

Fiscal Year 2005-06

# Consolidated Annual Performance & Evaluation Report (CAPER)

City of Springfield,  
Massachusetts

CITY OF SPRINGFIELD, MASSACHUSETTS  
OFFICE OF COMMUNITY DEVELOPMENT

Consolidated Annual Performance and Evaluation Report (CAPER)

Program Year: July 1, 2005 - June 30, 2006

Table of Contents

**EXECUTIVE SUMMARY ..... 3**

**Purpose..... 3**

**Executive Summary ..... 3**

**ASSESSMENT OF FIVE YEAR AND ANNUAL GOALS AND OBJECTIVES..... 5**

**A. Assessment of Annual Goals and Objectives..... 8**

    1. Provide Decent Housing ..... 8

    2. Creating Economic Opportunities..... 10

    3. Creating Suitable Living Environments..... 11

**B. Assessment of Five Year Goals and Objectives..... 13**

    1. Housing..... 13

    2. Homeless..... 14

    3. Non Homeless Special Needs..... 15

    4. Human Capital ..... 16

    5. Neighborhood Enhancement..... 17

    6. Economic Opportunity..... 18

**C. Multi Year Activities..... 19**

**NARRATIVE AND FINANCIAL SUMMARY BY PROGRAM..... 26**

**A. CDBG Narratives..... 26**

**B. HOME Narratives..... 29**

**C. HOPWA Narrative ..... 39**

**D. ESG Narrative..... 43**

**HUD REPORTING REQUIREMENTS..... 45**

**A. Affirmatively Furthering Fair Housing..... 45**

**B. Affordable Housing..... 47**

**C. Homeless/Continuum of Care Narrative ..... 48**

**D. Other Actions ..... 50**

    1. Addressing Obstacles to Meeting Underserved Needs..... 50

    2. Foster and Maintain Affordable Housing..... 50

    3. Eliminate Barriers to Affordable Housing..... 52

    4. Overcome Gaps in Institutional Structures and Enhance Coordination ..... 53

    5. Improve Public Housing and Resident Initiatives ..... 54

    6. Evaluate and Reduce Lead Based Paint Hazards..... 58

    7. Ensure Compliance with Program and Comprehensive Planning Requirements..... 62

    8. Reduce Number of Persons Living Below the Poverty Level ..... 63

    9. Leveraging Resources..... 64

    10. Citizen Comments/Citizen Participation..... 64

    11. Self Evaluation and Performance Measurement..... 65

    12. Sources of Funds..... 67

**E. Low Mod Calculation ..... 72**

**F. Annual Performance Report Reconciliation ..... 73**

**G. Home Activities Total ..... 74**

**H. Financial Summary Grantee Performance ..... 75**

**I. Financial Summary Attachment ..... 87**

**J. Financial Status Report..... 90**

## Executive Summary

### Purpose

The City of Springfield's Consolidated Annual Performance and Evaluation Report (CAPER) is designed to illustrate the accomplishments of projects and programs funded by the US Department of Housing and Urban Development. These programs include the Community Development Block Grant (CDBG) program, the Home Investment Partnership (HOME) program, the Emergency Shelter Grant (ESG) program, the McKinney-Vento funds, and Housing Opportunities for Persons with AIDS (HOPWA) program.

The goal of this report is to compare the anticipated benefits projected in the City's Annual and Five year plans with the actual accomplishments achieved. Every attempt is made to provide a programmatic and financial analysis in a meaningful, user-friendly format comprehensible to all Springfield's residents.

### Executive Summary

An executive summary of the CAPER for the fiscal year that commenced on July 1, 2005 and ended on June 30, 2006 (FY05-06) was posted online and available for public review on [INSERT AFTER PUBLIC REVIEW PROCESS], and a public hearing was held on [INSERT AFTER PUBLIC REVIEW PROCESS]. On [INSERT AFTER PUBLIC REVIEW PROCESS], copies of the Draft CAPER were available to all Springfield's residents, at the following locations:

- Office of Community Development, 36 Court Street, Room 313
  - Office of Housing and Neighborhood Services, 1600 E Columbus Avenue, 1st Floor
  - Department of Health and Human Services, 95 State Street
  - Central Library, 220 State Street
  - Springfield Neighborhood Councils, including the: South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council
- [-http://www.springfieldcityhall.com/cos/Services/dept\\_cd.htm](http://www.springfieldcityhall.com/cos/Services/dept_cd.htm)

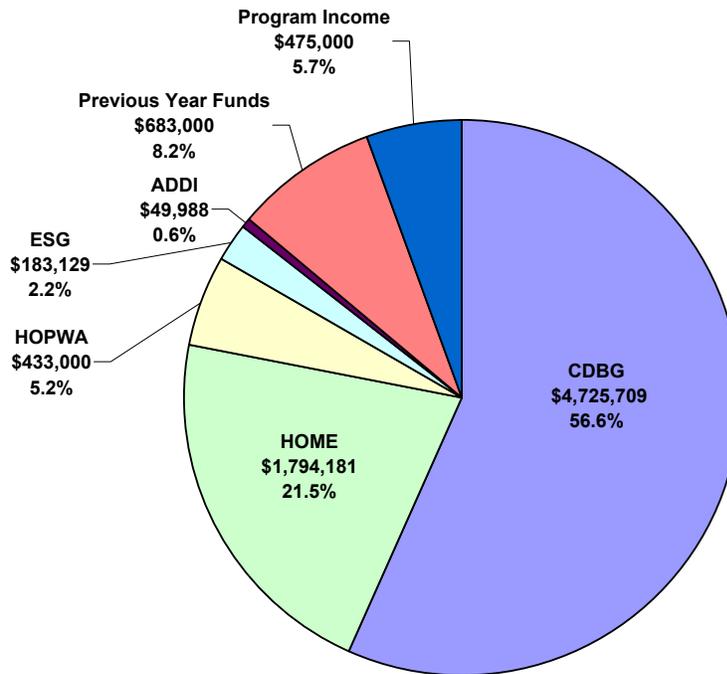
An announcement about the hearing and the availability of the executive summary was published in English and Spanish in the Springfield Republican on August 11 and 14, 2006. The advertisement also solicited written feedback from Springfield residents. Comments received in writing or at the hearing included within the Public Comment Section found on page [INSERT AFTER PUBLIC REVIEW PROCESS].

### Introduction

In FY05-06, the Department of Housing and Urban Development (HUD) awarded the City of Springfield a total of 7,186,007 in entitlement funding; the City received \$4,725,709 through the Community Development Block Grant (CDBG) Program, \$183,129 through the Emergency Shelter Grant (ESG), \$1,794,181 through the HOME Investment Partnership (HOME) Program, \$49,988 through American Dream Development Initiative (ADDI) and \$433,000 through the Housing Opportunities for Persons with AIDS (HOPWA) Program. Prior year funds of

\$683,000, as well as estimated program income totaled \$475,000 were also available. Therefore, total entitlement funding available for the program year was \$8,344,007.

### Total Sources of Funds FY05-06: 8,344,007



During this program year, 83.9 percent of the City's CDBG funds were used to benefit low- to moderate-income persons. The majority of CDBG funding was allocated for activities classified as economic development, housing, public services, or public infrastructure and facilities. Details of the services, programs, and accomplishments and an analysis of expenditures are provided throughout the CAPER.

Within the City of Springfield's Consolidated five-year plan, the City committed to the overarching goal of undertaking activities that would result in substantial public benefit through the revitalization of depressed areas and in assistance to low/moderate income residents.

## Assessment of Five Year and Annual Goals and Objectives

The five-year Consolidated Plan for the entitlement programs covers the period July 1, 2005-June 30, 2010. The City has completed the first year covered by the FY06-10 Consolidated Plan. The analysis contained within this CAPER demonstrates that within most program areas the City had already met the goals quantified in the one year FY05-06 Action Plan. Within each priority area, a brief synopsis of objectives outcomes of the activities in the Consolidated Plan and a report on the accomplishments achieved as of the end of FY06-10 is provided. Further detail about each activity is provided in the Integrated Disbursement and Information System (IDIS) Reports included as appendices to this report. As discussed in the text below, HUD has established a new performance measurement system. The City has worked ahead of HUD's schedule by incorporating HUD's new system into this CAPER.

### Background Information: HUD's New Performance Measurement System

In order to better quantify the impacts that HUD-funded programs and projects are having on communities, HUD has developed and is in the process of implementing nationwide a performance measurement system to help determine how well programs and activities are meeting established needs and goals. Performance measurement is now a requirement for all federal programs, and performance is a key consideration in program funding decisions.

HUD's new Outcome Performance Measurement System contains three main components: Objectives, Outcomes and Indicators. This system tracks the City's progress meeting three **objectives**. Descriptions of these objectives are excerpted from the CPD Manual and Guidebook below:

1. **Providing Decent Housing.** This objective "covers the wide range of housing activities that are generally undertaken with HOME, CDBG or HOPWA funds. This objective focuses on housing activities whose purpose is to meet individual family or community housing needs. It does not include programs where housing is an element of a larger effort to make community-wide improvements, since such programs would be more appropriately reported under Suitable Living Environments."
2. **Creating Suitable Living Environments.** This second objective is "related to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment. This objective related to activities that are intended to address a wide range of issues faced by low- and moderate-income persons, from physical problems with their environment, such as poor quality infrastructure, to social issues such as crime prevention, literacy or elderly health services."
3. **Creating Economic Opportunities.** This third and final objective "applies to activities relates to economic development, commercial revitalization, or job creation."

The system also establishes the following three **outcomes** to show the anticipated result of the activity:

1. **Availability/Accessibility.** This first outcome “applies to activities that make services, infrastructure, public services, public facilities, housing or shelter available or accessible to low and moderate-income people, including persons with disabilities. In this category, accessibility does not refer only to physical barriers, but also to making the basics of daily living available and accessible to low- and moderate-income people where they live.”
2. **Affordability.** This outcome “applies to activities that provide affordability in a variety of ways to low- and moderate-income people. It can include the creation or maintenance of affordable housing, basic infrastructure hook-ups, or services such as transportation or day care. Affordability is an appropriate objective whenever an activity is lowering the cost, improving the quality, or increasing the affordability of a product or service to benefit a low-income household.”
3. **Sustainability.** This third and final outcome “applies to activities that are aimed at improving communities or neighborhoods, helping to make them livable or viable by providing benefit to persons of low- and moderate-income or by removing or eliminating slums or blighted areas, through multiple activities or services that sustain communities or neighborhoods.”

The following table overviews the link between objectives and outcomes.

	<b>Availability/ Accessibility (1)</b>	<b>Affordability (2)</b>	<b>Sustainability (3)</b>
<b>Decent Housing (DH)</b>	DH-1	DH-2	DH-3
<b>Suitable Living Environment (SL)</b>	SL-1	SL-2	SL-3
<b>Economic Opportunity (EO)</b>	EO-1	EO-2	EO-3

## City of Springfield’s Implementation of HUD’s new Performance Measurement System

HUD has mandated that the Performance Measurement system be fully implemented during FY 06-07. Ahead of schedule, the City of Springfield has incorporated HUD’s new performance measurement system into this CAPER. This CAPER identifies objectives and outcomes for each activity listed in the FY05-06 Action Plan, and the activities are codified in a manner that is consistent with HUD’s new CPD Outcome Performance Measurement System.

Per HUD guidance, the City will completely incorporate HUD’s outcome performance measurement system into its FY 2006-2010 Consolidated Plan during FY 2007 pursuant to the HUD’s implementation guidelines and schedule. These guidelines state that the City:

- Must make the amendment public and notify HUD that an amendment has been made.
- May submit a copy of each amendment to HUD as it occurs or at the end of the program year.
- Should include a copy of these changes in its local files so the information may be used during the FY 2007 CAPER completion process and during any on site monitoring of the

City that is conducted by HUD, so HUD may confirm the new system was incorporated into the Plan.

According to HUD's Community Planning and Development Outcome Performance Measurement Framework: Training Manual and Guidebook, it is not anticipated that this process will amount to a "substantial amendment," so grantees, including the City of Springfield, are "not required to undergo the public comment process on these changes or to submit the edits to HUD."

## A. Assessment of Annual Goals and Objectives

Within the Annual Action Plan, the City proposes to operate a number of programs to further the goals identified in the Consolidated Plan. This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan. Additional detail about each accomplishment is provided in the IDIS Reports included as appendices to this document.

### 1. Provide Decent Housing

<u>ACTION PLAN ID#</u>	<u>Outcome/ Objective</u>	<u>Sources of Funds</u>	<u>National Objective</u>	<u>Accomplishment Proposed in Action Plan Table 3</u>	<u>Actual Accomplishment</u>
	<b><u>Specific Objectives</u></b>				
	<b><u>DH-1 Availability/Accessibility of Decent Housing</u></b>				
37	Housing Program Delivery	CDBG	LMC	50 Housing Units	
	→ Provide rehabilitation financing to existing homeowners, inc. de-leading	HOME; Other Private	N/A	15 Housing Units	25 Housing Units
	→ Redevelop blighting properties into homeownership opportunities	HOME; Other Public and Private	N/A	10 Housing Units	9 Housing Units
	→ Evaluate and eliminate lead based paint hazards	CDBG; HOME; Other- Public	N/A	500 Housing Units	571 Housing Units
8	TBRA to special needs households	HOME	N/A	16 Households	36 Households
13	HOPWA Table 3	HOPWA	N/A	270 Households	
	→ Provide TBRA and support services to HIV/AIDS clients	HOPWA	N/A	14 Households	17 Households
	→ Provide legal assistance to HIV/AIDS clients and case managers on issues of housing & benefits discrimination	HOPWA	N/A	94 Households	82 Households
	→ Provide housing information assistance to HIV/AIDS clients and case managers on issues of housing & benefits discrimination	HOPWA	N/A	435 Households	504 Households
	→ Provide support services housing search and information and short term assistance to households impacted by HIV/AIDS.	HOPWA	N/A	80 Households	Pending
	→ Assist eligible households with limited first, last and/or security deposit to assist with obtaining permanent housing placement.	HOPWA	N/A	25 Households	Pending
2	Homeless Shelter Operations	ESG	N/A	335 Households	2,643 Households

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4	Homeless Shelter Rehabilitation	ESG	N/A	35 People	1 facility
24	Relocation Assistance	ESG	N/A	20 Households	69 Households
27	Acquisition/Disposition	CDBG	LMA	28 Public Facilities	29 Acquisition; 12 Disposition
31	Stabilization	CDBG	LMC	15 Housing Units	Canceled
<b><u>DH-2 Affordability of Decent Housing</u></b>					
15	Homebuyer education/counseling	CDBG Admin; Private		50 Households	223 Households
7	Direct homebuyer downpayment/acquisition assistance	HOME	N/A	15 Households	3 households
14	Direct homebuyer downpayment/acquisition assistance	ADDI	N/A	30 Housing Units	19 Households
40	Increase energy efficiency for existing homeowners	CDBG	LMH	50 Housing Units	749 Households
10	Produce additional affordable rental units	HOME	N/A	30 Housing Units	55 Housing Units
	Develop special needs housing units, including units that are accessible for persons with disabilities	HOME	N/A	8 Housing Units	3 Housing Units
9	Project based homeownership units created or rehabilitated	HOME	N/A	15 Housing Units	10 Housing Units
<b><u>DH-3 Sustainability of Decent Housing</u></b>					
5	Homeless Prevention	ESG	N/A	130 Households	300 Households

## 2. *Creating Economic Opportunities*

Economic Opportunity. Expand the availability of economic opportunities, especially employment opportunities, for low and moderate income residents through efforts to attract, retain and expand small businesses in neighborhood business districts and larger commercial and industrial establishments throughout the City.

<u>ACTION PLAN ID#</u>	<u>Outcome/ Objective</u>	<u>Sources of Funds</u>	<u>National Objective</u>	<u>Accomplishment Proposed in Action Plan Table 3</u>	<u>Actual Accomplishment</u>
<b><u>EO-1 Availability/Accessibility of Economic Opportunity</u></b>					
32	Business Assistance (NEBCC)	CDBG	LMJ	3 Jobs	3 Businesses
33	Technical Assistance to Business in Indian Orchard	CDBG	LMJ	2 Jobs	3 Businesses
34	Technical Assistance Program (ACCGS)	CDBG	LMJ	10 Jobs	1 Business
35	Technical Assistance (X Main)	CDBG	LMJ	3 Jobs	Canceled
41	Economic Development Program Delivery	CDBG	LMJ	6 Jobs	Multi Year
<b><u>EO-2 Affordability of Economic Opportunity</u></b>					
<b><u>EO-3 Sustainability of Economic Opportunity</u></b>					

### 3. *Creating Suitable Living Environments*

Human Capital. Invest in residents to enable people at all income levels to lead healthy productive lives. Offer support to households and build capacity of community-based organizations to better support vulnerable populations.

<b>Project ID#</b>	<b>Outcome/ Objective</b>	<b>Sources of Funds</b>	<b>National Objective</b>	<b>Accomplishment Proposed in Action Plan Table 3</b>	<b>Actual Accomplishment</b>
	<b>Specific Objectives</b>				
	<b>SL-1 Availability/Accessibility of Suitable Living Environment</b>				
3	Homeless Essential Services	ESG	N/A	200 Households	476 Households
21	Public Facilities	CDBG	LMA	2 Public Facilities	2 Public Facilities
39	Neighborhood Program Delivery	CDBG	LMA	10 Organizations	7 Organizations
38	Capacity Building Program Delivery	CDBG	LMA	9 Organizations	9 Organizations
42	Academic & Athletic Recreational Program	CDBG	LMC	25 People	309 People
55	Adult Education Program	CDBG	LMC	40 People	37 People
58	After School and Summer Fun Club	CDBG	LMC	45 People	152 People
60	After School Recreation Program	CDBG	LMC	75 People	80 People
45	AIDS Outreach Workers	CDBG	LMC	150 People	3,261 People
50	At Risk Homeless	CDBG	LMC	1,400 People	
	→ <i>Warming Place</i>				<i>300 People</i>
	→ <i>Overflow Shelter</i>				<i>991 People</i>
67	Bridging the Gap	CDBG	LMC	125 People	114 People
57	Culinary Arts Training Program	CDBG	LMC	23 People	9 People
59	Elderly Case Management Services	CDBG	LMC	25 People	792 People
44	Emergency Heating Assistance	CDBG	LMC	25 People	163 People
72	Employment & Training Program	CDBG	LMC	7 Jobs	20 People
48	Fair Housing	CDBG	LMC	100 People	94 People
66	GED Program	CDBG	LMC	50 People	118 People
74	Hampden County Day Care Alliance	CDBG	LMC	3 People	2 People
78	Health Enhancement Project	CDBG	LMC	100 People	56 People
54	Homeless Meals Program	CDBG	LMC	1,500 People	796 People
46	Homeless Services	CDBG	LMC	250 People	190 People
70	Indian Orchard Unit	CDBG	LMC	100 People	247 People
49	Infant Mortality Prevention Program (MICHAS)	CDBG	LMC	200 People	50 People
65	Latino Employment Assistance Program	CDBG	LMC	40 People	204 People
61	Loaves and Fishes	CDBG	LMC	1,000 People	566 People
47	Mediation Services	CDBG	LMC	25 People	304 People
63	Recreational Program	CDBG	Was: LMC Is: LMA	2,000 People	10,428 people
76	Safe Summer Streets	CDBG	LMC	30 People	37 People
52	Senior Center - Hungry Hill	CDBG	LMC	100 People	291 People
64	Senior Center - Pine Point	CDBG	LMC	100 People	180 People
51	Springfield Fair Lending and Counseling	CDBG	LMC	40 People	442 People
69	Summer Activities Program	CDBG	LMC	25 People	28 People
71	Summer Youth Development	CDBG	LMC	100 People	166 People
68	Teens for AIDS Program	CDBG	LMC	25 People	25 People

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<b><u>ACTION PLAN ID#</u></b>	<b><u>Outcome/ Objective</u></b>	<b><u>Sources of Funds</u></b>	<b><u>National Objective</u></b>	<b><u>Accomplishment Proposed in Action Plan Table 3</u></b>	<b><u>Actual Accomplishment</u></b>
62	Therapeutic Recreation	CDBG	LMC	120 People	118 People
75	Urban Achievement	CDBG	LMC	40 People	77 People
56	Visitas	CDBG	LMC	350 People	200 People
73	Visually Impaired Elders	CDBG	LMC	25 People	77 People
43	W.E.B. Dubois Academy	CDBG	LMC	20 People	62 People
53	Youth Development Program	CDBG	LMC	25 People	442 People
77	Youthbuild	CDBG	LMC	20 People	34 People

Neighborhoods: Enhance the quality of life in Springfield's low and moderate income neighborhoods, including public places, infrastructures, and housing stock. Ensure neighborhoods are good places to live, work and recreate.

<b><u>ACTION PLAN ID#</u></b>	<b><u>Outcome/ Objective</u></b>	<b><u>Sources of Funds</u></b>	<b><u>National Objective</u></b>	<b><u>Accomplishment Proposed in Action Plan Table 3</u></b>	<b><u>Actual Accomplishment</u></b>
<b>SL-3 Sustainability of Suitable Living Environment</b>					
19	Board and Secure	CDBG	LMA	15 Housing Units	Linked to Public Safety below
26	Graffiti	CDBG	LMA	5 Public Facilities	50 Businesses
17	Vacant Lot Cleanup	CDBG	LMA	50 Housing Units	Linked to Public Safety below
20	Public Safety	CDBG	LMA	65 People	277 People
36	Code Enforcement	CDBG	LMA	700 Housing Units	3,249 Housing Units
16	Bond Repayment	CDBG	SBS	1 Public Facility	1 Public Facility
22	Park Improvements	CDBG	LMA	1 Public Facility	1 Public Facility
18	Brownfields	CDBG	LMA	5 Public Facilities	Multi Year
23	Sheriff's Program	CDBG	LMA	3 Public Facilities	
	→ <i>Project Impact</i>	<i>CDBG</i>	<i>LMA</i>		<i>35 Public Facilities</i>
	→ <i>Sheriff's Program</i>	<i>CDBG</i>	<i>LMC</i>		<i>4 People</i>
	→ <i>Kennefick Park</i>	<i>CDBG</i>	<i>LMA</i>		<i>1 Public Facility</i>
25	Capital Improvement Projects	CDBG	LMA	200 People	Multi Year
28	Neighborhood Capital Projects	CDBG	LMA	10 Public Facilities	
	→ <i>Various Small Parks</i>	CDBG	LMA		0 Public Facilities
30	Planter Program	CDBG	LMA	25 Public Facilities	316 Public Facilities
29	Neighborhood Development	CDBG	LMA	200 People	Multi Year
	→ <i>FOH Shelter Predevelopment</i>	<i>CDBG</i>	<i>LMA</i>		<i>Multi Year</i>

## B. Assessment of Five Year Goals and Objectives

The following five year goals are pursuant to the City's 06-10 Consolidated Plan, which details the City's priorities for the period. The FY05-06 program year was Year 1 of the five year period.

### 1. Housing

Activity	Accomplishment Type	5 Year Accomplishment Goal	Year 1 Actual Accomplishment
Homebuyer Education/Counseling	Households	250	223
Direct Homebuyer Downpayment Assistance	Households	75	22
Provide rehabilitation financing to existing homeowners	Units	75	26
Increase energy efficiency for existing homeowners	Units	1,000	749
Redevelop blighting properties into homeownership opportunities	Units	50	9
Produce additional affordable rental units	Units	250	56
Develop special needs housing units	Units	40	0
Evaluate and eliminate lead based paint hazards	Units	2,500	571

## 2. Homeless

Activity	Accomplishment Type	5 Year Accomplishment Goal	Year 1 Actual Accomplishment
Provide tenant based rental assistance to homeless and other vulnerable populations	Households	175	36
Operating Costs of Homeless/AIDS Patients Programs*	People	7,000	1,291
Provide essential services to homeless and at risk households	Households	500	241
Prevent homelessness for at-risk households	Households	1,500	300

*3. Non Homeless Special Needs*

**Housing for Persons with AIDS (HOPWA)**

<b>Activity</b>	<b>Accomplishment Type</b>	<b>5 Year Accomplishment Goal</b>	<b>Year 1 Actual Accomplishment</b>
Short Term Assistance	Households	50	85
Rental Assistance	Households	25	17
Housing Facilities	-----	0	0
Support Services	Households	75	71
Housing Info Service	Households	500	275

## 4. Human Capital

Activity	Accomplishment Type	5 Year Accomplishment Goal	Year 1 Actual Accomplishment
Public Services (General)	People	24,950	15,764
Senior Services	People	1,125	1,463
Services for Persons with Disabilities	People	225	195
Youth Services	People	3,425	1,739
Substance Abuse Services	People	1,000	0
Battered and Abused Spouses	People	500	0
Employment Training	Jobs	250	442
Fair Housing Activities	People	700	536
Childcare Services	People	15	2
Health Services	People	1,000	50
Mental Health Services	People	200	0
CDBG Non-profit Organization Capacity Building	Organizations	9	9

*\*Note that this category was misclassified as Homeless Facilities rather than Operating Costs of Homeless/AIDS Patients Programs in the 06-10 Consolidated Plan. This correction will also be included in the City's amendment to the Consolidated Plan that will be completed during FY06-07.*

## 5. Neighborhood Enhancement

Activity	Accomplishment Type	5 Year Accomplishment Goal	Year 1 Actual Accomplishment
Acquisition of Real Property	Housing Units	100	29
Disposition	Housing Units	150	8
Parks, Recreational Facilities	Public Facilities	3	7
Street Improvements	Housing Units	25,000	0
Sidewalks	Feet of Public Utilities	1,000	0
Tree Planting	Housing Units	50	0
Clearance and Demolition	Housing Units	100	18
Homeownership Assistance	Households	300	223
Construction of Housing	Housing Units	30	5
Direct Homeownership Assistance	Households	75	22
Rehab; Single Unit Residential	Housing Units	75	16
Rehab; Multi Unit Residential	Housing Units	250	55
Acquisition	Housing Units	100	29
Lead Based/Lead Hazard Test/Abatement	Housing Units	500	571
Code Enforcement	Housing Units	500	3,249
Residential Historic Preservation	Housing Units	10	2
CDBG Operation & Repair of Foreclosed Property	Housing Units	150	43

## 6. *Economic Opportunity*

<b>Activity</b>	<b>Accomplishment Type</b>	<b>5 Year Accomplishment Goal</b>	<b>Year 1 Actual Accomplishment</b>
Disposition	Businesses	20	0
Clearance and Demolition	Businesses	5	1
Clean-up of Contaminated Sites	Jobs	40	0
Relocation	Businesses	2	0
CI Land Acquisition/Disposition	Jobs	200	0
CI Infrastructure Development	Feet of Public Utilities	2,200	0
CI Building Acquisition, Construction, Rehabilitation	Jobs	250	0
Direct Financial Assistance to For-Profits	Businesses	5	0
ED Technical Assistance	Businesses	50	7
	Jobs	75	0
Micro-Enterprise Assistance	Businesses	100	0
	Jobs	25	0

## C. Multi Year Activities

As part of the City’s work to track projects that have been in progress for more than one year, the City has developed the following status of pre-FY05-06 multi year projects that are currently listed as activities in IDIS. The City is committed to classifying these projects according to HUD’s new performance measurement categories during the FY 06-07 program year. Further details about multi year activities funded through CDBG are provided in the IDIS report attached as an appendix to this document.

Federal Fiscal Year	IDIS Activity#	Project
1997	823	<p><b>Former Cottage Street Dump.</b> The City continues to work on a reuse strategy with Waste Management, Cottage Street LLC, Massachusetts DEP, and the East Springfield neighborhood to develop a reuse strategy for the landfill. Closure of the landfill continues with monitoring by the State. The feasibility of a recreational facility on the landfill once it is capped is being studied by all parties.</p>
1998	1047	<p><b>Memorial Industrial Park II. Memorial Industrial Park II (MIPII):</b> An extension of the existing Memorial Industrial Park located between the East Springfield, Bay and Pine Point neighborhoods, this 80 acre property is the largest developable piece of industrial land in the City of Springfield. Limiting its desirability in the market however, was a legacy of environmental contamination that needed to be addressed. Over the past year, the environmental remediation of metals and petroleum products on site has been completed. The outstanding contamination issues on the property have been addressed and are no longer an issue.</p> <p>The Office of Economic Development provided assistance with this task by reviewing site closure documents and participating in discussions on the most cost effective ways to achieve a permanent solution at the site. Environmental insurance information was also provided by this office as well as an analysis of the best insurance options for PFG at their new site.</p> <p>Economic Development also worked in partnership with Mass Development to secure over \$2 million in infrastructure funding for the park. A Massachusetts Public Works Economic Development (PWED) Grant was secured as well as a US Economic Development Administration (EDA) grant to cover the costs of designing and building a new public road into the industrial park that will provide access to the various parcels as the site is built out. As part of this process, agreements between the Springfield Redevelopment Authority, City of Springfield,</p>

		<p>and the Springfield Water and Sewer Commission were secured for the purposes of jointly submitting both grants. A project budget, cash flow/ break even analysis, and site maps, graphics and other materials were completed for both applications.</p>
1999	1313	<p><b>Former York Street Jail.</b> The former York Street Jail site is comprised of 146,478 square feet of building space located on 3.36 acres of land at the intersection of York Street and West Columbus Avenue along the Connecticut River waterfront in the south end of Springfield. The site includes sixteen buildings constructed of brick and masonry ranging in size from 300 square feet to 18,000 square feet. The immediate area surrounding the site includes a car dealership to the south, a gas station to the north, Interstate 91 to the east and the Connecticut River to the west.</p> <p>During this program year, the City through the Office of Economic Development initiated a project that included removal of two underground storage tanks, preparation of a Release Abatement Measure (RAM) Completion Report, and a Method 3 Risk Characterization and Response Action Outcome Partial (RAO-P) Statement regarding the presence of lead, benzo(a)pyrene, and fluoranthene at the site. The purpose of the project was to achieve a permanent solution to the outstanding environmental issues at the site that were being tracked by Massachusetts Department of Environmental Protection (MA DEP).</p> <p>Specifically, the City documented RAM Completion activities, and completed an RAO Statement for a portion of the site, thereby closing RTN 1-13316. Results of the cleanup indicated a condition of “No Significant Risk of harm to human health, safety, public welfare and the environment with implementation of an Activity Use Limitation (AUL) to minimize potential exposure of receptors to lead in site soils”. Benzo(a)pyrene and fluoranthene were eliminated as constituents of concern.</p> <p>As a potential development site, the former York Street Jail now has the value added of a closed DEP file at this site with no outstanding environmental contamination issues and the knowledge of what environmental risk this site poses for potential developers.</p> <p>The City has allocated \$1.8 million for the demolition of this structure and hopes to secure a developer for the site in the near future. Although this site has many challenges, we now know</p>

		that the environmental risk on the portion of the site this project addressed is not one of them.
1999	1315	<b>Taylor Street.</b> After the DPW City Yard was relocated to a new modern facility on Tapley Street, the existing buildings were demolished and the site was remediated. Completed in 2000, development of the property was undertaken by Springfield Foodservice Corporation (SFC) and Hampden Zimmerman Electrical supply. SFC was an adjacent land owner needing additional land for a 50,000 square foot expansion. By selling SFC the land for the expansion, the City was able to retain over 200 jobs and SFC invested almost \$2.6 million in the property. The remainder of the land was sold to Hamden Zimmerman, which invested over \$2 million for a new 40,000 square foot building that employs over 60 people. Both investments resulted in the over \$100,000 in new tax revenues for the City. Approximately 37 new jobs were created as the result of the private investment at this location. The City continues to consider the balance of the site for redevelopment.
1999	1332	<b>Former Crane Site.</b> Located in the Indian Orchard Neighborhood of Springfield at 225 Goodwin Street, this site was formerly the location of the Crane/Chapman Valve manufacturing facility. This 53 acre site, of which the City owns 13, has been listed on the City’s list of major potential development sites. During this program year OED submitted this site in the Springfield Capital plan, requesting funds for the demolition of the 140,000 square foot structure on the site.
1999	1336	<b>Chapin Block.</b> Located at the X, which is comprised of the intersection of three main thoroughfares the Forest Park neighborhood. This building is one of the anchors of the X Main Street commercial district. It continued to be considered as a potential revitalization target.
2000	1504	<b>Public Market.</b> The Springfield Business Development Corporation (SBDC) continues to work toward the development of a Public Market in Springfield. This Public market could potentially include an open food market, office space, and a restaurant. The Public Market project continues to be SBDC was one of the three bidders for the re-use of the former Basketball Hall of Fame. SBDC was not chosen as preferred developer. However, they continue to pursue feasibility study of the Market at different locations. SBDC was successful in securing a \$400,000 state earmark for the continued pursuit of this project.

2001	1628	<p><b>Former Bing Theater.</b> The X Main Street Corporation received a \$100,000 funding allocation from the State for the rehabilitation and conversion of this former theater into an arts center. The theater is located on a major thoroughfare in the Forest Park Neighborhood. X Main Street will complete the renovations of this facility during FY '06-'07.</p>
2001	1630	<p><b>Former Mason Square Fire Station.</b> This former fire station is located in the heart of the Mason Square neighborhood at the intersections of State Street and Boston Road. This structure has been cited as a prime site for redevelopment in the plans for the Revitalization of State Street corridor. It is located in is adjacent to the Indian Motorcycle Apartments and close to American International College and the State Street commercial district.</p>
2001	1671	<p><b>Former Technical High School and ancillary historic structure.</b> Located in the downtown Sprigfield adjacent to the site of the new Federal Courthouse and the main branch of the Springfield Public Library and the Springfield Museums, this site is being studied by the State of Massachusetts as a potential location for a state data center at this location. While architectural and economic feasibility studies are underway, there is no definitive plan as of yet. The City expects the State will complete its study of the site during FY '06-07.</p>

002	1712	<p><b>Former Gemini Site.</b> Formerly the site of the Gemini Manufacturing building, this 3-acre site is a prime site for development in the City’s South End Neighborhood. It is also one of the City’s largest tax-foreclosed brownfield sites. The City of Springfield foreclosed on this property for non-payment of taxes in 1998, and the building burned down in 2003.</p> <p>During the FY ’05-’06 fiscal year, Economic Development procured the engineering services of Weston and Sampson who will design the cleanup and prepare bid specs for the City. Weston and Sampson was the engineering firm that completed the environmental assessment of the Gemini Site in 2002. The Springfield Law Department determined that the original contract was written to allow for an amendment for future cleanup engineering services. An amendment was completed and Weston and Sampson began their work assembling engineering data for the bid specs for remediation services.</p> <p>Residual contaminated soil and groundwater exists below the former sub-basement slab and building foundation. The City of Springfield will hire a contractor to excavate the current backfill, break the slab and to excavate impacted soil and remove associated impacted groundwater. The project includes the preparation of plans and specifications for Site remediation as well as compliance with the Massachusetts Contingency Plan (MCP) during construction activities.</p>
2002	1868	<p><b>Business incubator located at 365-385 Liberty Street.</b> Formerly a blighted industrial property, the purchaser of this former city owned property committed close to \$1 million of private capital for the project and expected to create more than 50 new jobs opportunities for low or moderate income persons living in the area. During this program year OED worked with the owner on the remediation of a petroleum release on the site. This project continued to be tracked for job creation.</p>
2002	2016	<p><b>Community Center in North End of Springfield.</b> The City continued to provide assistance to the New North Citizens Council related to the development of a community center in the North End of Springfield. The Center will house and array of programs and services for families and children, including after school programs, translation services for Latino residents, and elderly programs. The facility will be a state of the art two story building containing approximately 22,000 square feet of space on a 1.2 acre site that is located at the intersection of Main and Morgan Streets. The new center, which is to be located within the boundaries of the Dwight Street urban renewal area, is expected to create 10 to 20 jobs.</p>

Multi	Multi	<p><b>Redevelopment of the Springfield Riverfront.</b></p> <p>Completed and opened in September 2002, the Naismith Memorial Basketball Hall of Fame is the key element in the City of Springfield’s Riverfront Development Plan.</p> <p>During FY ’05-’06, the Springfield Riverfront Redevelopment Corporation (SRDC) continued to pursue a redevelopment strategy for additional commercial development on the 18-acre site. SRDC chose River’s Landing LLC as preferred developer to redevelop the former Basketball Hall of Fame into an “entertainment and fitness experience”. The developers are in the process of completing plans for the site which are expected in September of 2006. The \$12 million project is expected to be completed in late 2007.</p>
		<p><b>Other multi year projects include:</b></p> <p><b><u>Downtown Revitalization</u></b></p> <p><b>Civic Center Upgrade.</b> The MassMutual Convention Center was completed October 2005. The doors opened to immediate activity of conventions, concerts, and local meetings. Global Spectrum manages the facility in partnership with the Massachusetts Convention Center Association and the Greater Springfield Visitors and Convention Bureau, which assists in marketing and securing convention business.</p> <p><b>Park Plaza Hotel.</b> Located at 31 Elm Street in Downtown Springfield, this structure is one of the 5 major structures located around Court Square Park. Given the current hotel market in Downtown Springfield, the owner of this property chose to end his pursuit of a hotel at this location. The property was in tax arrears of \$1 million. The City continued foreclosure proceedings for the acquisition of this property. Once acquisition through foreclosure is completed, the City will proceed with redevelopment. The City will also secure a consultant to complete a downtown residential housing market study that may indicate the demand for housing at this location.</p> <p><b>Union Station Rehabilitation Project</b></p> <p>The Union Station rehabilitation project is slated to involve the rehabilitation and conversion of Union Station into an inter-modal transportation facility with ancillary uses that will support the station project. This project is currently under evaluation by FTA and PVTA.</p>

		<p><b><u>Indian Orchard Master Plan Implementation.</u></b> The City continued to implement strategies from the Indian Orchard Master Plan. One of the major recommendations was to begin improvements to the Chicopee Riverfront area for neighborhood recreation opportunities. During this program year the City acquired almost 8 acres of riverfront property in this area from Consolidated Energy. The land, which was a gift to the City, will be under the jurisdiction of the Parks Department. Improvements will begin in FY 06-07.</p>
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## Narrative and Financial Summary by Program

The City has completed the first year covered by the current Consolidated Plan. The analysis contained within this CAPER demonstrates that within most program areas the City has already met the goals described. Where results have not been achieved, the analysis provides guidance for the City in the remaining year.

### A. CDBG Narratives

In order to comply with the reporting requirements laid out in the CDBG regulations, the City utilizes this CDBG Narrative section to incorporate CDBG-specific information into the CAPER that is not easily included in the Five Year and Annual Report sections included above. This section is broken into the following four components:

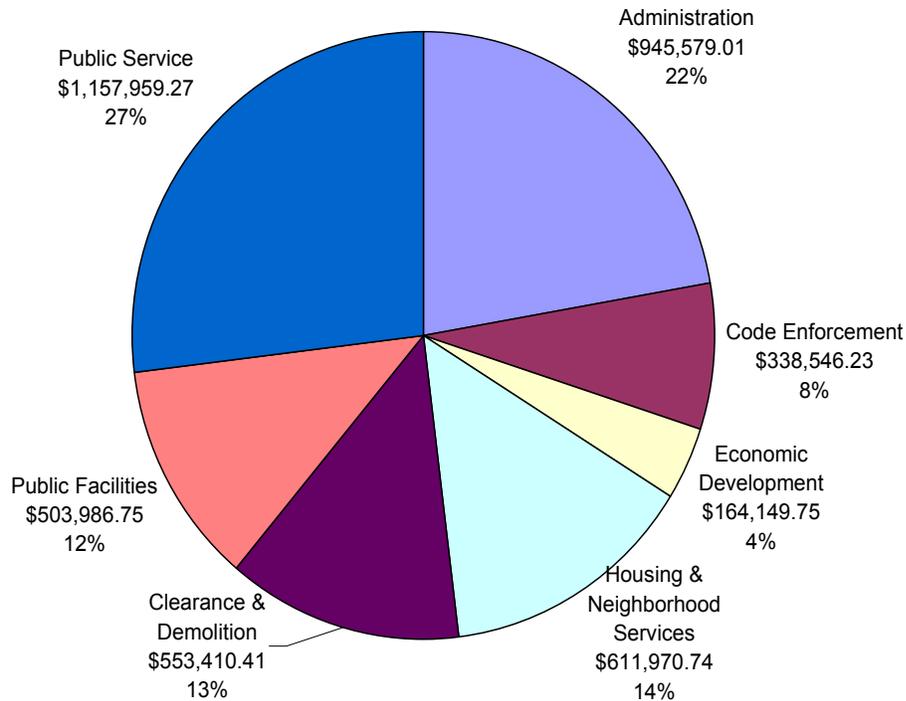
- A. **FY05-06 CDBG Expenditures Breakdown by Category (commences on page 27);**
- B. **Required narratives pertaining to the administration of the CDBG program (page 27).**

#### A. **FY05-06 CDBG Expenditures Breakdown by Category**

In FY05-06, the City's CDBG allocation was \$4,725,709. Prior year program income not previously programmed or reported and reprogrammed prior year funds amounted to \$550,000. Total estimated program income totaled \$400,000. This meant that \$5,675,709 was available during the program year. Of this amount, \$4,650,567 available for projects. During this fiscal year the City expended \$4,275,602 of CDBG entitlement funding. Please note that due to commitments that were carried over from the prior fiscal year total expenses was greater than the total funds available for projects.

The following pie chart codifies these expenditures into six major categories, including Housing, Economic Development, Public Service, Public Facilities and Infrastructure, Code Enforcement, and Clearance and Demolition plus administration. These categories line up with the priorities, needs, goals, and specific objectives identified in the Consolidated Plan and the FY05-06 Action Plan.

**FY05-06 CDBG Expenditures by Category**  
**Total Expended: \$4,275,602**



**B. Required narratives pertaining to the administration of the CDBG program.**

Nature and Reason for any Changes in Program Objectives

The City did not amend the FY05-06 Action Plan. However, a number of activities were canceled due to inactivity, including: Stabilization and Technical Assistance to Business.

Certification Narrative/Plan Implementation

The City strongly supports the receipt of assistance from various organizations regarding the furtherance of the Consolidated Plan goals. The City views these organizations as our partners. During the course of the year, the City provided certification for numerous programs including those for Acorn, Springfield Partners for Community Action, Springfield Housing Authority (SHA) (3 separate applications), MHA, MLKCC, Massachusetts Career Development Institute (MCDI), River Valley and Center for Human Development (CHD) funding applications. The City of Springfield did not hinder the implementation of the Action Plan by action or willful inaction. The City of Springfield pursued all resources it indicated it would. A summary of leveraged resources is located in the table starting on page 69.

Compliance with National Objective

During FY05-06, the City used its CDBG funds exclusively for the benefit of low and/or moderate income persons or to eliminate or prevent slum and blight. Of funding expended, 83.9 percent was directed toward low and/or moderate income persons.

## Relocation Narrative

The City of Springfield insures proper relocation for all revitalization projects. For economic development projects resulting from implementation of urban renewal plans, the City through its redevelopment authority utilized a private qualified consultant. For housing projects, the City's Office of Housing oversees and monitors project developer compliance with the Uniform Relocation Act. No housing initiatives resulted in permanent displacement.

## Limited Clientele Narrative

Programs funded by CDBG are required to demonstrate that their activities are serving low to moderate income persons/households. The City does permit presumed benefit from some public service programs that serve hard-to-reach sub-populations and/or are located in qualified census tracts. In those special circumstances, the City, prior to funding, considers who the targeted beneficiaries are intended to be, the nature of the program, and its location. This evaluation must result in the determination that at least 51% of the intended beneficiaries will be low and moderate income persons.

## Program Income Narrative

During the course of the year, the City realized \$283,100.52 in CDBG program income and \$146,890.72 in HOME program income. Program Income funds are utilized to operate programs identified in the Action Plan. A summary of realized program income and its utilization is contained within the Financial Summary Attachment on page 87.

## **B. HOME Narratives**

After the evaluation of housing needs, the City targeted its FY05-06 HOME funds into five program areas: Homebuyer Assistance, Existing homeowner Rehabilitation, Project Based Homeownership, Multi-Family Rental Housing, and Tenant-Based Rental Assistance. The allocations within the Action plan permitted the City to commit resources to affordable housing projects.

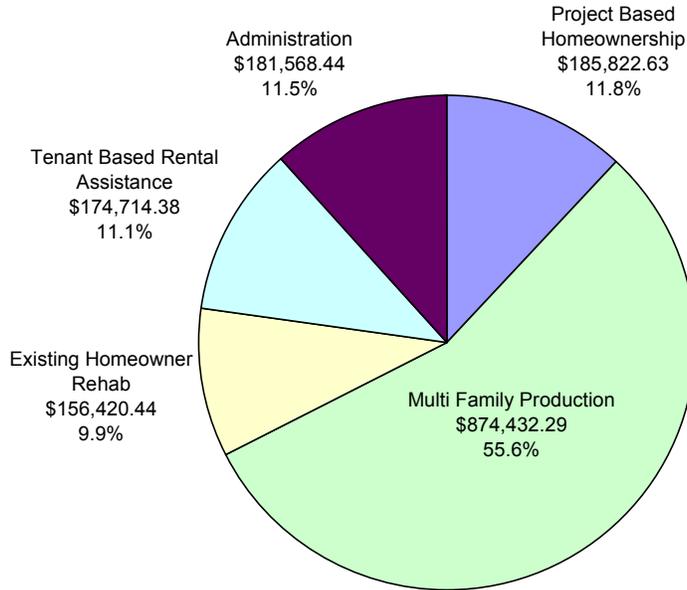
In FY05-06 the City's HOME allocation was \$1,794,181. When added to the \$75,000 of anticipated program income, the amount of HOME funding available for use in FY 05-06 totaled \$1,869,181, of which \$1,682,263 was available for projects. The timely expenditure of federal funds for the furtherance of the City's identified housing goals is imperative. During this fiscal year, the City expended \$1,572,958 of available funds.

As part of the work conducted by the City to increase the rate of expenditure during FY05-06, the City has conducted outreach efforts and provided direct technical assistance to both organizations and developers interested in acquisition and redevelopment of distressed properties within the city. Chart A below illustrated the City's program expenditures for FY05-06.

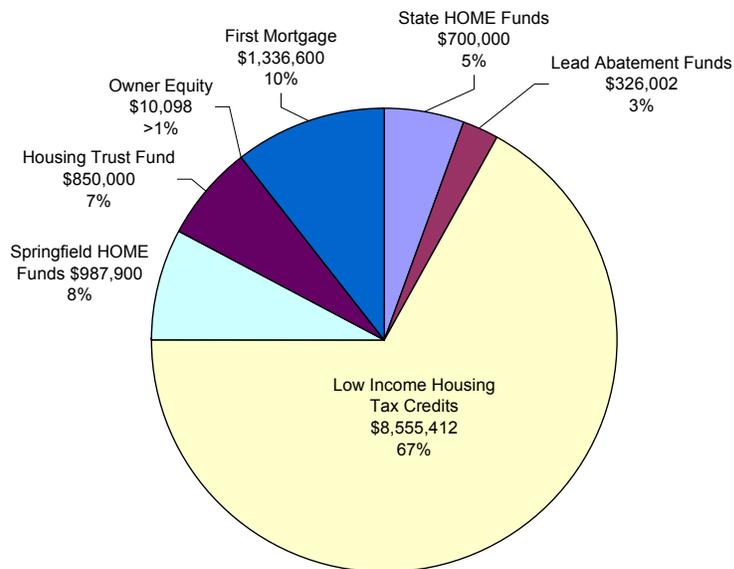
Each year, the Participating Jurisdiction is required to commit 15% of its HOME entitlement program dollars to CHDO organizations. A two year window is provided for commitment of CHDO funds and five years is provided to complete the eligible activity. In FY05-06 the City expended \$111,144 or (7%) of funds expended for CHDO activities. The City is on pace to meet both the two (2) year commitment and the five (5) year expenditure requirements for CHDO Organizations.

Another important analysis is the extent to which the federal HOME allocation leverages additional resources. Within FY05-06, the City's completed projects leveraged a total of \$11,927,805 from private, state and federal sources. Chart B on the following page illustrates the breakdown of leveraged resources.

**Chart A**  
**FY05-06 HOME Expenditures by Category**  
**Total Expended: \$1,572,958**



**Chart B**  
**Completed Projects Leveraged Resources**



## American Dream Down Payment Initiative (ADDI)

Within the annual action plan, the city proposed to 1) Broaden the existing homebuyer assistance program and 2) Continue an outreach program targeting Section 8 and public housing residents. During FY 05-06, both activities were undertaken.

The existing homebuyer assistance program targeted Section 8 Program certificate holders in addition to low and moderate income households. The program provided assistance to 22 buyers, 20 of which were minority households.

The targeted marketing program, undertaken in partnership with the Springfield Housing Authority was continued this year. The education program consists of the City's certified homebuyer education with extensive additional credit counseling. The participation is restricted to SHA residents and certificate holders. Direct outreach is undertaken by the SHA. During the fiscal year, 39 households enrolled in the education program.

## ENERGY STAR PROJECTS

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
63 Quincy Street	Homeownership/New construction For Profit Developer	\$49,300	\$143,015	1/1
69 Quincy Street	Homeownership/New construction For Profit Developer	\$49,300	\$143,015	1/1
57 Quincy Street	Homeownership/New construction For Profit Developer	\$49,300	\$143,015	1/1
40 Florida Street	Homeownership/New construction CHDO	\$40,000	\$182,000	1/1

## COMPLETED PROJECTS\*

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
81-83 Cambridge Street	Homeownership/Rehabilitation/CHDO	\$50,000	\$270,000	2/1
202 Dunmoreland Street	Homeownership/Rehabilitation/CHDO	\$50,000	\$215,000	1/1
19 Dresden Street	Homeownership/Rehabilitation/CHDO	\$50,000	\$215,000	1/1

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Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
69 Quincy Street	Homeownership/New construction For Profit Developer	\$49,300	\$143,015	1/1
63 Quincy Street	Homeownership/New construction For Profit Developer	\$49,300	\$143,015	1/1
57 Quincy Street	Homeownership/New construction For Profit Developer	\$49,300	\$143,015	1/1
45-47 Thompson Street	Homeownership/New construction CHDO	\$100,000	\$318,500	2/2
40 Florida Street	Homeownership/New construction CHDO	\$40,000	\$182,000	1/1
34 Florida Street	Homeownership/Rehabilitation For Profit Developer	\$92,578	\$200,556	1/1
High Street Commons 36-44 High Street	Rental Rehabilitation/For profit developer	\$550,000	\$10,298,260	55/11

\* Of the 21 completed HUD units, household race in 17 of the units was white and the balance of 4 units were occupied by Black/African American households.

**Projects Completed:**

**Rental**

**High Street Commons**

The High Street Commons Project involved the demolition of 53-55 High Street and the renovation of 36-44 High Street, a historic property. The High Street Commons project substantially renovated 55 units of affordable housing including 11 HOME units.



**Homeownership**

**81-83 Cambridge Street**

**19 Dresden Street**

**202 Dunmoreland Street**

The City provided HOME funds to this CHDO developer who renovated one (1) duplex and two (2) single family homes and sold them to income eligible first time homebuyers.



*81-83 Cambridge Street*



*19 Dresden Street*



*202 Dunmoreland Street*

**57 Quincy Street**  
**63 Quincy Street**  
**69 Quincy Street**

The project undertaken by a minority developer included site acquisition and development of Energy Star rated single family homes for sale to first time homebuyers.



*57 Quincy Street*



*63 Quincy Street*



*69 Quincy Street*

**40 Florida Street**

The CHDO developer has constructed a single family Energy Star home within the McKnight Historic District.



*40 Florida Street*

## **45-47 Thompson Street**

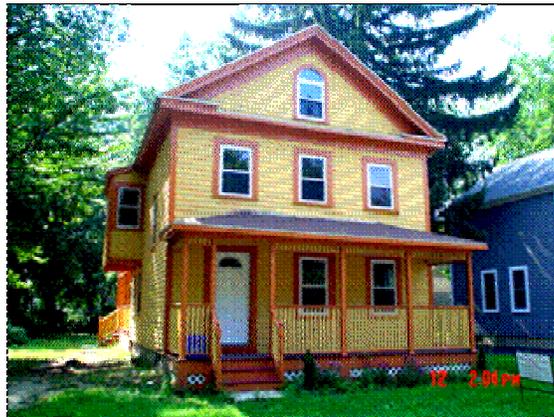
The CHDO developer has constructed a two family energy star home for a low or moderate income family.



*45-47 Thompson Street*

## **34 Florida Street**

This minority developer rehabilitated a single family home in the McKnight Historic District sold to income eligible first time homebuyer.



*34 Florida Street*

## Additional projects currently in the development phase but not yet completed:

### Multi-Family Rental Housing Development

The City currently has six HOME-funded rental housing projects in development. The total number of affordable rental units that will be created as a result is 905. The six projects will achieve a total of sixty-four HOME units upon completion. The projects have affordability terms of at least twenty years to ensure long-term affordability.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/HOME Units
Pynchon I 202 Plainfield Street	Rental rehabilitation/For profit developer	\$450,000	34,459,181	250/10
Edgewater/Pynchon II 101 Lowell Street	Rental rehabilitation/For profit developer	\$450,000	\$33,355,093	366/10
Museum Park II 70 Chestnut Street	Rental rehabilitation/For profit developer	\$300,000	3,566,742	21/11
Northern Heights 765 Main Street 3-79, 22-24, 86-98 Central Street	Rental rehabilitation/For profit developer	\$400,000	\$13,856,683	149/11
Liberty Hills Cooperative Housing 5 Nursery Street	Rental rehabilitation/For profit developer	\$275,000	\$7,143,823	88/1
Jefferson Park 1245 Dwight Street/6-10 Allendale Street & 391 Dwight Street/85 Jefferson Ave.	Rental rehabilitation/For profit developer	\$550,000	5,820,506	31/11

### Homeownership/New Construction CHDO

The development projects consisting of single-family and two-family housing that are currently under development total seven (7). Six (6) of the projects are CHDO development projects. The City commitment of HOME funds totals \$474,256 for all seven (7) properties, with total development costs exceeding \$1,621,005. All seven (7) properties will be sold to eligible first-time homebuyers upon project completion.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
40 Collins Street	Homeownership/New construction CHDO	\$64,490	\$244,888	1/1
162 King Street	Homeownership/New construction CHDO	\$73,874	\$263,321	1/1

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
30 Orleans Street	Homeownership/New construction CHDO	\$87,052	\$255,970	1/1
173 Tyler Street	Homeownership/New construction CHDO	\$59,275	\$246,736	1/1
Parcel 00061 Tyler Street	Homeownership/New construction CHDO	\$61,855	\$225,075	1/1
Lot 00034 Pendleton Avenue	Homeownership/New construction CHDO	\$78,410	242,000	1/1
51 Quincy Street	Homeownership/New construction For profit developer	\$49,300	143,015	1/1

### **Fair Housing and Affirmative Marketing**

All development projects were reviewed for compliance with the City’s affirmative marketing requirements. Each developer was required to provide an affirmative marketing plan as well as marketing materials. The developer’s plans identified community organizations, places of worship, employment centers, fair housing groups or housing counseling agencies where special outreach was conducted. In addition, all marketing materials included the Equal Housing logo. Upon project completion, the accomplishments were assessed based on the initial plan, outreach efforts including mailing lists/ads and the resulting HOME-assisted recipient.

### **Relocation**

The development projects that were completed during the program year involved no permanent displacement of tenants from housing units. All approved projects were reviewed to determine applicability of the Uniform Relocation Assistance (URA) guidelines.

### **Minority and Women-Owned Business Outreach**

In addition to ensuring that HOME benefits are delivered to minority households, the City undertakes extensive outreach to ensure that minority and women-owned enterprises are contract recipients. The opportunities to expand M/WBE participation are through direct funding to M/WBE developers and/or through monitoring developers for their M/WBE contracting process/accomplishments.

In the year covered by the Action Plan, HOME funds were expended in the amount of \$52,700 to a minority developer who has completed three new construction homes sold to first time homebuyers. Additionally, within completed projects, the developers awarded M/WBE contracts and subcontracts in excess of \$994,823. These accomplishments may not be reflected in the HOME Annual Performance Report as the projects may not have been fully occupied and closed out in the IDIS system prior to the end of the reporting period. The City will continue to strive to increase M/WBE and Section 3 business participation.

### **Matching Report**

The City of Springfield has received a Match Waiver from HUD.

## Contracting

The Office of Housing has continued to further our marketing and contracting activities. The housing rehabilitation and lead abatement programs maintain a list of qualified insured contractors. Although the lists are updated on a regular basis, property owners are encouraged to solicit from a wider circle of contractors. Often, contractors who undertake our projects are willing to be placed on our list for future contracts.

## On-Site Inspections

On-site inspections of affordable rental projects assisted with HOME funds are conducted by qualified City staff in accordance with HOME regulations. Standard practice is that units are inspected as part of the annual recertification process. Project units inspected include:

<b>Program</b>	<b>Number</b>	<b>Street</b>
Multi-Rental	4	33 Blanding Street
Multi-Rental	10	888 State Street
PBHO	2	31-33 Humbert Street
Multi-Rental	10	52 Maple Ct.
Multi-Rental	6	34-36 Terrance Street
Multi-Rental	2	30 High Street
PBHO	1	57 Quincy Street
PBHO	1	63 Quincy Street
PBHO	1	69 Quincy Street
PBHO	2	81-83 Cambridge Street
PBHO	1	19 Dresden Street
PBHO	1	202 Dunmoreland Street

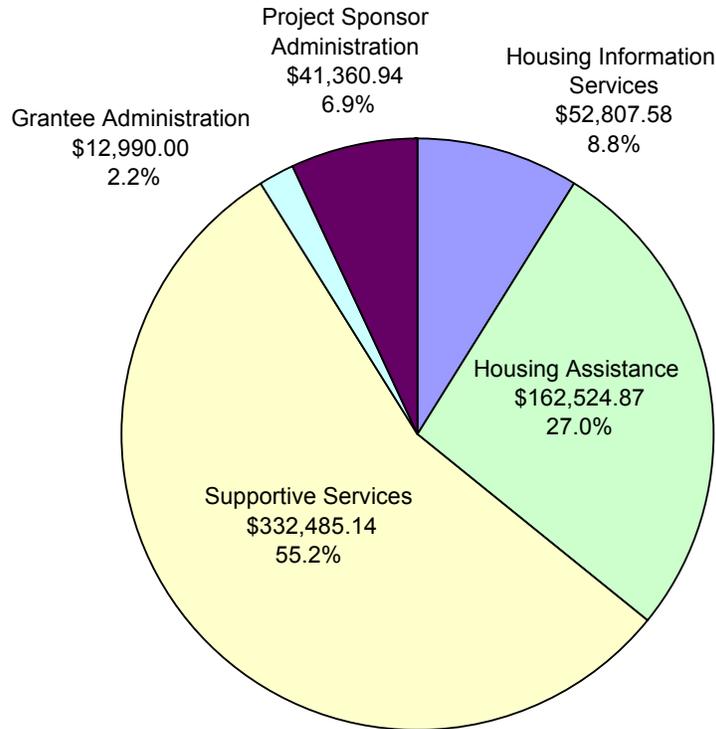
In HOME projects that have Section 8 subsidies or in which the owner has an on-going relationship with a PHA/LHA, the City accepts the PHA/LHA inspections but reserves the right to randomly re-inspect.

In addition, City staff conducts initial, progress, and close-out (final) inspections on all developer projects - project-based homeownership and multi-family production - throughout the development process. City staff also conducts similar inspections on all existing homeowner rehabilitation projects and state financed lead abatement projects. HQS inspections as part of the application review are also conducted on all first-time homebuyer projects.

### C. HOPWA Narrative

The City of Springfield is the HOPWA grantee for the tri-county area, which is comprised of Hampden, Hampshire, and Franklin County. The designation as an entitlement community for HOPWA funds occurred in 2001.

**FY04 - FY05 Expenditures by Category**  
**Total HOPWA Expended: \$602,168.53**



Projects which were selected for funding a formal Request for Proposal process, included:

1. River Valley Counseling Center provided rental assistance to [PENDING] and intensive support services to 222 households. Housing Information Services were provided to 164 individuals. River Valley primarily serves residents of Hampden County. HOME funds were utilized to provide Tenant-Based Rental Assistance to [PENDING]
2. Center for Human Development/HIV/AIDS Law Consortium provided legal assistance, advocacy, and small group workshops to clients and case managers on issues of discrimination in housing and benefits. The Law Consortium provided legal services to 82 households with 260 total encounters. Housing Information Services were provided to

214 individuals.

3. New North Citizen's Council provided eligible support services, housing search and information, and short-term assistance to households. The program provided Supportive Services to 54 individuals and short-term rental assistance to 85 households.
4. Cooley Dickinson Hospital's AIDS Care provided tenant-based rental assistance and support services to 17 households. Housing Information and Support Services were provided to an additional 29 households. Cooley Dickinson primarily serve residents of Hampshire County.

The City of Springfield's Office of Housing and Neighborhood Services provides the grant management and the Community Development Department provides financial oversight. Program oversight consists of program monitoring through quarterly reports and on-site monitoring as needed. The City's quarterly report mirror HUD's Annual Progress Reports with the addition of a program narrative, which details challenges and accomplishments.

Sponsors are selected through a competitive formal Request for Proposal process. The RFP process has been consistent since Springfield's designation of an entitlement area.

### **Project Accomplishments Overview**

HOPWA funds provided a range of housing activities including:

- Emergency or short-term housing for 85 households
- Rental assistance to 17 households
- Housing Information Services to 407 persons
- Supportive Services Only to 361 households

A HOPWA funded project of five units was placed in service during this program year. An application for a new HOPWA project was received by the City and has received a conditional commitment.

### **Barrier/Trends Overview**

The greatest challenge has been the scarcity of resources to serve the eligible population..

The Tri-county area continues to see an increase in the number of HIV/AIDS cases and a decrease in the public dollars available to serve this growing population. Couple these factors with an increase in life expectancy, results in a tremendously burdened system. Over the next five years, providers will need to evaluate the use of mainstream health and housing programs to provide for impacted households. The City as an administrator of HOPWA funds will continue to stress the importance of a community partnership and leveraging of non-HOPWA resources.

Name of HOPWA Grantee: City of Springfield/Office of Housing & Neighborhood Services  
 Report covers the period: 7 / 1 / 05to 6 / 30 / 06

**Performance Chart 1—Actual Performance. Types of Housing Units Dedicated to Persons with HIV/AIDS that were Supported during the Operating Year** Name of HOPWA

Type of Unit	Estimated Number of Units by type in the approved Consolidated Plan/Action Plan for this operating year	Comment, on comparison with actual accomplishments (or attach)
1. Rental Assistance	<b>14</b>	<b>17 – Exceeded Goal</b>
2. Short Term/emergency Housing & Information Services	<b>**200</b>	<b>85 – Short Term Emer. Housing</b> <b>407 – Housing Information</b> <b>492 – Total - Exceeded Goal</b>
<b>Total</b>	<b>214</b>	<b>509</b>

**\*\*The project accomplishments are for all eligible activities including Housing Information Services.**

Grantee: City of Springfield/Office of Housing & Neighborhood Services

Report covers the period: 7 / 1 / 05 to 6 / 30 / 06

**Performance Chart 2 – Comparison to Planned Actions, as approved in the Action Plan/Consolidated Plan for this Operating Year ( Estimated Numbers of Units)**

Type of Unit:	Number of units with HOPWA funds	Amount of HOPWA funds	Number of units with Other funds	Amount of other funds	Deduction for units reported in more than one column	TOTAL by type of unit
1. Rental Assistance	<b>14</b>	<b>67,712.00</b>				
2. Short-term/emergency housing payments	<b>80</b>	<b>56,017.00</b>				
3-a. Units in facilities supported with operating costs						
3-b. Units in facilities that were developed with capital costs and opened and served clients						
3-c. Units in facilities being developed with capital costs but not yet opened						
<b>Subtotal</b>	<b>94</b>	<b>123,729.00</b>				
Deduction for units reported in more than one category						
<b>TOTAL</b>	<b>94</b>	<b>123,729.00</b>				

## D. ESG Narrative

After a Community Needs Assessment, the City requested proposals from Homeless Service Providers to operate ESG eligible programs. As part of the City's review process, the statutory spending caps on certain ESG activities are a consideration. The City expenditures for FY05-06 within the allowable activities and expenditure caps are located on page 90 and 91.

ESG funds have statutory match funds requirement of one to one. For every ESG dollar expended, one dollar of other private or eligible public money must be expended. The City's ESG program far exceeded this requirement by leveraging \$146,672.

### ESG Activities

The Emergency Shelter Grants program is designed to perform four eligible activities: increase the number and quality of emergency shelters/and transitional housing facilities, to operate these facilities, to provide essential services, and to help prevent homelessness. During the period of the Action Plan, the City of Springfield utilized eligible entities to provide effective programs to Springfield's homeless population.

Eligible ESG activities, with corresponding funded programs, are:

1. **Renovation** - rehabilitation, and conversion of buildings for use as emergency shelters or transitional housing for the homeless.
2. **Essential services** - Assistance may be used for activities relating to emergency shelter for homeless individuals. The provision of essential services, including services concerned with employment, health, drug abuse or education, and may include but are not limited to:
  - 1) Assistance in obtaining permanent housing;
  - 2) Medical and psychological counseling and supervision;
  - 3) Employment counseling;
  - 4) Nutritional counseling;
  - 5) Substance abuse treatment and counseling;
  - 6) Assistance in obtaining other Federal, State and local assistance including mental health benefits; employment counseling; medical assistance; Veteran's benefits; and income support assistance such as supplemental Security Income benefits, Aids to Families with Dependent children, General Assistance, and Food Stamps;
  - 7) Other services such as child care, transportation, job placement and job training.

### PROJECTS

*Essential Health Care Services were provided through Health Care for the Homeless. Essential health services included medical encounters, counseling, nutrition and referrals to mental health and additional services. Services were provided on-site at every singles and family shelters in Springfield. The project served 241 persons.*

3. **Operating costs** - such as maintenance, insurance, rent, utilities and furnishings incurred by a recipient operating a facility

## PROJECTS

*- Friends of the Homeless received funding for the operation of an emergency shelter for homeless singles. The shelter served 2,477 persons.*

*- The YWCA provided emergency shelter to women and their children who were victims of domestic abuse. The project served 166 households.*

4. **Homeless prevention** and efforts to prevent homelessness such as financial assistance to families who have received eviction notices or notices of termination of utility services if - a) the inability of the family to make the required payments is due to a sudden reduction in income; b) the assistance is necessary to avoid the eviction or termination of services; c) there is a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and d) the assistance will not supplant funding for preexisting homelessness prevention activities from other sources.

## PROJECTS

*- **Tenancy Preservation Program (TPP)** - provides case management, mediation and mental health intervention for households with tenancy at-risk due to mental health issues. The program utilizes a community-based team that works in conjunction with community organizations to identify and intervene in situations where there is imminent risk of homelessness. This program is a state-wide model that has received national acclaim. During this fiscal year, the TPP assisted 300 people.*

*- **Court Plus** - operated by Western Massachusetts Legal Services, provides staff attorneys and legal advocates to assist households facing evictions within the City of Springfield. Assistance is provided directly within the Western Massachusetts Division of Housing Court. Clients were screened to determine that income was no more than 125% of the Federal poverty level or that their income was primarily from public sources. During this fiscal year, the Court Plus program assisted 73 people.*

## HUD Reporting Requirements

### A. Affirmatively Furthering Fair Housing

According to a Fair Housing Planning guide published by HUD, "the CDBG program contains a regulatory requirement to affirmatively further fair housing based upon HUD's obligation under Section 808 of the Fair Housing Act. The CDBG regulation also reflects the CDBG statutory requirement that the grantees certify that they will affirmatively further fair housing." Similarly, the HOME program regulation "states the statutory requirement from the Comprehensive housing Affordability Strategy (CHAS) that the jurisdictions must affirmatively further fair housing."

In support of these regulations, HUD's CPD Department also requires CD grantees, including entitlement communities like Springfield, to document AFFH actions in their Annual CAPERS. Grantees must:

- Conduct an analysis to identify impediments to fair housing choice within the jurisdiction.
- Take appropriate actions to overcome the effects of any impediments identified through the analysis.
- Maintain records reflecting the analysis and actions taken to eliminate impediments to fair housing choice.

In order to comply with these regulations and requirements, in 2001 the City of Springfield conducted a Fair Housing Planning process that included the completion of an Analysis of Impediments described above. In 2003, the City of Springfield's Office of Community Development revised its Analysis of Impediments (AI) with the help of MBL Housing and Development, Inc. a consultant hired based on direction from HUD.

In 2006, the City of Springfield augmented this AI with additional analysis and measurable action steps. A DRAFT AI was made available for public review as part of the public review process for this CAPER, and it was sent for review and comment to organizations that are directly or indirectly involved with Affirmatively Furthering Fair Housing in the region. One organization submitted feedback. A copy of the final AI was included in the City's FY06-07 Action Plan.

In order to ensure the AI is as comprehensive as possible, the City has set up a series of working meetings to determine specific actions that may be incorporated into the document to ensure the gaps in the AI that were identified by HDP are filled. It is anticipated that this report will be completed by mid year. For the purposes of this report, an overview of major impediments found and a list of actions taken during the FY 05-06 program year to address the impediments are detailed below.

## **IMPEDIMENTS FOUND**

The following impediments to fair housing in Springfield were identified through this AI.

- a. Lack of extensive amounts of undeveloped land.
- b. Imbalance between rental and homeownership in various neighborhoods.
- c. Presence of deteriorated privately-owned properties that are vacant or not actively managed.
- d. Evidence of predatory lending and redlining.
- e. Existing patterns of segregation.
- f. Language barriers and cultural differences.
- g. The age of housing stock and the prevalence of lead-based paint hazards.

## **ACTIONS TAKEN TO ADDRESS IMPEDIMENTS FOUND**

The City of Springfield has taken positive steps to affirmatively further fair housing and address the impediments to fair housing identified in the AI.

1. Provision of Housing Opportunities- As identified within Springfield's Impediments some of the greatest barriers to Fair Housing are directly related to the lack of housing opportunities for all people. The City continues to address this issue through the following initiatives:
  - a) Expansion of Affordable, Affirmatively marketed housing stock throughout all Springfield neighborhoods. Through the strategic use of its federal housing funds, the city has financed affordable housing opportunities within nearly all of Springfield's neighborhoods. The City's financing requires the units to be affirmatively marketed by the developer/owner with the results of those marketing efforts reported to the City annually.
  - b) Utilization of municipal properties as redevelopment sites for affordable housing opportunities. Springfield has undertaken a comprehensive program to take through the land court process abandoned, blighting properties. The re-use of these properties, while not restricted to affordable housing, has resulted in numerous opportunities to create homeownership opportunities.
  - c) Multi-family dwelling owners seeking financial assistance for work including rehabilitation and lead paint abatement; are required to demonstrate how the project will market to 'those persons least likely to apply' and demonstrate to the greatest extent possible the multi-family complexes are integrated communities
  - d) Provision of financial assistance to eligible homebuyers in all Springfield neighborhoods. A basic premise of Springfield's Homebuyer assistance program is that each buyer should be able to achieve homeownership in any neighborhood.

## 2. Provision of Education on issues of Fair Housing and Housing Discrimination

- a) The City provided homebuyer education workshops in English and Spanish throughout the program year. While the primary objective was to prepare first time homebuyers for ownership, the education workshops include a component on fair housing.
- b) the City through a consultant provided financial literacy assistance to public housing residents during the program year.
- c) The City through its Office of Fair Housing and through sub-contract relationship provided education and legal advocacy for households facing housing discrimination.

### **B. Affordable Housing**

Through a variety of programs, the City was able to assist low and moderate income homeowners and renters. The following table illustrated the numbers of households assisted. The accompanying program descriptions provide a brief overview of the programs and funding sources.

**AFFORDABLE HOUSING: HOUSEHOLDS ASSISTED**

	First-Time Homebuyer	HOME Rehab	Christmas in April	GTLO	Lead Hazard Control	Heating Systems	Clean & Tune	Relocation	Project-Based Homeownership	Multi-Family Rental Production	TBRA	Totals
0-30 MFI Renter							4	69		17	36	126
0-30 MFI Owner			1	1		30	350					382
31-51 MFI Renter							3			19		22
31-50 MFI Owner		1	3	3		25	291					323
51-80 MFI Renter							2			19		21
51/80 MFI Owner	22	11	1	9		4	40		8			95

**Key:**

Christmas -In-April – a federally funded (CDBG) program where volunteers repair homes for elderly and/or disabled homeowners.

G.T.L.O. – "Get The Lead Out" a state funded lead abatement financing program.

Lead Hazard Control – a federally funded (OHHLHC) program to provide Lead Abatement financing to privately owned affordable housing units.

Heating Systems - a state funded heating system repair and replacement system that serves fuel assistance eligible households.

Clean and Tune – a state funded program that provides annual maintenance service to heating systems for fuel assistance eligible homeowners.

Relocation – a federally funded (CDBG) program, which offers assistance to households displaced as a result of condemnation.

Project Based Homeownership – a federally funded (HOME) program, which provides 'turn-key' homeownership units for first-time homebuyers. Developers are provided financial assistance to acquire and rehabilitate distressed properties.

Multi-family Rental Production – a federally funded (HOME) program which produces affordable rental units. Not all units produced had been filled by the end of the reporting period.

T.B.R.A. –Tenant-based Rental Assistance - a federal funded (HOME) program used to provide rental subsidies for formerly homeless singles and families.

## **C. Homeless/Continuum of Care Narrative**

### Homeless

In the first year of the Consolidated Plan, the City continued its coordination role regarding its Ten Year Plan to End Homelessness. The draft Plan shall be approved in the near future. The Plan sets forth numerous strategies to achieve our eight core goals: 1) permanent supportive housing for the chronic homeless; 2) homelessness prevention; 3) rapid exit from homelessness; 4) employment and training to increase incomes; 5) deeply subsidized housing; 6) mainstream services; 7) coordination with our community, our region, and state and federal governments; and 8) accountability through data collection and analysis.

To ensure implementation of the Ten Year Plan, the City will seek annual pledges from local businesses, nonprofits, community organizations, city departments and the faith community to provide concrete support for the goals and strategies of the Plan.

<b>Goal</b>	<b>Accomplishment Steps</b>	<b>Year 1 Actual Accomplishment</b>
End chronic homelessness	Convene meetings of the 10-Year Planning Committee	3 Committee meetings convened, and numerous information-gathering meetings and focus groups conducted
	Achieve consensus on objectives and required actions	Plan draft completed and about to be approved
Identify housing and service needs to address chronic homelessness	Conduct needs assessment utilizing recent Point-in-Time Count	Plan used Jan. 06 Point-in-Time Count to estimate annual homeless population, and number of chronic homeless
	Quantify needs for planning and resource allocation	Plan quantifies need for permanent supportive housing units; Plan also addresses resource needs in the areas of deeply subsidized housing, job training, and services
	Commit resources within Consolidated Plan	City intends to revise its Consolidated Plan to commit resources according to priorities set forth in Ten Year Plan
Expand availability of appropriate housing units through development of additional permanent supportive housing	Establish permanent supportive housing as a priority for City administered funding resources (HOME, HOPWA, LEAP)	City is establishing permanent supportive housing as a priority through its Plan
	Obtain mainstream resource commitment for required services	City is partnering with mental health agency and housing authority to provide permanent supportive housing to chronically homeless persons with mental illness; mental health agency is providing wrap-around supportive services

Coordinate discharge planning	Participate in evaluating and revising Commonwealth policies	City participated in a series of state policy meetings regarding discharge policies
	Compile discharge data in Point-in-Time Count	Discharge data collected in Jan. 06 Point-in-Time Count
	Engage relevant agencies and funding sources in development of discharge protocols	Plan provides for engagement regarding discharge protocols
	Create housing options for persons being discharged from institutions	City is partnering with Hampden County Sheriff's office for post-release sober transitional housing
Expand capacity of day center to enable homeless people to link to services	Develop new Homeless Assistance Center with room for basic and mainstream services	Architectural plans and financing plan for Homeless Assistance Center completed

**D. Other Actions**

**1. Addressing Obstacles to Meeting Underserved Needs**

While there are numerous obstacles to serving underserved needs and subpopulations in Springfield, the two primary obstacles are:

- The coordination of resources from multiple funding sources across various agencies and providers. Each funding source has its own eligibility, definitions and objectives.
- Lack of sufficient resources to engage and serve special needs sub-populations.

During FY 05-06, the City worked to overcome these obstacles by advocating for legislative change, when appropriate, hosting forums for special needs persons and providers to improve coordination and communication, providing technical and financial assistance, and hiring a Deputy Director for Homeless and Special Needs Housing.

**2. Foster and Maintain Affordable Housing**

The City of Springfield's population, according to the 2000 United States census, stands at 152,082. According to census data, nearly 60% of Springfield's households are low or moderate income and there are 7,100 households living in poverty. This figure represents close to 20% of the population of Springfield.

Springfield has 61,172 housing units. Of this number, 49.88% are owner occupied and 50.12%

are rental units. According to the 2000 census, there are 28,631 occupied rental units. Of these rental housing units, 10,522 are occupied by households with a public housing certificate (Section 8 or Mass Rental Voucher) or are legally deed-restricted to provide affordable housing to low-income households. Nearly 37% of Springfield’s rental stock provides affordable housing to low-income persons. Springfield has achieved this impressive number by fostering affordable housing initiatives.

Despite this overwhelming number, the Local Housing Agencies cite a growing demand for affordable rental housing. The two agencies that administer Section 8 rental subsidies report thousands of households on their wait lists. The demand for larger, family units is especially acute.

In response to the need for affordable family rental units, the City has prioritized the appropriate redevelopment of family units. Utilizing its federal entitlement funds, HOME Investment Partnership Program, the City financially assists projects that create or preserve family housing units. Through this assistance, the City shall increase the number of deed restricted affordable housing units. Springfield utilizes long term deed restrictions to foster affordability.

The City’s attempt to maintain quality rental housing is complicated by the age of Springfield’s housing stock. Of Springfield’s 61,172 housing units, 36.3% were built prior to 1940. In excess of 60% of the multi-family housing units were constructed prior to 1940.

Springfield has experienced a steady increase in the number of building permits issued for residential construction. Specifically, the building permits for single-family construction have increased from 62 in FY99-00 to 73 in FY00-01 to 96 in FY01-02 and 02-03. Fiscal year 03-04 had 174 and FY04-05 had 126. The past fiscal year had 145 building permits issued for new single-family housing construction. Permits issued for single and multi-family structure rose to 198. Many of these permits involve properties qualified as affordable housing.

Annually, the City submits an application to the Commonwealth of Massachusetts seeking certification under Executive Order 418. This certification requires the City to document its progress with increasing the number of affordable units in the City and to set goals for the upcoming year.

Springfield set and accomplished the following goals for FY05-06. Each short-term goal is a direct response to identified community housing needs.

<b>Goal</b>	<b>Proposed</b>	<b>Accomplished</b>
Improve the quality of rental housing stock through rehabilitation and lead abatement efforts	<ul style="list-style-type: none"> <li>→ 30 rehabilitated rental units</li> <li>→ 10 units cleared of lead hazards</li> </ul>	<ul style="list-style-type: none"> <li>→ 55 units</li> <li>→ 13 units</li> </ul>
Ensure the availability of affordable rental housing through multi-family rental production and preservation	<ul style="list-style-type: none"> <li>→ 20 households assisted through stabilization program</li> <li>→ 30 units created through</li> </ul>	<ul style="list-style-type: none"> <li>→ 0 households</li> <li>→ 55 units</li> </ul>

	rental production program → 16 households created through TBRA program	→ 36 households
Support court-ordered condemnations and receiverships of problem rental properties and provided relief to tenants impacted by court ordered condemnations and receiverships.	→ 25 at risk tenants assisted	→ 69 at risk tenants (Court Plus Program)
Increase homeownership among low-income households	→ 15 households assisted through the Homebuyer Assistance Program → 15 units benefiting from the project based homeownership program → 30 households benefiting from the American Dream Downpayment Initiative	→ 3 households  → 9 units  → 19 households
Improve the quality of owner-occupied housing thereby permitting low-income owners to remain in safe housing	→ 15 family units	→ 9 family units

### 3. *Eliminate Barriers to Affordable Housing*

As successful as the City has been in fostering affordable housing, as demonstrated by nearly 37% of our rental stock utilized as affordable housing, the City undertakes an annual analysis of barriers. Within the public hearing process and the City’s application as an EO418 community, a detailed analysis of barriers and effective responses is undertaken.

The City has undertaken proactive steps to eliminate barriers to affordable housing. Specifically, during FY05-06 the City:

- Held a series of public meetings for the general public to address housing needs;
- Created and maintained an inventory of municipally owned land that is suitable for the development of housing;
- Aggressively pursued tax-taking of properties to be utilized to promote the goals of its’ housing strategy;
- Worked with local lenders and non-profit agencies to provides first-time homebuyer education and counseling;
- Provided housing search and relocation assistance to households residing in sub-standard rental units;

- Pursued projects to meet needs identified in the Balanced Housing Task Force’s analysis of housing needs based on 2000 census;
- Supported project applications for affordable housing resources including LIHTC, HIF, HSF and HOME; and
- Administered state funds for the abatement of lead hazard controls.

#### ***4. Overcome Gaps in Institutional Structures and Enhance Coordination***

A collaborative approach is utilized by the City of Springfield to implement programs and projects that involve the use of entitlement funding. Administered by the Office of Community Development, service delivery is completed by a number of City departments and other organizations. Although this collaborative approach is working, the City works continuously to identify gaps of service and coordinates efforts to ensure that necessary actions are taken to fill the gaps.

The Office of Community Development (OCD) administers and implements programs described in the Five Year Consolidated Plan and Annual Action Plans.

Key Staff includes:   Commissioner of Community Development  
                                  Director of Housing and Neighborhood Services  
                                  Director of Administration and Finance

To implement the City’s strategy, during FY05-06 OCD utilized private industry, non-profit organizations, including CBDOs, CHDOs, and City departments. The utilization of such a broad base of organizations enabled the City to address its community development, housing, homeless and special needs objectives. However, while the number and abilities of the organizations and departments involved are an institutional strength, the City constantly works to coordinate the projects and programs. Such coordination is integral to the success of the Plan. During the final year of the Consolidated Plan Period, OCD continued to find success through its efforts to coordinate with these organizations and departments.

During this 05-06 program year areas of particular strength included:

- The State, through the Financial Control Board, is directly involved in the implementation of the City’s community development strategy.
- As the result of a directive from the Financial Control Board, the City has implemented a citywide performance based budget. OCD/OHNS is also ahead of schedule with the implementation of HUD’s new performance measurement system.
- The City’s strong homeless provider network is a particularly important strength of the delivery system, especially the components of the Plan that pertain to the elimination of chronic homelessness by 2012.

Major gaps identified include:

- Increased capacity at Springfield’s community based organizations is needed in order to effect the kind of improvements and implement the programs articulated in the Plan, including continued work to assist new leadership at the City key community development partner organizations that were affected by the ongoing federal corruption probe being conducted in Springfield, including Massachusetts Career Development Institute (MCDI), Hampden County Employment Training Consortium (HCETC), Springfield Housing Authority (SHA), and Friends of the Homeless, Inc.

A number of mechanisms were put in place during FY05-06 to help fill these gaps. In particular, continued reorganization of the community development departments into a “cabinet” led to the merging of the Planning and Economic Development department and the identification of non federal funding to hire new staff and conduct economic development programs and projects. Also, the City found its effort to rebuild the affected organizations in a coordinated, efficient and thorough manner to be a success. As indicate in prior year CAPERs, this effort will be more concentrated during the early years of the FY 2006-10 Consolidated Plan period. By the end of the Plan period the upfront investment of resources will yield increased capacity at underperforming community-based organizations, CDCs, and nonprofits; leverage additional funds for projects and programs; and result in improved living conditions and quality of life for low and moderate income persons in Springfield.

This increase coordination will be particularly evident through work conducted to develop and implement the ten year strategy to reduce homelessness and a citywide initiative to utilize public property to achieve established community development goals and objectives.

## **5. *Improve Public Housing and Resident Initiatives***

Each year, the Springfield Housing Authority produces an Annual Plan, which includes numerous goals and objectives for public housing and resident initiatives. As part of the SHA’s process, the annual plan is reviewed by the City’s Director of Housing prior to the Mayor’s certification of its consistency with the Consolidated Plan. The most recent Annual Plan was finalized in winter , 2006. In the current Annual Plan, the Springfield Housing Authority committed to the following:

***MISSION: The Springfield Housing Authority’s mission is to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination. The Springfield Housing Authority will take all actions necessary to correct past deficiencies in the administration of the Authority and will make every effort to restore the public trust and confidence in the administration of all programs.***

## **1. HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

### **1A. PHA Goal: Expand the supply of assisted housing**

Objectives:

- Apply for additional rental vouchers
- Reduce public housing vacancies
- Leverage private or other public funds to create additional housing opportunities
- Acquire or build units or developments

### **1B. PHA Goal: Improve the quality of assisted housing**

Objectives:

- Improve public housing management (PHAS score)
- The SHA's goal is to achieve High Performer status
- Improve voucher management (SEMAP score)
- The SHA's goal is to achieve High Performer status
- Increase customer satisfaction
- The SHA plans to do the following in its functional areas:

#### Public Housing Management:

1. Decentralize public housing function. Create 5 public housing management offices on site in public housing developments with staff dedicated to the oversight of portfolio of 400 – 500 units. This initiative is expected to enhance the ability of public housing residents to communicate with the SHA regarding issues that may affect tenancy such as employment/job training, crime, security, maintenance of units.

2. Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections) The following measures are currently being undertaken:

- Expansion of cost saving electronic data interchange to eliminate redundant clerical work;
- Further expansion of automated office (use of email, networking) to reduce office supply consumption;
- Expansion of use of Internet in order to reduce expense of delivery of reports;
- Utilization of scanning equipment for reduction of document storage costs, to improve longevity of storage and facilitate document retrieval.

3. Renovate or modernize public housing units

4. The SHA will participate in energy conservation and rebate programs

5. Demolish or dispose of obsolete public housing

6. Provide replacement public housing

7. Provide replacement vouchers:

## **1C. PHA Goal: Increase assisted housing choices**

### Objectives:

- Provide voucher mobility counseling
- Conduct outreach efforts to potential voucher landlords

The SHA's monthly goal is to enlist one potential voucher landlord per month, with a unit in a low poverty area. Unit information is then added to a database and made available to all clients. The name of all new landlords who inquire about the Section 8 Program will receive an information packet that will be mailed to them.

- Increase voucher payment standards
- Implement voucher homeownership program

The SHA has 7 participants currently in its homeownership program and plans to expand the program to 25 participants.

## **2. HUD Strategic Goal: Improve community quality of life and economic vitality**

### **2A. PHA Goal: Provide an improved living environment**

#### Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments

The SHA has implemented flat rents to promote a broad range of incomes in its developments.

- Implement public housing security improvements

The SHA intends to encourage the establishment of Neighborhood Watch Groups and resident initiatives through interaction with its resident councils and advisory committees. The SHA has entered into a contract with the Springfield Police Department and now has two full time officers assigned to SHA developments.

The SHA plans to supplement the two full time officers with additional overtime funding for special initiatives as needed.

The SHA collaborates regularly with local law enforcement officials in conducting comprehensive security analyses of its developments. Results of this collaboration are studied for further action.

- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)

The SHA will assess its tenant population at its elderly/disabled developments and the composition of its waiting lists to make a determination of whether a revised designated housing plan should be implemented.

→ Other:

The City of Springfield's Consolidated and Annual Plan (formerly know as CHAS) no longer recognizes Moxon Apartments as a problem area.

The SHA will strengthen its community partnerships by offering and providing an array of programs for the youth and adult members of the community.

The Crime Prevention Office continues to work directly with the Hampden County District Attorney's Office, the City of Springfield Police Department and Western Massachusetts' Gang Task Force. SHA also has a point of contact at the DEA. The open dialogue and sharing of information has proven be invaluable.

### **3. HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

#### **3A. PHA Goal: Promote self-sufficiency and asset development of assisted households**

Objectives:

→ Increase the number and percentage of employed persons in assisted families

Currently the SHA has an in-house training/apprenticeship program providing residents with hands-on experience in the field of maintenance. The SHA also plans to offer computer training to residents.

→ Provide or attract supportive services to improve assistance recipients' employability

The SHA plans to operate an educational center designed to teach computer skills to residents.

Provide or attract supportive services to increase independence for the elderly or families with disabilities.

The SHA has a memorandum of agreement with the Greater Springfield Senior Services, Inc. to attract services for elderly residents. Further, the SHA works closely with the Stavros Center for Independent Living, the Massachusetts Rehabilitation Commission, the City of Springfield's Office of Elder Affairs and the City of Springfield's Office of Disabilities to provide services to increase the independence for families with disabilities. The SHA will continue to seek out additional resources to expand programs.

## **4. HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

### **4A. PHA Goal: Ensure equal opportunity and affirmatively further fair housing**

#### Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required

The SHA has complied with all ADA requirements and provides additional accommodations when possible.

#### → Other:

Under the Fair Housing Law, the Springfield Housing Authority will not discriminate based on race, color, religion, national origin, sex, sexual orientation, age, ancestry, marital status, veteran status, public assistance reciprocity, or handicap (mental or physical).

## **6. *Evaluate and Reduce Lead Based Paint Hazards***

Springfield's attempt to supply adequate safe affordable quality housing is complicated by the age of Springfield's housing stock. Of Springfield's 61,172 housing units, 36.3% were built prior to 1940. In excess of 60% of the multi-family housing units were constructed prior to 1940. A full 89.9% of the housing units in Springfield were built pre-1978 and are therefore likely to contain lead-based products. Quality lead free units are increasingly difficult to locate even if rental assistance is provided.

Springfield as a whole continues to be defined as a "high risk" community for lead poisoning by the Commonwealth's Department of Public Health. In Massachusetts, a high-risk community is defined as a town or city with an incidence rate equal to or higher than the state's rate, for cases  $\geq 20$  ug/dL per 1,000 children screened. High risk rates are created by averaging the last 5 years of data, with adjustments for the percentage of housing built before 1950 and the percentage of low to moderate income families in each community.

The high-poverty level in Springfield coupled with the age of the housing stock together produce an at-risk population that is most susceptible to lead poisoning due to the living conditions as well as the access to proper medical care and testing. The following table demonstrates that among even those communities designated as "high risk" Springfield lags far behind on screening for lead poisoning. Among the 19 communities listed by the Department of Public Health as "high risk" Springfield has the 3<sup>rd</sup> lowest screening percentage.

**High Risk Communities for Childhood Lead Poisoning**  
*July 01, 1999 through June 30, 2005*

<i>Community</i>	5-yr Case s	Rate Cases x 1000	% Low Income	% Pre- 1950	Adjusted Rate	% Screened
Chicopee	17	2.1	49%	42%	2.8	65%
Haverhill	27	2.2	35%	49%	2.4	69%
Lowell	61	2.4	45%	54%	3.8	71%
<b>Springfield</b>	<b>112</b>	<b>3.1</b>	<b>56%</b>	<b>52%</b>	<b>5.9</b>	<b>71%</b>
Fitchburg	24	2.9	47%	65%	5.8	73%
Holyoke	28	2.6	55%	55%	5.1	73%
Taunton	20	1.7	40%	43%	1.9	74%
Worcester	72	2.1	49%	57%	3.8	75%
Attleboro	16	1.7	32%	38%	1.3	76%
Lawrence	76	3.2	59%	61%	7.5	78%
Fall River	26	1.2	57%	64%	2.8	81%
Somerville	25	1.9	36%	78%	3.5	82%
Lynn	63	2.6	47%	66%	5.2	83%
Quincy	17	1.1	35%	53%	1.3	84%
Brockton	76	3.1	44%	46%	4.1	86%
Boston	276	2.3	45%	67%	4.5	89%
Pittsfield	22	2.3	49%	61%	4.5	89%
Chelsea	26	2.1	56%	60%	4.6	95%
New Bedford	78	3.1	58%	66%	7.7	95%

When compared to the “high-risk” communities and the Massachusetts average, Springfield’s needs are even more glaring.

<i>Community</i>	5-yr Case s	Rate Cases x 1000	% Low Income	% Pre- 1950	Adjusted Rate	% Screened
MA High Risk	1,062	2.4	47%	60%	4.4	81%
Massachusetts	1,540	1.3	35%	44%	1.3	73%
<b>Springfield</b>	<b>112</b>	<b>3.1</b>	<b>56%</b>	<b>52%</b>	<b>5.9</b>	<b>71%</b>

As the chart above shows, Springfield is second only to Boston in 5 year cases. When this fact and the other factors used to determine ‘high risk’ are considered together, Springfield could very easily be defined as the highest risk community in Massachusetts.

An analysis of the childhood poisoning cases and age of housing stock shows a full 52% of poisoned children reside in older, deteriorating stock.

The City has aggressively sought to improve the quality of its affordable housing stock. The City through its' Office of Housing and Neighborhood Services has successfully competed for federal discretionary funds to complement the Commonwealth's "Get the Lead Out" program. The administration of these funds has resulted in the abatement of lead hazard controls in over 300-family rental units in the past five years. Utilizing the City's GIS system, the Planning Department has created a lead safe housing registry, which assists housing search workers, public health advocates, and families to identify lead-safe housing.

The City utilizes federal dollars to evaluate and reduce lead based paint hazards. CDBG funds support the Division of Code Enforcement, which conducted over 4,000 inspections within target areas. In accordance with Mass lead laws, lead based paint hazards determination is undertaken during all state sanitary inspections in units that house children under 6 years of age.

The number of "seriously sub-standard" units are compiled through City surveys and inspections. The housing characteristics detailed below document the need for aggressive Code Enforcement.

### HOUSING CHARACTERISTICS

Neighborhood	Number (%) of Neighborhood Dwelling Units built Prior to 1978	Number (%) of Neighborhood Dwelling Units built prior to 1940	Number of Seriously Sub-Standard Units
Old Hill	1,668 (88%)	823 (44%)	314
McKnight	1,655 (93%)	1,309 (74%)	128
Upper Hill	1,975 (94%)	1,024 (49%)	131
Six Corners	2,106 (89%)	974 (40%)	241
Liberty Heights	5,972 (94%)	3,051 (48%)	245
Memorial Square	1,953 (84%)	925 (39%)	306
Brightwood	1,366 (90%)	250 (16.5%)	78
Forest Park	10,625 (95%)	7,475 (68%)	329
South End	1,800 (95%)	1,080 (57%)	192

The Lead Hazards section of Environmental Defense "Scorecard", which is co-sponsored by the Alliance to End Childhood Lead Poisoning, ranks census tracts by the potential lead hazards. "Scorecard's" summary of Lead Hazards clearly documents the unmet need. The following chart summarizes the lead hazards that are present in Springfield.

# DRAFT

## SUMMARY OF LEAD HAZARDS – CITY OF SPRINGFIELD

Census Tract	Neighborhood	# Of Units at High Risk	Units Built Pre 1950	Units With Low Income	Children Under 5 Living In Poverty	State Rank out of 50	County Rank out of 92
801601	16 Acres	10	180	92	21		82
801602	16 Acres	23	180	230	120		68
801603	16 Acres	94	180	89	15		87
801604	16 Acres	67	150	68	38		89
801605	16 Acres	22	160	230	150		70
801900	6 Corners	730	1800	1200	590	1	1
801401	Bay	240	700	450	200		16
800700	Brightwood	150	350	610	260		31
801503	Brightwood	44	300	230	32		62
802400	East Forest Park	18	680	40	8		74
802500	East Forest Park	67	950	200	55		47
800201	East Springfield	160	1300	300	160		29
802100	Forest Park	440	1900	610	230	15	2
802200	Forest Park	230	730	330	97		17
802300	Forest Park	370	1700	490	320	31	5
802601	Forest Park	220	1700	320	100		18
802602	Forest Park	22	300	78	24		71
800100	Indian Orchard	300	1600	600	230		10
800202	Indian Orchard	14	170	43	19		79
800300	Liberty Heights	86	820	190	41		43
800400	Liberty Heights	210	1600	290	150		19
800500	Liberty Heights	79	700	130	62		44
800900	Liberty Heights	200	460	740	310		22
801300	McKnight	380	1100	550	200	24	3
800600	Memorial Square	210	330	541	280		20
800800	Memorial Square	91	210	370	130		41

801101	Metro Center	180	460	440	100		25
801200	Metro Center	350	870	480	100	37	7
801800	Old Hill	320	910	510	300		9
801402	Pine Point	47	300	130	62		57
801501	Pine Point	100	800	220	150		39
801502	Pine Point	88	380	300	220		42
801102	South End	100	470	150	51		38
802000	South End	370	790	590	290	28	4
801700	Upper Hill	260	1500	330	270		15

According to this chart shows and accompanying research conducted on the “Scoreboard” website, Census Tract 801900, which located within the Six Corners/Maple High neighborhood in Springfield:

- Has the highest percentage of high-risk units in Massachusetts
- Is the in the top fifty high risk census tracts nationwide.

Further, Springfield has six of the top fifty high-risk census tracts state-wide and nine of top ten county-wide.

Nationwide, Massachusetts ranks 7<sup>th</sup> with the 100,000 high-risk units. Of these, Springfield is home to over 4,000 units, giving Springfield 5% of the entire state’s high-risk units. The average number of high-risk units per city state wide is 400, Springfield has more than ten times this amount.

These numbers demonstrate an alarming reality that Springfield, with its poverty level and aging housing stock, needs to dedicate all available resources to combatting the level of lead poisoning and the possible incidence of lead poisoning.

In addition to evaluating lead based paint hazards, the City administers a state-funded lead abatement program and insures compliance with Title X on all federal funded rehabilitation projects.

Lead abatement activities were completed on properties under the state lead abatement financing program, and all project-based and multi-family units funded the HOME funds.

## ***7. Ensure Compliance with Program and Comprehensive Planning Requirements***

The City strives to improve its compliance and sub-recipient management systems to achieve efficient administration of our federal programs.

In FY05-06, the City implemented the following changes to its CDBG compliance program:

- Improved CDBG contract; now includes user friendly language describing elements required by regulation. Attached to each contract are required forms and instructions to provide our sub-recipients with a comprehensive understanding of requirements.
- Increased level of detail provided in scope of services and budgets that will help the city measure its success with goals and outcomes for the performance measurement system being implemented.
- Required national objective documentation prior to entering into a contract
- (i.e., service area boundaries, job agreements).
- Required multiple sign-off prior to funding commitments and invoice reimbursement.
- Developed a master contract list that tracks projects through closeout.
- Utilized the logic model format in economic development subrecipient agreements

In FY05-06, CDBG subrecipients generally performed their work in accordance with their scope of service and achieved a national objective. For organizations that required additional guidance, program monitors provided technical assistance and, in some cases, withheld funds until said objectives were met.

With HUD's technical assistance, the City is working diligently to improve internal controls and ensure proper classification and documentation for each activity. During this program year, the City of Springfield's Office of Community Development (OCD) also continued to improve its monitoring system for contract oversight of programs funded through CDBG.

OCD has implemented a coordinated project monitoring process, including coordinated fiscal and program on-site monitoring visits per CPD's previous recommendation. The Office of Housing and Neighborhood Services (OHNS) continued to programmatically monitor HOME, HOPWA, ESG, and McKinney (the non-CDBG) programs during this fiscal year, and for the first time OHNS assumed responsibility for programmatically monitoring CDBG programs and projects as well.

## **8. *Reduce Number of Persons Living Below the Poverty Level***

Almost 27% of Springfield households live in poverty (\$15,020 for a family of three in 2002). Over a third (33.9%) of children under 18 live in poverty, giving the City one of the highest child poverty rates in the state (Census 2000). The rate is higher for Latino families, with 58% of children under 18, and 74% of children under five living in poverty. Of all household types, single-parent households headed by women are the poorest, with 62% with children under age five living in households with poverty-level incomes. In addition 87% of students in the City's Public Schools are classified as low income.

During FY05-06, the City worked to reduce the number of families living in poverty. Specific actions to provide housing opportunities, economic development opportunities, adult basic education, and job training programs, financial education and financial literacy programs, life skills counseling, transportation, day-care, health and other support services included:

- Operating a financial literacy program to help public housing residents become better informed about the process of obtaining financing for a home and the importance of credit.
- Operating programs to support those at risk of losing their homes due to high costs associated with rehabilitation or special needs persons in the household.
- Funding numerous human service programs that delivered programs for at-risk youth and adults that provided self-sufficiency training and employment services.
- Funding economic development projects that resulted in employment opportunities for low and moderate income persons.
- Operating a lead hazard education and abatement program that provided education and certification to low income persons, many of whom obtained employment in the lead abatement industry.

The Offices of Community Development, Housing and Neighborhood Services, Health and Human Services and Economic Development, make a concerted and focused effort to independently address poverty issues during this program year. Further, the City continued to place strong emphasis on development partnerships with neighborhood organizations, private corporations, state and federal social service agencies and economic development agencies, non profit service providers and impacted parties who are residents of Springfield.

The City also incorporates the services and programs provided through the Hampden County Employment and Training Consortium (HCETC) and the Massachusetts Career Development Institute (MCDI) in its anti-poverty strategy. The HCETC administers state and federally funded job training, adult basic education, on the job training related programs for incumbent workers, the unemployed, the underemployed, welfare recipients, dislocated workers, at-risk youth, and the homeless. As one of only two major skills centers in the county, MCDI continues to play an important role in the City's economic development and anti-poverty strategy.

## **9. *Leveraging Resources***

During the 05-06 Action Plan period, the City of Springfield attracted and utilized significant non-entitlement funds. The sources of these funds include federal grants, local and state bonds, Low-Income Housing Tax Credits, State Affordable housing funds, resources from numerous State agencies, private foundation grants, and private financing. A summary which details the source, dollar value and use of funds is included in the table on pages xx below.

## **10. *Citizen Comments/Citizen Participation***

### **FY05-06 Action Plan**

During the development of the Annual Action Plan the City held four (4) public hearings at various locations in low and moderate income areas to obtain input from residents and prioritize needs. Each of the hearings focused on one of the four areas addressed in the Consolidated Plan: Affordable Housing, Homeless Prevention, Special Needs Populations and Community and Economic Development. The City advertised the public hearings in the Springfield Republican newspaper and sent notices to the OCD mailing list, which includes all existing sub-recipients, the business community, residents of CDBG eligible areas and other interested parties. The City

also utilized its neighborhood councils and Community Development Corporations to provide information at their monthly meetings. A summary of comments received during these hearings was included as part of the final Annual Action Plan submitted to HUD in 2005.

The Draft Action Plan was available from Tuesday April 19, 2005 through Friday, May 20, 2005. Copies of the Draft Consolidated Plan and Annual Action Plan were available at the Office of Community Development, 36 Court Street, Room 313; Office of Housing and Neighborhood Services, 1600 East Columbus Avenue, 1st Floor; Department of Health and Human Services; 95 State Street, Central Library, 220 State Street; Springfield Neighborhood Councils, including the: South End Citizens Council, New North Citizens Council, Hungry Hill Senior Center, Pine Point Senior Center, Old Hill Neighborhood Council, Indian Orchard Neighborhood Council.

A public hearing to obtain comments on the Draft Annual Action Plan was held on Tuesday, April 26<sup>th</sup> at 6:00 PM in room 220 in City Hall, and a notice about this review period, the availability of the draft plan, and the public hearing about the draft plan was published in the Republican on March 30<sup>th</sup>.

The City also utilized its neighborhood councils and libraries to distribute and collect information, and it worked hard to utilize technology to make the plan more available and to make it available in a cost effective way, including the use of CD ROMs versus paper copies of the plans and posting the plan online in addition to making it available in paper form at the locations listed above. The City will continue to strive to make the document accessible through several mediums in a timely manner to ensure maximum citizen participation.

The City advertised the public hearings in print (Springfield Republican newspaper and the Reminder) and on Spanish language radio stations, including WACM 1490 (Radio Popular) on January 6<sup>th</sup>; WSPR 1270 (La Power), January 6<sup>th</sup>; WSTCC 90.7, January 10<sup>th</sup>), and the City sent notices in English and Spanish to not for profits in the City, existing sub-recipients, members of the business community and other interested parties. The City also utilized its neighborhood councils and libraries to distribute and collect information. Details about comments received were included in the final 05-06 Annual Action Plan submitted to HUD.

### **Consolidated Annual Performance Evaluation and Review (CAPER)**

An executive summary of the FY05-06 CAPER was available for public review from....  
[INSERT INFORMATION HERE FOLLOWING PUBLIC REVIEW PERIOD]

### ***11. Self Evaluation and Performance Measurement***

In addition to meeting and often exceeding the ambitious goals established in the Action Plan at the start of the fiscal year. During the FY05-06, the City of Springfield undertook a through self evaluation process as part of its planning process for the FY06-10 Consolidated Plan. The City also allocated time and resources for gathering and analyzing data and community input to assist with the identification of five year and annual priorities, goals and objectives for the Consolidated Plan and for problem solving and technical assistance to subrecipients.

As indicated in the “Overcome Gaps in Institutional Structures and Enhance Coordination” section above, during this 05-06 program year the City conducted an analysis of the Consolidated Plan delivery system and took measurable steps toward eliminating or reducing the gaps identified, including:

- implementing a citywide performance based budget that put the City in a good position to implement the requisite performance based budget for the new Consolidated Plan. The
- establishing a tight timeline for the implementation of a strategy that pertains to the elimination of chronic homelessness by 2012.
- providing a significant amount of technical assistance to subrecipients that have experienced significant change in leadership as a result of the ongoing federal corruption probe being conducted in Springfield. Affected organizations include: Massachusetts Career Development Institute (MCDI), Hampden County Employment Training Consortium (HCETC), Springfield Housing Authority (SHA), and Friends of the Homeless, Inc.
- building capacity at Springfield’s community based organizations is needed in order to effect the kind of improvements and implement the programs articulated in the Plan.
- continued reorganization of the community development departments through the merging of the Economic Development and Planning departments means that the City’s effort to rebuild the affected organizations will be coordinated, efficient and thorough.
- improving data collection and reporting structures including work to cleanup errors from previous years in HUD reporting system, the Integrated Disbursement and Information System (IDIS) program, including the commencing of quarterly updates to IDIS accomplishment information.
- continuing to work with HUD and the Auditor to resolve issues with FY03-04 economic development contracts, and working with subrecipients to ensure more thorough reporting of subrecipient accomplishments in IDIS and the CAPER.

**12. Sources of Funds**

The City of Springfield (the “City”) expects to receive amounts allocated under HUD Formula grant programs and through program income during the year to address the priority needs and objectives identified in the City’s strategic plan.

CDBG		\$ 4,725,709.00
HOME		\$ 1,794,181.00
HOPWA		\$ 433,000.00
ESG		\$ 183,129.00
ADDI		\$ 49,988.00
Subtotal		\$ 7,186,007.00
Total Estimated Program Income for FY 2005-2006		
CDBG		\$ 400,000.00
HOME		\$ 75,000.00
Grant funds from previous years for which the planned Use has not been included in prior statement or plan		
CDBG		\$ 550,000.00
HOPWA		\$ 133,000.00
TOTAL ENTITLEMENT FUNDING SOURCES		\$ 8,344,007.00

Federal funds from non-entitlement sources were used for toward programs and projects underway in Springfield during the fiscal year. *(NOTE: Many of the following sources of funds are intended to be utilized over a period of several years.)*

- Heartwap  
\$553,668 Department of Energy funds used to operate a program that provides home heating repairs to fuel assistance clients.
- Lead Paint Hazard Abatement Grant  
\$315,969 HUD funds for lead abatement activities in 100 units, including comprehensive Lead Hazard Control, hazardous waste disposal, inspection fees, outreach, education, and relocation.
- McKinney  
\$70,391 Friends of the Homeless: Day Center will operate a day shelter to service the homeless population.  
\$94,603 MCDI-Esteem Machine will provide adult basic education, English as a second language, GED preparation and counseling to homeless men and women.  
\$110,619 Children's Study Home: Project Permanency I will provide transitional housing services to homeless families to move families toward independent living.  
\$36,594 Children's Study Home: Project Permanency II will provide transitional housing services to homeless families to help them move toward independent living.  
\$90,000 Open Pantry: Tranquility House - Transitional housing services to homeless families.  
\$181,747 MCDI: Family First will provide transitional housing to homeless families.  
\$69,699 Mental Health Association: Multi-Disciplinary Outreach Team will conduct street outreach and assessment to homeless.  
\$194,985 MCDI: Annie's House will operate a transitional facility for homeless women.  
\$80,077 Mental Health Associates: Safe Havens will provide low demand housing for the homeless population diagnosed as Severely Mentally ill or Mentally ill with a substance addiction.

- \$81,575 Human Resources Unlimited-Next Step Project will provide permanent housing for seven individuals who are homeless and seriously mentally ill.
- \$234,439 MCDI: HETP will provide occupational and educational skills and training, support services/case management & housing services to homeless men and women.
- o Shelter Plus Care Grant
  - \$206,616 Mental Health Associates will provide sponsor based rental assistance to mentally ill homeless individuals.
- o Planning (Environmental/Brownfields-related activities)
  - \$136,364 USEPA Grant for environmental assessments of commercial/industrial sites to determine economic development opportunities.
  - \$5,250 TCSP for transportation planning projects
- o Memorial Industrial Park
  - \$113,983 Brownfields Economic Development Initiative (BEDI) Used in conjunction with a \$2 million dollars Section 108 Loan for the Memorial Industrial Park II redevelopment project.
  - \$209,966 Environmental Escrow Account-HUD Section 108 Funds

The federal funds listed above leverage resources from private and non-federal public sources, including:

- o \$326,002 Lead Abatement Funds
- o \$700,000 State HOME Funds
- o \$1,336,600 First Mortgages
- o \$10,098 Owner Equity
- o \$850,000 Housing Trust Funds
- o \$8,555,412 Low Income Housing Tax Credits
- o Convention Center/Court Square Hotel
  - \$382,986 Court Square Urban Renewal Bond to assist with the construction of a Convention Center and Hotel in downtown Springfield-Total Project Cost-\$80 Million dollars.

- Riverfront

\$19,443	Private funding for the Basketball Hall of Fame project to assist with the construction of the new Naismith Basketball Hall of Fame in the Riverfront Development Project.
\$412,744	Riverfront Urban Renewal Plan Implementation-City Bond for the acquisition, demolition and relocation activities in the Riverfront Development Project.
\$98,450	State Bond to assist with the construction of the new Naismith Basketball Hall of Fame in the Riverfront Development Project.
\$52,488	State Highway Funds to assist with the construction of the Connecticut Riverwalk and Bikeway.
\$60,275	State Transportation Bond for transportation related improvements in the Riverfront Development Project.

Springfield utilizes state-funded rental assistance to meet HOME matching requirements.

The HOME matching requirements are met through the expenditure of state-funded rental assistance within the City. The Commonwealth's Department of Housing and Community Development (DCHD) resources for the Mass Rental Voucher program are used for these matching funds.

HOME funds traditionally leverage significant private and public sources. These sources range from first mortgage loan proceeds, commercial real estate loans, housing bond funds from the Commonwealth of Massachusetts, and Low-Income Housing Tax Credits.

As part of the application process, all sources to a project must be identified and prior to closing, firmly committed. The City is therefore able to underwrite to ensure each project has maximized leveraged resources.

Vendors and service providers provide the required ESG match.

As a component of their applications and monthly reports, each provider must detail their matching funds. A variety of resources are used:

- Department of Social Services
- Department of Transitional Assistance
- Mass Bar Foundation
- Department of Mental Health
- Department of Transitional Assistance
- SMOC/CSBG
- HRSA

The City also anticipates it will use publicly owned land to further its objectives.

City-owned properties will be used to further affordable housing, citizen participation and economic development objectives.

The City, through its Tax Title foreclosure process, strives to create as much affordable housing as is feasible either through public auction or via a Request for Proposals process. The City is currently devising a city-wide, neighborhood-specific housing strategy geared towards maximizing homeownership.

**E. Low Mod Calculation**

**LOW/MOD CALCULATION-FY06-FEDERAL YEAR 2005**

Total Expenditures		\$	4,275,602.16
Less:			
Planning and Administration		\$	<u>(945,579.01)</u>
		\$	3,330,023.15
Activities Categorized as Slum & Blight			
Activity	HUD #		
Demolition Bond-Loan Payment	#2196	\$	<u>(537,398.76)</u>
Total Expenditures qualifying as Low/Mod		\$	2,792,624.39
Percentage Benefit			83.9%

**LOW/MOD MULTI-YEAR CALCULATION**

FY04	\$	9,041,332.00
FY05	\$	5,132,041.00
FY06	\$	3,330,023.15
TOTAL	\$	17,503,396.15
FY04	\$	8,403,337.03
FY05	\$	4,150,451.62
FY06	\$	2,792,624.39
TOTAL	\$	15,346,413.04
Percentage Benefit		87.68%



**G. Home Activities Total**

HOME ACTIVITIES TOTALS-FY2006-FEDERAL YEAR 2005			
1. Homebuyer Assistance			
	Direct Assistance		\$ -
	PBHO-CHDO		\$ 111,144.00
	PBHO-NON-CHDO		\$ 74,678.63
		Total	\$ 185,822.63
2. Multi-Family Production			
	PBHO-CHDO		\$ -
	PBHO-NON-CHDO		\$ 874,432.29
		Total	\$ 874,432.29
3. Existing Owner Rehab			
		Total	\$ 156,420.44
4. Tenant Based Rental Assistance (TBRA)			
		Total	\$ 174,714.38
5. Administration			
		Total	\$ 181,568.44
<b>HOME TOTAL</b>			<b>\$ 1,572,958.18</b>
Home Administration Cap			
	Entitlement		\$ 1,794,181.00
	Program Income		\$ 146,890.72
		Total	\$ 1,941,071.72
	Amount Expended		\$ 181,568.44
	Percentage		9.35%

H. Financial Summary Grantee Performance

FINANCIAL STATUS REPORT		1. Submitted to:	2. Federal Grant or Other Identifying Number		Page 1 of 1		
3. Recipient Organization		Department of Housing and Urban Development	S-04-MC-25-0013				
4. Employer Identification Number		04-6001415	6. Final Report? Yes		7. Basis ? Cash		
5. Office of Community Development		PROJECT/GRANT PERIOD		PERIOD COVERED BY THIS REPORT			
36 Court Street		From:	To:	From:	To:		
Springfield, Massachusetts 01103			7/1/2005		6/30/2006		
STATUS OF FUNDS							
PROGRAMS/FUNCTIONS/ACTIVITIES	a.	b.	c.	d.	e.	f.	g.
	Rehabilitation	Homeless Prevention	Services	Administration	Operations		TOTAL
a. Net outlays previously reported	\$ 2,144.84	\$ 37,857.90	\$ 28,875.00	\$ 9,294.00	\$ 38,732.05		116,903.79
b. Total outlays this report period	3,459.45	10,840.10	2,625.00	-	52,067.66		68,992.21
c. Less : Program income credits	-	-	-	-	-		-
d. Net outlays this report period (Line b minus line c)	3,459.45	10,840.10	2,625.00	-	52,067.66		68,992.21
e. Net outlays to date (Line a plus line d)	5,604.29	48,698.00	31,500.00	9,294.00	90,799.71		185,896.00
f. Less : Non-Federal share of outlays.	-	-	-	-	-		-
g. Total Federal share of outlays (Line e minus line f)	5,604.29	48,698.00	31,500.00	9,294.00	90,799.71		185,896.00
h. Total unliquidated obligations	-	-	-	-	-		-
i. Less : non-Federal share of unliquidated obligations on line h	-	-	-	-	-		-
j. Federal share of unliquidated obligations	-	-	-	-	-		-
k. Total Federal share of outlays and unliquidated obligations	5,604.29	48,698.00	31,500.00	9,294.00	90,799.71		185,896.00
l. Total cumulative amount of Federal funds authorized	5,604.29	48,698.00	31,500.00	9,294.00	90,799.71		185,896.00
m. Unobligated balance of Federal funds	-	-	-	-	-		-
CERTIFICATION		SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		DATE REPORT SUBMITTED			
I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.		Cathy K. Buono Director of Administration and Finance					
		PRINTED NAME AND TITLE		TELEPHONE			
				(413) 787-6082			

FINANCIAL STATUS REPORT		1. Submitted to:		2. Federal Grant or Other Identifying Number		Page 1 of 1	
3. Recipient Organization		Department of Housing and Urban Development		S-05-MC-25-0013			
City of Springfield		4. Employer Identification Number		6. Final Report? Yes		7. Basis ?	
Office of Community Development		04-6001415		PERIOD COVERED BY THIS REPORT		Cash	
36 Court Street		PROJECT/GRANT PERIOD		From:		To:	
Springfield, Massachusetts 01103		To:		7/1/2005		6/30/2006	
		STATUS OF FUNDS					
PROGRAMS/FUNCTIONS/ACTIVITIES		a.	b.	c.	d.	e.	f.
	Rehabilitation	Homeless Prevention	Services	Administration	Operations		TOTAL
a. Net outlays previously reported	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-
b. Total outlays this report period	-	42,539.98	21,666.67	9,156.45	30,642.77		104,005.87
c. Less: Program income credits	-	-	-	-	-		-
d. Net outlays this report period (Line b minus line c)	-	42,539.98	21,666.67	9,156.45	30,642.77		104,005.87
e. Net outlays to date (Line a plus line d)	-	42,539.98	21,666.67	9,156.45	30,642.77		104,005.87
f. Less: Non-Federal share of outlays.	-	-	-	-	-		-
g. Total Federal share of outlays (Line e minus line f)	-	42,539.98	21,666.67	9,156.45	30,642.77		104,005.87
h. Total unliquidated obligations	-	9,260.02	10,833.33	-	59,029.78		79,123.13
i. Less: non-Federal share of unliquidated obligations on line h	-	-	-	-	-		-
j. Federal share of unliquidated obligations	-	9,260.02	10,833.33	-	59,029.78		79,123.13
k. Total Federal share of outlays and unliquidated obligations	-	51,800.00	32,500.00	9,156.45	89,672.55		183,129.00
l. Total cumulative amount of Federal funds authorized	-	51,800.00	32,500.00	9,156.45	89,672.55		183,129.00
m. Unobligated balance of Federal funds	-	-	-	-	-		-
CERTIFICATION		SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		DATE REPORT SUBMITTED			
I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.							
		PRINTED NAME AND TITLE		TELEPHONE			
		Cathy K. Buono Director of Administration and Finance		(413) 787-6082			

Financial Summary  
 Grantee Performance Report  
 Community Development Block Grant Program

U. S. Department of Housing  
 and Urban Development  
 Office of Community Planning  
 and Development

OMB Approval No. 2506-0077 (Exp. 5/31/97)

1. Name of Grantee City of Springfield	2. Grant Number B-05-MC-25-0023	3. Reporting Period From 7/1/05 to 6/30/06
<b>Part I: Summary of CDBG Resources</b>		
1. Unexpended CDBG funds at end of previous reporting period (Balance from prior program years)		\$ 3,271,597
2. Entitlement Grant from Form HUD-7082		\$ 4,725,709
3. Surplus Urban Renewal Funds		\$ -
4. Section 108 Guaranteed Loan Funds (Principal Amount)		\$ -
5. Program Income received by:	Grantee (Column A)	Subrecipient (Column B)
a. Revolving Funds	\$ -	\$ -
b. Other (identify below, if more space is needed use an attachment)		
Program income	\$ 283,101	
c. Total Program Income (sum of columns a and b)		\$ 283,101
6. Prior Period Adjustments (if column is a negative amount, enclose in brackets)		\$ -
7. Total CDBG Funds available for use during this reporting period (sum of lines 1 through 5)		\$ 8,280,407
<b>Part II: Summary of CDBG Expenditures</b>		
8. Total expenditures reported on Activity Summary, forms HUD-4949.2 & 4949.2A		\$ 4,275,602
9. Total expended for Planning & Administration (form HUD-4949.2)		\$ 945,579
10. Amount subject to Low/Mod Benefit Calculation (line 8 minus line 9)		\$ 3,330,023
11. CDBG funds used for Section 108 principal & interest payments		\$ -
12. Total expenditure (line 8 plus line 11)		\$ 4,275,602
13. Unexpended balance (line 7 minus line 12)		\$ 4,004,805
<b>Part III: Low/Mod Benefit This Reporting Period</b>		
14. Total Low/Mod credit for multi-unit housing expenditures from form HUD-4949.2A		\$ -
15. Total from all other activities qualifying as low/mod expenditures from forms HUD-4949.2 and 4949.2A		\$ 2,792,624
16. Total (line 14 plus line 15)		\$ 2,792,624
17. Low/Mod Benefit percentage		83.86%

<u>Part IV: Low/Mod Benefit for Multi-Year Certifications (Complete only if certification period includes prior years)</u>		
Program years (PY) covered in certification    PY <u>  03  </u> PY <u>  04  </u> PY <u>  05  </u>		
18. Cumulative net expenditures subject to program benefit calculation		\$ 17,503,396
19. Cumulative expenditures benefitting low/mod persons		\$ 15,346,413
20. Percent benefit to low/mod persons (line 19 divided by line 18)		87.68%
<u>Part V: For Public Service (PS) Activities Only: Public Service Cap Calculation</u>		
21. Total PS expenditures from column h, form HUD-4949.2A		\$ -
22. Total PS unliquidated obligations from column i, form HUD-4949.2A		\$ -
23. Sum of line 21 and line 22		<b>SEE</b>
24. Total PS unliquidated reported at the end of the previous reporting period		<b>ATTACHED</b>
25. Net obligations for public services (line 23 minus line 24)		\$ -
26. Amount of Program Income received in the preceding year		\$ -
27. Entitlement Grant Amount (from line 2)		\$ -
28. Sum of line 26 and 27		\$ -
29. Percent funds obligated for Public Service Activities (line 25 divided by line 28)		%
<u>Part VI: Planning and Program Administration Cap Calculation</u>		
30. Amount subject to planning and administrative cap (grant amount from line 2 plus line 5c)		\$ 5,008,810
31. Amount expended for Planning & Administration (from line 9 above)		\$ 945,579
32. Percent funds expended (line 31 divided by line 30)		18.88%

## PUBLIC SERVICE CAP 15% - CALCULATION

		TOTAL EXPENDITURE	TOTAL EXEMPT	ADJUSTED TOTAL
21	Total Public Service Expenditures	1,157,959.27	(649,777.63)	508,181.64
22	Total PS Unliquidated Obligations	249,831.79	(110,887.63)	138,944.16
23	Sum of line 21 and 22	1,407,791.06	(760,665.26)	647,125.80
24	Total PS Unliquidated obligation reported at end of previous reporting period	(174,511.35)	112,310.54	(62,200.81)
25	Net Obligation for Public Service (line 23-line 24)	1,233,279.71	(648,354.72)	584,924.99
	Penalty for over expending PS in prior years-year 1 of 3			<u>99,688.00</u>
25A	Net Obligation for Public Service (line 23-line 24)			684,612.99
26	Amount of Program Income received in the preceding program year	342,675.00		342,675.00
27	Entitlement Grant Amount	4,725,709.00		4,725,709.00
28	Sum of lines 26 and 27	5,068,384.00		5,068,384.00
29	Percent of Funds Obligated for PS (line 25A divided by line 28)	24.33%		13.51%

HUD/IDIS Number	Contract Number	Community Development Activity	Original Budget	Amended Budget	PRIOR YEAR	Expenditures FY06	Total Expenditures	Balance
2222	C 9608	5A	9,000.00	9,000.00		9,000.00	9,000.00	0.00
2223	C 9634	Blackmen of Greater Spfld	7,500.00	7,500.00		7,163.02	7,163.02	336.98
2224	C 9665	Council of Churches	15,000.00	15,000.00		0.00	0.00	15,000.00
2225	C 9316	H&HS-AIDS outreach	33,749.35	29,446.66		29,446.66	29,446.66	0.00
2226	C 9317	H&HS-Homeless Services	52,679.70	44,774.34		44,774.34	44,774.34	0.00
2227	C 9314	H&HS-Mediation	33,841.73	44,112.03		44,112.03	44,112.03	0.00
2228	C 9315	H&HS-Fair Housing	56,751.79	44,562.69		44,562.69	44,562.69	0.00
2291	C 9667	H&HS-Infant Mortality	15,000.00	8,500.00		0.00	0.00	8,500.00
2229	C 9558	At Risk Homeless-Open Pantry	150,000.00	169,070.19		169,070.19	169,070.19	0.00
2402	C 9836	Friends of the Homeless	0.00	20,000.00		0.00	0.00	20,000.00
2230	C 9674	Housing Discrimination	5,000.00	5,000.00		2,866.78	2,866.78	2,133.22
2231	C 9607	Hungry Hill-H&HS	5,000.00	5,000.00		0.00	0.00	5,000.00
2232	C 9636	MLK	20,000.00	20,000.00		0.00	0.00	20,000.00
2233	C 9626	MCDI	90,000.00	90,000.00		90,000.00	90,000.00	0.00
2234	C 9631	MCDI	30,000.00	30,000.00		13,770.42	13,770.42	16,229.58
2236	C 9625	MCDI	30,000.00	30,000.00		0.00	0.00	30,000.00
2237	C 9609	NNCC	20,000.00	20,000.00		10,776.28	10,776.28	9,223.72
2238	C 9610	NNCC	17,000.00	17,000.00		12,411.38	12,411.38	4,588.62
2239	C 9661	North End CC-NNCC	5,000.00	5,000.00		3,333.08	3,333.08	1,666.92
2240	C 9679	Open Pantry	20,000.00	20,000.00		20,000.00	20,000.00	0.00
2242	C 9829	Friends of Camp Star	108,120.00	107,233.63		107,233.63	107,233.63	0.00
2241	C 9818	Park Dept-Recreation Program	175,000.00	172,675.02		172,675.02	172,675.02	0.00
2336	C 9846	Sherrifs Program-Park Dept	0.00	45,760.00		0.00	0.00	45,760.00
2243	C 9606	Pine Point CC-H&HS	9,000.00	9,000.00		0.00	0.00	9,000.00
2244	C 9637	PRCC	35,000.00	35,000.00		23,078.31	23,078.31	11,921.69
2245	C 9638	PRCC	22,000.00	22,000.00		14,367.53	14,367.53	7,632.47

HUD/IDIS Number	Contract Number	Community Development Activity	Original Budget	Amended Budget	PRIOR YEAR	Expenditures FY06	Total Expenditures	Balance
2246	C 9611	Salvation Army	10,000.00	10,000.00		6,872.99	6,872.99	3,127.01
2247	C 9612	Solid Rock	5,000.00	5,000.00		4,400.00	4,400.00	600.00
2248	C 9589	South End CC	25,000.00	24,887.58		24,887.58	24,887.58	0.00
2235	C 9632	Spanish American Union	10,000.00	10,000.00		4,749.55	4,749.55	5,250.45
2249	C 9588	Spfld Boys & Girls Club	8,000.00	8,000.00		7,299.92	7,299.92	700.08
2250	C 9587	Spfld Boys & Girls Club	10,000.00	10,000.00		10,000.00	10,000.00	0.00
2275	9613	Springfield Day Nursery	8,000.00	7,985.00		7,681.00	7,681.00	304.00
2251	C 9670	SBJD	75,000.00	75,000.00		56,250.00	56,250.00	18,750.00
2252	C 9635	Mass Association for the Blind	5,000.00	5,000.00		3,750.03	3,750.03	1,249.97
2253	C 9619	Urban League	10,000.00	10,000.00		6,538.37	6,538.37	3,461.63
2256	C 9639	VACA	15,000.00	15,000.00		10,939.78	10,939.78	4,060.22
2254	C 9620	YMCA	9,000.00	7,949.25		7,949.25	7,949.25	0.00
2255	C 9648	YWCA	20,000.00	20,000.00		14,664.77	14,664.77	5,335.23
2019	C 8895	5A	9,000.00	9,000.00	0.00	9,000.00	9,000.00	0.00
2020	C 8855	Blackmen of Greater Spfld	7,500.00	7,500.00	3,454.99	4,045.01	7,500.00	0.00
2028	C 8540	H&S-Infant Mortality	15,000.00	2,000.00	438.95	1,561.05	2,000.00	0.00
2160	C 9007	Open Panty	0.00	75,715.49	58,500.49	17,215.00	75,715.49	0.00
2030	C 9027	Housing Discrimination	10,000.00	9,852.26	0.00	9,852.26	9,852.26	0.00
2031	C 8821	Hungry Hill	5,000.00	5,000.00	4,425.87	574.13	5,000.00	0.00
2032	C 8822	Italian CC	4,500.00	4,001.36	1,631.92	2,369.44	4,001.36	0.00
2033	C 9010	MLK	20,000.00	18,691.00	0.00	18,691.00	18,691.00	0.00
2034	C 8856	MCDI	90,000.00	90,000.00	84,645.00	5,355.00	90,000.00	0.00
2035	C 8866	MCDI	30,400.00	30,400.00	0.00	30,400.00	30,400.00	0.00
2036	C 8852	NNCC	17,000.00	16,995.26	13,486.98	3,508.28	16,995.26	0.00
2037	C 8853	NNCC	20,000.00	20,000.00	16,568.17	3,431.83	20,000.00	0.00
2038	C 8824	North End CC	5,443.00	4,971.50	2,249.00	2,722.50	4,971.50	0.00
2039	C 8804	Pine Point CC	9,000.00	10,381.00	9,903.08	477.92	10,381.00	0.00
2047	C 9008	PRCC	22,010.00	22,010.00	15,278.74	6,731.26	22,010.00	0.00

HUD/IDIS Number	Contract Number	Community Development Activity	Original Budget	Amended Budget	PRIOR YEAR	Expenditures		Total Expenditures	Balance
						FY06			
2048	C 9006	PRCC	22,610.00	22,609.98	15,956.66	6,653.32		22,609.98	0.00
2049	C 9005	PRCC	35,000.00	35,000.00	24,326.28	10,673.72		35,000.00	0.00
2050	C 8867	Salvation Army	8,500.00	8,500.00	6,100.00	2,400.00		8,500.00	0.00
2051	C 9002	Solid Rock	5,000.00	5,000.00	3,770.00	1,230.00		5,000.00	0.00
2054	C 8897	Splfd Boys & Girls Club	10,000.00	10,000.00	6,639.83	3,360.17		10,000.00	0.00
2056	C 9011	Mass Association for the Blind	5,000.00	5,000.00	4,583.26	416.74		5,000.00	0.00
2057	C 8825	Springfield Day Nursery	8,000.00	7,497.39	4,477.39	3,020.00		7,497.39	0.00
2058	C 9013	Splfd Southwest CC	20,000.00	19,452.45	7,375.67	12,076.78		19,452.45	0.00
2059	C 8854	Urban League	15,000.00	15,000.00	11,112.40	3,887.60		15,000.00	0.00
2060	C 8868	VACA	15,000.00	14,650.66	7,184.48	7,466.18		14,650.66	0.00
2062	C 9004	YWCA	15,000.00	15,000.00	11,596.33	3,403.67		15,000.00	0.00
2090	C 9003	YWCA	20,000.00	18,540.10	15,728.29	2,811.81		18,540.10	0.00
<b>TOTAL PUBLIC SERVICE</b>			<b>1,618,605.57</b>	<b>1,737,224.84</b>	<b>329,433.78</b>	<b>1,157,959.27</b>		<b>1,487,393.05</b>	<b>249,831.79</b>

HUD/IDIS Number	Contract Number	Community Development Activity	Original Budget	Amended Budget	PRIOR YEAR	Expenditures FY06	Total Expenditures	Balance	CBDO-EXEMPT YES/NO
		<b>CBDO Exempt</b>							
2223	C 9634	Blackmen of Greater Spfld	7,500.00	7,500.00	0.00	7,163.02	7,163.02	336.98	yes
2224	C 9665	Council of Churches	15,000.00	15,000.00	0.00	0.00	0.00	15,000.00	yes
2229	C 9558	At Risk Homeless-Open Pantry	150,000.00	169,070.19	0.00	169,070.19	169,070.19	0.00	yes
2242	C 9829	Friends of Camp Star	108,120.00	107,233.63	0.00	107,233.63	107,233.63	0.00	yes
2233	C 9626	MCDI	90,000.00	90,000.00	0.00	90,000.00	90,000.00	0.00	yes
2234	C 9631	MCDI	30,000.00	30,000.00	0.00	13,770.42	13,770.42	16,229.58	yes
2236	C 9625	MCDI	30,000.00	30,000.00	0.00	0.00	0.00	30,000.00	yes
2237	C 9609	NNCC	20,000.00	20,000.00	0.00	10,776.28	10,776.28	9,223.72	yes
2238	C 9610	NNCC	17,000.00	17,000.00	0.00	12,411.38	12,411.38	4,588.62	yes
2239	C 9661	North End CC-NNCC	5,000.00	5,000.00	0.00	3,333.08	3,333.08	1,666.92	yes
2240	C 9679	Open Panty	20,000.00	20,000.00	0.00	20,000.00	20,000.00	0.00	yes
2244	C 9637	PRCC	35,000.00	35,000.00	0.00	23,078.31	23,078.31	11,921.69	yes
2245	C 9638	PRCC	22,000.00	22,000.00	0.00	14,367.53	14,367.53	7,632.47	yes
2246	C 9611	Salvation Army	10,000.00	10,000.00	0.00	6,872.99	6,872.99	3,127.01	yes
2247	C 9612	Solid Rock	5,000.00	5,000.00	0.00	4,400.00	4,400.00	600.00	yes
2248	C 9589	South End CC	25,000.00	24,887.58	0.00	24,887.58	24,887.58	0.00	yes
2235	C 9632	Spanish American Union	10,000.00	10,000.00	0.00	4,749.55	4,749.55	5,250.45	yes
2252	C 9635	Mass Association for the Blind	5,000.00	5,000.00	0.00	3,750.03	3,750.03	1,249.97	yes
2256	C 9639	VACA	15,000.00	15,000.00	0.00	10,939.78	10,939.78	4,060.22	yes
2254	C 9620	YMCA	9,000.00	7,949.25	0.00	7,949.25	7,949.25	0.00	yes
2020	C 8855	Blackmen of Greater Spfld	7,500.00	7,500.00	3,454.99	4,045.01	7,500.00	0.00	yes
2160	C 9007	Open Panty	0.00	75,715.49	58,500.49	17,215.00	75,715.49	0.00	yes
2031	C 8821	Hungry Hill	5,000.00	5,000.00	4,425.87	574.13	5,000.00	0.00	yes
2032	C 8822	Italian CC	4,500.00	4,001.36	1,631.92	2,369.44	4,001.36	0.00	yes
2034	C 8856	MCDI	90,000.00	90,000.00	84,645.00	5,355.00	90,000.00	0.00	yes
2035	C 8866	MCDI	30,400.00	30,400.00	0.00	30,400.00	30,400.00	0.00	yes
2036	C 8852	NNCC	17,000.00	16,995.26	13,486.98	3,508.28	16,995.26	0.00	yes

HUD/IDIS Number	Contract Number	Community Development Activity	Original		Amended		PRIOR		Expenditures		Total		Balance	yes
			Budget	Budget	Budget	YEAR	FY06	Expenditures	Expenditures					
2037	C 8853	NNCC	20,000.00	20,000.00	20,000.00	16,568.17	3,431.83	20,000.00	0.00	0.00	20,000.00	0.00	yes	
2039	C 8804	Pine Point CC	9,000.00	10,381.00	10,381.00	9,903.08	477.92	10,381.00	0.00	0.00	10,381.00	0.00	yes	
2047	C 9008	PRCC	22,010.00	22,010.00	22,010.00	15,278.74	6,731.26	22,010.00	0.00	0.00	22,010.00	0.00	yes	
2048	C 9006	PRCC	22,610.00	22,609.98	22,609.98	15,956.66	6,653.32	22,609.98	0.00	0.00	22,609.98	0.00	yes	
2049	C 9005	PRCC	35,000.00	35,000.00	35,000.00	24,326.28	10,673.72	35,000.00	0.00	0.00	35,000.00	0.00	yes	
2050	C 8867	Salvation Army	8,500.00	8,500.00	8,500.00	6,100.00	2,400.00	8,500.00	0.00	0.00	8,500.00	0.00	yes	
2051	C 9002	Solid Rock	5,000.00	5,000.00	5,000.00	3,770.00	1,230.00	5,000.00	0.00	0.00	5,000.00	0.00	yes	
2056	C 9011	Mass Association for the Blind	5,000.00	5,000.00	5,000.00	4,583.26	416.74	5,000.00	0.00	0.00	5,000.00	0.00	yes	
2058	C 9013	Spfld Southwest CC	20,000.00	19,452.45	19,452.45	7,375.67	12,076.78	19,452.45	0.00	0.00	19,452.45	0.00	yes	
2060	C 8868	VACA	15,000.00	14,650.66	14,650.66	7,184.48	7,466.18	14,650.66	0.00	0.00	14,650.66	0.00	yes	
<b>TOTAL EXEMPT</b>			945,140.00	1,037,856.85	1,037,856.85	277,191.59	649,777.63	926,969.22	110,887.63	110,887.63	926,969.22	110,887.63		

HUD/IDIS Number	Contract Number	Community Development Activity	Original Budget	Amended Budget	PRIOR YEAR	Expenditures FY06	Total Expenditures	Balance	
2222	C 9608	5A	9,000.00	9,000.00	0.00	9,000.00	9,000.00	0.00	no
2225	C 9316	H&HS-AIDS outreach	33,749.35	29,446.66	0.00	29,446.66	29,446.66	0.00	no
2226	C 9317	H&HS-Homeless Services	52,679.70	44,774.34	0.00	44,774.34	44,774.34	0.00	no
2227	C 9314	H&HS-Mediation	33,841.73	44,112.03	0.00	44,112.03	44,112.03	0.00	no
2228	C 9315	H&HS-Fair Housing	56,751.79	44,562.69	0.00	44,562.69	44,562.69	0.00	no
2291	C 9667	H&HS-Infant Mortality	15,000.00	8,500.00	0.00	0.00	0.00	8,500.00	no
2402	C 9836	Friends of the Homeless	0.00	20,000.00	0.00	0.00	0.00	20,000.00	no
2230	C 9674	Housing Discrimination	5,000.00	5,000.00	0.00	2,866.78	2,866.78	2,133.22	no
2231	C 9607	Hungry Hill-H&HS	5,000.00	5,000.00	0.00	0.00	0.00	5,000.00	no
2232	C 9636	MLK	20,000.00	20,000.00	0.00	0.00	0.00	20,000.00	no
2241	C 9818	Park Dept-Recreation Program	175,000.00	172,675.02	0.00	172,675.02	172,675.02	0.00	no
2243	C 9606	Pine Point CC-H&HS	9,000.00	9,000.00	0.00	0.00	0.00	9,000.00	no
2249	C 9588	Spfld Boys & Girls Club	8,000.00	8,000.00	0.00	7,299.92	7,299.92	700.08	no
2250	C 9587	Spfld Boys & Girls Club	10,000.00	10,000.00	0.00	10,000.00	10,000.00	0.00	no
2275	9613	Springfield Day Nursery	8,000.00	7,985.00	0.00	7,681.00	7,681.00	304.00	no
2251	C 9670	SBID	75,000.00	75,000.00	0.00	56,250.00	56,250.00	18,750.00	no
2253	C 9619	Urban League	10,000.00	10,000.00	0.00	6,538.37	6,538.37	3,461.63	no
2255	C 9648	YWCA	20,000.00	20,000.00	0.00	14,664.77	14,664.77	5,335.23	no
2336	C 9846	Park Dept-Sheriff Program	0.00	45,760.00	0.00	0.00	0.00	45,760.00	no

HUD/IDIS Number	Contract Number	Community Development Activity	Original Budget	Amended Budget	PRIOR YEAR	Expenditures FY06	Total Expenditures	Balance	
2019	C 8895	5A	9,000.00	9,000.00	0.00	9,000.00	9,000.00	0.00	no
2028	C 8540	H&HS-Infant Mortality	15,000.00	2,000.00	438.95	1,561.05	2,000.00	0.00	no
2030	C 9027	Housing Discrimination	10,000.00	9,852.26	0.00	9,852.26	9,852.26	0.00	no
2033	C 9010	MLK	20,000.00	18,691.00	0.00	18,691.00	18,691.00	0.00	no
2038	C 8824	North End CC	5,443.00	4,971.50	2,249.00	2,722.50	4,971.50	0.00	no
2054	C 8897	Spfld Boys & Girls Club	10,000.00	10,000.00	6,639.83	3,360.17	10,000.00	0.00	no
2057	C 8825	Springfield Day Nursery	8,000.00	7,497.39	4,477.39	3,020.00	7,497.39	0.00	no
2059	C 8854	Urban League	15,000.00	15,000.00	11,112.40	3,887.60	15,000.00	0.00	no
2062	C 9004	YWCA	15,000.00	15,000.00	11,596.33	3,403.67	15,000.00	0.00	no
2090	C 9003	YWCA	20,000.00	18,540.10	15,728.29	2,811.81	18,540.10	0.00	no
		<b>TOTAL NON-EXEMPT</b>	673,465.57	699,367.99	52,242.19	508,181.64	560,423.83	138,944.16	
		<b>TOTAL PUBLIC SERVICE</b>	1,618,605.57	1,737,224.84	329,433.78	1,157,959.27	1,487,393.05	249,831.79	

**Financial Summary Attachment**

**A. PROGRAM INCOME**

		<b>Category</b>
<b>Springfield Redevelopment Authority</b>		
Colebrook Realty	52,447.50	Other
Old Hill Neighborhood Council Lease	5,700.00	Other
HeartWAP	<u>125,884.59</u>	Other
 Total SRA	 <b>\$ 184,032.09</b>	
 <b>Economic Development Loans</b>		
AC Produce	2,985.38	Economic Development
Barbados American Cultural Society	3,234.36	Economic Development
Creative Theater Concepts	11,065.78	Economic Development
Francisco Maria	2,484.72	Economic Development
Midtown Plaza	64,879.04	Economic Development
SCS Realty	1,500.00	Economic Development
Springboard Technology	9,749.94	Economic Development
Earl Watson	1,957.69	Economic Development
Roger Zepke	<u>1,211.52</u>	Economic Development
 Total Economic Development	 <b>\$ 99,068.43</b>	
 <b>TOTAL PROGRAM INCOME</b>		 <b>283,100.52</b>

**PROGRAM INCOME**

Economic Development	99,068.43
Other	<u>184,032.09</u>
Total Program Income	<u>283,100.52</u>

## B. PRIOR PERIOD ADJUSTMENTS

N/A

## C. LOANS AND OTHER RECEIVABLES

1. Float Funded activities - N/A
2. Total number of outstanding loans and outstanding principal balance owed for the reporting period:

a. Total Loans:	HUD Activity #	Principal Balance 06/30/2006	
	1474-		
1. Creative Theater Concepts	1475	\$ 50,363.17	Economic Development
2. Roger Zepke	1659	\$ 2,301.30	Economic Development
3. Earl Watson	1660	\$ 4,894.97	Economic Development
4. Barbados Amer. Cultural	1639	\$ 6,776.70	Economic Development
5. Francisco Maria	1673	\$ 4,906.21	Economic Development

b. Total Loans:	HUD Activity #	Principal Balance 06/30/2006	
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1. Springboard Technology	1334	\$ 200,000.00	Economic Development
<p>Terms: Interest monthly in the amount of \$1,0833.33 until the obligation under C1077 HUD 108 is paid in full. Payments monthly thereafter in the amount of \$20,000 until outstanding principal and all accrued interest have been paid in full.</p>			

2. SCS Realty	920	\$ 23,000.00	Economic Development
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Terms: Principal payments annually in the amount of \$1,500. At the end of ten years (June, 2008), the amount of \$20,000 will be forgiven as long as borrower has continued to maintain the conditions of the contract.

3. Frigos	1466	\$ 15,000.00	Economic Development
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Terms: At the end of five years (Nov. 1, 2006) all outstanding principal shall be forgiven as long as borrower maintains added employment level of three low-mod jobs.

3. Total number and amount of loans made with CDBG funds that have gone into default.

	HUD Activity #	Principal Balance 06/30/2006	
1. Friends of the Credit Union	1498	\$ 967.97	Economic Development

4. List of parcels of property owned that have been acquired or improved using CDBG funds during the reporting peirod and are available for sale:

Addresses: none

5. Lump sum drawdowns - n/a

I. Financial Status Report

FINANCIAL STATUS REPORT		1. Submitted to: Department of Housing and Urban Development 4. Employer Identification Number 04-6001415		2. Federal Grant or Other Identifying Number S-04-MC-25-0013		Page 1 of 1	
3. Recipient Organization City of Springfield Office of Community Development 36 Court Street Springfield, Massachusetts 01103		6. Final Report? Yes		7. Basis? Cash			
PROJECT/GRANT PERIOD From: To:		PERIOD COVERED BY THIS REPORT From: 7/1/2005 To: 6/30/2006					
STATUS OF FUNDS							
PROGRAMS/FUNCTIONS/ACTIVITIES	a. Rehabilitation	b. Homeless Prevention	c. Services	d. Administration	e. Operations	f.	g. TOTAL
a. Net outlays previously reported	\$ 2,144.84	\$ 37,857.90	\$ 28,875.00	\$ 9,294.00	\$ 38,732.05		116,903.79
b. Total outlays this report period	3,459.45	10,840.10	2,625.00	-	52,067.66		68,992.21
c. Less: Program income credits	-	-	-	-	-		-
d. Net outlays this report period (Line b minus line c)	3,459.45	10,840.10	2,625.00	-	52,067.66		68,992.21
e. Net outlays to date (Line a plus line d)	5,604.29	48,698.00	31,500.00	9,294.00	90,799.71		185,896.00
f. Less: Non-Federal share of outlays.	-	-	-	-	-		-
g. Total Federal share of outlays (Line e minus line f)	5,604.29	48,698.00	31,500.00	9,294.00	90,799.71		185,896.00
h. Total unliquidated obligations	-	-	-	-	-		-
i. Less: non-Federal share of unliquidated obligations on line h	-	-	-	-	-		-
j. Federal share of unliquidated obligations	-	-	-	-	-		-
k. Total Federal share of outlays and unliquidated obligations	5,604.29	48,698.00	31,500.00	9,294.00	90,799.71		185,896.00
l. Total cumulative amount of Federal funds authorized	5,604.29	48,698.00	31,500.00	9,294.00	90,799.71		185,896.00
m. Unobligated balance of Federal funds	-	-	-	-	-		-
CERTIFICATION		SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		DATE REPORT SUBMITTED			
I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.		Cathy K. Buono Director of Administration and Finance				TELEPHONE (413) 787-6082	

FINANCIAL STATUS REPORT		1. Submitted to: Department of Housing and Urban Development		2. Federal Grant or Other Identifying Number S-05-MC-25-0013		Page 1 of 1	
3. Recipient Organization City of Springfield Office of Community Development 36 Court Street Springfield, Massachusetts 01103		4. Employer Identification Number 04-6001415		6. Final Report? Yes		7. Basis ? Cash	
From: PROJECT/GRANT PERIOD To:		From: 7/1/2005 To: 6/30/2006		PERIOD COVERED BY THIS REPORT			
STATUS OF FUNDS							
PROGRAMS/FUNCTIONS/ACTIVITIES	a. Rehabilitation	b. Homeless Prevention	c. Services	d. Administration	e. Operations	f.	g. TOTAL
a. Net outlays previously reported	\$ -	\$ -	\$ -	\$ -	\$ -		-
b. Total outlays this report period	-	42,539.98	21,666.67	9,156.45	30,642.77		104,005.87
c. Less: Program income credits	-	-	-	-	-		-
d. Net outlays this report period (Line b minus line c)	-	42,539.98	21,666.67	9,156.45	30,642.77		104,005.87
e. Net outlays to date (Line a plus line d)	-	42,539.98	21,666.67	9,156.45	30,642.77		104,005.87
f. Less: Non-Federal share of outlays.	-	-	-	-	-		-
g. Total Federal share of outlays (Line e minus line f)	-	42,539.98	21,666.67	9,156.45	30,642.77		104,005.87
h. Total unliquidated obligations	-	9,260.02	10,833.33	-	59,029.78		79,123.13
i. Less: non-Federal share of unliquidated obligations on line h	-	-	-	-	-		-
j. Federal share of unliquidated obligations	-	9,260.02	10,833.33	-	59,029.78		79,123.13
k. Total Federal share of outlays and unliquidated obligations	-	51,800.00	32,500.00	9,156.45	89,672.55		183,129.00
l. Total cumulative amount of Federal funds authorized	-	51,800.00	32,500.00	9,156.45	89,672.55		183,129.00
m. Unobligated balance of Federal funds	-	-	-	-	-		-
CERTIFICATION				SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		DATE REPORT SUBMITTED	
I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.							
				PRINTED NAME AND TITLE Cathy K. Buono Director of Administration and Finance		TELEPHONE (413) 787-6082	

**Part 3 Program Expenditures and Housing Provided.**

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

**Exhibit E – Summary of Program Expenditures. – FY04; Federal 2003**

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period. Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

<b>HOPWA Funding Available</b>	
1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program)	\$ 89,538.97
2. Amount of HOPWA grant received during period	-0-
3. Program income (e.g., loan repayments)	-0-
4. Total of HOPWA funds available during period (sum of lines 1 thru 4)	\$ 89,538.97

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

<b>HOPWA Expenditures (Totals by Eligible Activity)</b>	
5. Expenditures for Housing Information Services	\$ 6,887.00
6. Expenditures for Resource Identification	-0-
7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G)	\$ 35,547.79
8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used)	\$ 40,455.41
9. Grantee Administrative Costs expended	-0-
10. Project Sponsor(s) Administrative Costs expended	\$ 6,648.77
11. Total of HOPWA funds expended during period (sum of lines 5 thru 10)	\$ 89,538.97
12. Balance of HOPWA funds at end of report period (line 4 minus line 11)	-0-

## Part 3 Program Expenditures and Housing Provided.

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

### Exhibit E – Summary of Program Expenditures. – FY05; Federal 2004

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period. Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

<b>HOPWA Funding Available</b>	
1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program)	-0-
2. Amount of HOPWA grant received during period	\$248,869.76
3. Program income (e.g., loan repayments)	-0-
4. Total of HOPWA funds available during period (sum of lines 1 thru 4)	\$248,869.76

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

<b>HOPWA Expenditures (Totals by Eligible Activity)</b>	
5. Expenditures for Housing Information Services	\$ 38,115.07
6. Expenditures for Resource Identification	-0-
7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G)	\$ 44,916.43
8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used)	\$151,286.72
9. Grantee Administrative Costs expended	-0-
10. Project Sponsor(s) Administrative Costs expended	\$ 14,551.54
11. Total of HOPWA funds expended during period (sum of lines 5 thru 10)	\$248,869.76
12. Balance of HOPWA funds at end of report period (line 4 minus line 11)	-0-

## Part 3 Program Expenditures and Housing Provided.

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

### Exhibit E – Summary of Program Expenditures. – FY06; Federal 2005

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period.

Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

<b>HOPWA Funding Available</b>	
1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program)	-0-
2. Amount of HOPWA grant received during period	\$433,000.00
3. Program income (e.g., loan repayments)	-0-
4. Total of HOPWA funds available during period (sum of lines 1 thru 4)	\$433,000.00

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

<b>HOPWA Expenditures (Totals by Eligible Activity)</b>	
5. Expenditures for Housing Information Services	\$ 7,805.51
6. Expenditures for Resource Identification	-0-
7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G)	\$ 82,060.65
8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used)	\$140,743.01
9. Grantee Administrative Costs expended	\$ 12,990.00
10. Project Sponsor(s) Administrative Costs expended	\$ 20,160.63
11. Total of HOPWA funds expended during period (sum of lines 5 thru 10)	\$263,759.80
12. Balance of HOPWA funds at end of report period (line 4 minus line 11)	\$169,240.20