

City of Springfield, Massachusetts

Action Plan, FY 2012-13



Renovation of Tax-Foreclosed Blighted House, Old Hill Project funded by Neighborhood Stabilization Program



THIRD Program Year Action Plan, 2012-13



**City of Springfield,
Massachusetts**

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Third Program Year Action Plan

GENERAL

Executive Summary

The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

Every five years, the City of Springfield undertakes a planning process in which it assesses its community needs, seeks public input, and devises a strategy to accomplish priority projects, which is set out in the City's Five-Year Consolidated Plan. The plan is carried out under the leadership of the Office of Planning and Economic Development. Through OPED, the City works to create and sustain a healthy, vibrant City that stimulates balanced growth through economic development, housing options, neighborhood revitalization, and support for Springfield residents.

The City undertook this strategic consolidated planning process in 2009-2010, and established 12 top-level goals to guide its use of community development funds during years 2010 through 2014.

City of Springfield Consolidated Plan Goals, 2010-2014

Economic Development Goals

1. Promote an environment that encourages entrepreneurship, strengthens existing businesses and expands economic opportunities.
2. Develop and execute strategies that promote development within neighborhood commercial corridors.
3. Prepare and promote existing commercial sites for development.
4. Expand job training and readiness programs to effectively develop a competitive workforce.

Community Development Goals

5. Improve the physical environment of the City through enhancement of streets and streetscapes, parks and open space, and remediation of blight.
6. Provide support and increased opportunities for residents of Springfield, with a particular focus on the City's youth.

7. Support creation and maintenance of appropriate community facilities to serve residents' needs.

Neighborhood Stabilization and Housing Goals

8. Increase housing stability throughout the City, with a particular emphasis on neighborhoods in need of revitalization.
9. Improve the quality of the City's housing stock.
10. Preserve and expand housing options in order to provide opportunity for residents to access safe, sanitary and affordable homes.

Goals Related to Special Needs Populations

11. Continue efforts to end homelessness throughout the City and region, while continuing to meet the emergency needs of people experiencing a housing crisis.
12. Support special needs populations with housing options, supportive services, and facilities as appropriate.

FY 2012-2013 Plan, Funding, and Outcomes. During each year of the Consolidated Plan, the City publishes an Annual Plan, which indicates the programs to be carried out during the year. This document is the City of Springfield's Annual Plan for the third year of the 5-year Consolidated Plan.

In FY 2012-2013, the City of Springfield will receive approximately \$3,668,911 in federal Community Development Block Grant (CDBG) and \$1,194,936 in the HOME Investment Partnerships Program funding. The City will also receive \$321,965 in Emergency Solutions Grant (ESG) funds and \$474,123 in funds for Housing Opportunities for Persons with AIDS (HOPWA). During this year, the City will continue to administer multi-year programs funded in 2009 and 2011 by the Neighborhood Stabilization Program (NSP).

The Annual Plan describes how these funds will be used. A full listing of the programs to be funded in this fiscal year is provided within the Appendix.

In 2011, the City of Springfield was hit by an EFS 3 tornado, which caused extensive damage in a corridor that cuts across the middle of the City. The City's need to respond to this damage has caused some adjustments in funding priorities, which will be reflected throughout this annual plan. The City will prioritize tornado-impacted neighborhoods, particularly the impacted neighborhoods located within the City's Neighborhood Revitalization Strategy Areas (NRSAs).

During FY2012-2013, the City will undertake or advance the following major activities and initiatives:

- *Economic Development:* continued redevelopment of the Indian Motorcycle building and the Mason Square Fire Station;

- *Job creation:* Job training programs will be available to low income individuals. The programs will offer hands on training, classroom instruction and job placement. The program will create at least 5 jobs.
- *Community Development:* reconstruction of parks and replace spray structures; rehabilitation/reconstruction of streets and sidewalks; and assistance to neighborhood councils to improve City neighborhoods.
- *Public services:* provision of a variety of social and development services, especially services targeted to youth and children to 5188 individuals. These services also include services for elders, people with disabilities, people with substance abuse, health needs, job training and homelessness.
- *Neighborhood stabilization, particularly in critical neighborhoods:* redevelopment of abandoned/vacant homes; homebuyer down payment incentives; demolition of blighted residential structures; receivership of residential properties; targeted proactive code enforcement; board and secure vacant homes; acquisition and disposition of tax-foreclosed residential properties; rehabilitation of historic properties; cleanup of lots and graffiti removal.
- *Homeowner Repairs:* The City is increasing its level of funding for home emergency repairs, in order to meet tornado damage repair needs.
- *Encouragement of homeownership and housing stability:* homebuyer education and down payment assistance for income-eligible households buying throughout the City; this work will complement the “Buy Springfield Now” campaign, which encourages homeownership in the City.
- *Improvement of the City’s housing stock:* rehabilitation of housing units; evaluation and elimination of lead paint hazards in housing units; and increased energy efficiency. The City will provide funding for redevelopment of Concord Apartments in the South End, as well as for the Center City development—four smaller buildings located in Six Corners, Old Hill, and the South End.
- *Continued efforts to decrease homelessness:* development of permanent supportive housing opportunities; homelessness prevention and rapid rehousing assistance; and continued provision of emergency and triage services to households newly becoming homeless.

Neighborhood-Specific and Development Initiatives:

- *Tornado-Impacted Areas:* The City will prioritize tornado-impacted areas for preference for CDBG and HOME funds.
- *South End Revitalization:* redevelopment of residential parcels; demolition of blighted buildings; storefront façade improvements to Main Street businesses and completion of park renovation and repaving and streetscaping of Dwight Street Extension. The first phase of

renovations of buildings in the Hollywood section will take place in 2012. The City has been awarded a Choice Neighborhoods Planning Grant for the South End and will undertake implementation planning for inter-connected projects in the areas of Housing, People and Neighborhood. The City has committed CDBG funds to support this initiative.

- *North End Community Development:* investment in human capital; infill housing development targeted to homeownership; targeted demolition of abandoned properties.
- *State Street Corridor and Old Hill/Six Corners Revitalization:* Redevelopment of residential properties; infill housing units; demolition of blighted structures; conveyance of abutter lots to responsible neighbors; and street improvements
- *Continued redevelopment:* Union Station; Court Square; former Asylum building; Mason Square; the former Zanetti School; Indian Orchard Business Park and the former VIC.

Past Performance. Accomplishments for FY2011-2012 include:

Tornado Response

The June 1, 2011 tornado that devastated sections of the City defined a great deal of the community development and housing work that the City undertook during the last fiscal year. Tornado-related accomplishments include: relocation assistance for over 300 households displaced by the tornado; development of a Rebuilding Guide for impacted homeowners; code and legal enforcement and tracking of over 800 damaged properties, including over 200 condemned properties, and an extensive and inclusive community-wide planning process resulting in a comprehensive master plan for the impacted areas and for revitalization of the City as a whole.

South End Revitalization Initiative

The City will complete the revitalization of Emerson Wight Park this fiscal year. This project included takings by eminent domain of a row of homes to be demolished for park expansion and the connection of the park to the neighborhood. The City secured significant state park funding to assist with the overall renewal of the park.

The City secured significant funding commitments for roadway and streetscape improvements to Dwight Street Extension.

The City has committed support to a private developer, First Resource Development, to undertake substantial renovation of the 22 multi-family buildings that make up the Hollywood District in this neighborhood

The City and the Springfield Housing Authority were awarded a Choice Neighborhoods Planning Grant for the South End, which will support implementation planning for a range of neighborhood initiatives, including replacement of Marble Street Apartments, renovation of the Hollywood Apartments, a new South End Community Center, and other neighborhood amenities and supports for neighborhood residents.

Neighborhood Stabilization Initiatives

The impact of the foreclosure crisis and housing market decline is seen in abandoned and vacant properties throughout the City. The City was awarded Neighborhood Stabilization Program funds in the amount of \$3.5 million in 2009, and an additional \$1.2 million in 2011; in addition, and developers are eligible to receive NSP2 funds from state agencies for redevelopment efforts in Springfield.

In order to best use this investment, the City undertook a careful planning process for neighborhood stabilization, and is combining the NSP work with a number of City-funded initiatives. The City's neighborhood stabilization efforts include:

- Targeted demolition of blighted properties;
- Infill development of single and two-family homes for homeownership;

- Code enforcement quality-of-life sweeps;
- Receivership of abandoned properties;
- “Clean and lien” of vacant lots;
- Use of tax title properties for homeownership opportunities;
- Emergency home repair program; and
- Foreclosure prevention.

Four NSP-funded developers have completed redevelopment of eight properties in the Old Hill, Six Corners, and South End neighborhoods, and have an additional eleven properties underway. The City has also used NSP funds for the removal of blight in the target neighborhoods. The NSP-funded work in these neighborhoods is being augmented by HOME CHDO funds, which are being used to build five new homeownership units in Old Hill.

State Street Corridor

The State Street Alliance, a collaboration of over 50 private and public entities dedicated to the redevelopment of this corridor, has continued to examine market opportunities for retail, office and housing; identify site-specific development opportunities; and create a market-driven program that identifies catalytic projects.

Reduction of Homelessness; the Worthington Street Homeless Resource Center

One of the City’s key priorities during the prior Consolidated Plan period was addressing homelessness among individuals in the City. The City has continued to make strides in this area. The City has experienced a 52% reduction in street homelessness in the City, as well as a 20% reduction overall in the number of homeless individuals without children in the City since 2007.

In the past fiscal year, the City has fully expended its Homelessness Prevention and Rapid ReHousing Program (HPRP) funds, which supported a triage and rapid rehousing system. The City will use the new Emergency Solutions Grant funds to keep this system operational through the upcoming year.

The City continues to play a major role in leading the Western Massachusetts Network to End Homelessness, a regional effort focused on reducing chronic and family homelessness.

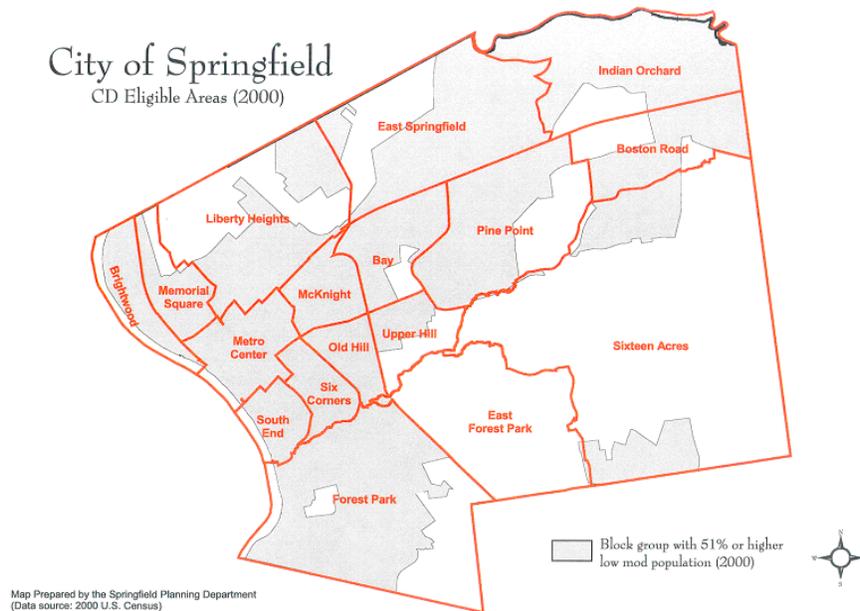
Section 3 Coordination

The City, in partnership with the Springfield Housing Authority and the Regional Employment Board of Hampden County, is one of 12 grantees nationwide to receive a competitive HUD Section 3 Coordination grant. The funds will enable the City to hire a Section 3 coordinator.

General Questions

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.
3. Describe actions that will take place during the next year to address obstacles to meeting underserved needs.
4. Identify the federal, state, and local resources expected to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.

Target Geographic Area. The City of Springfield plans to expend its CDBG allocation predominately within census block group areas made up of 51% or more low/moderate income population. The City estimates that it will spend more than 70% of its CDBG funds within the City's CDBG target area. The map below illustrates the CDBG-eligible areas.



Of 17 City neighborhoods, six are entirely CDBG eligible and ten are partially eligible. Within the Low-Mod CDBG-eligible areas, most of the neighborhoods contain concentrations of low-income households, and greater than average rates of minorities.

The eligible area includes the census tracts and block groups listed in the table below. Within this area, the City will prioritize eligible projects within its existing Neighborhood Revitalization Strategy Areas (NRSAs), which are marked on the table.

| TRACT | BLK GRP | NRSA | LOW MOD PCT | TRACT | BLK GRP | NRSA | LOW MOD PCT | TRACT | BLK GRP | NRSA | LOW MOD PCT |
|---------|---------|------|-------------|---------|---------|------|-------------|---------|---------|------|-------------|
| 8026.01 | 3 | | 59.9 | 8018.00 | 6 | ✓ | 85.7 | 8011.01 | 2 | ✓ | 100.0 |
| 8026.01 | 4 | | 55.8 | 8017.00 | 3 | | 75.4 | 8009.00 | 1 | ✓ | 86.0 |
| 8026.01 | 5 | | 68.2 | 8017.00 | 4 | | 64.0 | 8009.00 | 2 | ✓ | 81.6 |
| 8023.00 | 1 | | 56.2 | 8017.00 | 5 | | 65.8 | 8009.00 | 3 | ✓ | 95.2 |
| 8023.00 | 2 | | 51.8 | 8017.00 | 6 | | 67.4 | 8009.00 | 4 | | 70.3 |
| 8023.00 | 4 | ✓ | 82.8 | 8016.05 | 2 | | 54.2 | 8009.00 | 5 | ✓ | 89.9 |
| 8023.00 | 5 | | 74.4 | 8016.03 | 1 | | 52.3 | 8008.00 | 1 | ✓ | 90.2 |
| 8023.00 | 6 | | 75.4 | 8016.02 | 1 | | 55.9 | 8008.00 | 2 | ✓ | 84.0 |
| 8022.00 | 1 | | 67.8 | 8015.03 | 1 | | 63.5 | 8007.00 | 1 | ✓ | 85.4 |
| 8022.00 | 2 | | 65.2 | 8015.03 | 2 | | 66.0 | 8007.00 | 2 | | 75.4 |
| 8022.00 | 3 | | 77.8 | 8015.02 | 1 | | 56.3 | 8006.00 | 1 | ✓ | 85.9 |
| 8021.00 | 1 | ✓ | 78.6 | 8015.02 | 4 | | 72.4 | 8006.00 | 2 | ✓ | 96.6 |
| 8021.00 | 4 | ✓ | 56.6 | 8015.01 | 3 | | 71.4 | 8006.00 | 3 | ✓ | 99.4 |
| 8021.00 | 6 | | 53.1 | 8015.01 | 4 | | 52.1 | 8005.00 | 1 | | 61.1 |
| 8021.00 | 9 | | 67.1 | 8014.02 | 1 | | 57.1 | 8005.00 | 2 | | 56.9 |
| 8020.00 | 1 | ✓ | 85.7 | 8014.02 | 4 | | 59.1 | 8004.00 | 2 | | 53.8 |
| 8020.00 | 2 | ✓ | 86.2 | 8014.01 | 5 | | 74.3 | 8004.00 | 4 | | 54.1 |
| 8020.00 | 3 | | 79.1 | 8014.01 | 6 | | 78.2 | 8004.00 | 5 | | 62.7 |
| 8019.00 | 1 | ✓ | 84.3 | 8013.00 | 1 | | 75.2 | 8004.00 | 6 | | 66.1 |
| 8019.00 | 2 | ✓ | 84.3 | 8013.00 | 2 | ✓ | 85.2 | 8003.00 | 1 | | 59.0 |
| 8019.00 | 3 | ✓ | 78.4 | 8013.00 | 3 | | 63.2 | 8002.02 | 1 | | 52.6 |
| 8019.00 | 4 | ✓ | 81.7 | 8013.00 | 5 | | 58.4 | 8002.01 | 3 | | 58.6 |
| 8019.00 | 5 | ✓ | 86.6 | 8012.00 | 1 | ✓ | 92.7 | 8002.01 | 6 | | 69.4 |
| 8019.00 | 8 | ✓ | 83.0 | 8012.00 | 2 | ✓ | 82.4 | 8001.00 | 1 | ✓ | 80.6 |
| 8018.00 | 1 | ✓ | 79.0 | 8012.00 | 3 | | 63.4 | 8001.00 | 2 | | 54.8 |
| 8018.00 | 2 | | 64.4 | 8011.02 | 1 | ✓ | 61.1 | 8001.00 | 4 | | 74.4 |
| 8018.00 | 3 | ✓ | 81.9 | 8011.02 | 2 | | 84.3 | 8001.00 | 5 | | 72.0 |
| 8018.00 | 5 | | 68.9 | 8011.01 | 1 | ✓ | 86.6 | 8001.00 | 8 | | 65.1 |

Source: Springfield Planning Department analysis of 2000 US Census

Basis for Allocating Investments. The City of Springfield will predominately expend its FY12-13 CDBG allocation within these target neighborhoods and federally eligible areas. Springfield’s investment of CDBG funds is based on whether the activity falls within a City funding priority, whether the service is an eligible activity, and whether the service or need meets a national

objective. In addition, the activity must meet one of the following CDBG objectives: (1) provide a suitable living environment; (2) provide decent housing; and (3) provide economic opportunity.

Resources are allocated to maximize the benefits to residents within target areas. While public infrastructure, parks, open space, and public-facility projects are exclusively within targeted areas, other strategic investments made for the benefit of low and moderate income residents are throughout the City. It has been necessary to invest outside low/moderate census tracts in order to meet high priority needs of the community such as job creation and economic development.

HOME Investment Partnership Funds (HOME) are used to rehabilitate rental housing, directly assist homebuyers, provide tenant-based rental assistance, and produce and/or preserve affordable housing citywide.

ESG and HOPWA funds are targeted to meet the identified needs of the eligible populations within the geographic area. For ESG, the service area is the City of Springfield. Investments are made in accordance with relative priority and statutory spending caps. HOPWA funds are allocated throughout the EMSA which includes the tri-county area. HOPWA funds are allocated primarily to alleviate the housing cost burden for eligible households.

Specific final funding decisions are made by the Mayor, with substantial input from City staff, and taking into consideration the data and community input received in the Consolidated Plan process. In 2012, these decisions are also influenced by plans created through the Rebuild Springfield planning process, undertaken to set priorities for rebuilding post tornado. Where the City chooses not to carry out activities through its own departments, it issues Requests for Proposals to solicit organizations to carry out the activities. In evaluation of responses to RFPs, the City focuses whether particular funding proposals enable Springfield to achieve its overall community development goals.

Addressing Obstacles to Meeting Underserved Needs. The greatest obstacle to meeting the needs identified in the plan is the lack of resources needed to do so. The City strives to maximize the resources it has, through targeting and efficient programming, and it attempts to increase the resources available to address the needs. The City's attempts to increase resources will include:

- Application for competitive grant funding;
- Partnership with the private sector to achieve community goals;
- Assistance to nonprofit agencies and private partners to enable them to compete for government and private funds;
- Advocacy with state administration and local legislative delegation to increase state support for priority City projects and initiatives.

The City's response is also limited by restrictions placed on available funding sources. Most significantly, restrictions on the use of CDBG funds make it difficult for the City to use these funds more broadly for job creation and job training, which are critical needs in the City.

Other obstacles to meeting underserved needs include the difficulty in addressing community problems which are regionally based, and the need to have high quality data and analysis of the City's challenges and opportunities. Springfield works to address the first obstacle by collaborating with our jurisdictional neighbors whenever possible. The City has done this effectively in the area of homelessness, where it has been a leader in creating the Western Massachusetts Network to End Homelessness, which advocates and implements a regional response to homelessness. The City is also collaborating regionally in the initiative to bring a north-south high-speed rail line through Springfield, and in supporting the region's 'brand' as the Education Corridor. The Springfield-Hartford region is a recipient of a Sustainable Communities grant, and the City is an active participant in work associated with this initiative.

Additional Resources to Address Needs in Plan. The following federal, state, and local resources are expected to be made available to address the needs identified in the plan during FY12-13:

| | |
|---|--------------|
| Mass Works Grants | |
| South End | \$1,200,000 |
| Court Square | \$ 420,000 |
| Allen Street/Bicentennial Highway Road and Traffic Improvements | |
| State Highway Funds | \$2,000,000 |
| Road and Infrastructure Improvements | |
| Chapter 90 Funds | \$3,625,910 |
| Forest Park Middle School | |
| Mass School Building Authority | \$43,400,000 |
| Union Station | |
| Off Street Parking Grant | \$ 7,700,000 |
| EPA Clean up | \$ 400,000 |
| Smith and Wesson Industrial Park | |
| Private Investment | \$ 9,000,000 |
| Concord Heights | |
| Tax Credit Equity | \$14,733,072 |
| Affordable Housing Trust Fund | \$ 1,800,000 |
| MA HOME funds | \$ 550,000 |
| Developer's Cash Equity | \$ 1,251,663 |
| Permanent Debt | \$ 1,561,849 |
| Neighborhood Stabilization | |
| NSP 1 State Funds | \$1,050,000 |
| NSP 1 Federal Funds | \$2,566,272 |

| | |
|---|-------------|
| NSP 3 Funds | \$1,197,000 |
| Heartwap Program | |
| State – DHCD | \$ 550,000 |
| Health Care for the Homeless | |
| HRSA | \$1,194,003 |
| Supportive Housing Program | |
| Federal HUD Funds | \$ 869,103 |
| Shelter Plus Care Program | |
| Federal HUD Funds | \$1,073,664 |
| Choice Neighborhood Planning Initiative | |
| Federal HUD CN grant | \$ 300,000 |
| Private matching funds | \$ 350,000 |

Managing the Process

1. Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.
2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the process.
3. Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.

Lead Agency and Administering Agencies. OPED is the lead agency responsible for administering the programs covered by the Consolidated Plan, and this Annual Action Plan. This Division is made up of the following departments: Community Development, Planning and Economic Development (OPED), Housing, Building, and Neighborhood Services. The Division administers funds provided to other City Departments to carry out the plan, which include Parks and Recreation, Public Works, Elder Affairs, and Health and Human Services. The Division also administers grant funding to various agencies and nonprofit organizations.

Process for Plan Development. To create the Consolidated Plan, the City undertook an extensive outreach process and needs analysis. OPED and other City departments that implement community and economic development programs worked together to solicit input for the City of Springfield's strategy. Outreach included consultations with residents, private industry and nonprofit organizations, including Community Based Development Organizations (CBDOs). The consultation process included public hearings, meetings of key City boards and Commissions, community meetings sponsored by neighborhood-based organizations, and regularly held meetings of community-based organizations and human service providers. More specifically, in developing the plan the City consulted:

Housing Services, Homeless Services Providers

RiverValley Counseling (HIV); New North Citizens Council (Anti-poverty); Law Consortium (HIV, Low-Income); YWCA (Victims of Domestic Violence); Mental Health Association (Mental health/Dual Diagnosis); Friends of The Homeless (Homeless); Mercy Hospital (Homeless); Springfield Partner's for Community Action (Anti-poverty); Open Pantry Community Services (Homeless); Massachusetts Justice Project (Low-Income); Square One (Low-Income); and Human Resources Unlimited (Mental Health).

Private Sector

Chamber of Commerce; Springfield College; and the Regional Employment Board.

HOPWA Consultation

City of Northampton; River Valley Counseling; HIV/AIDS Law Consortium; Mercy Hospital; New North Citizen's Council; Tapestry Health Systems; Cooley Dickinson Hospital

Social Services

New North Citizens Council; Holyoke-Chicopee-Springfield Head Start, Inc. ; The City of Springfield's Department of Adult Education; The Citywide Violence Prevention Task Force; The Mayor's Commission on Youth; The Mayor's Office of Elder Affairs; Greater Springfield Senior Services; Hungry Hill Senior Center; Pine Point Senior Center; Mason Square Senior Center; Catholic Charities; and Martin Luther King Jr. Family Services.

Health Services

Mercy Medical Center; Baystate Medical Center; Partners for a Healthy Community; American Heart Society, Springfield Chapter; American Cancer Society, Springfield Chapter; Northern Education Services; New North Citizens Council; The Springfield Health Coalition; The Massachusetts Department of Public Health (which sends Springfield data extrapolated from its state wide health indices system); The Massachusetts Office of Emergency Preparedness; and The Executive Office of Health and Human Services.

State Agencies

The City submitted the Draft Consolidated Plan to the Director of the Community Service Division at the Massachusetts Department of Housing and Community Development and the Chief of Staff of the Massachusetts Office of Commonwealth Development.

Adjacent Government

The City notified and consulted with adjacent units of local government. Springfield's Office of Community Development sent notification of the Draft Consolidated Plan and the draft Action Plan web site availability to the Directors of the Community Development Departments in the cities of Chicopee, Westfield, West Springfield, and Holyoke.

Public Housing Agencies

The City of Springfield has been actively engaged with the Springfield Housing Authority during the development of the Plan. A regional public housing authority, HAP Housing, was consulted during the Consolidated Plan development. HAP plays an integral role through its development division, which is a certified CHDO.

Enhanced Coordination. The City seeks to enhance coordination between public and private housing, health, and social service agencies through multi-disciplinary/multi-agency task forces and teams that focus on particular issues or neighborhoods. Some of these teams that will be active during FY12-13 are: The Springfield Food Policy Council, the Springfield Adolescent Sexual Health Advisory Committee; the Implementation Committee for the City's Ten-Year Plan to End Homelessness; the Buy Springfield Now committee; the Closing the Achievement Gap Initiative; the State Street Alliance; the Old Hill Collaborative; and the South End Revitalization Coalition.

Citizen Participation

1. Provide a summary of the citizen participation process.
2. Provide a summary of citizen comments or views on the plan.
3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

Citizen Participation Process. During the development of the Third Year Action Plan, the City used three main mechanisms to solicit citizen input. The City: 1) Conducted two public hearings to obtain input to be used in the plan; 2) Convened a citizen input hearing to receive comments from residents regarding the DRAFT Action Plan; and 3) Solicited written comments about the DRAFT Action Plan.

In order to publicize the public hearings, the City mailed a flyer to the individuals and organizations listed on the Office of Community Development's mailing list, printed an advertisement in the Neighborhoods Plus section of the Republican, printed an advertisement in LaVoz and printed a Legal Notice in the Republican. The public hearings were held in neighborhoods where the majority of funding will be spent. All of these solicitations are posted on the City's Community Development website.

Hearing 1: January 18, 2012 Rebecca Johnson Auditorium, 55 Catherine St.

Attendees:

Cathy Buono, City of Springfield, Office of Community Development

Lori Santaniello, City of Springfield, Office of Community Development

Cathy Buono opened up the hearing by explaining the CDBG process, the development of the Action Plan and what the public hearing was for. She made the public aware of this year's cuts; CDBG was cut 2% and HOME was cut 25% from last year.

Ms. Buono reviewed the various types of programs that have received funding in prior years. The following programs have been funded: rehab to non-profits, park renovations, streets/sidewalks, demolition, business loan program and façade loan program. The feedback from the public hearings will determine if additional RFP's will be released. The RFP for public service activities will be available February 1, 2012. Ms. Buono informed the citizens at the hearing that public service activities have a 15% cap.

The citizens also inquired about the plan for the South End. Ms. Buono said that the plan is supposed to be available to the public next month.

Hearing 2: January 25, 2012 at the Chestnut Accelerated School, 355 Plainfield St.

Attendees:

Cathy Buono, Office of Community Development

Lori Santaniello, Office of Community Development

Cathy Buono opened up the hearing by explaining the CDBG process, the development of the Action Plan and what the public hearing was for.

A representative from the Sheriff's Department was in attendance.

The attendance was low at this hearing. The citizens were under the impression that the meeting was a neighborhood tornado meeting.

A comment was received from the New North Citizens Council. The Council feels that instead of the City using CDBG funds for demolition, the funds should be used to repair the boarded up houses and make them into affordable housing. The City could have Habitat or the students at Putnam do the work/repairs.

The comments received from Armoury Quadrangle Civic Association, McKnight Neighborhood Council, Maple High Six Corners, Indian Orchard CDC and Springfield Preservation Trust are included and part of the document.

Citizen Input: DRAFT 11-12 ACTION PLAN

The DRAFT Action Plan was available for public review and comment from April 6th through May 7th, 2012.

Copies of the DRAFT Plan were available at the Office of Community Development, 1600 East Columbus Avenue; Office of Housing, 1600 East Columbus Avenue; Department; of Health & Human Services, 95 State Street; Office of Planning & Economic Development, 70 Tapley Street and the Office of Neighborhood Services, 70 Tapley Street.

A legal notice about this review period including the availability of the plan, and the date of the public hearing for the DRAFT Plan, was published in the Republican on March 23rd. In addition a flyer in English/Spanish was sent to the individuals and organizations listed on the OCD's extensive mailing list. The City also posted them on the City's Community Development website.

Public Hearing

A public hearing to obtain comments on the Draft Annual Action Plan was held on Wednesday, April 11, 2012 at 5:00PM, 36 Court Street, Room 220.

Attendees:

Cathy Buono, Office of Community Development

Lori Santaniello, Office of Community Development

Cathy Buono opened up the meeting. Mr. Gaby provided his feedback and comments. He read the DRAFT including the Executive Summary. He feels that the goals are positive in the Executive Summary but does not see them being met; he does not see the connection. Mr. Gaby would like to see; 1. Monies invested in code enforcement transferred to rehab; 2. A revolving loan program instead of grants which includes Historic Preservation.

Another comment was made about the housing stock. They feel that houses should be retained and rehabbed instead of going to auction.

No further comments have been received.

Institutional Structure

1. Describe actions that will take place during the next year to develop institutional structure.

Through a cabinet of departments that includes Office of Community Development, Office of Housing, Office of Neighborhood Services, Office of Economic Development and Planning, the City continues to enhance the coordination of the delivery of services and in the development of low- and moderate-income areas regardless of project funding sources. The major institutional focus is to increase coordination and communication among all involved parties from the initial concept through project completion.

Springfield undertook a City-wide strategic planning process in 2010, in order to empower all City departments to move forward in a more planful and coordinated way to advance the City's overall goals. The City also is implementing the Continuous Improvement Springfield initiative, which uses employee teams to examine and improve how we work, with the goal of eliminating waste, reducing cost and improving services.

The City's receipt of a Choice Neighborhoods Planning Grant has enabled it to increase capacity to move forward on initiatives in the South End over the next 18 months. The majority of the \$300,000 grant will fund a consulting firm that will carry out steps necessary for neighborhood revitalization.

Springfield will implement its consolidated plan strategy through private industry; non-profit organizations, including CBDOs and CHDOs; the Springfield Redevelopment Authority; and City departments. The utilization of a broad base of organizations will assist the City in addressing its community development, housing, homeless and special needs objectives. However, while the number and abilities of the organizations and departments involved are an institutional strength, the City will constantly work to coordinate the projects and programs. Such coordination is integral to the success of the Plan.

Monitoring

1. Describe actions that will take place during the next year to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.

OPED, through its Community Development Administration Division, has an established monitoring plan that governs the oversight of all subrecipient and interdepartmental contract agreements including: Community Development Block Grant (CDBG); HOME Investment Partnership Program; Emergency Solutions Grant (ESG); Housing Opportunities for Persons with AIDS (HOPWA); McKinney-Vento Homeless Assistance grants; Neighborhood Stabilization Program (NSP); and Homelessness Prevention and Rapid ReHousing Program (HPRP.)

Most community development projects are implemented by CD staff, with internal monitoring via the Integrated Disbursement and Information System (IDIS) project reports, and strong internal procedures. For these projects and others implemented by City departments (such as public works), the CD staff fully understands and incorporates program requirements. The CD program also undergoes an annual performance audit, to insure compliance with HUD regulations.

A comprehensive system has been developed by CD for subrecipients in order to insure compliance with program and budget requirements. These subrecipients are usually implementing a social service or housing program. OPED has contractual agreements with subrecipients of the program funds that require compliance with programmatic statutes and regulations. OPED is responsible for ensuring that subrecipients comply with all regulations governing their administrative, financial and programmatic operations and confirm that the sub recipients are achieving their performance objectives within the contractual schedule and budget and performance measurement system. Per HUD's recommendation, OPED utilizes a coordinated project monitoring process, including coordinated fiscal and program on-site monitoring visits.

Main program files for CDBG-funded programs and projects are maintained within CD's central file system, but the programs and projects are managed by program delivery staff within their respective departments. The Community Development Director of Administration and Finance has primary responsibility for long-term compliance with program and comprehensive planning requirements. In addition, CD staff oversee the fiscal monitoring of all activities funded through CDBG, HOME, ESG, HOPWA, NSP, and HPRP. CD monitors CDBG contracts with organizations, and inter-departmental agreements with other City departments.

The monitoring process includes these essential components:

- a. ***Progress Reports***

All subrecipients are required to submit status reports to their Program Monitor. Reports are reviewed by Program Monitors to ensure that subrecipients are undertaking the activities contained within the Scope of Service and that they have achieved or are making diligent efforts to achieve the goals and objectives contained within the contract.

b. *Invoicing*

Requests for payment are submitted on a reimbursement basis. The subrecipient submits a Requisition Form accompanied by all source documentation totaling the amount of the request. Source documentation includes: time sheets, invoices, canceled checks, purchase orders, and other sufficient documentation to justify the expenditures. In addition to source documentation, all requests for payment must include a status/progress report.

The CD Administrative Division reviews the request for payment to determine it is reasonable, appropriate and in accordance with the contract. If source documentation and monitoring are satisfactory, the request will be scheduled for payment. If deficiencies are found, the subrecipient is notified immediately.

CD is unable to process any requests for payment that do not include source documentation and a current progress report. Payment is contingent on: 1) expenditures being in accordance with the contract; and 2) satisfactory monitoring with no other outstanding issues.

c. *Monitoring Schedule*

The CD Administration Division maintains a master contract schedule to track the dates and results of monitoring for all subrecipients and inter-departmental contracts. The schedule measures each contract against six risk factors.

- RISK FACTOR 1: Subrecipient is new to the program
- RISK FACTOR 2: Turnover of key staff
- RISK FACTOR 3: Prior compliance or performance problems
- RISK FACTOR 4: Subrecipient is carrying out a high risk activity (*e.g.* economic development)
- RISK FACTOR 5: Multiple CDBG Contracts for the first time
- RISK FACTOR 6: Reports not turned in on time

Any contract not included in the program monitoring schedule is subject to “bench monitoring.” This process involves contract scope review and review of monthly report forms and monthly narratives submitted by the subrecipient.

d. *On-Site Monitoring*

A notification letter is sent to the subrecipient confirming the date and the scope of the monitoring and a description of the information that will be required at the visit.

At the visit, the monitor reviews project files to verify: (1) that the activities undertaken by the sub recipient are appropriate to satisfy the contractual obligations; (2) the accuracy of the

information contained within the monthly progress reports; and (3) that the sub recipient is properly administering and implementing the program within federal guidelines. In addition, the monitor ensures that the subrecipient is achieving or making diligent efforts to achieve the goals and objectives stated in the contract.

e. *Follow-up*

As a follow-up to a monitoring visit, the monitor will send a determination of compliance letter notifying the sub recipient of the monitoring results. The letter will detail the purpose of the visit, provide feedback, and address areas for improvement, if necessary.

If the monitor identifies findings, corrective action will be recommended to the subrecipient. If the monitor has any concerns, specific recommendations will be provided to the subrecipient. The subrecipient will be required to provide to CD a written response describing how the subrecipient will resolve any findings and correct any deficiency identified in the letter.

Upon receipt of a subrecipient's response to identified findings or concerns, the monitor will determine if a follow-up site visit is necessary to ensure that (1) corrective action was taken; and (2) the agency is now complying and performing in accordance with its contract.

f. *Long Term Compliance*

Projects that have long-term compliance requirements are monitored annually to ensure compliance with funding terms.

The City's monitoring policy requires unit inspections and tenant rent and income certifications of Federally-assisted properties every year. All rental units subsidized with CDBG, HOME and Lead Based Paint Hazard Control funds must be recertified to determine that the rent and/or income remains in compliance with the HUD rent and/or income limits for the project and that the units are occupied by income-eligible tenants. In addition, every Federally-assisted unit is inspected to determine compliance with HUD's Housing Quality Standards.

Tenant Rent and Income Recertification. During the Restriction Commitment Period required by the particular loan documents, tenants in all rental units subsidized through the Lead Hazard Control Grant Program, CDBG, NSP and HOME program must be recertified to determine that the rent and/or income remains in compliance with the HUD rent and/or income limits for the project and that the units are occupied by income-eligible tenants. Rental project owners or managers are required to submit annual reports detailing the income-eligibility of tenants.

Unit HQS Inspections. During the Restriction Commitment Period required by the particular loan documents, all rental units subsidized through the Lead-Based Paint Hazard Control Grant Program, the HOME program and the CDBG Program must be periodically re-inspected to determine that they remain in compliance with HQS

standards. The frequency of the re-inspection depends on the type of restriction, but is not less than every year. The City schedules and undertakes inspections according to the required schedule.

On-Site Compliance Monitoring. During the Restriction Commitment Period required by the particular loan documents, the City undertakes regular on-site monitoring visits to ensure that self-reporting on income and rent certification is accurate, and to ensure that all program requirements and policies are in compliance with federal requirements.

Lead-based Paint

1. Describe the actions that will take place during the next year to evaluate and reduce the number of housing units containing lead-based paint hazards in order to increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families, and how the plan for the reduction of lead-based hazards is related to the extent of lead poisoning and hazards.

Specific actions the City will take to evaluate lead-paint hazards during the Annual Plan period will include:

1. City Code Enforcement inspections to evaluate potential hazards in units where children under six reside and to enforce remediation in compliance with Massachusetts lead laws.
 2. Aggressive enforcement, including—as necessary—legal prosecution of property owners who fail to comply with orders to remediate hazards.
- Mandatory pre-rehabilitation lead testing including soil samples for all HOME-funded project-based homeownership and multi-family production programs.
 - The City has partnered with the Commonwealth of Massachusetts to apply for Lead-Based Paint Hazard Control funding, and is awaiting a HUD decision on grant awards.

Specific actions to reduce the number of housing units containing lead-based paint hazards will include:

- Mandatory remediation within rehabilitation specifications for all project-based and multi-family projects.
- Administration of a state-funded lead abatement program for existing homeowners, if funds are available.

In compliance with Title X, the City has integrated lead hazard controls into all housing policies and programs. Federal requirements for evaluating and remediating lead hazards are fully incorporated into the City's protocol for housing rehabilitation.

HOUSING

Specific Housing Objectives

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve during the next year.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Priorities and Objectives for FY12-13. Responding to tornado damage and rebuilding are high priorities for the City this year. In addition, the City continues to prioritize neighborhood stabilization and responding to foreclosures.

For this year, Springfield's programming is focused on emergency home repairs, addressing blighted homes (rehabilitation/redevelopment, disposition of tax title properties, demolition, code enforcement), and encouraging buyers to purchase in Springfield (homebuyer education, down payment assistance). When existing homes are abandoned, the City boards and secures the home to keep the property and the neighborhood safe. Springfield also administers a "clean and lien" program, where the City clears abandoned properties and places a lien to secure the cost.

The foreclosure crisis has increased the number of distressed multi-family rental properties in the City. Springfield is addressing these problem properties through a receivership program which includes a revolving loan fund for receivers, and through investment in rehabilitation of apartment blocks.

The existing housing stock in the City is aged. High heating costs are associated with aged housing, and the low income levels of Springfield residents make these costs even more burdensome. The City responds to this concern with its energy efficiency and heating system program. Another problem associated with aged housing is the presence of lead-based paint. The City inspects for and identifies lead-based paint hazards. In 2012, it is seeking grant funding to address these hazards.

The City seeks to address the housing cost burden for City residents through the development of new infill affordable homeownership units, the preservation of existing subsidized housing in expiring use properties, and through rehabilitation of poor or marginal rental stock in exchange for income-restricting future occupancy.

The City encourages energy efficiency improvements in all rehabilitation projects, and requires it in new construction projects. As part of the tornado rebuilding effort, the City produced a booklet on rebuilding which includes extensive information about energy efficiency and

incentive programs that can be coordinated with rebuilding efforts.

The provision of tenant-based rental assistance is another mechanism to reduce cost burden for very-low income households, while providing adequate funds to ensure owner maintenance of aged housing stock. The City will use this type of assistance to increase affordability for vulnerable populations such as the chronic homeless, persons with HIV/AIDS, and other populations in need of permanent supportive housing.

The City’s overall strategies and their relationship to identified housing problems are summarized in the following chart.

| | | Strategic Response | | | | | | | |
|-----------------|--------------------------|--------------------|---------------------------|-----------------------|----------------------------|----------------------------|-----------|-------------------|------------------------------|
| | | Home-ownership | New Construction /In-fill | Housing Redevelopment | Homeowner Emergency Repair | Lead-based Paint Abatement | Education | Energy Efficiency | Foreclosure Prevention Funds |
| Housing Problem | Vacant & Abandoned Homes | ✓ | ✓ | ✓ | | ✓ | | ✓ | ✓ |
| | Foreclosure | ✓ | ✓ | ✓ | ✓ | | ✓ | ✓ | ✓ |
| | Substandard Housing | | ✓ | ✓ | ✓ | ✓ | | ✓ | |
| | Lead-Based Paint Hazard | | | | | ✓ | ✓ | | |
| | Cost Burden | ✓ | ✓ | ✓ | ✓ | | ✓ | ✓ | ✓ |

During FY12-13, the City plans to accomplish the following:

Accomplishments which will increase housing stability throughout the City, with a particular emphasis on neighborhoods in need of revitalization.

- 200 households receive homebuyer counseling
- 83 income-eligible households receive down payment assistance of \$3000
- 50 abandoned units placed in receivership
- 15 severely deteriorated residential structures demolished

Accomplishments which will improve the quality of the City’s housing stock.

- 15 vacant/foreclosed units rehabilitated or redeveloped for homeownership
- 15 housing units receive emergency repair and rehabilitation
- 1200 housing units receive assistance with heating system energy efficiency
- 100 units evaluated for the presence of lead-based paint hazards

Accomplishments which will preserve and expand housing options in order to provide opportunity for residents to access safe, sanitary and affordable homes.

- 127 affordable rental housing units preserved through rehabilitation of existing multi-family stock, including 7 handicap accessible housing units
- 15 affordable homeownership units constructed, which will be made available to moderate and low-income households; 10 of these are expected to be minority households
- 60 extremely-low-income tenants provided tenant-based rental assistance

Additional Resources Expected to Be Available to Meet Needs. The City expects that the funds it commits in this plan will leverage additional funds, and that increased funding will become available through competitive opportunities. Additional resources expected include Neighborhood Stabilization 2 funds, Low Income Housing Tax Credit funds, Affordable Housing Trust Fund, private equity, Lead Paint Hazard Control grant, McKinney and Shelter + Care grants, and City of Springfield bond funds (for demolition).

Needs of Public Housing

1. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.
2. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

Needs of Public Housing and its Residents. The City has a close working relationship with the Springfield Housing Authority. The City is a co-recipient with SHA for a Choice Neighborhoods planning grant, which will be used to explore opportunities to transform the Marble Street Apartments, a 48-unit poorly-designed complex in the City's South End. Together, the City and SHA envision this project as becoming a Neighborhoods of Opportunity project, which will support revitalization of the South End while improving housing and neighborhood conditions for the public housing residents. The City and SHA will apply for a Choice Neighborhoods Implementation Grant in spring 2013.

The City and SHA have partnered to create up to 90 units of supportive housing for chronically homeless individuals and 10 units of supportive housing for families that experience repeat homelessness. The "Housing First" program uses SHA project-based Section 8 vouchers in existing units owned by private landlords. Applicants for the program, who must have been homeless for one year or more or at least four times in the last three years, are screened by a multi-disciplinary team. The team links the applicants to service providers who agree to provide

intensive supportive services, targeted toward helping the tenant to maintain housing. The City funds the supportive services for the tenants.

The Springfield Housing Authority has a Section 8 homeownership program, and the City works with SHA to expand use of the program. In partnership with SHA, the City conducts targeted outreach to public housing and Section 8 residents, and offers customized credit and homebuyer classes to residents on-site at SHA properties. As the City provides development funds for affordable homeownership opportunities, it seeks to find qualified homebuyers among SHA residents. This partnership provides the opportunity for public housing residents to move up into homeownership and obtain the asset of a home, while opening up public housing rental units for very-low income households on the waiting list.

The City and SHA will continue to explore development, homeownership and neighborhood stabilization opportunities, and the City will continue to work with the SHA to ensure fair housing practices are included as part of SHA programs.

“Troubled” Designation. SHA is not designated as “troubled,” and it is not a poorly functioning housing authority. It is currently under very strong management.

Barriers to Affordable Housing

1. [Describe the actions that will take place during the next year to remove barriers to affordable housing.](#)

Widespread poverty and the City’s aged housing stock create an enormous demand for safe, affordable housing. However, the City’s high concentration of poverty and associated social problems, along with the fact that households impacted by concentrated poverty are predominantly minority, suggest that significant creation of new affordable rental units in the City may have negative consequences in terms of providing existing City residents with economic opportunity and fair housing choices. The City’s primary response to the need for safe affordable housing in the City is funding for preservation and rehabilitation of existing affordable housing stock, and initiatives which support affordable homeownership opportunities. The City uses HOME funds to provide tenant-based rental assistance, a strategy that both supports housing affordability and addresses the concentration of poverty. The City encourages its partner agencies and municipalities to assist in simultaneously addressing affordability and concentrated poverty through use of mobile housing resources such as Section 8 vouchers, and through creation of affordable housing throughout the Springfield metropolitan area.

The City’s Office of Planning and Economic Development actively promotes the development of collaborations and partnerships with both non-profit and for-profit builders, developers, and other interested parties for the purpose of increasing the capacity for the development of housing opportunities of all types in Springfield. Through collaboration and increased capacity, OPED seeks to position the City to receive additional housing resources.

HOME

1. Describe other forms of investment not described in § 92.205(b).
2. If the participating jurisdiction (PJ) will use HOME or ADDI funds for homebuyers, it must state the guidelines for resale or recapture, as required in § 92.254 of the HOME rule.
3. If the PJ will use HOME funds to refinance existing debt secured by multifamily housing that is that is being rehabilitated with HOME funds, it must state its refinancing guidelines required under § 92.206(b). The guidelines shall describe the conditions under which the PJ will refinance existing debt. At a minimum these guidelines must:
 - a. Demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing.
 - b. Require a review of management practices to demonstrate that disinvestments in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated.
 - c. State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.
 - d. Specify the required period of affordability, whether it is the minimum 15 years or longer.
 - e. Specify whether the investment of HOME funds may be jurisdiction-wide or limited to a specific geographic area, such as a neighborhood identified in a neighborhood revitalization strategy under 24 CFR 91.215(e)(2) or a Federally designated Empowerment Zone or Enterprise Community.
 - f. State that HOME funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.
4. If the PJ is going to receive American Dream Down payment Initiative (ADDI) funds, please complete the following narratives:
 - a. Describe the planned use of the ADDI funds.
 - b. Describe the PJ's plan for conducting targeted outreach to residents and tenants of public housing and manufactured housing and to other families assisted by public housing agencies, for the purposes of ensuring that the ADDI funds are used to provide down payment assistance for such residents, tenants, and families.
 - c. Describe the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as provision of housing counseling to homebuyers.

Forms of Investment. The City of Springfield will not use forms of investment for the HOME Program during this fiscal year other than those described in 92.205(b).

Resale/Recapture provisions. The City of Springfield's HOME Program Recapture provisions are as follows:

HOME Purchase Assistance. In the event that the buyer continues to occupy and does not sell or refinance the property during their period of affordability, the buyer's obligation to repay under the loan shall be null and void. If the buyer continues to own the property during the period of affordability but fails to maintain it as a principal place of residence, the entire loan shall be due and payable.

Project Based Homeownership (PBHO) Projects. The City allocates funds in the form of deferred payment loans for the rehabilitation of existing houses or new construction of single-family houses to be marketed and sold to first-time homebuyers. These homebuyers must be income-eligible under HOME program guidelines and, depending upon the amount of funding that was allocated for a project, must maintain the home as their primary residence for between 5 and 15 years. To enforce this provision, the City requires all deeds conveying HOME assisted houses contain a deed rider that outlines the specific 'recapture' provisions of the HOME program and puts the buyer on notice of their responsibilities upon purchasing the HOME-assisted property.

During the affordability period (absent any other violations of the Deed Rider) the homeowner may sell to any third party with the following recapture provision:

- Homebuyer shall repay the Assistance Amount (defined below) reduced by one-(fifth, tenth or fifteenth--however long the duration of the deed rider is) for each full year that has elapsed from the date of this Deed Rider until the date of such sale; OR

The Assistance Amount shall be defined as the Direct Subsidy to the homebuyer. This includes financial assistance that reduces purchase price for homebuyer below market or otherwise subsidizes the homebuyer (i.e. downpayment loan, closing cost assistance, etc.)

Refinancing. The City does not intend to use HOME funds for refinancing.

HOME Matching Funds. Springfield is not currently subject to a requirement for matching HOME funds.

Affirmative Marketing of Units. Springfield requires all housing developers funded by the City to submit an Affirmative Fair Housing Marketing plan, which must be approved by the City's Office of Housing. The AFHM plan must describe the proposed activities to be carried out during advance marketing and during all rent-ups. The affirmative marketing program should ensure that any groups of persons not ordinarily likely to apply for the housing without special outreach know about the housing, feel welcome to apply, and have the opportunity to rent or buy.

Outreach to Women- and Minority-Owned Businesses, and Section 3 Requirements. The City requires developers of City-funded housing to undertake outreach to women and minority-owned business for inclusion in subcontracts. The City also requires developers to comply with Section 3 hiring requirements.

For both W/MBE outreach and Section 3 hiring responsibilities, the City requires that applicants describe their plans as part of the application process for HOME funds, and includes the requirements in funding contracts. At project completion, developers are required to report on compliance with both these requirements.

In 2012, the City is hiring a Section 3 coordinator.

HOMELESS

Specific Homeless Prevention Elements

1. Sources of Funds—Identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness. These include the McKinney-Vento Homeless Assistance Act programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and any publicly-owned land or property. Please describe, briefly, the jurisdiction's plan for the investment and use of funds directed toward homelessness.
2. Homelessness—In a narrative, describe how the action plan will address the specific objectives of the Strategic Plan and, ultimately, the priority needs identified. Please also identify potential obstacles to completing these action steps.
3. Chronic homelessness—The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2012. Again, please identify barriers to achieving this.
4. Homelessness Prevention—The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.
5. Discharge Coordination Policy—Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.

Sources of Funds and Uses for Addressing Needs of Homeless. The City of Springfield anticipates the use of significant public and private resources to address homeless needs and to prevent homelessness. The most significant of these resources are the federal McKinney Vento funds and funding through the federal Department of Health and Human Services.

The City will receive \$1,942,767 in McKinney-Vento Homeless Assistance Act funds in 2012. The City will use McKinney-Vento Homeless Assistance Act funds to renew existing permanent supportive and transitional housing programs, and will receive new McKinney funds to provide funding for 13 units of permanent supportive housing for chronically homeless mentally ill individuals and 5 units of permanent supportive housing for homeless individuals with HIV/AIDS.

The City will receive Emergency Solutions Grant funds of \$321,965.00 in FY12-13, which it will use to support operation of emergency shelter; to fund rapid rehousing programs for households experiencing homelessness; and to fund homelessness prevention for households at

imminent risk of homelessness. The City is releasing a Request for Proposals for these funds, and will make funding awards to agencies in June 2012. The Commonwealth of Massachusetts is expected to award ESG funds to agencies in Springfield.

The City receives \$1.2 million annually from the Department of Health and Human Services to support the Health Care for the Homeless program.

In addition to the funds detailed above, each homeless service provider funded by McKinney or ESG funds is required to demonstrate other public or private funds targeted to provide services to eligible households. McKinney funds require a 20% match; ESG requires a 100% match. A conservative estimate of the funds matched and leverage to support homeless programs within the City exceeds \$1 million annually.

Action Plan Activities that Support the City’s Strategic Plan to Address Homelessness. The City will fund several initiatives during the FY12-13 program year to assist homeless and special needs individuals and families transition to permanent housing and independent living.

Specifically:

Homeless Assessment and Resource Center

Funds have been allocated for operating costs for the new 24-hour facility, built with City support in 2010, which provides emergency shelter beds and meals, triage services, a medical and dental clinic, employment and housing resources, and an array of supportive services and opportunities to apply for mainstream services; the facility includes 32 SRO units for chronically homeless individuals with high service needs.

Tenant Based Rental Assistance: HOME funds provide TBRA subsidies to support housing in the community with wrap-around services for chronically homeless households. Use of TBRA in the local housing market is effective because the City’s vacancy rate is high; there are available units but they are not affordable to the population served.

The City’s efforts to prevent and end homelessness are limited by the extraordinary need in our community and the lack of sufficient resources to meet the need.

Chronic Homelessness. In 2007, the City committed to the creation of 250 units of permanent supportive housing targeted to chronically homeless individuals, and 50 units of permanent supportive housing targeted to chronically homeless families, over a ten year period. To date, the City has produced 183 units for individuals, and 36 for families.

Obstacles to continued progress in reducing chronic homelessness include:

- Reluctance by landlords—private and publicly-funded—to rent to people who are chronically homeless;

- Severe cuts to mainstream services programs—particularly community-based mental health services—that assist the chronically homeless and those at risk of chronic homelessness to maintain their housing;
- The very high cost of developing permanent supportive housing, combined with uncertainty about stable funding in the future for the supportive services.

Homelessness Prevention. In FY12-13, the City will allocate a significant amount of ESG prevention and rapid rehousing initiatives that were started under the HPRP program. The City also provides CDBG funding for homelessness prevention through its receivership program. Three specific prevention programs are notable:

- **The Housing Court Homelessness Prevention Collaboration.** Several agencies providing prevention services and assistance attend housing court eviction sessions, in order to resolve cases before they become evictions. The network of providers works together, to ensure that needy tenants are able to easily access the resources of any of the collaborating agencies.
- **Rapid Response to Fire and Housing Condemnation.** Numerous tenants in the City become homeless each year due to fire or condemnation of housing units. The Red Cross, HAP Housing, Catholic Charities and Springfield Partners for Community Action collaborate to ensure that families receive quick-response relocation assistance and funds. As a result, these households facing homelessness are now often able to avoid shelter altogether.
- **Receivership.** The City Legal Department observed that it was frequently causing homelessness in the winter when it ordered vacate orders due to lack of heat or hot water. While the City attempted to assist tenants through relocation assistance, the vacate orders in these situations created empty buildings even though the lack of heat or hot water might not be an expensive problem to resolve. Working with the Springfield Housing Authority and the Massachusetts Housing Partnership, the City created and partially funds a receivership program, which repairs conditions causing no heat or no hot water, and enables tenants to remain in their housing.

Discharge Coordination Policy. The Springfield CoC monitors compliance with state-mandated discharge policies, which discourage or prohibit discharge into homelessness. The monitoring effort consists of collecting and reviewing local data regarding discharge status. Local adherence to and improvement of discharge policies is a regular topic for CoC monthly meetings. The CoC also coordinates directly with entities with discharge responsibilities, in order to create options other than homelessness for individuals being discharged.

The City of Springfield and city non-profit agencies are active participants in the Western Massachusetts Network to End Homelessness, which is working to enhance discharge practices throughout the region. The Network has brought providers and discharging institutions together to coordinate and to strategize about ways to avoid discharge into homelessness.

Specific Goals and Action Steps.

In early 2012, as part of the CoC Check-Up, the Springfield Continuum of Care and its Ten-Year Plan Implementation Committee updated the City/CoC Action Plan for ending and reducing homelessness over the period 2012-2014. The action steps listed below are taken from that updated Action Plan.

| Measure | Current | Goal | Action Steps |
|---|---------|------|---|
| Reduce and end homelessness | | | |
| Reduce the number of homeless individuals (on the street and in shelter) as reported in point-in-time count | 206 | 200 | <ol style="list-style-type: none"> 1. Prioritize street population and long-term shelter population for new PSH opportunities 2. Use ESG funds to provide rental assistance for rapid rehousing of individuals from shelter |
| Reduce the number of homeless veterans as reported in point-in-time count | 52 | 30 | <ol style="list-style-type: none"> 1. Use HMIS to identify homeless veterans and target for VASH |
| Reduce the number of homeless households with children in shelter as reported in the point-in-time count | 248 | 200 | <ol style="list-style-type: none"> 1. Undertake a focused effort to bring housing and other services to homeless families living in hotels; 2. Use HMIS to identify families that meet definition for chronic homelessness and make referrals to PSH for families |
| Reach out to homeless persons and assess their individual needs | | | |
| Reduce the street homelessness population, as measured by the annual point-in-time count | 16 | 10 | <ol style="list-style-type: none"> 1. Establish a regularly quarterly meeting between service providers, the police department, and the Business Improvement District, to identify people living on the street and develop coordinated strategies to move those people toward housing 2. Target new PSH opportunities to chronically homeless people living on the street |

| | | | |
|---|-----|-----|---|
| Increase the percentage of homeless individuals with an individualized housing and services plan | 0 | 250 | <ol style="list-style-type: none"> 1. Convene FOH, Open Pantry, Catholic Charities and YWCA to create common format for housing and services plan 2. Through cooperative arrangement or funding of a position, designate staff responsible for creation of plans 3. Trouble shoot HMIS, privacy and data-sharing issues in attempt to share plans throughout agencies |
| Address emergency shelter and transitional housing needs | | | |
| Provide a level number of emergency shelter beds | 774 | 774 | <ol style="list-style-type: none"> 1. Continue to provide operating funds to existing facilities |
| Provide a level number of transitional housing beds | 249 | 249 | <ol style="list-style-type: none"> 1. Seek renewal McKinney funding for effective transitional housing programs 2. Explore strategies to improve effectiveness of transitional housing programs |
| Help homeless persons (especially persons that are chronically homeless, families with children, veterans, and unaccompanied youth) make the transition to permanent housing and independent living | | | |
| Increase the number of permanent supportive housing units for chronically homeless individuals | 208 | 220 | <ol style="list-style-type: none"> 1. Use new MHA S+C for 8 PSH units; 2. Use new VASH for PSH; 3. Use new McKinney funds to create additional PSH units |
| Reduce the number of chronically homeless individuals as reported in point-in-time count | 88 | 80 | <ol style="list-style-type: none"> 1. Convene monthly meetings of shelter providers, housing placement workers, and PSH providers to link chronically homeless individuals with housing and service opportunities 2. Target new PSH opportunities to chronically homeless people living on the street, long-term stayers in shelter, and high-end users identified by the hospital ER workgroup 3. Convene emergency room staff from 5 |

| | | | |
|--|-----|-----|---|
| | | | <p>hospitals rooms to track the highest users of services, and identify chronically homeless individuals in this population for priority for PSH opportunities</p> <p>4. Continue to work with the Hampden County Sheriff's Department to create regional housing opportunities for people being released from jail into homelessness</p> |
| Increase the number of transitional housing units for homeless youth | 0 | 8 | 1. Initiate the Gandara transitional housing program |
| Increase the number of homeless veterans in the VASH program | 55 | 70 | <p>2. Review records to determine how many of the 52 veterans who used Springfield emergency shelter for individuals last year are still homeless and target these individuals for VASH</p> <p>3. Review family HMIS data to identify homeless veteran families and target those families for VASH</p> |
| Provide rapid rehousing assistance to individuals and families | TBD | 100 | 4. Use ESG funds to continue and improve effective programs initiated under HPRP |
| Help low-income individuals and families avoid becoming homeless | | | |
| Track and reduce the number of individuals that become newly homeless in Springfield over the course of a year | N/a | TBD | <p>1. Provide continued support for coordinated Housing Court intervention programs, including TPP and prevention/diversion for households at imminent risk of homelessness</p> <p>2. Provide training for diversion/rapid rehousing staff regarding available services and options when a housing crisis is related to behavioral health issue(s)</p> <p>3. Convene diversion/rapid rehousing providers with providers who serve</p> |

| | | | |
|--|-----|-----|--|
| | | | victims of domestic violence to ensure that there is seamless referral for this population to obtain diversion/rapid rehousing assistance |
| Track and reduce the number of families that are homeless in Springfield over the course of the year | N/a | TBD | <ol style="list-style-type: none"> 1. Complete ‘syncing’ process with state HMIS in order to have full access to HMIS data for homeless families 2. Meet with the McKinney Vento liaison and other appropriate Springfield Public Schools staff to identify opportunities to assist doubled up families 3. Request SPS data about homeless children and frequently moving families with school-age children and analyze to determine strategies to stabilize these families |

Emergency Solutions Grant Program.

ESG Consultation with the Continuum of Care. The City of Springfield has consulted with the Springfield Continuum of Care in revising its Emergency Solutions Grant program. The ESG program was on the agenda for the February, March and April 2012 regularly-scheduled CoC meetings. In between meetings, drafts of proposed policies were circulated to CoC members for review and comments. Multiple changes were made to proposed policies in response to CoC comments and suggestions.

ESG Written Standards. The City’s written standards for providing ESG Assistance are as follows:

- Must be currently homeless or at risk of homelessness as defined in 24 CFR § 576.2. The regulation states that *at risk of homelessness* means: (1) An individual or family who:(i) Has an annual income below 30 percent of median family income for the area, as determined by HUD;(ii) Does not have sufficient resources or support networks, *e.g.*, family, friends, faith-based or other social networks, immediately available to prevent them from moving to an emergency shelter or another place described in paragraph (1) of the “homeless” definition in this section; and (iii) Meets one of the following conditions: (A) Has moved because of economic reasons two or more times during the 60 days immediately preceding the application for homelessness prevention assistance; (B) Is living in the home of another because of economic hardship; (C) Has been notified in writing that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; (D) Lives in a hotel or motel and the cost of the hotel or motel stay is not paid by charitable organizations or

by Federal, State, or local government programs for low-income individuals; (E) Lives in a single-room occupancy or efficiency apartment unit in which there reside more than two persons or lives in a larger housing unit in which there reside more than 1.5 persons reside per room, as defined by the U.S. Census Bureau; (F) Is exiting a publicly funded institution, or system of care (such as a health-care facility, a mental health facility, foster care or other youth facility, or correction program or institution); or (G) Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient's approved consolidated plan; (2) A child or youth who does not qualify as “homeless” under this section, but qualifies as “homeless” under section 387(3) of the Runaway and Homeless Youth Act (42 U.S.C. 5732a(3)), section 637(11) of the Head Start Act (42 U.S.C. 9832(11)), section 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e–2(6)), section 330(h)(5)(A) of the Public Health Service Act (42 U.S.C. 254b(h)(5)(A)), section 3(m) of the Food and Nutrition Act of 2008 (7 U.S.C. 2012(m)), or section 17(b)(15) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(b)(15)); or (3) A child or youth who does not qualify as “homeless” under this section, but qualifies as “homeless” under section 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2)), and the parent(s) or guardian(s) of that child or youth if living with her or him.

- Case files must include a completed eligibility form and certification (which meets HUD specifications) that the household meets the eligibility criteria.
- Household must have a connection to Springfield, which may include:
 - a. Currently homeless in Springfield;
 - b. Employed in Springfield; or
 - c. Using rapid rehousing funds to move into an apartment in Springfield.
- For households meeting the definition of “at risk of homelessness”, case files must include all documentation required by 24 CFR § 576.500.
- For each individual and family determined ineligible to receive ESG assistance, the record must include documentation of the reason for that determination.
- Records must be kept for each program participant that document: the services and assistance provided to that program participant; compliance with requirements under 24 CFR §§ 576.101-106, 576.401 (a) and (b), and 576.401 (d) and (e); and, where applicable, compliance with the termination of assistance requirement in § 576.402.
- **Eligible Uses of Funds:** Funds may be used for security deposit, last months’ rent, utility payments/deposits, moving cost assistance, and short-term rental assistance (including first months’ rent). Funds may not be used to pay eviction court costs, even where payment of these costs is part of an agreement to preserve a tenancy. Funds may be paid only to a third-party provider; they may not be provided directly to the person applying for assistance. Funds may be used for a Springfield-connected household to move outside of Springfield.

ESG Performance Standards. In 2011, Springfield had 1257 individuals enter shelter. The City's performance goal is to reduce this number by 4%, or to 1206. In 2011, the average length of stay in shelter for homeless individuals was 46 days. The City's performance goal is to reduce this number by 4%, or to 44 days.

ESG Homeless Participation Requirement. The City of Springfield is unable to directly meet the requirement that it have a homeless or formerly homeless individual on its policy-making Board or Directors, because the City's policy is made by elected officials. The City meets its requirement to include homeless or formerly homeless individuals in considering and making policies and decisions regarding any ESG-funded facilities, services, or other assistance through extensive participation in and consultation with the Springfield Continuum of Care, an entity that includes formerly homeless individuals as members.

Community Development

1. Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), public facilities, public improvements, public services and economic development.
2. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

Springfield's non-housing CDBG-eligible community development needs are specified under each funding category below, along with the City's primary goal(s), strategies to accomplish those goals, and specific activities funded in FY 12-13.

Public Facilities. The City supports creation and maintenance of appropriate community facilities to serve residents' needs.

Public Improvements. The City will improve the physical environment of the City through enhancement of streets and streetscapes, parks and open space, and remediation of blight.

Strategies:

1. Renovate/rehabilitate various City parks and recreational facilities. In FY 12-13, the City will provide CDBG funding for the redevelopment of Hubbard Park, Camp Wilder and for play equipment at Jaime Ullola Park. Funds will be allocated to replace various spray structures in CDBG and NRSA areas.

Fund street improvements and sidewalks in CDBG-eligible areas, with particular emphasis on the NRSAs.
2. Eliminate blight. In FY12-13, the City will acquire and dispose of tax-title properties; preserve historic buildings; remove graffiti from businesses; clean vacant lots; undertake quality-of-life proactive code enforcement inspections; and board and secure abandoned buildings.

Public Services. The City will provide support and increased opportunities for residents of Springfield, with a particular focus on the City's youth and children to 2718. Strategies:

1. Provide assistance in meeting residents' basic needs, such as food, shelter, homelessness prevention, and fuel assistance. The City will fund the following programs in FY 12-13: Friends of the Homeless, New North Citizen Council, Council of Churches of Greater Springfield and the Springfield V.A.C.A. which will serve a total of 1360 people.

2. Provide early education and childcare services; Square One will serve a total of 3 children.
3. Provide services for youth and teenagers that include recreational activities with a particular focus on at-risk youth. In FY12-13, the City will fund 5A, Boy Scouts of America, Black Men of Greater Springfield, Greater New Life Christian Center, South End Community Center, Springfield Boys & Girls Club, Square One, New North Citizen Council, Martin Luther King Jr. Family Center, Salvation Army, YMCA, Urban League, Springfield Girls Club & Family Center, Pool Program administered through the Park Department, Springfield College, Springfield V.A.C.A., Community Music School and the Greater New Life Christian Center. These programs will serve 2690 youth.
4. Provide community health programs and disabled services, including programs directed toward prevention of teen pregnancy and substance abuse; Dunbar Y Family and Community Center, New North Citizen Council, the Greater New life Christian Center and Friends of Camp Star, a summer camp program offered to youths and young adults with special needs. The program is administered through the Park Department. These programs will serve 330 people.
5. Provide job training and career development programs with a particular emphasis on benefiting young adults. In FY 12-13, the City will fund MCDI, YWCA YouthBuild and Square One.

Economic Development. The City has four key economic development goals. The goals and strategies to carry out each goal follow.

- Promote an environment that encourages entrepreneurship, strengthens existing businesses and expands economic opportunities. Strategies:
 1. Offer low interest and forgivable loans to small businesses seeking capital in its Small Business Loan Program.; including the Small Business Support Program targeting businesses in the technology sector.
- Provide funding and assistance to providers offering technical assistance to small businesses and microenterprises.
- Develop and execute strategies that promote development within neighborhood commercial corridors. Strategies:
 1. Fund exterior improvements to local businesses.
 2. Best Retail Practices Program would combine funding for interior improvements as well as storefront improvements.
- Prepare and promote existing commercial sites for development.

1. Prepare and promote existing commercial sites for development. Provide renovation funding for vacant buildings in business corridors.
- Expand job training and readiness programs to effectively develop a competitive workforce. Strategy:
 4. Fund programs which create jobs, with a particular emphasis on programs that serve youth. In FY 13, Smith & Wesson will provide an onsite skills upgrade and job training program to employees and new hires, “Tooling U” Program. The program will allow for present employees to upgrade and refine their skills and make them eligible for higher learning positions which will create new jobs. Smith & Wesson will also reach out to graduating students from Putnam High School who will be looking for jobs in this trade.

Antipoverty Strategy

1. Describe the actions that will take place during the next year to reduce the number of poverty level families.

Springfield is faced with an alarmingly high percentage of families living on or below the poverty level, as defined by the Office of Management and Budget. Almost 27% of Springfield households live in poverty (\$22,350 for a family of four in 2010). Over a third (33.9%) of children under 18 live in poverty, giving the City one of the highest child poverty rates in the state. The rate is higher for Latino families, with 58% of children under 18, and 74% of children under five, living in poverty. Of all household types, single-parent households headed by women are the poorest, with 62% with children under age five living in households with poverty-level incomes.

There is a strong correlation between literacy rates (early childhood education, K-12, and adult education) and the incidence of poverty. Many poor families are headed by parents who have not finished high school, and cannot compete for better-paying jobs. In Springfield, 26.6% of adults age 25 and over have not completed high school or earned a GED; only 15.4% have earned a bachelor’s degree. Without further education, many low-income parents are unlikely to earn incomes that will support their families.

The City's anti-poverty efforts focus on three broad categories: increasing education and literacy; increasing employment and training opportunities; and increasing household assets.

Increasing education and literacy. Many low-income adults lack the education and English language proficiency needed to support their efforts to attain self-sufficiency. In order to assist individuals in overcoming these barriers, the City shall support Adult Basic Education, GED and English Language classes. In FY 11-12, the City will support the following adult education programs: Russian Community Association, NNCC, The Gray House, Springfield Housing Authority and YWCA, serving 195 people.

Through the City's anti-poverty effort, the YMCA works to increase education and literacy. YMCA provides an on-site construction, GED academic and job skills training placement program increasing employment and training opportunities. The YouthBuild Program benefits minority young people between the ages of 17-24 living in the Springfield community searching for a path to economic self-sufficiency. YouthBuild provides education, employment and leadership skills while expanding the supply of affordable housing by teaching these young adults to build and rehabilitate housing for low income and homeless persons. This program benefits 30 low income young adults.

The City is active in many collaborative efforts to improve literacy and education of young children, including Cherish Every Child, Talk/Read/Succeed, and targeted efforts to address the achievement gap. The City supports and will pursue additional grant opportunities regarding these efforts.

Increasing employment and training opportunities. Within the City, there is a mis-match between the jobs available and the skill levels of local residents; the problem is even more pronounced with regard to youth. In FY12-13, the City will support education, employment and job training opportunities for at-risk youth in the following programs: YWCA, MCDI, ROCA and Square One, serving 110 at risk youth.

In addition, the City has identified and will pursue economic development strategies that improve income, job expansion and job accessibility. Efforts will be made to develop partnerships with businesses and educational institutions to create work-site and distance learning strategies for job progression. Massachusetts Career Development Institute (MCDI) administers programs in the health care and precision manufacturing areas that provide job training, counseling and educational training to people and assists them in obtaining jobs in these areas. The City administers the Senior Community Employment Program, which provides subsidized on-the-job training for adults 55 years and older.

The City will, in its projects and through contractual relationships with subgrantees, ensure compliance with the provision of Section 3 of the Housing and Urban Development (HUD) Act of 1968, which helps foster local economic development, neighborhood economic improvement, and individual self-sufficiency by assuring that job training, employment, and contracting opportunities will be made available for low- or very-low income residents in connection with projects and activities in their neighborhoods. The City has recently been awarded a Section 3 coordination grant, which will pay for a staff person who will focus on increasing Section 3 opportunities and compliance.

Increasing household assets. Families living in poverty are often unable to take any steps toward building wealth, thereby continuing to struggle in poverty and being unable to support a better life for children or other family members. The City encourages strategies that enable families to save, to invest, and to become homeowners, and believes that these strategies support families and their future generations in escaping poverty. Creation of affordable

homeownership opportunities using HOME and NSP funds, the Section 8 Homeownership Program, use of City-owned tax-title houses for rehabilitation or redevelopment, and support for sweat-equity programs such as Habitat for Humanity, provide affordable homeownership opportunities. The City provides further support for low-income households to become homeowners through homebuyer education classes, fair housing education, and post-purchase counseling.

NON-HOMELESS SPECIAL NEEDS HOUSING

Non-homeless Special Needs (91.220 (c) and (e))

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the Action Plan.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Springfield’s overall goal with regard to Special Needs Populations is to support special needs populations with housing options, supportive services, and facilities as appropriate.

Many of the needs of persons with special needs are addressed in the sections that discuss the City’s strategies regarding community and economic development, housing, and homeless services. The City’s types of responses and the relationship to identified special needs populations are summarized in the following chart.

| | | Strategic Response | | | | | |
|--------------------------|--|---|------------------------------|----------------------|---------------------|-----------------------------------|----------------|
| | | General Housing Needs | Permanent Supportive Housing | Transitional Housing | Supportive Services | Recreational or Other Programming | Other Facility |
| Special Needs Population | Elderly | ✓ | | | ✓ | ✓ | ✓ |
| | Persons with Disabilities | ✓ | ✓ | | ✓ | ✓ | ✓ |
| | Persons with Alcohol or other Drug Addiction | | ✓ | | ✓ | | |
| | Victims of Domestic Violence | ✓ | | ✓ | ✓ | | |
| | Persons with HIV/AIDS | Strategies for this population are covered in the section about Housing Opportunities for Persons With AIDS (HOPWA) | | | | | |

The City’s specific objectives and strategies to meet those objectives are below.

- Support persons who are elderly or disabled to remain in their homes or otherwise access stable affordable housing. Strategies:
 1. Provide elderly and disabled homeowners with deferred payment loans to perform needed emergency repair to their homes. A part of the Homeowner Emergency Repair Program, which will serve a total of 15 households in FY12-13.
 2. Allow modifications needed due to mobility limitations to be financed under the homeowner emergency repair program. This will be funded as part of the Homeowner Emergency Repair Program.
 3. Support fair housing education and advocacy.
- Provide community support for elders and persons with disabilities. Strategies:
 1. Provide senior centers with programming and support directed toward elderly residents.
 2. Provide supportive services for seniors and persons with disabilities.
 3. Create permanent supportive housing opportunities and handicap-accessible units.
- Promote housing stability and treatment for persons with behavioral health disabilities and people with alcohol or other drug addiction. Strategies:
 1. Create permanent supportive housing opportunities
 2. Provide community support for people in recovery from alcohol or drug addiction.
 3. Provide programs which seek to engage active substance abusers, with the goal of encouraging treatment. The City is providing operating support for the Homeless Resource Center, which prioritizes engagement around recovery.
- Support victims of domestic violence in their transition to safety and housing stability.

Housing Opportunities for People with AIDS

1. Provide a Brief description of the organization, the area of service, the name of the program contacts, and a broad overview of the range/ type of housing activities to be done during the next year.
2. Report on the actions taken during the year that addressed the special needs of persons who are not homeless but require supportive housing, and assistance for persons who are homeless.
3. Evaluate the progress in meeting its specific objective of providing affordable housing, including a comparison of actual outputs and outcomes to proposed goals and progress made on the other planned actions indicated in the strategic and action plans. The

evaluation can address any related program adjustments or future plans.

4. Report on annual HOPWA output goals for the number of households assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. Include any assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.
5. Report on the use of committed leveraging from other public and private resources that helped to address needs identified in the plan.
6. Provide an analysis of the extent to which HOPWA funds were distributed among different categories of housing needs consistent with the geographic distribution plans identified in its approved Consolidated Plan.
7. Describe any barriers (including non-regulatory) encountered, actions in response to barriers, and recommendations for program improvement.
8. Please describe the expected trends facing the community in meeting the needs of persons living with HIV/AIDS and provide additional information regarding the administration of services to people with HIV/AIDS.
9. Please note any evaluations, studies or other assessments that will be conducted on the local HOPWA program during the next year.

Brief summary description. The City of Springfield administers the Housing Opportunities for Persons with AIDS (HOPWA) program for the three-county area of Hampden, Hampshire and Franklin Counties. In this area, there are 1947 reported cases of HIV/AIDS: 61 in Franklin County, 125 in Hampshire County, and 1761 in Hampden County (Mass. HIV/AIDS surveillance county reports, 2008).

The grant administrator for the HOPWA grant is the Springfield Office of Housing. The Director oversees the full grant; two senior program managers are responsible for generating contracts and monitoring program compliance; and the City's Community Development Administration and Finance office provides fiscal controls and oversight.

The Springfield area HOPWA grant is used to fund Tenant-Based Rental Assistance (TBRA); Short-Term Rent, Mortgage and Utility (STRMU) Assistance; housing information and advocacy/legal services; and supportive services. In past years, over 70% of funds have been used to provide Tenant-Based Rental Assistance or Short Term Rental, Utilities or Mortgage Assistance. The rest of the funds go to supportive services, including Rental Start-Up (first, last and security deposit) and legal assistance related to housing issues.

Report on 2010-11 actions. In FY10-11, recipients of HOPWA funding served 439 households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) for 31 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance for 79 households; housing information and advocacy/legal services to 78 households; and supportive services to 251 households. FY11-12 activities are still underway, and will be reported in the City's 2011-2012 CAPER.

Projected goals and actual accomplishments are summarized in the following table:

| Type of Services | Performance Measure | Projected Accomplishment | FY10-11 Actual Accomplishment |
|------------------------------|---------------------|--------------------------|-------------------------------|
| TBRA | Households | 25 | 31 |
| STRMU | Households | 70 | 79 |
| Supportive Services | Households | 107 | 251 |
| Housing Placement Assistance | Households | 70 | 78 |

Evaluation of progress. Provider organizations regularly meet target goals. The primary barrier in our region to achieving the goal of meeting the housing needs of persons with AIDS is the lack of sufficient resources in the face of such overwhelming need.

Annual HOPWA output goals. The annual output goals for HOPWA for FY12-13 are:

| | |
|------------------------------|----------------|
| TBRA | 30 Households |
| STRMU | 80 Households |
| Housing Placement Assistance | 80 Households |
| Support Services | 250 Households |

Leveraging. Housing services leverage substantial supportive services and health care funds.

Distribution of funds. Because the highest rates of HIV/AIDS are overwhelmingly in the cities located in Hampden County (Springfield, Holyoke and Chicopee), this area is targeted to receive the bulk of the funding.

In order to select providers of HOPWA programs, Springfield undertakes a formal Request for Proposals process. The funding opportunity is advertised through a legal notice and direct mailing to potential sponsors, including faith-based organizations. The RFP solicits annual goals for each eligible HOPWA activity.

In FY09, the City RFP process was designated as a three-year round of funding. Agencies funded in the first year would receive renewal funding in the following two years, provided that they met performance targets and complied with all grant obligations. For FY12, the City used an RFP process to select agencies for the next three years of funding. The following agencies will be funded at the indicated levels:

| | |
|--------------------------------|-----------|
| HIV/AIDS Law Consortium | \$ 50,000 |
| Cooley Dickinson AIDS Care | \$132,000 |
| New North Citizens Council | \$145,000 |
| River Valley Counseling Center | \$190,000 |

Barriers encountered. Through consultation with consumers and service providers, the single greatest stated housing need has been identified as affordable rental housing. The preference is for scattered-site housing with a range of wrap around services to be matched to the household needs. The greatest obstacle to serving this need is the lack of sufficient resources to provide both the number and depth of subsidy required. In recognition of this, HOPWA has prioritized rental assistance activities.

Expected trends. Due to improved medication regimens, people with HIV/AIDS are living longer and have a higher quality of life. The primary housing need identified by the community for this population is housing affordability. Some households also need supportive services to maintain their housing.

In Western Massachusetts, the highest contributing factor to HIV infection is injection drug use. Due to this factor, impacted households have barriers to accessing and retaining housing that are in addition to affordability. These include overcoming negative tenant histories and criminal backgrounds. These households are in need housing information and referral, and advocacy and legal services related to housing issues.

Within Hampden County, there is not a shortage of housing units, but there is a shortage of affordable units. Consequently, development of housing facilities has not been identified as a priority need for HOPWA funds.

Planned Evaluations/studies/assessments. No evaluations, studies or other assessments are planned for FY12-13.

Specific HOPWA Objectives

[Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the Action Plan.](#)

Additional funding sources are committed to meeting the housing needs of persons with AIDS as follows:

- Springfield receives a McKinney Supportive Housing Program grant to provide permanent supportive housing to 23 individuals and three families impacted by AIDS. In 2012, the City will receive new McKinney funds to provide an additional 5 units of permanent supportive housing to homeless persons with HIV/AIDS.
- Springfield commits the use of HOME tenant-based rental assistance to serve individuals with AIDS.

NEIGHBORHOOD REVITALIZATION STRATEGY AREA

The City of Springfield intends to maintain its intense efforts to fundamentally change its urban neighborhoods that are located within the NRSAs. These communities are home to some of the City's poorest residents and have a wealth of ethnic diversity. The residents, businesses owners, and key stakeholders within the NRSA are dynamic, diverse, and eager for positive change and new investment.

The premise of a NRSA is that a concentrated investment of resources in a limited impact area can have a substantial impact for a targeted revitalization area. Springfield's strategy for these areas hinges on a coordinated marshaling of resources, including those of federal, state and local governments; the private sector; community organizations; and neighborhood residents.

Springfield's NRSA initiatives started in 2005 with initial planning, investment in capacity, and identification of partners and resources. As a result, revitalization has been taking place at a steady rate since NRSA designation, but many major initiatives were started in 2008 or 2009, and are still ongoing. In addition, success in the NRSAs has been handicapped by the down-turn in the housing market. These neighborhoods have been very hard-hit by foreclosures, and now have significant numbers of vacant and abandoned homes and blighted commercial structures. Continued investment in these neighborhoods has the potential to take advantage of redevelopment of these properties, would build on the work that that has been initiated, and would support the City's overall efforts to attract private investment.

In June 2011, two of the NRSAs (South End and Six Corners/Old Hill) were hit by an EFS-3 tornado, which caused extensive damage. The City has recently completed a broad community planning process to guide the rebuilding process. It is expected that rebuilding will bring new resources to these neighborhoods, and may have a revitalizing impact.

Specific objectives and strategies for each of the NRSAs are below. Many of these are subsets of goals otherwise set forth in this plan, demonstrating an intention to focus some programs specifically in the NRSAs.

Specific NRSA Objectives

THE SOUTH END

During the period of this Consolidated Plan, the City's specific objectives for the South End and strategies to meet those objectives are below.

Objective 1. Attract and retain business on Main Street.

Strategies:

- CDBG Small Business Loan Program
- CDBG Neighborhood Storefront Improvement Program

- The South End Revitalization Coalition will meet 4-6 times throughout the year, to plan for and guide redevelopment of Main Street.

Objective 2. Increase income diversity by providing new housing ownership opportunities.

Strategies:

- Use vacant lots for new homeownership opportunities; 2 new homes to be built
- Promote homeownership opportunities through HAP, SNHS and other buyer down payment programs
- Create a Housing Plan for the South End as part of the Choice Neighborhoods planning process

Objective 3. Improve appearance and appeal of existing apartment buildings.

Strategies:

- Ongoing Saturday Sweeps Program
- Provide funding for rehabilitation of apartment buildings in neighborhoods; City will fund rehabilitation of Concord Heights Apartments (7 buildings in the Hollywood section) and Center City Housing (4 buildings, one of which is located in the South End) in this fiscal year
- Removal of blighted structures to reduce density in area; at least 4 blighted structures to be removed this year

Objective 4. Increase the visibility and safety of Emerson Wight Park.

Strategies:

- Complete the expansion and renewal of the park

Objective 5. Improve opportunities and support for neighborhood residents.

Strategies:

- Support job training for South End residents, with a particular focus on youth.
- Support key agencies in the neighborhood, such as the South End Community Center, Square One, and Caring Health Center, with funds for programming and/or facilities.
- Initiate planning for a new South End Community Center
- Provide neighborhood-level outreach and information regarding upcoming programs.
- Create an Education Plan for the South End as part of the Choice Neighborhoods planning process
- Partner with the Springfield Housing Authority to submit an application for a Choice Neighborhoods Implementation grant

Objective 6. Increase public safety.

Strategies:

- Create a neighborhood public safety plan as part of the Choice Neighborhoods planning initiative
- Apply for a DOJ Byrne grant for the South End
- Demolish vacant, abandoned and blighted properties – at least 4
- Clean vacant and/or abandoned properties
- Acquisition / Disposition of foreclosed properties

THE OLD HILL/SIX CORNERS NRSA

Objective 1. Attract retail, commercial, and market-rate housing to the State Street Corridor.

Strategies:

- CDBG Small Business Loan Program
- CDBG Neighborhood Storefront Improvement Program
- Small Business Forums to make business aware of City Resources.

Objective 2. Improve appearance of the Central Street Corridor.

Strategies:

- Create a housing plan for redevelopment of the Central Street corridor Street and other areas.
- Coordinate with the neighborhood council to identify and create strategies to address blighted properties and vacant lots

Objective 3. Increase income diversity by providing new housing ownership opportunities.

Strategies:

- Create new homeownership opportunities by new redevelopment or rehabilitation of residential properties throughout the neighborhood; 12 new homes to be constructed/rehabilitated this year.
- Promote homeownership in the neighborhood through buyer down payment program.
- Support Hill Homes in their efforts to redevelop their Section 236 housing cooperative.

Objective 4. Assist existing homeowners to preserve their housing and stay in the neighborhood.

Strategies:

- Continue to provide neighborhood-level outreach and information regarding the City's assistance programs, especially the homeowner emergency repair program and the heating system program
- Seek additional funds for correction of code violations and curb appeal improvements throughout Old Hill

Objective 5. Increase public safety.

Strategies:

- Clear abandoned and vacant property.
- Continue with quality of life code enforcement sweeps in neighborhood.
- Demolish blighted houses in the neighborhood; at least ten structures will be demolished this year.
- Sell vacant lots as abutter lots where appropriate, so that they will be absorbed into neighboring properties.

Objective 6. Improve the physical attractiveness of the neighborhood.

Strategies:

- Target streets with newly-developed homeownership opportunities for street improvements.

MEMORIAL SQUARE/BRIGHTWOOD NRSA

Objective 1. Revitalize the Main Street retail/commercial corridor.

Strategies:

- CDBG Small Business Loan Program
- CDBG Neighborhood Storefront Improvement Program
- Provide street, sidewalk and streetscape improvements which augment the Mass Highway project, including on side streets directly off Main Street.

Objective 2. Provide training to assist neighborhood residents to obtain living wage jobs, particularly jobs expected to be created as a result of the Baystate Hospital expansion.

Strategies:

- Fund workforce development initiatives targeted to the North End.

Objective 3. Increase income diversity by providing new housing ownership opportunities.

Strategies:

- Create infill homeownership opportunities on vacant lots in the neighborhood
- Promote homeownership in the neighborhood through buyer down payment program.
- Continue promotion of neighborhood homeownership through the Buy Springfield Now campaign and promotion of the Baystate employee assistance program.

Objective 4. Assist existing homeowners to preserve their housing and stay in the neighborhood.

Strategies:

- Provide neighborhood-level marketing of the City's Programs that will assist in the enhancement of properties for homeowners.

Objective 5. Improve neighborhood facilities.

Strategies:

- Assist New North Community Center in development of a new facility.
- Continue to assist in the repurposing the Greek Cultural Center facility.

Objective 6. Improve the physical attractiveness of the neighborhood.

Strategies:

- Clear abandoned and vacant property.
- Demolish distressed structures; at least 2 structures to be demolished this year.
- Continue with quality of life code enforcement sweeps.
- Improve neighborhood parks; Calhoun and Jamie Ulloa.

Objective 7. Improve appearance and appeal of existing apartment buildings.

Strategies:

- Commit funding for rehabilitation of Memorial Square apartment buildings

Appendix

2012-2013 Funding Sources and Uses

CDBG 2012-2013 Funding Allocations

CDBG Public Service Allocations 2012-2013

Table 3: Consolidated Plan List of Projects

Certifications

Notices of Public Meetings

Notice of Release of Requests for Proposals

Additional Comments

2012-2013 Funding Sources and Uses

| SOURCES | | Amounts | |
|--|----------------|------------------------|-----------------|
| CDBG Entitlement | | \$ 3,668,911.00 | |
| CDBG Carryover | | \$ 450,000.00 | |
| CDBG Program Income | | \$ 250,000.00 | |
| HOPWA | | \$ 474,123.00 | |
| ESG Entitlement | | \$ 321,965.00 | |
| HOME Entitlement | | \$ 1,194,936.00 | |
| HOME Carryover | | \$ 280,000.00 | |
| HOME Program Income | | \$ 150,000.00 | |
| TOTAL | | \$ 6,789,935.00 | |
| | | | |
| CDBG Activities | | | |
| Administration | | \$ 783,782.20 | |
| Public Service-Exempt | | \$ 110,000.00 | |
| Public Service-Non-Exempt | | \$ 587,828.80 | |
| Economic Development | | \$ 275,000.00 | |
| Public Facilities/Parks/Infrastructure | | \$ 967,000.00 | |
| Clearance and Demolition | | \$ 703,000.00 | |
| Code Enforcement | | \$ 40,000.00 | |
| Housing | | \$ 803,000.00 | |
| Neighborhoods | | \$ 76,800.00 | |
| Disposition | | <u>\$ 22,500.00</u> | |
| | | | \$ 4,368,911.00 |
| | | | |
| HOME Activities | | | |
| | Program | Funding | |
| Administration | | \$ 134,493.60 | |
| Homebuyer Assistance | | \$ 250,000.00 | |
| Tenant Based Rental Assistance | | \$ 350,000.00 | |
| Project Based Homeownership-CHDO | | \$ 201,740.40 | |
| Project Based Homeownership-NON-CHDO | | \$ 138,702.00 | |
| Rental Production | | <u>\$ 550,000.00</u> | |
| | | | \$ 1,624,936.00 |
| | | | |
| ESG Activities | | | |
| | Program | Funding | |
| Administration | | \$ 24,147.37 | |
| Operating Costs | | \$ 70,000.00 | |
| Rental Assistance | | \$167,817.63 | |
| Housing Relocation or Stabilization Services | | <u>\$ 60,000.00</u> | |
| | | | \$ 321,965.00 |
| | | | |
| HOPWA Activities | | | |
| | Program | Funding | |
| Administration | | \$ 14,223.69 | |
| Project Sponsor Administration | | \$ 33,188.61 | |
| HOPWA | | <u>\$426,710.70</u> | \$ 474,123.00 |

CDBG 2012-2013 Funding Allocations

| Project Title | Amount |
|--|---------------|
| Economic Development Prog Delivery | \$ 50,000.00 |
| Small Business Support Program | \$225,000.00 |
| Existing Homeowner Rehab-Emergency Repairs | \$300,000.00 |
| HEARTWAP Program | \$175,000.00 |
| Housing Program Delivery-Rehabilitation | \$ 78,000.00 |
| Housing Program Delivery-Direct Assistance | \$100,000.00 |
| Historic Restoration-Rehab blight | \$100,000.00 |
| Choice Neighborhoods | \$150,000.00 |
| | |
| Clearance and Demolition - Program Delivery | \$ 28,000.00 |
| Bond Payment | \$375,000.00 |
| Demolition of Vacant/Abandon Properties Acquisition/Disposition | \$ 22,500.00 |
| Code Enforcement - Street Sweeps | \$ 35,000.00 |
| Code Enforcement – Keep Springfield Beautiful | \$ 5,000.00 |
| Park Reconstruction | \$467,000.00 |
| Streets/Sidewalks | \$400,000.00 |
| Neighborhood Capacity Building Program Delivery. | \$ 45,000.00 |
| Graffiti Removal | \$ 31,800.00 |

CDBG Public Service Allocation

| 2012-2013 Applicant | Program Name | Address | 2012-2013 Proposed Public Service Funding |
|---|---|---|--|
| Public Service Cap -- Non Exempt | | | |
| 5A | 5A | Springfield College, 263 Alden Street | \$6,000.00 |
| Black Men of Greater Springfield | W.E.B. Dubois Academy | Springfield Boys & Girls Club, 481 Carew Street | \$10,000.00 |
| Boys & Girls Club Family Center | Pathway to Success | 100 Acorn Street | \$5,000.00 |
| Boy Scouts of America | ScoutReach Financial Aid | 1 Arch Road, Westfield, MA | \$4,500.00 |
| Community Music School | After School Music Program | 127 State Street | \$5,000.00 |
| Council of Churches | Emergency Fuel Assistance | 39 Oakland Street | \$17,350.00 |
| Friends of the Homeless | Worthington Street Shelter Program | 755 Worthington Street | \$90,000.00 |
| Greater New Life Christian Center | Youth Empowerment Services (YES) | 1323 Worcester Street, Indian Orchard | \$3,500.00 |
| Greater New Life Christian Center | New Life Center for Recovery | 1323 Worcester Street, Indian Orchard | \$3,500.00 |
| Hungry Hill Senior Center | Senior Center | 1212 Carew Street | \$5,000.00 |
| Martin Luther King Family Center | Violence Prevention Program | 106 Wilbraham Road | \$5,000.00 |
| Massachusetts Fair Housing Center | Fair Housing Program | 54 Suffolk Street, Holyoke, MA | \$5,000.00 |
| MCDI | Healthcare Training Program | 140 Wilbraham Ave | \$25,000.00 |
| MCDI | Precision Manufacturing | 140 Wilbraham Ave | \$50,000.00 |
| No Small Victories | Autism Awareness | 106 Wilbraham Road | \$5,000.00 |
| Park Department | Pool Program | Forest Park, 200 Trafton Road | \$108,978.80 |
| Parents & Friends of Camp Star | Camp Star/Camp Angelina | Forest Park, 200 Trafton Road | \$80,000.00 |
| Pine Point Senior Center | Senior Center | 335 Berkshire Avenue | \$6,500.00 |
| ROCA | Transitional Employment | 25-33 School Street | \$13,000.00 |
| Russian Community Association | Russian-Vietnamese Community Health Liaison Project | 25 Barney Lane | \$7,500.00 |
| Russian Community Association | Springfield Pathway to Employment | 25 Barney Lane | \$7,000.00 |
| Salvation Army | Bridging the Gap | 170 Pearl Street | \$14,000.00 |
| South End Community Center | Summer Activities | 29 Howard Street | \$21,000.00 |
| Springfield Boys & Girls Club | Indian Orchard Unit | Program operates at the Indian Orchard Elementary School, Milton Street | \$6,000.00 |
| Springfield Boys & Girls Club | Summer Youth Development | 481 Carew Street | \$8,000.00 |
| Springfield College | Literacy Awards Program | 263 Alden Street | \$5,000.00 |

| | | | |
|--|---|---------------------|--------------|
| Springfield Housing Authority | GED Classes | 160 Nursery Street | \$5,000.00 |
| Springfield Vietnamese American Civic Association (V.A.C.A.) | Family Empowerment Program | 433 Belmont Avenue | \$5,000.00 |
| Springfield Vietnamese American Civic Association (V.A.C.A.) | Housing Options mean Empowerment Program (HOME) | 433 Belmont Avenue | \$5,000.00 |
| Square One | Access Funds for Early Education & Child Care for At-Risk Youth | 947 Main Street | \$7,000.00 |
| Square One | Fitness & Healthy Living Clinics | 947 Main Street | \$5,000.00 |
| Square One | Career Development | 947 Main Street | \$6,000.00 |
| The Gray House | Community Education Support | 22 Sheldon Street | \$6,000.00 |
| Urban League | Digital Connectors Program | One Federal Street | \$5,000.00 |
| YMCA | Safe Summer Streets | 1777 Dwight Street | \$10,000.00 |
| Dunbar Y Family Center-YMCA | Teen Pregnancy Prevention | 275 Chestnut Street | \$5,000.00 |
| YWCA | Youth Build | 1 Clough Street | \$12,000.00 |
| | | | |
| Public Service Cap – Exempt From Cap | | | |
| NNCC | Rockets to Success | 2383 Main Street | \$15,000.00 |
| NNCC | Recovery Community Engagement | 2383 Main Street | \$ 22,500.00 |
| NNCC | Homeless Prevention Program | 2383 Main Street | \$ 20,000.00 |
| NNCC | Underground Youth Network | 2383 Main Street | \$ 17,500.00 |
| NNCC | ESOL | 2383 Main Street | \$ 35,000.00 |

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Housing

Project First Time Homebuyer Financial Assistance Program

Description

Funding for the first time homebuyers financial assistance program, eligible households will be provided purchase assistance (down payment, closing costs and interest rate buy down) at the time of closing; priority funding will be made available to first time homebuyers acquiring foreclosed properties. The program is operated by the Office of Housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target: CDBG eligible target neighborhoods

| | | |
|---|--|--|
| Objective Number DH-2 | Project ID | Funding Sources: CDBG ESG HOME \$250,000.00 HOPWA Total Formula \$250,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$250,000.00 |
| HUD Matrix Code 13 | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | |
| Performance Indicator Households | Annual Units 83 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Housing

Project Rental Production

Description

Funds for a program to expand and/or preserve affordable rental housing. The program will be operated by the Office of Housing. Funds will be loaned to both for profit and non-profit developers.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: **Springfield, MA**

| | |
|---|--|
| Objective Number DH-1 | Project ID |
| HUD Matrix Code 14B | CDBG Citation N/A |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective N/A |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 |
| Performance Indicator Housing Units | Annual Units 10 |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|--------------|
| CDBG | |
| ESG | |
| HOME | \$550,000.00 |
| HOPWA | |
| Total Formula | \$550,000.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$550,000.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Housing

Project Project Based Homeownership - CHDO

Description

Funds for developers to acquire and rehabilitate and/or construct housing for resale to income eligible households.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, MA

| | | | |
|---|--|-------------------------|--------------|
| Objective Number DH-1 | Project ID | Funding Sources: | |
| HUD Matrix Code 14G | CDBG Citation 570.208(a)(3) | CDBG | |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective LMH | ESG | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | HOME | \$201,740.40 |
| Performance Indicator Housing Units | Annual Units 4 | HOPWA | |
| Local ID | Units Upon Completion | Total Formula | \$201,740.40 |
| | | Prior Year Funds | |
| | | Assisted Housing | |
| | | PHA | |
| | | Other Funding | |
| | | Total | \$201,740.40 |
| | | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Housing

Project Project Based Homeownership – NON-CHDO

Description

Funds for NON-CHDO developers to acquire and rehabilitate and/or construct housing for resale to income eligible households.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, MA

| | | | |
|---|--|-------------------------|--------------|
| Objective Number DH-1 | Project ID | Funding Sources: | |
| HUD Matrix Code 14G | CDBG Citation 570.208(a)(3) | CDBG | |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective LMH | ESG | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | HOME | \$138,702.00 |
| Performance Indicator Housing Units | Annual Units 1 | HOPWA | |
| Local ID | Units Upon Completion | Total Formula | \$138,702.00 |
| | | Prior Year Funds | |
| | | Assisted Housing | |
| | | PHA | |
| | | Other Funding | |
| | | Total | \$138,702.00 |
| | | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Housing

Project Tenant Based Rental Assistance (TBRA)

Description

Rental assistance will be provided to special needs households. Ongoing rental assistance is provided to as many as 50 households in an initiative that links housing subsidies with supportive services for chronically homeless individuals. One time security deposit assistance is being provided to as many as 50 households to assist them in moving from homelessness into stable housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City of Springfield

| | | |
|---|--|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG ESG HOME \$350,000.00 HOPWA Total Formula \$350,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$350,000.00 |
| HUD Matrix Code 31F | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipient | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | |
| Performance Indicator Households | Annual Units 25 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need Planning & Administration

Project ESG Administration

Description

The Office of Housing will utilize these funds for the planning & execution of the ESG Program, including general management and oversight,

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|--|
| Objective Number N/A | Project ID | Funding Sources: CDBG ESG \$24,147.37 HOME HOPWA Total Formula \$24,147.37 Prior Year Funds Assisted Housing PHA Other Funding Total \$24,147.37 |
| HUD Matrix Code 21A | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Housing Units | Annual Units N/A | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need
Homeless

Project
ESG Housing Relocation and Stabilization

Description

Funds will be provided to housing relocation and stabilization service programs for homeless households.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|---|
| Objective Number SL-1 | Project ID | Funding Sources: CDBG ESG \$60,000.00 HOME HOPWA Total Formula \$60,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$60,000.00 |
| HUD Matrix Code 03T | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator People | Annual Units 70 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need Homeless

Project ESG Rental Assistance

Description

Funds will be provided to homeless service providers to operate ESG eligible homeless prevention programs.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|---|
| Objective Number SL-1 | Project ID | Funding Sources: CDBG ESG \$167,817.63 HOME HOPWA Total Formula \$167,817.63 Prior Year Funds Assisted Housing PHA Other Funding Total \$167,817.63 |
| HUD Matrix Code 03T | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator People | Annual Units 50 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need Homeless

Project ESG Homeless Shelter Operating Costs

Description

Funds will be provided to existing emergency shelter operators to cover operating cost.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|---|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG ESG \$70,000.00 HOME HOPWA Total Formula \$70,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$70,000.00 |
| HUD Matrix Code 03T | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator People | Annual Units 7665 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need Planning & Administration

Project HOPWA Administration

Description

The Office of Housing will utilize these funds for the planning and execution of the HOPWA Program, including general management and oversight.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: N/A

| | | |
|--|---|--|
| Objective Number N/A | Project ID | Funding Sources: CDBG ESG HOME HOPWA \$14,223.69 Total Formula \$14,223.69 Prior Year Funds Assisted Housing PHA Other Funding Total \$14,223.69 |
| HUD Matrix Code 21A | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator N/A | Annual Units N/A | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need Planning & Administration

Project HOPWA Project Sponsor Administration

Description

The Office of Housing will allocate these funds for administrative expenses associated with HOPWA funding.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Tri-County areas; Hampden, Hampshire and Franklin Counties

| | | |
|--|---|--|
| Objective Number N/A | Project ID | Funding Sources: CDBG ESG HOME HOPWA \$33,188.61 Total Formula \$33,188.61 Prior Year Funds Assisted Housing PHA Other Funding Total \$33,188.61 |
| HUD Matrix Code 31D | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator N/A | Annual Units N/A | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need Non-Homeless Special Needs

Project HOPWA

Description

Funding to provide rental assistance, short term subsidies and support services to households impacted by HIV/AIDS. Programs will be operated by human service providers in the tri-county areas: Hampshire and Franklin counties

Objective category: Suitable Living Environment X Decent Housing Economic Opportunity
Outcome category: X Availability/Accessibility Affordability Sustainability

Location/Target Area: Tri-County areas; Hampden, Hampshire and Franklin Counties

| | | |
|--|---|---|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG ESG HOME HOPWA \$426,710.70 Total Formula \$426,710.70 Prior Year Funds Assisted Housing PHA Other Funding Total \$426,710.70 |
| HUD Matrix Code 31 | CDBG Citation N/A | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Housing Units | Annual Units 25 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Planning & Administration

Project CDBG Planning & Administration

Description

Funding for the planning and execution of the CDBG program; including the general management and oversight, fiscal management and compliance.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

N/A

| | | |
|--|---|--|
| Objective Number N/A | Project ID | Funding Sources: CDBG \$783,782.20 ESG HOME HOPWA Total Formula \$783,782.20 Prior Year Funds Assisted Housing PHA Other Funding Total \$783,782.20 |
| HUD Matrix Code 21A | CDBG Citation N/A | |
| Type of Recipient Grantee | CDBG National Objective N/A | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator N/A | Annual Units N/A | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Human Capital

Project Public Service

Description

CDBG funds will be used for public service programs to improve the lives of low income residents of the City of Springfield. Categories of funding may include but are not necessarily limited to programs for youth, elders, job training, GED, self-sufficiency training, health care, gang prevention, day care for young children, substance abuse and housing security.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible target areas in Springfield, MA

| | | |
|--|---|---|
| Objective Number SL-1 | Project ID | Funding Sources: CDBG \$697,828.80 ESG HOME HOPWA Total Formula \$697,828.80 Prior Year Funds Assisted Housing PHA Other Funding Total \$697,828.80 |
| HUD Matrix Code 05 | CDBG Citation 570.201 (e) | |
| Type of Recipient Grantee | CDBG National Objective LMC | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator People | Annual Units 5188 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Business Development

Project Economic Development Program Delivery

Description

CDBG funds will be used to staff a Business Development person for eligible costs related to business assistance and to provide technical assistance to new businesses in CDBG target neighborhoods.

Objective category: Suitable Living Environment Decent Housing x Economic Opportunity
Outcome category: x Availability/ Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible target areas in Springfield, MA

| | | |
|--|---|--|
| Objective Number EO-1 | Project ID | Funding Sources: CDBG \$50,000.00 ESG HOME HOPWA Total Formula \$50,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$50,000.00 |
| HUD Matrix Code 18B | CDBG Citation 570.203 (b) | |
| Type of Recipient Grantee | CDBG National Objective LMJ | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Jobs | Annual Units 2 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Business Development

Project Small Business Support Program

Description

The Small Business Support Program will be used along with the Small Business Loan Program; Emerging Technology Start-Up Technical Assistance Program targeting businesses in the technology sector. The program will also take an existing program, Springfield Neighborhood Storefront Improvement Program, and modify to "Best Retail Practices Program" which would combine funding for interior improvements as well as storefront improvements.

The program embraces a compressive approach to assisting small businesses by combining technical assistance support and financial resources available through the program which results in job creation/retention.

Objective category: Suitable Living Environment Decent Housing x Economic Opportunity
Outcome category: x Availability/ Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible target areas and NRSA neighborhoods in Springfield, MA

| | | |
|--|---|---|
| Objective Number EO-1 | Project ID | Funding Sources: CDBG \$225,000.00 ESG HOME HOPWA Total Formula \$225,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$225,000.00 |
| HUD Matrix Code 18C | CDBG Citation 570.201 | |
| Type of Recipient Grantee | CDBG National Objective LMJ | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Jobs | Annual Units 7 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need Housing

Project Existing Homeowner Rehab – Emergency Repairs

Description

The Office of Housing will offer 0% deferred interest loans to income eligible homeowner's to complete emergency repairs or modifications to accommodate mobility limitation to homeowners.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | | |
|--|---|-------------------------|--------------|
| Objective Number DH-1 | Project ID | Funding Sources: | |
| HUD Matrix Code 14A & 14B | CDBG Citation 570.202 | CDBG | \$300,000.00 |
| Type of Recipient Grantee | CDBG National Objective LMH | ESG | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | HOME | |
| Performance Indicator Housing Units | Annual Units 15 | HOPWA | |
| Local ID | Units Upon Completion | Total Formula | \$300,000.00 |
| | | Prior Year Funds | |
| | | Assisted Housing | |
| | | PHA | |
| | | Other Funding | |
| | | Total | \$300,000.00 |
| | | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need
..... Housing

Project
..... HEARTWAP Program

Description

The Office of Housing will provide staff to operate a state funded heating system repair and replacement program for income eligible households. Some funding will set aside to pay for direct costs that exceed the programmatic limits for system repairs and replacement.

Objective category: Suitable Living Environment X Decent Housing Economic Opportunity
Outcome category: X Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|---|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG \$175,000.00 ESG HOME HOPWA Total Formula \$175,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$175,000.00 |
| HUD Matrix Code 14F | CDBG Citation 570.202 | |
| Type of Recipient Grantee | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Housing Units | Annual Units 350 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need
..... Housing

Project
..... Housing Program Delivery – Rehabilitation

Description

Funds for staff costs associated with administering housing rehabilitation programs including homeowner rehabilitation, project based homeownership, rental rehabilitation, graffiti and lead removal programs operated through the Office of Housing.

Objective category: Suitable Living Environment X Decent Housing Economic Opportunity
Outcome category: X Availability/Accessibility Affordability Sustainability

Location/Target Area: Eligible households in Springfield, Ma

| | | |
|--|---|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG 78,000.00 ESG HOME HOPWA Total Formula \$78,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$78,000.00 |
| HUD Matrix Code 14H | CDBG Citation 570.202 | |
| Type of Recipient Grantee | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Linked | Annual Units Linked to Activity | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need
Housing

Project
Housing Program Delivery – Direct Assistance

Description

Funds for staff costs associated with administering homebuyer/homeowner assistance programs; including First Time Homebuyers. NRSA homebuyer incentive and homeowner emergency repair programs. The program is administered through the Office of Housing.

Objective category: Suitable Living Environment X Decent Housing Economic Opportunity
Outcome category: X Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|---|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG \$100,000.00 ESG HOME HOPWA Total Formula \$100,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$100,000.00 |
| HUD Matrix Code 13 | CDBG Citation 570.201 (n) | |
| Type of Recipient Grantee | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Housing Units | Annual Units Linked to Activity | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Historic Restoration-Rehab Blight

Description

The Office of Planning & Economic Development will work in conjunction with the Office of Housing in looking for funding to historic renovation of City owned properties. The properties will be put out to RFP if chosen. The properties have to be within one of the City's local or national registered historic districts.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
CDBG eligible properties in Springfield, MA

| | | |
|--|---|---|
| Objective Number DH-3 | Project ID | Funding Sources: CDBG \$100,000.00 ESG HOME HOPWA Total Formula \$100,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$100,000.00 |
| HUD Matrix Code 14G | CDBG Citation 570.280 (b) (2) | |
| Type of Recipient Grantee | CDBG National Objective SBS | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Housing Units | Annual Units 3 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's City of Springfield Massachusetts

Priority Need Housing

Project Choice Neighborhoods

Description

Match funding for the implementation of the Choice Neighborhoods planning grant. Predevelopment housing and community development costs.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Springfield, Ma

| | | |
|--|---|--|
| Objective Number DH-1 | Project ID | Funding Sources: CDBG ESG HOME HOPWA Total Formula Prior Year Funds Assisted Housing PHA Other Funding Total \$150,000.00 |
| HUD Matrix Code 06 | CDBG Citation 570.201 (h) | |
| Type of Recipient Grantee/Subrecipients | CDBG National Objective LMH | |
| Start Date (mm/dd/yyyy) 7/1/2011 | Completion Date (mm/dd/yyyy) 6/30/2012 | |
| Performance Indicator Housing Units | Annual Units TBD | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Clearance & Demo- Program Delivery

Description

Funding for staff costs associated with the clearance and demolition of blighted properties in CDBG eligible areas. The program is administered through the Office of Housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/ Accessibility Affordability Sustainability

Location/Target Area:

CDBG Target areas in Springfield

| | | |
|---|---|--|
| Objective Number SL-3 | Project ID | Funding Sources: CDBG \$28,000.00 ESG HOME HOPWA Total Formula \$28,000.00 Prior Year Funds Assisted Housing PHA Other Funding \$28,000.00 Total |
| HUD Matrix Code 04 | CDBG Citation 570.201 (d) | |
| Type of Recipient Grantee | CDBG National Objective LMA | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Linked to Activity | Annual Units Linked to Activity | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Bond Payment

Description

Funding will be used to pay debt service on City Bonds.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG Target areas in Springfield

| | | |
|--|---|---|
| Objective Number SL-3 | Project ID | Funding Sources: CDBG \$375,000.00 ESG HOME HOPWA Total Formula \$375,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$375,000.00 |
| HUD Matrix Code 04 | CDBG Citation 570.201 (d) | |
| Type of Recipient Grantee | CDBG National Objective SBS | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Public Facilities | Annual Units 1 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Demolition of Vacant/Abandon Properties

Description

The Office of Housing will utilize CDBG funds to oversee the demo of vacant/abandoned properties located within the CDBG target areas.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/ Accessibility Affordability Sustainability

Location/Target Area:

NRSA and NSP areas

| | | | |
|--|---|------------------|--------------|
| Objective Number SL-3 | Project ID | CDBG | \$300,000.00 |
| HUD Matrix Code 04 | CDBG Citation 570.201 (d) | ESG | |
| Type of Recipient Grantee | CDBG National Objective LMA | HOME | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | HOPWA | |
| Performance Indicator Housing Units | Annual Units 10 | Total Formula | \$300,000.00 |
| Local ID | Units Upon Completion | Prior Year Funds | |
| | | Assisted Housing | |
| | | PHA | |
| | | Other Funding | |
| | | Total | \$300,000.00 |

Funding Sources:

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Acquisition/Disposition

Description

Funds to provide staff and administrative costs associated with the acquisition and disposition of tax title properties. Program is designed to effect redevelopment.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible properties in Springfield, MA

| | |
|--|---|
| Objective Number DH-1 | Project ID |
| HUD Matrix Code 02 | CDBG Citation 570.201 (b) |
| Type of Recipient Grantee | CDBG National Objective LMA |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 |
| Performance Indicator People | Annual Units 75 |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|-------------|
| CDBG | \$22,500.00 |
| ESG | |
| HOME | |
| HOPWA | |
| Total Formula | \$22,500.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$22,500.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Targeted Code Enforcement-Street Sweeps

Description

CDBG funds will be used to conduct proactive street sweeps on Saturday's. They will be done on a house-house/street-street basis. All are located within CDBG eligible target neighborhoods.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible properties in Springfield, MA

| | | |
|--|---|---|
| Objective Number DH-3 | Project ID | Funding Sources: CDBG \$35,000.00 ESG HOME HOPWA Total Formula \$35,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$35,000.00 |
| HUD Matrix Code 15 | CDBG Citation 570.202 (c) | |
| Type of Recipient Grantee | CDBG National Objective LMA | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Housing Units | Annual Units 2000 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield, Massachusetts

Priority Need Code Enforcement

Project Keep Springfield Beautiful

Description

A program dedicated to the improvement of the quality of life of the citizens of the City of Springfield through events and projects related to litter prevention, recycling and beautification. Specific staging areas will be in the NRSA neighborhoods. The funds will be used for the dumpster for this event.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
NRSA Neighborhoods

| | | |
|--|--|---|
| Specific Objective Number SL-3 | Project ID | Funding Sources: CDBG \$5,000.00 ESG HOME HOPWA Total Formula \$5,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$5,000.00 |
| HUD Matrix Code 15 | CDBG Citation 570.201 (c) | |
| Type of Recipient Grantee | CDBG National Objective LMA | |
| Start Date (mm/dd/yyyy) 07/01/2012 | Completion Date (mm/dd/yyyy) 06/30/2013 | |
| Performance Indicator People | Annual Units 8000 | |
| Local ID | Units Upon Completion | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Park Reconstruction

Description

CDBG funds to be allocated to provide upgrades and improvements to Parks and Playgrounds in CDBG target areas/. Renovations and upgrades to Hubbard Park, Camp Wilder and playground equipment at Jamie Ullola a park that is located in the NRSA. Funds will also be allocated to replace splash pads at the various spray structures in CDBG and NRSA neighborhoods.

The Office of Community Development will work with the Park Department and the Capital Assets Department to complete these various projects.

Hubbard Park, \$142,000
Camp Wilder, \$125,000
Splash pads, \$200,000

| | | | |
|----------------------------|---|---|--|
| Objective category: | <input checked="" type="checkbox"/> Suitable Living Environment | <input type="checkbox"/> Decent Housing | <input type="checkbox"/> Economic Opportunity |
| Outcome category: | <input type="checkbox"/> Availability/Accessibility | <input type="checkbox"/> Affordability | <input checked="" type="checkbox"/> Sustainability |

Location/Target Area:

CDBG eligible and NRSA neighborhoods in Springfield, MA

| | | |
|--|---|--|
| Objective Number SL-3 | Project ID | Funding Sources: CDBG \$467,000.00 ESG HOME HOPWA Total Formula \$467,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$467,000.00 |
| HUD Matrix Code 03F | CDBG Citation 570.201 (c) | |
| Type of Recipient Grantee | CDBG National Objective LMA | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator People | Annual Units 1700 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Streets/Sidewalk Improvements

Description

DPW will prepare plans specifications and bidding docs for the paving of streets and the replacement or reconstruction of sidewalks that will improve the infrastructure system in these CDBG eligible neighborhoods which will also compliment other projects in eligible areas.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible properties in Springfield, MA

| | |
|--|---|
| Objective Number SL-3 | Project ID |
| HUD Matrix Code 03K & 03L | CDBG Citation 570.201 (c) |
| Type of Recipient Grantee | CDBG National Objective LMA |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 |
| Performance Indicator People | Annual Units TBD |
| Local ID | Units Upon Completion |

Funding Sources:

| | |
|------------------|-----------------------|
| CDBG | \$400,000.00 |
| ESG | |
| HOME | |
| HOPWA | |
| Total Formula | \$400,000.00 |
| Prior Year Funds | |
| Assisted Housing | |
| PHA | |
| Other Funding | |
| Total | \$400,000.00 |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name **City of Springfield Massachusetts**

Priority Need **Human Capital**

Project **Neighborhood Capacity Building Program**

Description

Funds will be allocated for staffing costs associated with building capacity within existing neighborhood organizations to undertake neighborhood revitalization projects.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/ Accessibility Affordability Sustainability

Location/Target Area:

CDBG Target areas in Springfield

| | | |
|--|---|---|
| Objective Number SL-1 | Project ID | Funding Sources: CDBG \$45,000.00 ESG HOME HOPWA Total Formula \$45,000.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$45,000.00 |
| HUD Matrix Code 19C | CDBG Citation 570.201 (p) | |
| Type of Recipient Grantee | CDBG National Objective LMA | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Organizations | Annual Units 10 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Springfield Massachusetts

Priority Need Neighborhood Enhancement

Project Graffiti

Description

Funds for staff and materials associated with the removal of graffiti from privately-owned buildings. This program is administered through the Office of Housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CDBG eligible areas in Springfield, MA

| | | |
|--|---|---|
| Objective Number SL-3 | Project ID | Funding Sources: CDBG \$31,800.00 ESG HOME HOPWA Total Formula \$31,800.00 Prior Year Funds Assisted Housing PHA Other Funding Total \$31,800.00 |
| HUD Matrix Code 14H | CDBG Citation 570.202 | |
| Type of Recipient Grantee | CDBG National Objective LMA | |
| Start Date (mm/dd/yyyy) 7/1/2012 | Completion Date (mm/dd/yyyy) 6/30/2013 | |
| Performance Indicator Business | Annual Units 25 | |
| Local ID | Units Upon Completion | |
| | | |

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

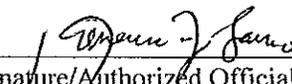
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

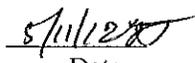
1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

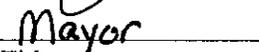
Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


 Signature/Authorized Official


 Date


 Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2011, ~~2012~~ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

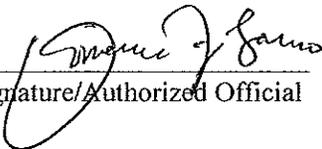
jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

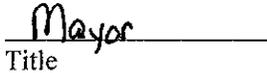
Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.


Signature/Authorized Official


Date


Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

 
Signature/Authorized Official Date

Mayor
Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

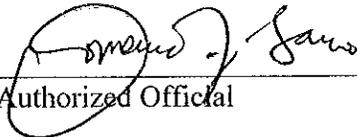
Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

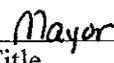
publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature/Authorized Official



Date



Title

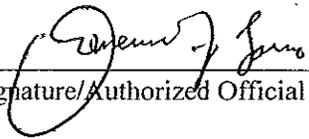
HOPWA Certifications

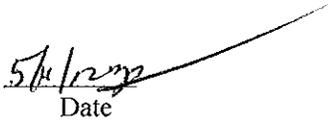
The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.


Signature/Authorized Official


Date

Mayor
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Office of Community Development 1600 Columbus Ave. Spfld, MA 01103 Hampden
Office of Housing 1600 Columbus Ave Spfld, MA 01103 Hampden
Office of Planning and Eco. Dev. 70 Tapley St. Spfld, MA 01103 Hampden
Office of Health and Human Services 95 State Street Spfld, MA 01103 Hampden
Department of Parks, Buildings, and Recreational Management 200 Trafton Rd.
Spfld, MA 01108 Hampden
Code Enforcement Department 70 Tapley Street Spfld, MA 01109 Hampden
Office of Neighborhood Services 70 Tapley Street Spfld, MA Hampden

Check if there are workplaces on file that are not identified here.

This information with regard to the drug-free workplace is required by 24 CFR part 21.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

9

**CITY OF SPRINGFIELD
MASSACHUSETTS
NOTICE OF PUBLIC
HEARINGS
CITIZEN INPUT
ANNUAL ACTION PLAN
7/1/2012-6/30/2013**

The City of Springfield through the Office of Community Development is pleased to announce they will hold two hearings regarding the development of the Plan for Program 2012-2013. The Public Hearing will be held Wednesday, January 18, 2012, Rebecca Johnson, 55 Catherine Street, 5:00 p.m. and Wednesday, January 25, 2012, Chester Accelerated Middle School at 5:00 p.m. to identify needs in the preparation of the Annual Action Plan for the July 1, 2012 to June 30, 2013 Program Year. The goals of the hearing are to obtain community input from the citizens about the needs of the neighborhood. The major initiatives for this year will be Code Enforcement, Public Structure, Quality of Streets, Parks and Public Facilities, Job Training, Youth, Special Needs, Elderly, Disabled Persons, HIV/AIDS, Homeless, Affordable Housing and Homebuyer Counseling and Assistance. For additional information please contact the Office of Community Development at 413-787-6050. All interested parties are invited to attend. The City of Springfield is an EEO.

**CITY OF SPRINGFIELD
MASSACHUSETTS
AUDITORIA PUBLICA
PLAN DE ACCION ANUAL
7/1/2012-30/6/2013**
La Ciudad de Springfield a través de la Oficina de Desarrollo Comunitario complacido en anunciar que se llevarán a cabo auditorías públicas relacionadas al Plan de Acción para el Programa 2012-2013. Las Auditorías Públicas se llevarán a cabo los siguientes días: miércoles 18 de enero de 2012 en Rebecca Johnson, 55 Catherine Street a las 5:00 p.m. y el miércoles 25 de enero de 2012 en la Escuela Intermedia Chester Accelerated a las 5:00 p.m. para identificar necesidades de prioridad para la preparación del Plan Anual de Acción para el mes de julio de 2012-31 de julio de 2013 Programa Año. Las metas de las auditorías son obtener comentarios y opiniones de los ciudadanos acerca de las necesidades de prioridad de la ciudad. Para este año serán Código de Refuerzo (Code Enforcement), Infraestructura Pública, Problemas de Calidad de Vida, Parques, Facilidades Públicas, Entrenamiento de Trabajadores Juveniles, Personas con Necesidades Especiales, Envejecimiento, Personas con Incapacidad, Personas con HIV/SIDA, Personas Desamparadas, Asistencia de Vivienda Accesible y Asesoramiento al Comprador. Para información adicional por favor comunicarse con la Oficina de Desarrollo Comunitario al 413-787-6050. Todas las partes interesadas están invitadas a asistir. La Ciudad de Springfield es un EEO.

(January 3)
Commonwealth of Massachusetts
The Trial Court
Probate and Family Court
Department
Hampden Division
Docket No. HD11D1109D
Divorce/Separate
Summons By Publication
Mailing
JOSE BENJAMIN
FELICIANO, Plaintiff v.
LIDIA DARNERIS ACEVEDO
Defendant
To the above named Defendant, a complaint is

UNA INVITACIÓN ABIERTA DE LA OFICINA DE DESARROLLO DE LA COMUNIDAD A TODOS LOS RESIDENTES DE LA CIUDAD PARA PARTICIPAR EN DOS AUDIENCIAS PÚBLICAS...

Los residentes están invitados a participar en el proceso de planificación para identificar las necesidades de la comunidad y las prioridades para el año del programa 2012-2013. La Oficina de Desarrollo Comunitario esta en busca de su participación... Alienta nuevos programas...

Comparta sus ideas y participe en el proceso de planificación para 2012-2013.

Iniciativas Principales:

Cumplimiento de Código
Infraestructura Pública
Calidad de Vida
Parques y Facilidades Públicas
Entrenamiento de Trabajo
Juventud (Youth)
Personas con necesidades especiales
Envejecientes
Personas Incapacitadas
Personas con VIH/SIDA
Personas Desamparadas
Consejería de Vivienda Económica y asistencia a compradores de vivienda

Fecha: Miércoles, 18 de enero del 2012
Lugar: Rebecca Johnson, 55 Catherine Street
Hora: 5:00 p.m.

Fecha: Miércoles, 25 de enero del 2012
Lugar: Escuela Intermedia Chestnut Accelerated, 355 Plainfield Street
Hora: 5:00 p.m.

Para cualquier solicitud de acomodo razonable, favor notificar con de 48 horas de anticipación.

Si necesita información adicional, favor comuníquese con la Oficina de Desarrollo Comunitario, 787-6050 o 787-6641 TTY.787-6641.

**AN OPEN INVITATION FROM THE OFFICE OF COMMUNITY
DEVELOPMENT TO ALL CITY RESIDENTS TO
PARTICIPATE IN TWO PUBLIC HEARINGS...**

Residents are invited to participate in the planning process to identify neighborhood needs and priorities for program year 2012-2013. The Office of Community Development is searching for your input... Encourages new programs...

Bring your ideas and get involved in the planning process for 2012-2013.

Major Initiatives:

Code Enforcement
Public Infrastructure
Quality of Life Issues
Parks and Public Facilities
Job Training
Youth
Special Needs Persons
Elderly
Disabled Persons
Persons with HIV/AIDS
Homeless Persons

Affordable Housing & Homebuyer Counseling and Assistance

Date: Wednesday, January 18, 2012
Location: Rebecca Johnson, 55 Catherine Street
Time: 5:00 p.m.

Date: Wednesday, January 25, 2012
Location: Chestnut Accelerated Middle School, 355 Plainfield Street
Time: 5:00 p.m.

For any reasonable accommodation request please provide 48 hours notice.

If you need additional information, please contact the Office of Community Development,
787-6050 or TTY 787-6641.

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Public Infrastructure

Quality of Life issues

Parks and Public Facilities

Job Training

Youth

Special Needs Persons

Elderly

Disabled Persons

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Time: 5:00 p.m.

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Alienta nuevos programas...

Comparta sus ideas y participe en el proceso
de planificación para 2012-2013.

Iniciativas Principales:

*Cumplimiento de Código
Infraestructura Pública*

Calidad de Vida

Parques y Facilidades Públicas

Entrenamiento de Trabajo

Juventud (Youth)

Personas con necesidades especiales

Envejecientes

Personas Incapacitadas

Personas con VIH/SIDA

Personas Desamparadas

Consejería de Vivienda Económica y asistencia

a compradores de vivienda

Fecha: Miércoles, 18 de enero del 2012
Lugar: Rebecca Johnson, 55 Catherine Street
Hora: 5:00 p.m.

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Lugar: Chestnut Accelerated Middle School, 355 Plainfield St.
Hora: 5:00 p.m.

*Para cualquier solicitud de acomodo razonable favor notificar
con de 48 horas de anticipación.*

Si necesita información adicional, favor comuníquese con
la Oficina de Desarrollo Comunitario, 787-6050
o 787-6641 TTY 787-6641.



City of Springfield, Massachusetts

NOTICE OF REQUEST FOR PROPOSALS

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG),
Emergency Solutions Grant (ESG) and
Housing Opportunities for Persons with Aids (HOPWA) Programs

PROGRAM YEAR: July 1, 2012-JUNE 30, 2013

The Office of Community Development and Office of Housing is seeking proposals for inclusion in its 2012-2013 Action Plan.

The RFP's will be available beginning Wednesday, February 1, 2012 through Thursday, March 1, 2012 at the Office of Community Development, 1600 East Columbus Ave from 8:30 am-4:00 pm. The deadline for proposal submission is no later than 12:00 pm on Thursday, March 1, 2012.

The deadline is firm.

Written comments will be accepted regarding the RFP by 4:00 pm, Monday, February 13, 2012; ckelly@springfieldcityhall.com

The City of Springfield is an Equal Opportunity Employer

La ciudad de Springfield, en **Massachusetts con el departamento de el desarrollo de la comunidad** y la oficina de vivienda, presenta.

La nota de petición para propuestas.

El bloque del desarrollo de la comunidad (DCBG), subvención de soluciones de emergencia, (ESG), y oportunidades de vivienda para personas con SIDA (HOPWA) programas.

Año del programa: El 1 de Julio del 2012 a el 30 de Junio del 2013.

La ciudad de la Oficina de Springfield del Desarrollo de la Comunidad y la Oficina de Vivienda, busca propuestas para la inclusión en el año fiscal 2012-2013, plan de acción.

La Petición para propuestas estará disponible el miércoles, el 1 de Febrero de 2012 hasta el jueves, el 1 de Marzo de 2012, en la Oficina del Desarrollo de la Comunidad, 1600 East Columbus avenue, Springfield, MA, de 8:30am-4:00pm.

La fecha final para la sumisión de propuestas será hasta las 12:00pm, el jueves 1 de Marzo del 2012. ***Esta fecha es firme. Propuestas recibidas después de esa fecha, no serán aceptadas.***

Los comentarios escritos serán aceptados con respeto a la Petición de Propuesta hasta 4:00pm, el viernes 13 de Febrero del 2012; puede mandar correo electrónico a, cbuono@springfieldcityhall.com.

La ciudad de Springfield, es una Empresa de Igualdad de Oportunidad de empleo.

DRAFT ANNUAL ACTION PLAN
7/1/2012-6/30/2013
AND
NOTICE OF PUBLIC HEARING

The City of Springfield, through the Office of Community Development, has prepared its DRAFT Annual Action Plan for the program year July 1, 2012-June 30, 2013 ("DRAFT Plan"). The DRAFT Plan outlines the strategy and planned expenditures of the Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), Home Investment Partnership Program (HOME) and Housing Opportunities for Persons with AIDS (HOPWA) formula grant programs received by the Department of Housing and Urban Development (HUD).

The DRAFT Plan will be available for a 30-day public review comment period from April 6, 2012 through May 7, 2012.

Copies of the DRAFT Plan will be available for public review at the following places:

- Office of Community Development, 1600 East Columbus Avenue;
- Office of Housing, 1600 East Columbus Avenue, 1st Floor;
- Office of Planning & Economic Development; 70 Tapley Street;
- Office of Neighborhood Services; 70 Tapley Street;
- Department of Health & Human Services, 95 State Street;
- www.cityofspringfield.com

A hearing to obtain citizen comment on the DRAFT Plan will be held on Wednesday, April 11, 2012 at 5:00 PM, City Hall, Room 220.

Interested parties are also invited to submit written comments concerning this document. The deadline for comments is by 4:00 p.m., May 7, 2012;
cbuono@springfieldcityhall.com
Any comments received will be included in the Action Plan.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

The City of Springfield is an EEO.

PROYECTO DE PLAN ANUAL
7/1/2012-6/30/2013
Y AVISO DE AUDIENCIA PÚBLICA

La Ciudad de Springfield, a través de la Oficina de Desarrollo Comunitario, ha preparado su proyecto de Plan de Acción Anual para el programa de Julio 1, 2012 a Junio 30, 2013 ("DRAFT Plan"). El borrador del Plan expone las estrategias y los gastos previstos de la Subvenciones de Desarrollo Comunitario/ Community Development Block Grant (CDBG), Soluciones de Emergencia/ Emergency Solutions Grant (ESG), Asociación de Programa de Inversiones para Vivienda/ Home Investment Partnership Program (HOME) y Oportunidades de Vivienda para pacientes de SIDA/ Housing Opportunities for Persons with AIDS (HOPWA) subvenciones recibidas por el Departamento de Vivienda y Desarrollo Urbano (HUD).

El Borrador/ DRAFT Plan estará disponible para revisión y comentarios públicos por un periodo de 30 días a partir del 6 de abril, 2012 hasta el 7 de mayo, 2012.

Las copias del Borrador/ Draft plan estarán disponibles para revisión pública en los siguientes lugares:

- Oficina de Desarrollo Comunitario, 1600 East Columbus Avenue
- Oficina de Vivienda, 1600 East Columbus Avenue, 1er Piso
- Oficina de Planificación y Desarrollo Económico; 70 Tapley Street
- Oficina de Servicios a los Vecindarios; 70 Tapley Street
- Departamento de Salud y Recursos Humanos, 95 State Street
- www.cityofspringfield.com

Se llevara a cabo una audiencia para obtener comentarios de los ciudadanos el miércoles 11 de abril, 2012 a las 5:00 p.m., en City Hall, Sala 220.

Las partes interesadas están invitadas a presentar comentarios por escrito con relación a este documento. La fecha límite para comentarios es el día 7 de mayo, 2012 a las 4:00 p.m.
cbuono@sprngfieldcity hall.com

Los comentarios recibidos se incluirán en el Plan de Acción.

Para más información favor comunicarse con la Oficina de Desarrollo Comunitario al 787-6050 o TTY 787-6641.

La Ciudad de Springfield es EEO.

A PUBLIC HEARING

To Receive Citizen Comment on Springfield's
DRAFT Annual Action Plan
7/1/2012-6/30/2013
Wednesday, April 11th, 2012 at 5:00 PM
City Hall, Room 220

Copies of the DRAFT Plans will be available for public review and thirty day comment period starting on April 6th at:

- Office of Community Development , 1600 East Columbus Ave;
- Office of Housing, 1600 E Columbus Ave, 1st Floor;
- Department of Health and Human Services, 95 State Street;
- Office of Planning & Economic Development, 70 Tapley St.;
- Office of Neighborhood Services, 70 Tapley Street;
- www.cityofspringfield.com

Interested parties are also invited to submit written comments. The deadline for comments is by 4:00 pm, Monday, May 7, 2012;

cbuono@springfieldcityhall.com

Any comments received will be included in the Action Plan.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

AUDIENCIA PUBLICA

Para recibir comentarios de los ciudadanos de Springfield
Para el borrador/DRAFT del Proyecto de Plan de Acción Anual

7/1/2012-6/30/2013

miércoles, 11 de abril de 2012 a las 5:00 PM

City Hall, Sala 220

Las copias de los proyectos de planes estarán disponibles para revisión y comentarios públicos por un periodo de 30 días a partir del 6 de abril en los siguientes lugares:

- Oficina de Desarrollo Comunitario , 1600 East Columbus Ave
- Oficina de Vivienda, 1600 E Columbus Ave, 1er piso
- Departamento de Salud y Recursos Humanos, 95 State Street;
- Oficina de Planificación y Desarrollo Económico, 70 Tapley St.;
- Oficina de Servicio a los Vecindarios, 70 Tapley Street;
- www.cityofspringfield.com

Las partes interesadas están invitadas a presentar comentarios por escrito. La fecha límite para comentarios es el lunes, 7 de mayo de 2012 a las 4:00 p.m.

cbuono@springfieldcityhall.com

Los comentarios recibidos se incluirán en el Plan de Acción.

Para más información favor comunicarse a la Oficina de Desarrollo Comunitario al 787-6050 o TTY 787-6641.

DRAFT ANNUAL ACTION PLAN / 2012-6/30/2013 AND NOTICE OF PUBLIC HEARING

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Interested parties are also invited to submit written comments concerning this document. The deadline for comments is by 4:00 p.m., May 7, 2012; cbuono@springfieldcityhall.com. Any comments received will be included in the Action Plan.

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PROYECTO DE PLAN ANUAL 7/1/2012-6/30/2013 Y AVISO DE AUDIENCIA PUBLICA

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El Borrador del Plan expone las estrategias, los gastos previstos de la Subvenciones de Desarrollo Comunitario/Community Development Block Grant (CDBG), Soluciones de Emergencia/Emergency Solutions Grant (ESG), Asociación de Programa de Inversiones para Vivienda/Home Investment Partnership Program (HOME) y Oportunidades de Vivienda para pacientes de SIDA/Housing Opportunities for Persons with AIDS (HOPWA) subvenciones recibidas por el Departamento de Vivienda y Desarrollo Urbano (HUD).

El Borrador/ DRAFT Plan estará disponible para revisión y comentarios públicos por un periodo de 30 días a partir del 6 de abril, 2012 hasta el 7 de mayo, 2012.

Las copias del Borrador/ Draft Plan estarán disponibles para revisión pública en los siguientes lugares:

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- Oficina de Vivienda, 1600 East Columbus Avenue, 1er Piso;
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- Oficina de Servicios a los Vecindarios, 70 Tapley Street;
- Departamento de Salud y Recursos Humanos, 95 State Street;
- www.cityofspringfield.com

Se llevará a cabo una audiencia para obtener comentarios de los ciudadanos el miércoles 11 de abril, 2012 a las 5:00 p.m. en City Hall, Sala 220.

Las partes interesadas están invitadas a presentar comentarios en relación a

AQCA

Armoury-Quadrangle Civic Association

140 Chestnut Street, Box D, Springfield, MA 01103

February 21, 2012

Honorable Mayor Sarno:

The Armoury-Quadrangle Civic Association sincerely hopes that a small portion of CDBG funds can be earmarked once again for the development of tax-foreclosed historic homes in the City.

Three years ago, you and Ms. Cathy Buono from Community Development introduced a small CDBG line item for Historic Preservation that helped the Springfield Preservation Trust stabilize 77 Maple Street. The funds also went towards the tax-foreclosed deteriorated houses at 278 Pine and 52 Westminster and will be going toward 32 Temple this spring. Money was also attached to 174 and 176 Maple Street row houses and the double house at 59-61 Avon Place. Unfortunately, \$25,000 each was not enough to lure developers, so they will have to be reissued with more money. Additionally, there are three more McKnight houses and two more Six Corners houses in tremendous need of rehabilitation.

Unless this program is allotted more money in July, there will not be enough funds to meet the needs of the tax-foreclosed historic houses already under City control.

The Armoury-Quadrangle Association is especially interested in 77 Maple Street, 32 Temple Street, and the row houses on Maple Street, as they are very much a part of our neighborhood. Clearly, the residents of Six Corners and McKnight will have considerable concerns about properties in their neighborhoods as well.

These historic homes are an essential part of the fabric and history of our neighborhood. We sincerely hope the City can allocate some CDBG funds once again for tax-foreclosed historic homes. These buildings are gems that we cannot afford to lose to neglect and deterioration.

Very truly yours,

Carol Costa, President
Armoury-Quadrangle Civic Association



McKnight

Neighborhood Council, Inc.

P. O. Box 90336
Springfield, MA 01139
(413) 746-6206
www.McKnightCouncil.org

FIRST ASSOCIATION - 1957

INCORPORATED - 1978

MEMBERSHIP 4,881

February 20, 2012

TO: Mayor Dominic Sarno

CC: Cathy Buono

RE: CDBG Funding Historic Preservation

Dear Mayor Sarno:

I am writing to you on behalf of the McKnight Neighborhood Council to request the continued funding \$150K of the Historic Preservation line item in the CDBG budget. As you know, this funding has been used effectively. It helped stabilize 77 Maple Street, went towards tax-foreclosed deteriorated houses at 278 Pine and 52 Westminster, and will be going with three McKnight houses being offered for RFP this spring (62 Bay, 74 Yale, 90 Buckingham with \$25K each).

Each property saved represents another small, but crucial success in preserving the irreplaceable historical housing stock in the city, as well as returning properties to the tax roll and returning revenue to the city for decades to come. The restoration of 52 Westminster, completed last fall and immediately purchased by a family, is a shining example of the wide reaching and valuable returns of this sound investment of CDBG funds by the City.

Sincerely,

Walter J Kroll, President
McKnight Neighborhood Council, Inc

McKnight CDC

mcknightcdc@gmail.com

35 Florida Street
Springfield, MA 01109

February 2, 2012

Community Development Dept.

36 Court Street

Springfield, MA 01109³

Re: Program Suggestions for FY 2013

Dear Sirs;

We have been working on community development since our inception in 1993, but recently we have started to reorganize in response to the outrageous practices of demolition and mutilation of historic houses we have seen in the past couple of years, including the present year, as well as the effects of the foreclosure crisis, and we are very interested in providing input as to appropriate funded activities for the coming year.

As a preface we note that in the past we have deferred to the "Elected council" to represent the neighborhood, but we have found that the "Citizen participation process" has become so corrupted by the conflict of interest with Community Development paying for close to 100% of the expenses of the McKnight Council, which is supposed to oversee its work, and racially exclusionary groups so dominating the Council, that no effective representation of the McKnight Community is taking place. Therefore we are submitting our own testimony, based on input from a diverse group of people including people who have been here for generations, not just new so-called urban "Pioneers".

We notice that in your flyer for the hearing last week "Code enforcement" was listed first. This is not appropriate.

As it happens the McKnight Community was one of the first groups to advocate for "Concentrated Code Enforcement" in the 1960s, but that was when it was to be coupled with FHA Section 312 loans and other support for rehabilitation of houses as an alternative to "Urban renewal" as it was practiced in the North End at that time.

As it is now being used "Code enforcement" has become destructive, and has often been used as a tool for exclusion of poor people and minorities from communities, as well as a political 'Enforcement tool' to penalize people who do not conform the local program of racial exclusion. This is an inexcusable use of HUD funds and should not be allowed.

We would advocate, and we have shared this idea with a number of others, that "Code enforcement" as a community development activity be abandoned, and that the Building Department should carry out those inspections needed to monitor construction activity based on permit fees. We would recommend that all of the State and Federal funds now being used to accuse people of being "Violators of Codes" be transferred to fund community-based programs for historic preservation and housing repairs in close coordination with fair housing efforts.

We have found that at present there are often no resources to assist low and moderate-income families to restore, repair and maintain their homes, and we have started to develop a cooperative program ourselves to fill this need in McKnight, with people volunteering to help neighbors, but with people agreeing to pay back the value of the help received into a revolving fund when they are able to in the future. However, we can only do a little with volunteers and private fund-raising. We would like to see this same kinds of work financed with CDBG and HOME funds and with loans repaid back into the revolving fund, when people can pay it back, so that there are resources to assist in saving the next house that has major damage like 69 Bowdoin Street.

We would also advocate that all "Demolition" funds be converted to restoration funds for use in this type of revolving fund program, and that historic houses should be restored, except where this is not practicable. We think this is not only

prudent but required to comply with Section 106 of the U.S. Code and the Massachusetts Environmental Protection Act (MEPA).

We would specifically suggest that the so-called "Structural Review Board" be authorized not only to evaluate whether structural flaws exist in buildings, which they often do, but also to evaluate whether they can be repaired for a reasonable cost. If this had been done we do not believe that the National Register-listed houses would have been demolished at 291 Bay Street or 113 Bay Street.

Further, we would again advocate that the auctions of City-owned properties be ended, since the terms of the auctions systematically promote low real estate prices, damaging the value of homes in the neighborhood, and exclude most low- and moderate-income families from home ownership opportunities. In addition, based on the practices now in pace, the program promotes mutilation of historic properties. The houses sold on Lincoln Street and at the corner of Bay Street and Girard Avenue are examples of this. These houses are an embarrassment to the City, in our view, and no more of this should be allowed.

Instead City-owned properties should be restored to marketable condition by community-based receivers, and then sold at full prices to owner-occupant families. This would earn the City more of its lost tax revenue back, reduce the damage to property values being done by the present auction program, and make more property accessible to ownership by low- and moderate-income families.

Finally, we would again strongly advocate that the City stop using development of LIHTC housing almost its sole housing rehabilitation program. This has created income and racial isolation in communities around the City, and appears to us to directly violate the Fair Housing Act, used in isolation as it has been at Bergen Circle, Worthington Commons, and many other projects in the City. Instead these programs should be geographically distributed throughout the region, and where used in inner city neighborhoods, should be deliberately combined with programs to support both middle-cost and high end market rate housing so that diverse communities are recreated and maintained.

As you know we have in the past, through the McKnight Homeowners Association, Inc., and the HUD conciliation process, attempted to work with the City to create a Housing Assistance Task Force to develop and implement these kinds of balanced housing programs, and we have been frustrated in those efforts. Despite this we remain willing to participate in this work, if it is pursued with genuine effort. We will not again participate in a sham proce

If you would like further elaboration of these ideas please do not hesitate to contact us. We would very much like to help improve Springfield's community development programs so that they more closely meet the needs of Springfield's people and communities.

Sincerely yours,


Elijah Colgram
President

Cc: MCDC Board
file

Maple High Six Corners Neighborhood Council, Inc.

74 Walnut Street, Suite #B109
Springfield MA 01105
413.736.4493 / Fax 413.627.5080
maplehighsixcorners@yahoo.com

February 24, 2012

Mayor Dominic J. Sarno
City of Springfield
36 Court Street
Springfield MA 01103

Re: CDBG Funds for Historic Preservation

Dear Mayor Sarno:

CDBG historic preservation funds worked a miracle at 278 Pine Street in Maple High Six Corners. The house stood vacant for years, after being used as an illegal rooming house by the previous owner. By offering \$25,000 for repairs to encourage someone to buy and rehabilitate the house, the CDBG historic preservation funding made a transformation of this house possible. The new owner will live in the home when repairs are complete and the property is now back on the tax rolls.

Historic preservation funds are vital to the Maple High Six Corners neighborhood and an excellent long-term investment for the city. In these tough economic times, many of the victorian homes in our neighborhood are standing vacant. Our neighborhood needs this funding to encourage people to repair and move into these homes, to eliminate blight and encourage owner-occupancy. There are vacant properties on Maple Street, Avon Place and Mill Street being put up for sale by the city which need these CDBG historic preservation funds to make a sale possible.

We respectfully urge you to make sure that CDBG historic preservation funding continues and, perhaps, find a way to increase these funds.

Very truly yours,



Linda Bartlett, Secretary
Maple High Six Corners Neighborhood Council

CC: Kathy Bono, Community Development
Springfield Preservation Trust

Indian Orchard Community Development Corporation

Indianorchardcdc@gmail.com

115 Dubois Street
Indian Orchard, MA. 01151

February 3, 2012

Community Development Department
City of Springfield
36 Court Street
Springfield, MA. 01103

Re: Community Development Programs
for FY 2012-2013

Dear Sirs;

We would like to first express our appreciation for this opportunity to comment on the City's use of CDBG and other Federal funds.

That being said we would like to suggest that to best use these resources, including those coming into the City as a result of the tornado last year, significant changes should be made from the ways funds have been used in recent years.

First, radical changes should be made in the "Citizen participation" program so that it both promotes full participation by all citizens, including members of minority groups, and does not manipulate and bias the participation that takes place by having the management and support of that participation under the control of the CD Department. The CD Dept. often has a vested interest in the outcome of citizen oversight processes and this creates a conflict of interest. Also, citizen participation, to be sustainable and not to 'burn out' citizens, should be redefined to include citizen involvement in carrying out development and human service programs, not just commenting passively on what others are doing or should do.

We would note that these were among the goals when Indian Orchard CDC was formed in the 1990s, both involving minority residents and actually getting things done for the community,

and in both areas we have been consistently shut out. Not only has our work been unrecognized and unsupported, but when we did develop a strategy to renovate the landmark building at Parker and Main Streets to improve the area, it seemed to those involved that the Citizens Council and the City engaged in a coordinated effort to block progress on the project, and they have in fact combined to demolish the building using City funds, and now plans are being made to obliterate the site. This is not the way citizen's efforts should be supported.

We would instead suggest that citizen councils and civic associations be elected by all the voters during general elections, instead of by just a few voters, and that other funds be found for their support not involving the CD Department. This would make them more representative and also eliminate the serious conflict of interest that exists due to direct funding by the agency that they are supposed to oversee.

Second, we would suggest that due to the many vacant houses and homes needing repairs, that instead of using City, State, and Federal money finding fault with properties and property owners, and promoting more vacant housing by aggressive code enforcement, that these funds should be transferred to the Springfield Redevelopment Authority or some other agency to finance a revived housing rehabilitation program. With the mortgage market inaccessible to many people this would meet a crucial need.

Third, we would suggest that City support should be provided for CDCs and similar organizations in various parts of the City, as is done in Boston and in other cities, to match other available funds, and to allow CDCs as community-based groups to carry out development and social service programs instead of supporting outside people and groups to come into our communities. These kinds of things send the wrong message to young people, and to all people. We should be supporting our own people, helping our people build capacity to accomplish valuable tasks, not supporting people from the suburbs or from Chelsea or Boston to provide services to us with our own money.

Sincerely,

Miguel Soto
President

Page 3 IOCDC to the City of Springfield – 2/3/2012

Cc: City Council
 IOCDC Board
 Other CDCs, Councils, and Civic Associations
 file



SPRINGFIELD PRESERVATION TRUST

74 Walnut Street
Springfield, MA 01105
(413) 747-0656
www.SpringfieldPreservationTrust.org

March 9, 2012

Mayor Domenic J. Sarno
City of Springfield
36 Court Street
Springfield, MA 01103

Dear Mayor Sarno,

The Springfield Preservation Trust (SPT) would like to thank you and the city departments that made CDBG funds available for historic preservation in Springfield in the past few years. SPT strongly supports the continued use of CDBG funds in the future for historic preservation in Springfield. The successful restoration of 52 Westminster Street and 278 Pine Street using these funds has shown that this is one of the best tools to help restore our wonderful historic neighborhoods.

Using CDBG to restore historic properties in Springfield instead of razing them improves the city by:

- attracting new residents to the city (unlike empty lots, which promote dumping and litter);
- strengthening the tax base;
- promoting owner-occupancy;
- strengthening the middle class;
- creating civic pride.

SPT urges you to make sure that CDBG historic preservation funds continue, and if possible, increase in the coming years.

Sincerely,


Benjamin Murphy
President

CC: Kathy Bono, Community Development