 27,875.00 | 27,875.00 | 27,875.00 | 0.00 | |
| B-08-MC-25-0001 | 2744 | Spfld Boys & Girls Club | 8,160.00 | | 8,160.00 | 4,728.63 | 4,728.63 | 3,431.37 | |
| B-08-MC-25-0001 | 2743 | Spfld Boys & Girls Club | 10,200.00 | (622.05) | 9,577.95 | 9,577.95 | 9,577.95 | 0.00 | |
| B-08-MC-25-0001 | 2757 | Square One | 8,160.00 | | 8,160.00 | 8,160.00 | 8,160.00 | 0.00 | |
| B-08-MC-25-0001 | 2719 | Mass Association for the Blind | 5,100.00 | | 5,100.00 | 4,250.00 | 4,250.00 | 850.00 | |
| B-08-MC-25-0001 | 2758 | Urban League | 10,200.00 | (713.56) | 9,486.44 | 8,701.88 | 8,701.88 | 784.56 | |
| B-08-MC-25-0001 | 2760 | VACA | 15,000.00 | | 15,000.00 | 15,000.00 | 15,000.00 | 0.00 | |
| B-08-MC-25-0001 | 2759 | VACA | 5,000.00 | | 5,000.00 | 5,000.00 | 5,000.00 | 0.00 | |
| B-08-MC-25-0001 | 2761 | YMCA | 5,000.00 | | 5,000.00 | 5,000.00 | 5,000.00 | 0.00 | |
| B-08-MC-25-0001 | 2819 | YWCA | 20,400.00 | | 20,400.00 | 13,766.07 | 13,766.07 | 6,633.93 | |
| B-07-MC-25-0001 | 2553 | YWCA | 20,400.00 | | 20,400.00 | 4,956.79 | 15,443.21 | 20,400.00 | 0.00 |
| TOTAL PUBLIC SERVICE | | | 666,160.00 | | 662,722.30 | 4,956.79 | 632,007.90 | 636,964.69 | 25,757.61 |
| Total exempt and non-exempt | | | 749,960.00 | | 777,522.30 | 4,956.79 | 728,051.43 | 733,008.22 | 44,514.08 |

J. HOPWA Summary of Program Expenditures

Part 3 Program Expenditures and Housing Provided.

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

Exhibit E – Summary of Program Expenditures. – FY09; Federal 2007

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period.

Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

| HOPWA Funding Available | |
|---|-------------|
| 1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program) | \$85,146.76 |
| 2. Amount of HOPWA grant received during period | -0- |
| 3. Program income (e.g., loan repayments) | -0- |
| 4. Total of HOPWA funds available during period (sum of lines 1 thru 4) | \$85,146.76 |

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

| HOPWA Expenditures (Totals by Eligible Activity) | |
|---|-------------|
| 5. Expenditures for Housing Information Services | \$3,075.00 |
| 6. Expenditures for Resource Identification | -0- |
| 7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G) | \$13,473.58 |
| 8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used) | \$63,467.89 |
| 9. Grantee Administrative Costs expended | -0- |
| 10. Project Sponsor(s) Administrative Costs expended | \$5,130.29 |
| 11. Total of HOPWA funds expended during period (sum of lines 5 thru 10) | \$85,146.76 |
| 12. Balance of HOPWA funds at end of report period (line 4 minus line 11) | -0- |

Part 3 Program Expenditures and Housing Provided.

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

Exhibit E – Summary of Program Expenditures. – FY09; Federal 2008

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period.

Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

| HOPWA Funding Available | |
|---|--------------|
| 1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program) | -0- |
| 2. Amount of HOPWA grant received during period | \$426,000.00 |
| 3. Program income (e.g., loan repayments) | -0- |
| 4. Total of HOPWA funds available during period (sum of lines 1 thru 4) | \$426,000.00 |

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

| HOPWA Expenditures (Totals by Eligible Activity) | |
|---|---------------|
| 5. Expenditures for Housing Information Services | \$ 22,158.00 |
| 6. Expenditures for Resource Identification | -0- |
| 7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G) | \$ 115,134.60 |
| 8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used) | \$151,748.46 |
| 9. Grantee Administrative Costs expended | \$ 12,780.00 |
| 10. Project Sponsor(s) Administrative Costs expended | \$ 17,301.05 |
| 11. Total of HOPWA funds expended during period (sum of lines 5 thru 10) | \$319,122.11 |
| 12. Balance of HOPWA funds at end of report period (line 4 minus line 11) | \$106,877.89 |

K. Financial Status Report

| | | | | | | | |
|--|----------------------|---|--|---|------------------|-----------------------|-------------|
| FINANCIAL STATUS REPORT | | 1. Submitted to: Department of Housing and Urban Development | | 2. Federal Grant or Other Identifying Number S-07-MC-25-0013 | | Page 1 of 1 | |
| 3. Recipient Organization City of Springfield Office of Community Development 36 Court Street Springfield, Massachusetts 01103 | | 4. Employer Identification Number 04-6001415 | | 6. Final Report? Yes | | 7. Basis? Cash | |
| | | PROJECT/GRANT PERIOD | | PERIOD COVERED BY THIS REPORT | | | |
| | | From: To: | | From: 7/1/2008 To: 6/30/2009 | | | |
| STATUS OF FUNDS | | | | | | | |
| PROGRAMS/FUNCTIONS/ACTIVITIES | a. Rehabilitation | b. Homeless Prevention | c. Services | d. Administration | e. Operations | f. | g. TOTAL |
| a. Net outlays previously reported | \$ - | \$ 44,407.28 | \$ 22,500.00 | \$ 9,164.85 | \$ 63,750.09 | | 139,822.22 |
| b. Total outlays this report period | - | 4,370.72 | 7,500.00 | - | 31,604.06 | | 43,474.78 |
| c. Less: Program income credits | - | - | - | - | - | | - |
| d. Net outlays this report period (Line b minus line c) | - | 4,370.72 | 7,500.00 | - | 31,604.06 | | 43,474.78 |
| e. Net outlays to date (Line a plus line d) | - | 48,778.00 | 30,000.00 | 9,164.85 | 95,354.15 | | 183,297.00 |
| f. Less: Non-Federal share of outlays. | - | - | - | - | - | | - |
| g. Total Federal share of outlays (Line e minus line f) | - | 48,778.00 | 30,000.00 | 9,164.85 | 95,354.15 | | 183,297.00 |
| h. Total unliquidated obligations | - | - | - | - | - | | - |
| i. Less: non-Federal share of unliquidated obligations on line h | - | - | - | - | - | | - |
| j. Federal share of unliquidated obligations | - | - | - | - | - | | - |
| k. Total Federal share of outlays and unliquidated obligations | - | 48,778.00 | 30,000.00 | 9,164.85 | 95,354.15 | | 183,297.00 |
| l. Total cumulative amount of Federal funds authorized | - | 51,000.00 | 30,000.00 | 9,164.85 | 93,132.15 | | 183,297.00 |
| m. Unobligated balance of Federal funds | - | - | - | - | - | | - |
| CERTIFICATION | | | SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | | | DATE REPORT SUBMITTED | |
| I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents. | | | PRINTED NAME AND TITLE | | | TELEPHONE | |
| | | | Cathy K. Buono Director of Administration and Finance | | | (413) 787-6082 | |

| | | | | | | | |
|--|---|------------------------|---|-------------------|-----------------------|----|------------|
| FINANCIAL STATUS REPORT | 1. Submitted to: Department of Housing and Urban Development | | 2. Federal Grant or Other Identifying Number S-08-MC-25-0013 | | Page 1 of 1 | | |
| 3. Recipient Organization City of Springfield Office of Community Development 36 Court Street Springfield, Massachusetts 01103 | 4. Employer Identification Number 04-6001415 | | 6. Final Report? Yes | 7. Basis? Cash | | | |
| | PROJECT/GRANT PERIOD | | PERIOD COVERED BY THIS REPORT | | | | |
| | From: | To: | From: | To: | | | |
| | | | 7/1/2008 | 6/30/2009 | | | |
| STATUS OF FUNDS | | | | | | | |
| PROGRAMS/FUNCTIONS/ACTIVITIES | a. Rehabilitation | b. Homeless Prevention | c. Services | d. Administration | e. Operations | f. | g. TOTAL |
| a. Net outlays previously reported | \$ - | \$ - | \$ - | \$ - | \$ - | | - |
| b. Total outlays this report period | - | 37,393.95 | 22,500.00 | 9,151.00 | 74,278.23 | | 143,323.18 |
| c. Less: Program income credits | - | - | - | - | - | | - |
| d. Net outlays this report period (Line b minus line c) | - | 37,393.95 | 22,500.00 | 9,151.00 | 74,278.23 | | 143,323.18 |
| e. Net outlays to date (Line a plus line d) | - | 37,393.95 | 22,500.00 | 9,151.00 | 74,278.23 | | 143,323.18 |
| f. Less: Non-Federal share of outlays. | - | - | - | - | - | | - |
| g. Total Federal share of outlays (Line e minus line f) | - | 37,393.95 | 22,500.00 | 9,151.00 | 74,278.23 | | 143,323.18 |
| h. Total unliquidated obligations | - | 13,606.05 | 7,500.00 | - | 2,747.99 | | 23,854.04 |
| i. Less: non-Federal share of unliquidated obligations on line h | - | - | - | - | - | | - |
| j. Federal share of unliquidated obligations | - | 13,606.05 | 7,500.00 | - | 2,747.99 | | 23,854.04 |
| k. Total Federal share of outlays and unliquidated obligations | - | 51,000.00 | 30,000.00 | 9,151.00 | 92,869.00 | | 183,020.00 |
| l. Total cumulative amount of Federal funds authorized | - | 51,000.00 | 30,000.00 | 9,151.00 | 92,869.00 | | 183,020.00 |
| m. Unobligated balance of Federal funds | - | - | - | - | - | | - |
| CERTIFICATION | | | SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | | DATE REPORT SUBMITTED | | |
| I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents. | | | PRINTED NAME AND TITLE | | TELEPHONE | | |
| | | | Cathy K. Buono Director of Administration and Finance | | (413) 787-6082 | | |

CDBG Entitlement Grant

Program Income Reconciliation

Beginning Balance(Prior Yr. Report) \$ 13,455.93

Amount Received:

Program Income
 Community Dev/SRA 205,137.12

Amount Expended:

CDBG Expenditures Draws (180,834.18)
 Balance on Hand \$ 37,758.87

| <u>Date</u> | <u>HUD#</u> | <u>Voucher</u> | <u>Amount</u> | |
|-------------|-------------|----------------|---------------------|--------------|
| 08/20/2008 | 2507 | #1575344 | \$ 13,455.93 | |
| 12/02/2008 | 2712 | #1612901 | \$ 114,266.56 | |
| 01/28/2009 | 2712 | #1633238 | \$ 27,020.93 | |
| 06/22/2009 | 2360 | #1687378 | <u>\$ 26,090.76</u> | |
| | | | \$ 180,834.18 | |
| 08/18/2009 | 2712 | #5001124 | \$ 29,620.42 | |
| 08/18/2009 | 2736 | #5001124 | <u>\$ 8,138.45</u> | |
| | | | \$ 37,758.87 | \$205,137.12 |

Expenditure Category Detail:

Administration 2712 \$ 196,998.67
 Heartwap 2736 \$ 8,138.45
 \$ 205,137.12

A PUBLIC HEARING

Review & Receive Citizen Input on Springfield's DRAFT Consolidated Annual Performance & Evaluation Report (CAPER) FY08-09

**Monday, September 14th, City Hall,
Room 220 at 6:00 PM**

Copies of the DRAFT CAPER will be available for review starting on August 31st at:

- Office of Planning and Economic Development, 70 Tapley Street
- Office of Community Development, 36 Court Street
- Office of Housing, 1600 E Columbus Ave, 1st Floor
- Department of Health and Human Services, 95 State Street
- Central Library, 220 State Street
- Neighborhood Councils, including the South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, and McKnight Neighborhood Council
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

Interested parties are also invited to submit written comments to Cathy Buono, Director of Administration & Finance, Office of Community Development. Submissions must be received by the Office of Community Development at 36 Court Street, Springfield, MA 01103 no later than 4:00 PM on September 22, 2009.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

AUDENCIA PUBLICA

Repasao del

Rendimiento Anual Del Proyecto Consolidado
Y Evaluacion Revisada
De Springfield FY08-09

Lunes 14 de Septiembre a las 6:00pm
En la casa alcaldia, Salon 220

Comenzando el 31 de Agosto, copias del Proyecto Consolidado Y Evaluacion Revisada (CAPER) estara disponible en los siguientes lugares:

- Oficina de Planificación y Desarrollo Económico, 70 Tapley Street
- Oficina del Desarrollo de las Comunidad, 36 Court Street, Salon 101
- Oficina de la Vivienda y Vecindarios, 1600 E. Columbus Ave. 1er piso
- Departamento de Salud Y Servicios Humanos, 95 State Street
- Biblioteca Central, 220 State Street
- Concilio de los Vecindarios de Springfield, que incluye el Concilio de Ciudadanos de South End, Concilio del Nuevo Norte, Centro de Ancianos de Hungry Hill, Centro de Ancianos de Pine Point, Concilio de lo Vecindarios de Old Hill, Concilio de los los Vecindarios de Indian Orchard, Concilio de los Vecindarios de McKnight
- http://www.springfieldcityhall.com/sos/Services/dept_cd.htm

Personas interesadas son invitadas a someter comentarios por escrito concerniente a este documento. La correspondencia debe ser dirigida al Cathy Buono en la Oficina del Desarrollo de las Comunidad, 36 Court Street, Springfield, Ma 01103 no a tarde de las 4:00PM, el 22 de Septiembre, 2009.

Para mas informacion, favor de llamar a la Oficina del Desarrollo de las Comunidad al 787-6050 o TTY 787-6641

Notice of DRAFT Availability And Public Hearing

Review of DRAFT Consolidated Annual Performance And Evaluation Report (CAPER)

7/1/08-6/30/09

The City of Springfield, through the Office of Community Development, is preparing its Draft Consolidated Annual Performance And Evaluation Report (CAPER) for the program year July 1, 2008-June 30, 2009. This Annual Report outlines how federal Community Development Block Grant (CDBG), HOME Investment Partnership Act (HOME), Emergency Shelter Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) formula grant programs were utilized during the prior program year, which runs from July 1, 2008 to June 30, 2009.

Copies of the Draft CAPER will be available starting on August 31st through September 23rd at the:

- Office of Planning & Economic Development, 70 Tapley Street
- Office of Community Development, City Hall, 36 Court Street, Room 101
- Office of Housing, 1600 East Columbus Avenue, 1st Floor;
- Department of Health & Human Services, 95 State Street
- Central Library, 220 State Street
- Springfield Neighborhood Councils including the South End Citizens Council, New North Citizens Council, Hungry Hill Neighborhood Council, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, McKnight Neighborhood Council.

A Public Hearing will be held on Monday, September 14th, City Hall, Room 220 at 6:00 PM.

Interested parties are also invited to submit written comments to Cathy Buono, Director of Administration & Finance, Office of Community Development. Submissions must be received by the Office of Community Development no later than 4:00 PM, September 22, 2009. Please mail or deliver comments to the Office of Community Development, 36 Court Street, Springfield, MA 01103.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

The City of Springfield is an Equal Employment Opportunity

Aviso de Proyecto Disponibilidad y Audiencia Publica

Revision de Proyecto Consolidado Annual Informe de Evaluacion de Desempeno

7/1/08-6/30/09

La Ciudad de Springfield, atrave de la Oficina de Desarrollo Comunitario, esta preparando su rendimiento para el programa anual el 1 de Julio, 2008 – 30 de Junio, 2009. Este reporte anual resume como el Programa de Subsidios Globales para el Desarrollo Comunitario (CDBG), Programa de Asociacion para Inversiones en Viviendas (HOME), Concesion de Refugios de Emergencia (ESG), y Oportunida de Vivienda para personas con SIDA (HOPWA) programa de formulas subsidios utilizados durante el ano anterior que comenso desde 1 de Julio , 2008 a 30 de Junio, 2009.

Copias de los proyectos CAPER estaran disponible desde 31 de Agosto hasta 23 de Septiembre en las siguiente oficinas:

- Oficina de Planificacion y Desarrollo, 70 Tapley Street
- Oficina de Desarrollo Comunitario, City Hall, 36 Court Street, Room 101
- Oficina de Vivienda, 1600 E. Columbus Avenue, 1st Floor
- Departamento de Salud y Servicios Humanos, 95 State Street
- Biblioteca Central, 220 State Street
- Springfield Consejos de Barrios incluyendo South End Citizens Council, New North Citizens Council, Hungry Hill Neighborhood Council, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council, McKnight Neighborhood Council.

Una Audiencia Publica comenzara el Lunes, 14 de Septiembre , City Hall, Room 220 a las 6:00PM.

Personas interesadas tambien estan invitados(a) a presenter comentarios por escrito a Cathy Buono, Directora de Administracion y Financiera, Oficina de Desarrollo Comunitario. Sumisiones tienen que estar recibido en la Oficina de Desarrollo Comunitario no mas tarde de las 4:00 PM 22 de Septiembre, 2009. Por favor envie o entregue comentarios a la Oficina de Desarrollo Comunitario, 36 Court Street, Springfield, MA 01103.

Por favor, pongase en contacto con la Oficina de Desarrollo al 787-6050 o al TTY 787-6641 para mas informacion adicional.

La Ciudad de Springfield practica Igualdad de Oportunidades en el Empleo.