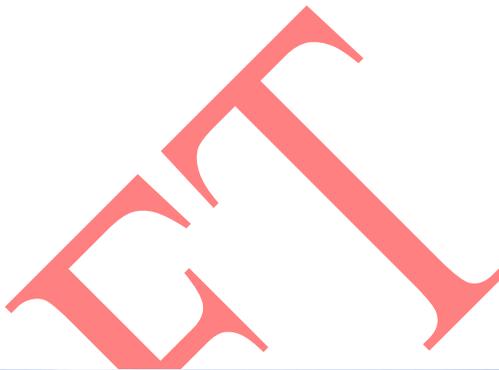


Fiscal Year 2011-2012 Consolidated Annual Performance and Evaluation Report (CAPER)



PLACEHOLDER FOR HUD LETTER APPROVING CAPER

DRAFT

**CITY OF SPRINGFIELD, MASSACHUSETTS
OFFICE OF COMMUNITY DEVELOPMENT Consolidated Annual
Performance and Evaluation Report (CAPER)**

Program Year: July 1, 2011 - June 30, 2012

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APPENDIX I: CAPER PUBLIC HEARING NOTICE FLYER-ENGLISH AND SPANISH

APPENDIX II: CAPER PUBLIC HEARING NOTICE AND DRAFT AVAILABILITY-ENGLISH AND SPANISH

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Executive Summary

Purpose

The City of Springfield's Consolidated Annual Performance and Evaluation Report (CAPER) describes the City's accomplishments of projects and programs funded by the U.S. Department of Housing and Urban Development. These programs include the Community Development Block Grant (CDBG) program, the Home Investment Partnership (HOME) program, the Neighborhood Stabilization Program (NSP), the Emergency Solutions Grant (ESG) program, the McKinney-Vento funds, and Housing Opportunities for Persons with AIDS (HOPWA) program.

The goal of this report is to compare the anticipated benefits projected in the City's Annual and Five year plans with the actual accomplishments achieved. Every attempt is made to provide a programmatic and financial analysis in a meaningful, user-friendly format comprehensible to all Springfield's residents.

Executive Summary

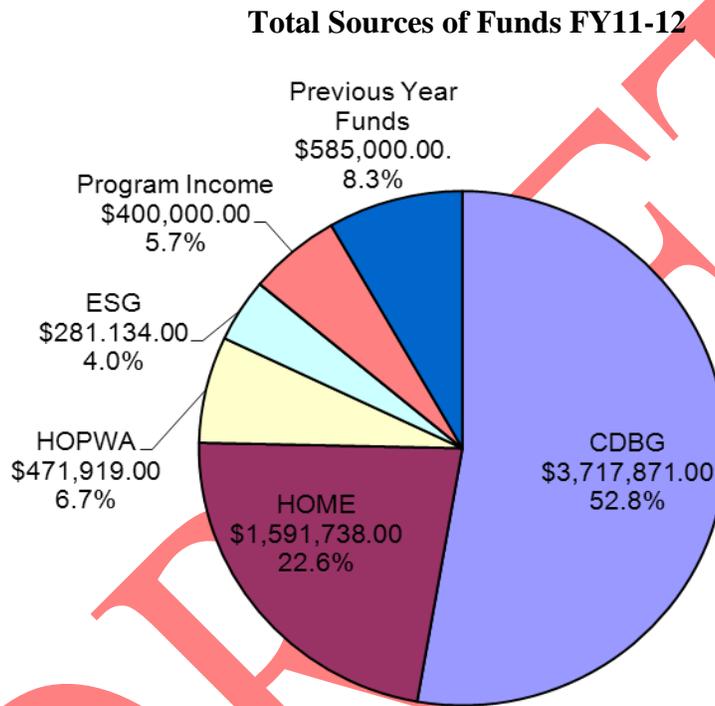
A DRAFT of the CAPER for the fiscal year that commenced on July 1, 2011 and ended on June 30, 2012 (FY 11-2012) was posted online and available for public review from Friday, August 31st through Friday, September 14, 2012 and a public hearing was held on Tuesday, September 11, 2012 at 5:00 PM in room 220 in City Hall. During the review period copies of the Draft CAPER are available to all Springfield residents at the following locations:

- Office of Planning & Economic Development, 70 Tapley Street
- Office of Community Development, City Hall, 1600 E. Columbus Avenue
- Office of Housing, 1600 E Columbus Avenue, 1st Floor
- Department of Health and Human Services, 95 State Street
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

An announcement about the hearing and the availability of the draft document was published in English and Spanish in the Springfield Republican on August 24, 2012, and in the Neighborhoods Plus Section on September 5, 2012, and a flyer was mailed to persons and organizations included on the Office of Community Development's extensive mailing list. The advertisement also solicited written feedback from Springfield residents. A summary of comments received will be included in the final version of the CAPER.

Introduction

In FY11-12, the Department of Housing and Urban Development (HUD) awarded the City of Springfield a total of \$7,016,518.00 in entitlement funding. The City received \$3,717,871.00 through the Community Development Block Grant (CDBG) Program, \$281,134.00 through the Emergency Solutions Grant (ESG) program, \$1,591,738.00 through the HOME Investment Partnership (HOME) Program, and \$471,919.00 through the Housing Opportunities for Persons with AIDS (HOPWA) Program. Prior year funds of \$585,000.00, as well as estimated program income totaling \$400,000.00, were also available. Therefore, total entitlement funding available for the program year was \$7,047,662.00.



During this program year, 89.35 percent of the City's CDBG funds were used to benefit low- to moderate-income persons. The majority of CDBG funding was allocated for activities classified as economic development, housing, public services, or public infrastructure and facilities. Details of the services, programs, and accomplishments and an analysis of expenditures are provided throughout the CAPER.

This report also provides information and accomplishments completed with Neighborhood Stabilization Program (NSP) funds, which are multi-year HUD grants awarded starting in 2009.

Geographic Distribution, Location of Investments and Families and Persons Assisted

Within the City of Springfield's Five Year Consolidated Plan, the City committed to the overarching goal of undertaking activities that would result in substantial public benefit through the

revitalization of depressed areas and in assistance to low/moderate income residents.

CDBG funds were used to serve persons residing in CDBG eligible area, which, in total, is comprised of almost 103,000 persons, an estimated 73.5 percent of which are deemed low- or moderate-income by the 2000 US Census. In 2000, these residents represented many races and ethnicities. Of these persons, approximately 44.0 percent were White, 25.5 percent were Black or African American, 0.4 percent were American Indian/Alaska Native, 2.1 percent were Asian, 0.1 percent were Native Hawaiian and Other Pacific Islander and 29 percent were Other/Multi Racial. In terms of ethnicity, approximately 37% of these persons were Hispanic.

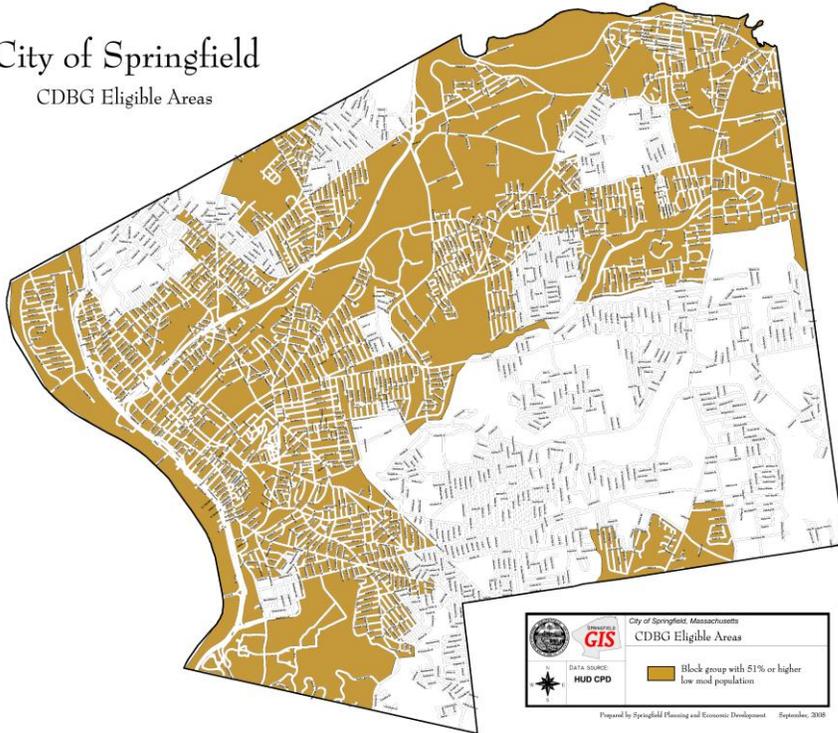
Note that the CDBG and NRSA areas include the following block groups and census tracts (added census tract/block groups due to a 2007 administrative change implemented by HUD are noted in red type).

CDBG Eligible Census Tract / Block Groups in Springfield, MA effective 7/1/07

TRACT	NRSA	BLK GRP	LOW MOD PCT	TRACT	NRSA	BLK GRP	LOW MOD PCT	TRACT	NRSA	BLK GRP	LOW MOD PCT
8026.01		3	64.8	8017.00		1	59.7	8011.01		2	100.0
8026.01		4	60.6	8017.00		3	80.3	8009.00		1	86.0
8026.01		5	74.7	8017.00		4	64.5	8009.00		2	84.7
8023.00		1	61.7	8017.00		5	68.6	8009.00		3	96.8
8023.00		2	57.4	8017.00		6	73.4	8009.00		4	70.3
8023.00		4	87.4	8016.05		2	57.9	8009.00		5	90.3
8023.00		5	76.2	8016.03		1	55.8	8008.00	X	1	91.4
8023.00		6	78.2	8016.02		1	60.4	8008.00	X	2	84.5
8022.00		1	69.5	8015.03		1	68.0	8007.00	X	1	88.0
8022.00		2	68.9	8015.03		2	68.9	8007.00	X	2	79.5
8022.00		3	79.1	8015.02		1	60.6	8006.00	X	1	89.3
8021.00		1	80.9	8015.02		2	51.5	8006.00	X	2	96.6
8021.00		4	59.5	8015.02		4	73.0	8006.00	X	3	99.4
8021.00		6	57.2	8015.01		3	78.2	8005.00		1	67.5
8021.00		9	69.1	8015.01		4	60.9	8005.00		2	62.2
8020.00	X	1	87.6	8014.02		1	59.3	8004.00		2	62.8
8020.00		2	86.5	8014.02		4	60.7	8004.00		4	61.5
8020.00	X	3	84.2	8014.01		5	76.5	8004.00		5	67.1
8019.00	X	1	85.5	8014.01		6	79.5	8004.00		6	69.4
8019.00	X	2	85.7	8013.00		1	76.6	8003.00		1	64.9
8019.00	X	3	85.4	8013.00		2	87.8	8003.00		2	54.7
8019.00	X	4	84.6	8013.00		3	70.2	8002.02		1	57.2
8019.00	X	5	88.7	8013.00		5	65.5	8002.01		3	62.2
8019.00	X	8	89.0	8012.00		1	94.1	8002.01		4	53.1
8018.00	X	1	79.0	8012.00		2	86.7	8002.01		6	75.5
8018.00	X	2	75.9	8012.00		3	67.1	8001.00		1	82.9
8018.00	X	3	85.2	8011.02	X	1	64.6	8001.00		2	60.5
8018.00	X	5	78.6	8011.02	X	2	87.1	8001.00		4	76.2
8018.00	X	6	91.0	8011.01		X	88.0	8001.00		5	76.2
Source: HUD CPD								8001.00		8	70.9

City of Springfield

CDBG Eligible Areas



HOME and ESG funds were allocated citywide providing persons and/or households assisted who met the eligibly criteria of the applicable program. HOPWA funds were allocated throughout the Springfield Eligible Metropolitan Statistical Area (EMSA), which includes the tri-county area.

NSP funds were allocated within the geographic area designated in 2009 as the City's NSP target area. This area is shown on the map below.



Assessment of Five Year and Annual Goals and Objectives

The five-year Consolidated Plan for the entitlement programs covers the period July 1, 2010- June 30, 2014. The City has completed the second year program covered by the FY10-14 Consolidated Plan. Within each priority area, a brief synopsis of objectives outcomes of the activities in the Consolidated Plan and a report on the accomplishments achieved as of the end of FY 2012 is provided. Further detail about each activity is provided in the Integrated Disbursement and Information System (IDIS) reports included as appendices to this report. The City has incorporated HUD's performance measurement system into its Consolidated Planning Process as detailed below.

A. Background Information: HUD's Performance Measurement System

In order to better quantify the impacts that HUD-funded programs and projects are having on communities, HUD implemented nationwide a performance measurement system to help determine how well programs and activities are meeting established needs and goals. Performance measurement is now a requirement for all federal programs, and performance is a key consideration in program funding decisions.

HUD's Outcome Performance Measurement System contains three main components: Objectives, Outcomes and Indicators. This system tracks the City's progress meeting three objectives. Descriptions of these objectives are excerpted from the CPD Manual and Guidebook below:

1. **Providing Decent Housing.** This objective "covers the wide range of housing activities that are generally undertaken with HOME, CDBG or HOPWA funds. This objective focuses on housing activities whose purpose is to meet individual family or community housing needs. It does not include programs where housing is an element of a larger effort to make community-wide improvements, since such programs would be more appropriately reported under Suitable Living Environments."
2. **Creating Suitable Living Environments.** This second objective is "related to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment. This objective related to activities that are intended to address a wide range of issues faced by low- and moderate-income persons, from physical problems with their environment, such as poor quality infrastructure, to social issues such as crime prevention, literacy or elderly health services."
3. **Creating Economic Opportunities.** This third and final objective "applies to activities related to economic development, commercial revitalization, or job creation."

The system also establishes the following three **outcomes** to show the anticipated result of the activity:

1. **Availability/Accessibility.** This first outcome "applies to activities that make services, infrastructure, public services, public facilities, housing or shelter available or accessible to

low and moderate-income people, including persons with disabilities. In this category, accessibility does not refer only to physical barriers, but also to making the basics of daily living available and accessible to low- and moderate-income people where they live.”

2. **Affordability.** This outcome “applies to activities that provide affordability in a variety of ways to low- and moderate-income people. It can include the creation or maintenance of affordable housing, basic infrastructure hook-ups, or services such as transportation or day care. Affordability is an appropriate objective whenever an activity is lowering the cost, improving the quality, or increasing the affordability of a product or service to benefit a low-income household.”
3. **Sustainability.** This third and final outcome “applies to activities that are aimed at improving communities or neighborhoods, helping to make them livable or viable by providing benefit to persons of low- and moderate-income or by removing or eliminating slums or blighted areas, through multiple activities or services that sustain communities or neighborhoods.”

The following table overviews the link between objectives and outcomes.

	Availability/ Accessibility (1)	Affordability (2)	Sustainability (3)
Decent Housing (DH)	DH-1	DH-2	DH-3
Suitable Living Environment (SL)	SL-1	SL-2	SL-3
Economic Opportunity (EO)	EO-1	EO-2	EO-3

B. Assessment of Annual and Five Year Goals and Objectives

Within the Annual Action Plan, the City proposes to operate a number of programs to further the goals identified in the Consolidated Plan. This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan in Performance Measurement Objective Tables and in a table that overviews Annual Accomplishments as detailed in the FY 11-2012 Action Plan. Additional detail about each accomplishment is provided in the IDIS Reports included as appendices to this document.

Performance Measurement Objective Tables

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Federal Year	Expected Number	Actual Number	Percent Completed
DH-1 Availability/Accessibility of Decent Housing							
DH-1.1	Produce affordable rental housing units	HOME	Housing units	2010	5	22	440%
		Other private		2011	5	0	0%
				2012	5		
				2013	5		
				2014	5		
		MULTI-YEAR GOAL				25	22
DH-1.2	Rehabilitate existing multi-family rental housing rehabilitation	HOME	Housing units	2010	20	22	110%
		Other private		2011	20	15	75%
				2012	10		
				2013	10		
				2014	10		
		MULTI-YEAR GOAL				70	37
DH-1.3	Preserve affordable housing facing expiring use restrictions	HOME	Housing units	2010	5	0	0%
		Other private		2011	5	15	300%
				2012	5		
				2013	5		
				2014	5		
		MULTI-YEAR GOAL				25	15
DH-1.4	Assist homeowners to repair and rehabilitate their homes	HOME	Housing units	2010	15	49	326%
		CDBG		2011	15	32	213%
				2012	15		
				2013	15		
				2014	15		
		MULTI-YEAR GOAL				75	81
DH-1.5	Increase energy efficiency for existing homeowners	CDBG	Housing units	2010	1200	1331	111%
		Other Public		2011	1200	1299	108%
				2012	1200		
				2013	1200		
				2014	1200		
		MULTI-YEAR GOAL				6000	2630
DH-1.6	Evaluate and eliminate lead based paint hazards	CDBG	Housing units	2010	1000	1040	104%
		HOME		2011	1000		
				2012	1000		
				2013	1000		
				2014	1000		
		MULTI-YEAR GOAL				5000	1040

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Federal Year	Expected Number	Actual Number	Percent Completed
DH-1 Availability/Accessibility of Decent Housing							
DH-1.5	Perform proactive Code Enforcement	CDBG	Housing units	2010	1000	1175	175%
				2011	1000	3991	399%
		Other Public		2012	1000		
				2013	1000		
				2014	1000		
				MULTI-YEAR GOAL		5000	5166
DH-1.6	Redevelop blighted properties into homeownership opportunities	HOME NSP CDBG	Housing units	2010	10	11	110%
				2011	10	5	50%
		Other public/ private		2012	10		
				2013	10		
				2014	10		
				MULTI-YEAR GOAL		50	16
DH-1.7	Acquisition/ Disposition of Tax- Title Properties	CDBG	Housing units	2010	75	199	265%
				2011	75	145	193%
		Other Public		2012	75		
				2013	75		
				2014	75		
				MULTI-YEAR GOAL		375	344
DH-1.8	Board & Secure: Operation and repair of foreclosed properties	CDBG	Housing units	2010	75	63	84%
				2011	75	145	193%
		Other public		2012	75		
				2013	50		
				2014	50		
				MULTI-YEAR GOAL		325	208
DH-1.9	Residential Historic Preservation		Housing units	2010	2	1	50%
				2011	1	1	100%
				2012	1		
				2013	1		
				2014	1		
				MULTI-YEAR GOAL		6	2
DH-1.10	Develop accessible housing units	HOME	Housing units	2010	5	7	80%
				2011	5	15	300%
		Other public		2012	5		
				2013	5		
				2014	5		
				MULTI-YEAR GOAL		25	22

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Federal Year	Expected Number	Actual Number	Percent Completed
DH-1 Availability/Accessibility of Decent Housing							
DH-1.11	Ensure sufficient capacity at emergency shelters so individuals can be engaged around housing options <i>* In this category accomplishment data counts individuals more than once.</i>	ESG CDBG Other Public	People served annually	2010	1200	4589	382%
				2011	1000	4283	428%
				2012	900		
				2013	900		
				2014	900		
				MULTI-YEAR GOAL			4900
DH-1.12	Increase range of housing options and related services, including rental assistance, short term subsidies and support services in the tri county area for persons with HIV/AIDS	HOPWA Other Public	Households	2010	382	382	100%
				2011	382	388	102%
				2012	382		
				2013	382		
				2014	382		
				MULTI-YEAR GOAL			1910
DH-1.13	Create permanent supportive housing opportunities for chronically homeless individuals and other vulnerable populations	HOME Other public	People	2010	32	32	100%
				2011	8	16	200%
				2012	8		
				2013	8		
				2014	8		
				MULTI-YEAR GOAL			64
DH-1.14	Provide tenant-based rental assistance to special needs households in partnership with organizations that can provide supportive services		People	2010	50	85	170%
				2011	50	60	120%
				2012	50		
				2013	50		
				2014	50		
							250

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
DH-2 Affordability of Decent Housing							
DH-2.1	Direct homebuyer down payment assistance	ADDI	Households	2010	100	85	85%
				2011	100	82	82%
		2012		100			
		2013		100			
		2014		100			
		HOME	MULTI-YEAR GOAL		500	167	33%
DH-2.2	Homebuyer education/counseling	CDBG	Households	2010	150	256	171%
				2011	150	92	61%
		2012		150			
		2013		150			
		2014		150			
		Other private	MULTI-YEAR GOAL		750	348	46%

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Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
SL-1 Availability/Accessibility of Suitable Living Environment							
SL-1.1	Homelessness prevention and rapid rehousing	ESG HPRP Other public	Households	2010	225	496	220%
				2011	200	140	70%
				2012	200		
				2013	200		
				2014	200		
				MULTI-YEAR GOAL			
SL-1.2	Provide essential services to assist homeless people to become housed	ESG Other public	Households	2010	300	3422	1140%
				2011	300	3202	1067%
				2012	300		
				2013	300		
				2014	300		
				MULTI-YEAR GOAL			
SL-1.3	Employment training	CDBG Other public/private	People	2010	41	44	107%
				2011	25	62	248%
				2012	25		
				2013	25		
				2014	25		
				MULTI-YEAR GOAL			

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
SL-1 Availability/Accessibility of Suitable Living Environment							
SL-1.4	Health services	CDBG	People	2010	0	0	
				2011	25	137	54%
		Other public/private	2012	0			
			2013	0			
			2014	0			
			MULTI-YEAR GOAL		25	137	54%
SL-1.5	Senior services	CDBG	People	2010	200	309	154%
				2011	200	334	167%
		Other public/private	2012	200			
			2013	200			
			2014	200			
			MULTI-YEAR GOAL		1000	643	64%
SL-1.6	Childcare Services	CDBG	People	2010	3	3	100%
				2011	2	3	150%
		Other public/private	2012	2			
			2013	2			
			2014	2			
			MULTI-YEAR GOAL		11	6	54%
SL-1.7	Services for disabled persons	CDBG	People	2010	120	124	103%
				2011	100	113	113%
		Other public/private	2012	100			
			2013	100			
			2014	100			
			MULTI-YEAR GOAL		520	237	22%
SL-1.8	Fair Housing	CDBG	People	2010	150	363	242%
				2011	150	496	330
		Other public/private	2012	150			
			2013	150			
			2014	150			
			MULTI-YEAR GOAL		750	859	114%

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1 Availability/Accessibility of Suitable Living Environment							
SL-1.9	Youth Services	CDBG	People	2010	2545	4628	181%
				2011	2500	4087	163%
		Other public/private	2012	2500			
			2013	2500			
			2014	2500			
			MULTI-YEAR GOAL		12,545	8715	70%
SL-1.10	Battered & abused spouses	CDBG/ESG	People	2010	0		
				2011	100	103	103%
		public private	2012	100			
			2013	100			
			2014	100			
			MULTI-YEAR GOAL		400	103	26%
SL-1.11	Public service general	CDBG	People	2010	385	1289	334%
				2011	300	967	322%
		Other public/private	2012	300			
			2013	350			
			2014	350			
			MULTI-YEAR GOAL		1685	2256	133%
SL-1.12	Mental Health Services	CDBG	People	2010	0		
				2011	0		
		Other public/private	2012	0			
			2013	0			
			2014	0			
			MULTI-YEAR GOAL		0		
SL-1.13	Substance Abuse Services	CDBG	People	2010	100	45	45%
				2011	125	213	170%
		Other public/private	2012	100			
			2013	100			
			2014	100			
			MULTI-YEAR GOAL		525	258	049%
SL-1.14	CDBG Non-profit Organization Capacity Building	CDBG	Organization	2010	10	10	100%
				2011	10	10	100%
			2012	10			
			2013	10			
			2014	10			
			MULTI-YEAR GOAL		50	20	40%

Specific Obj. #	Outcome/ Objectives	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
SL-3 Sustainability of Suitable Living Environment							
SL-3.1	Parks, Recreational Facilities	CDBG	Public Facilities	2010	4	2	50%
				2011	4	2	50%
				2012	4		
				2013	4		
				2014	4		
		MULTI-YEAR GOAL				20	4
SL-3.2	Street Improvements		People	2010	5000	5098	101%
				2011	5000	3177	64%
				2012	5000		
				2013	5000		
				2014	5000		
			MULTI-YEAR GOAL				25,000
SL-3.3	Sidewalks		People	2010	5000	14,342	286%
				2011	5000	14,846	296%
				2012	5000		
				2013	5000		
				2014	5000		
			MULTI-YEAR GOAL				25,000
SL-3.4	Urban Reforestation	Other Funds	Units	2010	100	121	121%
				2011	100	0	0
				2012	100		
				2013	100		
				2014	100		
			MULTI-YEAR GOAL				500
SL-3.5	Demolition of distressed buildings	CDBG	Housing Units	2010	40	55	138%
				2011	40	15	38%
				2012	40		
				2013	40		
				2014	40		
		MULTI-YEAR GOAL				180	70
		Other public					

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
SL-3 Availability/Accessibility of Suitable Living Environment							
SL-3.6	Graffiti removal	CDBG	Businesses	2010	100	149	149%
				2011	100	145	145%
		Other public		2012	100		
				2013	100		
				2014	100		
		MULTI-YEAR GOAL				500	294
SL-3.7	Vacant Lot Cleanup	CDBG	Units	2010	250	263	105%
				2011	250	144	57%
		Other public		2012	250		
				2013	250		
				2014	250		
		MULTI-YEAR GOAL				1250	407
SL-3.8	Interim Lot Greening	CDBG	Units	2010	1	0	0%
				2011	1	0	0%
		Other public		2012	1		
				2013	1		
				2014	1		
		MULTI-YEAR GOAL				5	0

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed
EO-1 Availability/Accessibility of Economic Opportunity							
EO-1.1	Cleanup of Contaminated Sites		Jobs	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
EO-1.2	Relocation		Businesses	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
EO-1.3	CI Land Acquisition		Businesses	2010	3	0	
				2011	3		
				2012	3		
				2013	3		
				2014	3		
			MULTI-YEAR GOAL				15
EO-1.4	CI Infrastructure Development		Feet of Public Utilities	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
EO-1.5	CI Building Acquisition, Construction, Rehabilitation		Jobs	2010			
				2011			
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				
EO-1.6	Direct Financial Assistance to For Profits		Businesses	2010	10	10	100%
				2011	15	14	93%
				2012			
				2013			
				2014			
			MULTI-YEAR GOAL				25

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Fed. Year	Expected Number	Actual Number	Percent Completed			
EO-1 Availability/Accessibility of Economic Opportunity										
EO-1.7	ED Technical Assistance		Businesses	2010	10	10	100%			
				2011	10	14	140%			
				2012	10					
				2013	10					
				2014	10					
			MULTI-YEAR GOAL					50	24	48%
			Jobs	2010	10	6	60%			
				2011	10	10	100%			
				2012	10					
				2013	10					
				2014	10					
			MULTI-YEAR GOAL					50	16	32%
EO-1.8	Micro-Enterprise Assistance		Jobs	2010	2	2	100%			
				2011	2	0				
				2012	2					
				2013	2					
				2014	2					
			MULTI-YEAR GOAL					10	2	20%
			Businesses	2010	5	7	14%			
				2011	5	0				
				2012	5					
				2013	5					
				2014	5					
			MULTI-YEAR GOAL					25	7	28%
EO-1.9	Clearance and Demolition		Housing Units	2010	200	326	163%			
				2011	40	159	397%			
				2012	40					
				2013	20					
				2014	20					
			MULTI-YEAR GOAL					320	485	151%

Assessment of Annual Goals and Objectives

Within the Annual Action Plan, the City proposes to operate a number of programs to further the goals identified in the Consolidated Plan. This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan. Additional detail about each accomplishment is provided in the IDIS Reports included as appendices to this document.

Project No.	Obj. No.	Project Name	Accomplishment	
			Proposed	Actual
1	N/A	HOME Administration	N/A	N/A
2	DH-2	First Time Homebuyer Financial Assistance	100 Households	82 Households
3	DH-1	Tenant Based Rental Assistance (TBRA)	50 Households	60 Households
4	DH-1	Project Based Homeownership-CHDO	4 Housing Units	2 units
5	DH-1	Project Based Homeownership-NON CHDO	1 Housing Unit	2 units
6	DH-1	Rental Production	10 Housing Units	15 units
7	N/A	ESG Administration	N/A	N/A
8	DH-1	ESG Homeless Shelter Operations	1200 People	3202
9	SL-1	ESG Homeless Essential Services	300 People	-
10	SL-1	ESG Homeless Prevention	200 People	134
11	N/A	HOPWA Planning & Administration	N/A	N/A
12	N/A	HOPWA Project Sponsor Administration	N/A	N/A
13	DH-1	HOPWA-Non Homeless Special Needs	340 Households	388 Households
14	EO-1	Economic Development Program Delivery	1 Job	2 Jobs
15	EO-1	Business Development- Economic Development Programs	3 Jobs	Underway
16	EO-1	Workforce Development Job Training Programs-Smith & Wesson-Tooling U Program	3 Jobs	Underway
17	EO-1	Workforce Development –Healthcare Job Training-MCDI	2 Jobs/10 People	2 Jobs/10 People
18	EO-1	Indian Motorcycle Redevelopment	1 Public Facility	Underway
19	DH-1	Lead Abatement	15 Housing Units	17 Housing Units
20	DH-1	Existing Homeowner Rehab-Emergency Repairs	15 Housing Units	9 Housing Units
21	DH-1	HEARTWAP Program	1200 Housing Units	1299 Housing Units
22	DH-1	Housing Program Delivery-Rehabilitation	15 Households	7 Households
23	DH-1	Housing Program Delivery-Direct Homeownership Assistance	100 Households	82 Households
24	SL-1	Historic Restoration-Rehab Blight	3 Housing Units	2 Housing Units
25	DH-1	Home Retention & Revitalization-Rebuilding Together	25 Housing Units	23 Housing Units
26	SL-3	Clearance & Demolition Program Delivery	200 Housing Units	159 Housing Units
27	SL-3	Bond Payment	1 Public Facility	1 Public Facility
28	SL-3	Demo of Vacant/Abandon Properties	30 Housing Units	15 Housing Units
29	DH-1	Acquisition/Disposition	75 Housing Units	143 Housing Units
30	DH-3	Targeted Code Enforcement- Street	2000 Housing	3991 Housing

		Sweeps	Units	Units
31	N/A	CDBG Planning & Administration	N/A	N/A
32	SL-3	Park Reconstruction		
-----	SL-3	Myrtle Street Park	1 Park	Completed
-----	SL-3	Emerson Wight	1 Park	Completed
-----	SL-3	Splash Pads-Spray Structures	1	Completed
33	SL-1	Public Improvements-Streets/Sidewalks	10,000 People	18,023 People
34	EO-3	Public Facilities-Rehabilitation Non-Profits	9 Public Facilities	6 Public Facilities/1 Underway
35	SL-1	Neighborhood Capacity Building	10 Organizations	10 Organizations
36	SL-3	Graffiti Removal	25 Businesses	145 Businesses
37	SL-1	Human Capital-Public Service	5421 People	7523 People
-----	SL-1	5A	200 People	153 People
-----	SL-1	W.E.B. Dubois Academy-Black Men of Greater Springfield	30 People	78 People
-----	SL-1	Boys Scouts of America	30 People	45 People
-----	SL-1	Caring Health Center	200 People	137 People
-----	SL-1	Teen Pregnancy Prevention Program-Dunbar YMCA Family Center	25 People	86 People
-----	SL-1	Open Pools/Recreation Program	1000 People	1419 People
-----	SL-1	Council of Churches of Greater Springfield-Fuel Assistance Program	100 People/52 Families	56 Families/155 People
-----	SL-1	Hungry Hill Senior Center	100 People	145 People
-----	SL-1	Mass Fair Housing Center-Fair Housing Project	200 People	496 People
-----	SL-1	Forest Park Zoological Society-Zoo Camp	25 People	20 People
-----	SL-1	Worthington Street Shelter-Friends of the Homeless	1000 People	1081 People
-----	SL-1	Greater New Life Christian Center- Youth Empowerment Services	30 People	24 People
-----	SL-1	Greater New Life Christian Center-New Life Center for Recovery	25 People	45 People
-----	SL-1	Youth Education & Enrichment-Martin Luther King Community Center	50 People	106 People
-----	SL-1	MCDI-Precision Manufacturing	10 People/2 Jobs	16 People/2 Jobs
-----	SL-1	Camp Star/Camp Angelina	120 People	113 People
-----	SL-1	Pine Point Senior Center	100 People	189 People
-----	SL-1	ROCA-Intervention Model for High Risk	50 People	80 People
-----	SL-1	Russian Community Association	75 People	81 People
-----	SL-1	Salvation Army-Bridging the Gap	125 People	135 People
-----	SL-1	South End Community Center-Summer Activities	30 People	63 People
-----	SL-1	Springfield Boys & Girls Club-Indian Orchard Unit	75 People	142 People
-----	SL-1	Springfield Boys & Girls Club-Summer Youth Development	35 People	35 People
-----	SL-1	Springfield College- Literacy Awards	500 People	518 People
-----	SL-1	Springfield Girls Club Family Center	50 People	44 People

-----	SL-1	Springfield Housing Authority-GED Program	15 People	36 People
-----	SL-1	Springfield Vietnamese American Civic Association- Housing Empowerment Program (HOME)	60 People	152 People
-----	SL-1	Springfield Vietnamese American Civic Association- Family Empowerment Program	30 People	70 People
-----	SL-1	Square One-Early Education & Child Care For At-Risk Youth	3 People	3 People
-----	SL-1	Square One-Fitness & Healthy Living Clinics	500 People	944 People
-----	SL-1	The Gray House-Community Education Support (CES)	75 People	102 People
-----	SL-1	Urban League-Urban Achievement	25 People	26 People
-----	SL-1	YMCA-Safe Summer Streets	40 People	51 People
-----	SL-1	YWCA-Youth Build	28 People	31 People
-----	SL-1	New North Citizens 'Council-Recovery Engagement	100 People	213 People
-----	SL-1	New North Citizens' Council-Summer Fun & Learning	50 People	35 People
-----	SL-1	New North Citizens' Council-Homeless Prevention	200 People	243 People
-----	SL-1	New North Citizens' Council-Underground Youth Network	50 People	93 People
-----	SL-1	New North Citizens' Council-ESOL	60 People	118 People

Multi-Year Activities

As part of the City's work to track projects that have been in progress for more than one year, the City has developed the following status of multi-year projects that are currently listed as activities in IDIS. Further details about multi-year activities funded through CDBG are provided in the IDIS report attached as an appendix to this document.

Multi **Redevelopment of the Springfield Riverfront.** Completed and opened in September 2002, the Naismith Memorial Basketball Hall of Fame is the key element in the City of Springfield's Riverfront Development Plan. In March, 2008 the Rivers Landing complex opened in the former Basketball Hall of Fame on the Riverfront, featuring a 60,000 square foot LA Fitness Center and Onyx Restaurant & Fusion Bar. This complex represents over \$15 million worth of private investment with no public subsidies. The City completed the relocation of the William Sullivan Visitors Information Center to the Basketball Hall of Fame complex. The move allowed the 4,100 square foot former VIC building on the Riverfront to be available for reuse or sale. The Springfield Redevelopment Authority disposed of the property through a Request for Proposals process with the planned reuse to be a location of a Providence, RI based restaurant chain. That restaurant is expected to be open by

January 1, 2013 and will represent over 40 new jobs and nearly \$2 million in investment.

Other multi-year projects include:

Court Square Redevelopment

In July, 2011, the Springfield Redevelopment Authority (SRA) named OPAL Real Estate as preferred developer for Court Square – a pair of significant historic buildings on Springfield’s Court Square park, just across from Springfield City Hall. OPAL is led by President Peter Picknelly, owner of the Springfield based Peter Pan Bus Lines. OPAL plans a complete historic rehabilitation of the building included retail, office, and residential uses. The company has completed a significant amount of due diligence in the last year and is in the final stages of predevelopment. Ground breaking is expected in 2013.

Union Station Rehabilitation Project

The Union Station rehabilitation project is slated to involve the rehabilitation and conversion of Union Station into an inter-modal transportation facility with ancillary uses that will support the station project. This facility will be the hub for bus, rail, and freight transportation services for Western New England. The Springfield Redevelopment Authority has now taken on the lead development role in the project and has been designated a direct recipient of federal FTA funding. The SRA has hired an Owners Project Manager as well as a designer for the project, and initial demolition work is expected to begin in fall of 2012. The project is expected to be completed in late 2014. The redevelopment of Union Station will be a major catalyst for the redevelopment of the North Block of the City’s downtown.

This North Blocks is also highlighted by a recent Section 108 loan via HUD for the redevelopment of the former Holiday Inn Hotel, slated to be redeveloped to a La Quinta Inn & Suites. That project is in mid construction and expected to be complete by October, 2012.

South End Project

The South End was noted by the 2006 Urban Land Institute citywide report as the top priority neighborhood in the City. The City has since completed an initial phase of revitalization, a \$10 million project that focused on infrastructure improvements on the Hollywood district, Main Street, and the Gemini site. Funds for this phase have included city bond of \$6.6 million, a \$1.1 million CDBG commitment and \$3.0 million of grant applications. The funding has been used to construct new streets and sidewalks, create new open space connections and connect the neighborhood more effectively to Main Street.

Construction of South End Main Street was completed in 2010. The public improvements have helped spur private development including an office development on Arlington Court.

The City has completed the acquisition and demolition of nine homes as part of the expansion of Emerson Wight Park. Park improvements began in late 2011 and have been recently completed with the park grand opening happening in July, 2012. Also underway is the redevelopment of Dwight Street Extension via a Commonwealth of Massachusetts “Massworks” grant, which is being done to coincide with the private redevelopment of historic apartment buildings in the Hollywood neighborhood. The City has committed \$1.5 million in HOME funds for

redevelopment of the 22 apartment buildings in the Hollywood section. The first phase of this three-phase redevelopment project is underway.

The City and the Springfield Housing Authority were awarded a Choice Neighborhoods planning grant in January 2012 to address demolition of the Marble Street apartments, introduction of market rate housing into the neighborhood, and increased opportunities for education and employment for neighborhood residents.

Indian Motorcycle Building

The City of Springfield and Massachusetts Housing Finance Agency, MassHousing, issued a request for Proposals for the disposition and redevelopment of Indian Motorcycle “A” (owned by MassHousing) and Indian Motorcycle “B” (owned by the City) and the Mason Square Fire Station (owned by the City), located on State Street. Through that process American International College was named the preferred developer and for the past two years has been advancing the project through a variety of due diligence activities including environmental, tax credit and development analysis. AIC is contemplating reuses to include residential, retail and limited office space and expects to break ground in 2013.

The Redevelopment Project site includes five separate parcels situated on 3.7 acres at a high point on the State Street Corridor overlooking the City to the west of Mason Square. The 3.2 mile-long State Street Corridor recently completed an \$18 million restoration project, including roadway reconstruction, intersection improvements, new sidewalks and median strips and many new pedestrian and vehicle amenities.

Narrative and Financial Summary by Program

The City has completed the second year covered by the current Consolidated Plan. The analysis contained within this CAPER demonstrates that within most program areas the City has already met the goals described. Where results have not been achieved, the analysis provides guidance for the City in the remaining year.

A. CDBG Narratives

In order to comply with the reporting requirements laid out in the CDBG regulations, the City utilizes this CDBG Narrative section to incorporate CDBG-specific information into the CAPER that is not easily included in the Five Year and Annual Report sections included above. This section is broken into the following four components:

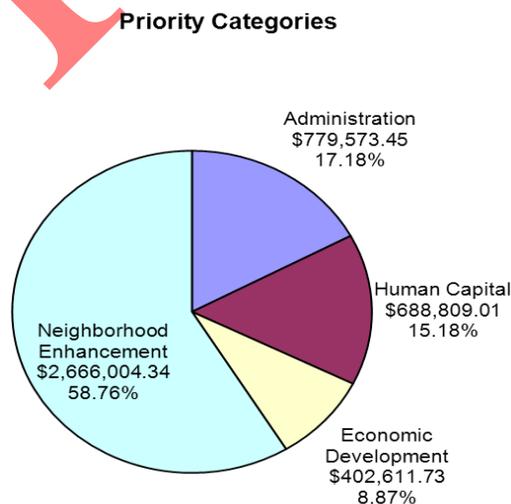
- A. **FY 11-2012 CDBG Expenditures Breakdown by Category (commences on pg 27)**
- B. **Required narratives pertaining to the administration of the CDBG program (pg. 28)**
- C. **Status Report on Neighborhood Revitalization Strategy Area (NRSA) (pg. 36)**

A. **FY 11-2012 CDBG Expenditures Breakdown by Category**

In FY 11-2012, the City's CDBG allocation was \$4,367,871.00. During this fiscal year the City expended \$4,536,998.53 of CDBG entitlement funding.

The following pie chart codifies these expenditures into the three major categories—Human Capital, Neighborhood Enhancement and Economic Development—plus Administration. These categories line up with the priorities, needs, goals, and specific objectives identified in the Consolidated Plan and the FY 11-2012 Action Plan.

FY 11-2012 CDBG Expenditures by Category
Total Expended: \$ 4,536,998.53



B. Required narratives pertaining to the administration of the CDBG program.

Nature and Reason for any Changes in Program Objectives

The City amended the FY08-09 Action Plan three times to reflect additional funding from HUD. Amendment one was for the Neighborhood Stabilization Program (NSP) for \$2,566,272 with the State awarding an additional \$1,000,000 for the program. The second amendment increased the Action Plan by \$1,700,802 for the Homeless Prevention and Rapid Re-housing Program (HPRP). The third amendment was for Community Development Block Grant Recovery Funds (CDBG-R) for \$1,111,756. All three multi-year amendments were submitted and approved by HUD.

The City amended the FY10-11 Action Plan to reflect the award of NSP3 funding in the amount of \$1,197,000. The amendment was submitted to and approved by HUD.

The City amended the FY11-12 Action Plan to reflect a second allocation of ESG funding in the amount of \$101,208. The amendment was submitted to and approved by HUD.

Certification Narrative/Plan Implementation

The City strongly supports the receipt of assistance by various organizations regarding the furtherance of the Consolidated Plan goals. The City views these organizations as our partners. During the course of the year, the City provided certification for numerous programs including those for funding applications for the Springfield Housing Authority and the New North Citizens Council. The City of Springfield did not hinder the implementation of the Action Plan by action or willful inaction. The City of Springfield pursued all resources it indicated it would. A summary of leveraged resources is located in the table starting on page 78.

Compliance with National Objective

During FY11-12 the City used its CDBG funds exclusively for the benefit of low and/or moderate income persons or to eliminate or prevent slum and blight. Of funding expended, 89.35 percent was directed toward low and/or moderate income persons.

During the FY 11-2012 program year, extremely low income, low income and moderate income persons were served by CDBG-funded activities. A summary of accomplishment for activities that require a determination of income by family to determine the eligibility of the activity is provided on the following table. These accomplishments are for Program Year 2011 (FY 11-2012). Summary of Accomplishments based on the PR23 report in the HUD database system.

NON-HOUSING: CDBG BENEFICIARIES BY RACIAL/ETHNIC CATEGORY

White	4,190	1,036	0	0
Black/African American	3,573	148	0	0
Asian	749	5	0	0
American Indian/Alaskan Native	41	0	0	0
Native Hawaiian/Other Pacific Islander	35	1	0	0
American Indian/Alaskan Native & White	10	0	0	0
Asian & White	42	4	0	0
Black/African American & White	225	4	0	0
American Indian/Alaskan Native & Black/African	22	3	0	0
Other Multi Racial	6,295	4,267	0	0
Total	15,182	5,468	0	0

CDBG BENEFICIARIES BY INCOME CATEGORY

Category	Type	Income Category				Total
		Extremely Low Income	Low Income	Moderate Income	Total LMI	
Housing – Owner Occupied	Households	946	354	75	1375	1378
Housing – Rental Occupied	Households	11	4	2	17	17
Housing Total	Households	957	358	77	1392	1395
Non-Housing	Persons	2786	806	7628	7628	7628
	Households	0	0	0	0	0
Total	Persons	2786	806	7628	7628	7628

Relocation Narrative

The City of Springfield ensures proper relocation for all revitalization projects. For economic development projects resulting from implementation of urban renewal plans, the City through its redevelopment authority uses a private qualified consultant. For housing projects, the City's

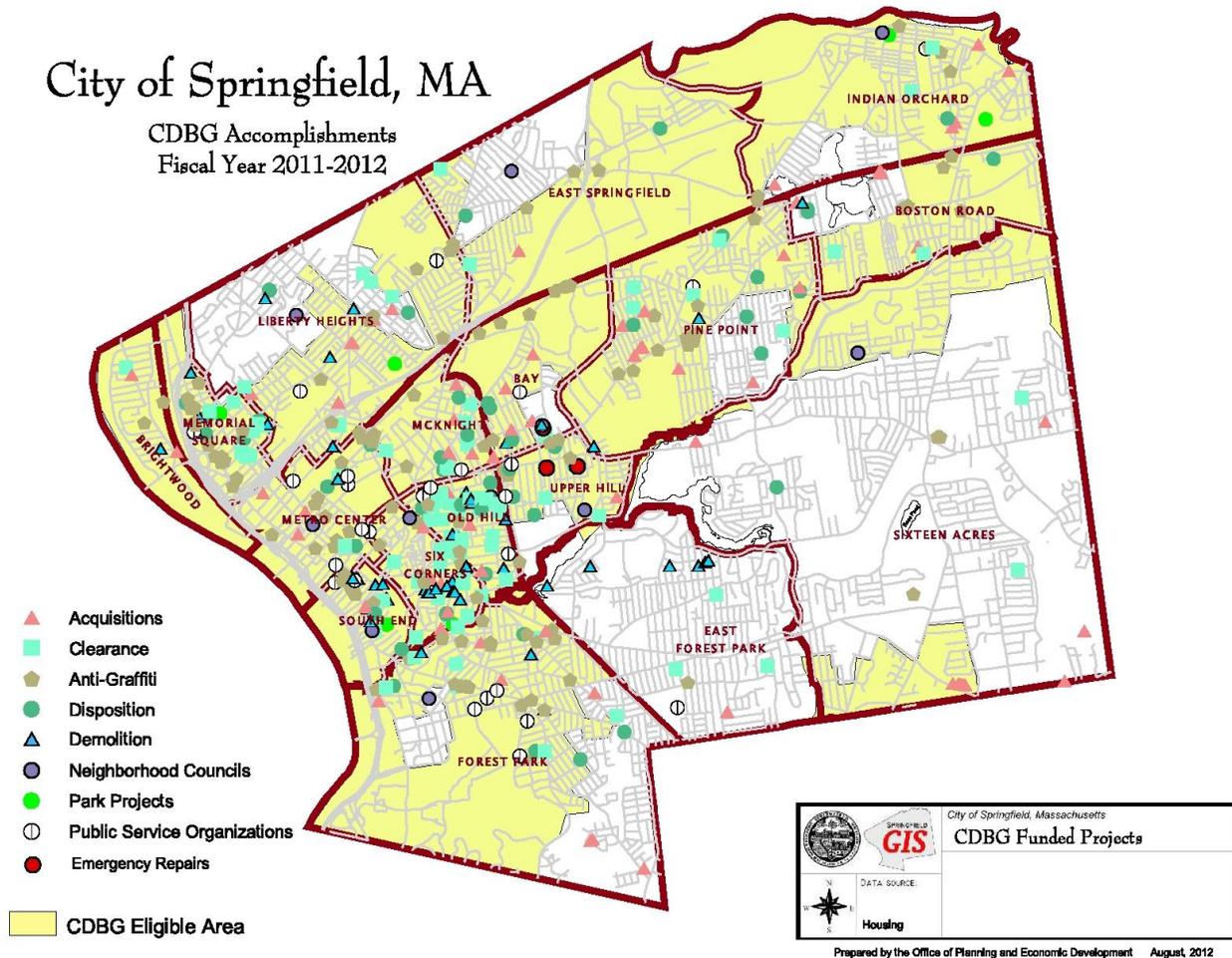
Office of Housing oversees and monitors project developer compliance with the Uniform Relocation Act. No housing initiatives resulted in permanent displacement.

Limited Clientele Narrative

Programs funded by CDBG are required to demonstrate that their activities are serving low to moderate income persons/households. The City utilizes presumed benefit from some public service programs that serve hard-to-reach sub-populations and/or are located in qualified census tracts. In those special circumstances, the City, prior to funding, considers who the targeted beneficiaries are intended to be, the nature of the program, and its location. This evaluation must result in the determination that at least 51% of the intended beneficiaries will be low and moderate income persons.

Program Income Narrative

During the course of the year, the City realized \$202,086.42 in CDBG program income and \$157,265.89 in HOME program income. Program Income funds are utilized to operate programs identified in the Action Plan. A summary of realized program income and its utilization is contained within the Financial Summary on page 100 for CDBG and 82 for HOME.



NEIGHBORHOOD REVITALIZATION STRATEGY AREA

The City of Springfield continues its focus on fundamentally changing the urban neighborhoods that are located within the NRSAs. These communities are home to some of the City's poorest residents and have a wealth of ethnic diversity. The residents, businesses owners, and key stakeholders within the NRSA are dynamic, diverse, and eager for positive change and new investment.

The premise of a NRSA is that a concentrated investment of resources in a limited impact area can have a substantial impact for a targeted revitalization area. Springfield's strategy for these areas hinges on a coordinated marshaling of resources, including those of federal, state and local governments; the private sector; community organizations; and neighborhood residents.

Springfield's NRSA initiatives started in 2005 with initial planning, investment in capacity, and identification of partners and resources. As a result, revitalization has been taking place at a steady rate since NRSA designation, but many major initiatives were started in 2008 or 2009, and are still ongoing. In addition, success in the NRSAs has been handicapped by the down-turn in the housing market. These neighborhoods have been very hard-hit by foreclosures, and now have significant numbers of vacant and abandoned homes and blighted commercial structures. Continued investment in these neighborhoods has the potential to take advantage of redevelopment of these properties, would build on the work that that has been initiated, and would support the City's overall efforts to attract private investment.

Specific objectives and strategies for each of the NRSAs are below. Many of these are subsets of goals otherwise set forth in this plan, demonstrating an intention to focus some programs specifically in the NRSAs.

Specific NRSA Objectives

THE SOUTH END

Just prior to the beginning of the past fiscal year, on June 1, 2011, the South End neighborhood was hard hit by a tornado, which temporarily set the City back in some of its efforts regarding this neighborhood, but also provides opportunity to bring new focus and resources to the South End. The beginning of the current fiscal year was spent on recovery and some rebuilding. In addition, the City undertook a master planning process for rebuilding the tornado-damaged areas; the plan was released in April 2012. A significant emphasis of the plan is the South End and its intersection with downtown Springfield. The process is being led by Concordia, Inc., a planning and architectural firm that has had a significant role in the rebuilding of New Orleans and the Gulf Coast after Hurricane Katrina. The planning and redevelopment are being overseen by the Springfield Redevelopment Authority and the non-profit DevelopSpringfield.

Objective 1. Attract and retain business on Main Street.

The City assisted South End businesses to find temporary locations nearby in the City following the tornado, and will continue to work with these displaced businesses to help them relocate in the neighborhood.

There was direct contact with 57 businesses in which assistance was provided with a variety of needs including debris removal, referrals to the Small Business Association, DPW notice of street closings for insurance companies, and temporary signage for businesses re-opening after tornado.

- 42 of the businesses re-opened in their existing location.
- 8 businesses had to re-locate to different location (temporarily or permanently).
- 7 businesses closed.

The City provided 2 storefront grants to South End Main Street businesses.

Objective 2. Increase income diversity by providing new housing ownership opportunities.

Through the use of Neighborhood Stabilization funds, affordable homeownership units have been developed with construction of one new home that has been completed and is for sale and a second home that is still under construction.

The City and the Springfield Housing Authority were awarded a Choice Neighborhoods Planning Grant for the South End, and one of the major goals of the South End Choice Neighborhoods initiative will be introduction of homeownership units. The Choice Neighborhoods Initiative planning began in spring 2013. The City expects to submit an application to HUD for a Choice Neighborhoods Implementation Grant in the spring of 2013.

Objective 3. Improve appearance and appeal of existing apartment buildings.

The City has committed HOME funds for the rehabilitation of the 22 apartment buildings that make up the South End's Hollywood section; the first phase of is close to being completed. The City has also committed HOME funds to Center City Housing to repair and renovate two multi-family apartment buildings in the South End that were damaged by the tornado. The work, expected to begin fall 2012, will include significant energy upgrades and improvements.

The City has obtained funding for the redesign and redevelopment of Dwight Street Extension, the roadway that goes through the Hollywood section and is the gateway to Emerson Wight Park. This project is scheduled for projected completion by end of the next fiscal year.

Objective 4. Increase the visibility and safety of Emerson Wight Park.

The expansion and complete rehabilitation of Emerson Wight Park has been completed.

Objective 5. Improve opportunities and support for neighborhood residents.

The Veritas School, a charter middle school with an emphasis on academic excellence has opened in the South End. A second charter school, which would serve students K-8, is being proposed for the neighborhood.

Caring Health Center is using New Market Tax Credits to significantly expand its community-based health center. Caring Health completed its financing package this year and was scheduled to begin development in fall 2012.

The Choice Neighborhoods planning process has a significant focus on education and employment programming in the neighborhood.

The Springfield Housing Authority assisted residents of the Marble Street Apartments to start an active tenants' council; the council is active in the Choice Neighborhoods planning process and is looking to collaborate with the existing South End Citizens Council.

The City supported the organization ROCA, which provides job opportunities for youth and includes the South End as one of its focus neighborhoods.

Objective 6. Increase public safety.

The Springfield Police Department has initiated a targeted operation to address prostitution and drug trafficking in the South End.

The City has applied for a Byrne grant from the Department of Justice, which would provide funds to replicate in the South End a very effective policing model that is being used in the City's North End Neighborhood. If funded, the South End C3 initiative will be a collaboration between the Springfield Police Department, the state police, Attorney General's office, and multiple city departments and neighborhood partners.

The City continues aggressive code and court action against blighted properties in the neighborhood, and has obtained at least two more court orders allowing building to be demolished—these demolitions will take place in the upcoming year. There has been one demolition completed on Central Street and there is a wait for the remaining approval to be given for the other parcel of land.

The City initiated a receivership action on one 3-unit property in the South End.

The City has continued to clean vacant and abandoned lots in the neighborhood through its 'clean and lien' program.

THE OLD HILL/SIX CORNERS NRSA

Six Corners and Old Hill also sustained significant damage in the June 1 tornado, and, like the South End, was the focus of recovery efforts and a Master Plan Rebuilding process. Accomplishments undertaken in these neighborhoods in the past year include the following:

Objective 1. Attract retail, commercial, and market-rate housing to the State Street Corridor.

The city is a significant funder to the DevelopSpringfield Corridor Storefront Improvement Program, which offers funding for storefront projects along Main and State Streets – State Street touches both Old Hill and Six Corners. Mirkin's Cleaners at 583 State Street in Old Hill was one of the first projects awarded for a project.

Objective 2. Improve appearance of the Central Street Corridor.

The Central Street Corridor was extremely hard hit by the tornado, and multiple buildings on the street were demolished in the immediate aftermath or during the months of clean-up. The street is significantly transformed, and presents an opportunity for new housing development that the City expects to pursue in the upcoming year.

Over the last several years, with HOME funds, the City has supported construction of six new single-family homes on adjacent lots on Central Street. Fortunately, these homes were not destroyed by the tornado. All six homes have been completed, and all have been sold to eligible homeowners.

The completed master plan calls for significant roadway improvement and reconfiguration of two intersections in these neighborhoods. The City is in the initial planning stage for these improvements.

Objective 3. Increase income diversity by providing new housing ownership opportunities.

With a combination of NSP and HOME funds, the City created 4 new homeownership opportunities by new redevelopment or rehabilitation of residential properties throughout the neighborhood, and has another 15 homes under development.

The City promoted homeownership in the neighborhood by providing downpayment assistance to 4 homeowners purchasing in the neighborhood.

Objective 4. Assist existing homeowners to preserve their housing and stay in the neighborhood.

With CDBG funding, Rebuilding Together provided home rehabilitation services to 16 homeowners in Old Hill and Six Corners. The City continued to provide neighborhood-level outreach and information regarding the City's assistance programs.

Objective 5. Increase public safety.

The City demolished 4 blighted structures in the neighborhood this year. The City has cleaned 33 vacant and abandoned lots in the neighborhood through its clean and lien' program. The City has initiated receivership actions on two properties in Six Corners/Old Hill.

The City has initiated an abutter lot auction program, in order to sell vacant lots to abutters to be combined with their properties and maintained by a responsible owner.

Objective 6. Improve the physical attractiveness of the neighborhood.

The June 1 tornado caused extensive devastation on Hickory Street, including damage to an elementary school and two subsidized housing complexes. The City is working with all parties involved for a comprehensive redevelopment of this whole area, which will begin in 2013 with the

building of a new elementary school. The City is working with the community to ensure that the new school is created as a community school.

The City undertook a great deal of cleaning of tree and brush damage from this neighborhood following the tornado. The City has worked closely with FEMA in order to demolish damaged abandoned buildings in the neighborhood, and expects these demolitions to be completed early in the next fiscal year.

MEMORIAL SQUARE/BRIGHTWOOD NRSA

Objective 1. Revitalize the Main Street retail/commercial corridor.

The Mass Highway Project, which addresses Main Street in the North End, is underway. This includes street, sidewalk and streetscape improvements. The City provided one storefront grant to North End Main Street business.

Objective 2. Provide training to assist neighborhood residents to obtain living wage jobs, particularly jobs expected to be created as a result of the Baystate Hospital expansion.

MCDI and Red Cross are currently running a Health Care Job Training Program targeting workforce development in the North End.

Objective 3. Increase income diversity by providing new housing ownership opportunities.

The City created two new infill homeownership opportunities on vacant lots in the neighborhood, and has one project planned for next year. The City continued to promote neighborhood homeownership through the Buy Springfield Now campaign and promotion of the Baystate employee assistance program.

Objective 4. Assist existing homeowners to preserve their housing and stay in the neighborhood.

With CDBG funding, Rebuilding Together provided home rehabilitation services to one homeowner in Memorial Square.

Objective 5. Improve neighborhood facilities.

The City continues to assist New North Community Center in development of a new facility, and demolition of the existing building. The City continues to assist in the repurposing the Greek Cultural Center facility.

Objective 6. Improve the physical attractiveness of the neighborhood.

The City continues to clear abandoned and vacant property. Thirteen properties have been cleaned up /boarded up in the Brightwood /Memorial Square Neighborhoods. The City has obtained court

orders allowing demolition of 5 blighted structures. The City initiated receivership of two properties in the North End.

Objective 7. Improve appearance and appeal of existing apartment buildings.

The City provided funding this year to complete the rehabilitation of Borinquen Apartments and Cumberland Homes. The City has committed HOME funds for the rehabilitation of the Memorial Square apartment building.

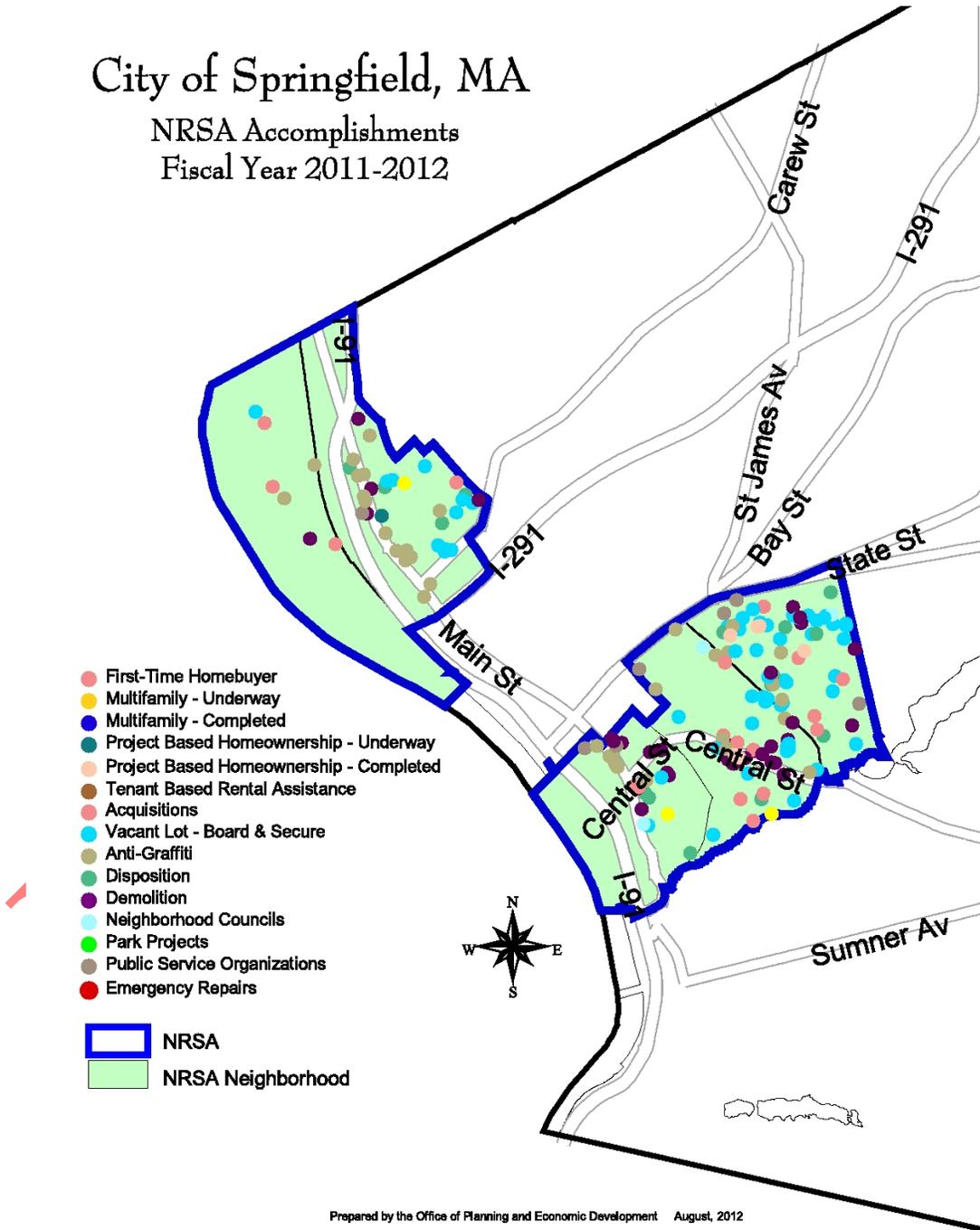
The following chart shows the annual performance goals for each of the NRSA activities. Many of these are subsets of goals otherwise set forth in this plan.

NRSA Activity	Accomplishment Type	Year 1	Year 1 actual	Year 2	Year 2 actual	Year 3	Year 4	Year 5	Total Goal	Fund Source: CDBG, Other
Eco Dev Direct Assistance to For-Profits	Businesses	3	5	3	5	3	3	3	15	CDBG, Other
Eco Dev Technical Assistance	Businesses	2	21	2	5	2	2	2	10	CDBG, Other
Micro-Enterprise Assistance	Businesses	3	2	3	0	3	3	3	15	CDBG, Other
Employment Training	People	27	2	25	106	25	25	25	127	CDBG, Other
Street Improvements/Sidewalks	People	5000	4849	5000	3884	5000	5000	5000	25,000	CDBG, Other
Park Reconstruction	Facilities	2	1	2	2	2	2	2	10	CDBG, Other
Clearance and Demolition	Units	15	172	15	93	15	15	15	75	CDBG, Other
Targeted Code Enforcement	Housing units	500	460	500	480					CDBG, Other
Interim Lot Greening	Units	2	3	2	1	2	2	2	10	CDBG, Other
Public Facilities and Improvements	Facilities	2	4	2	0	2	2	2	10	CDBG, Other
Down payment Assistance, Additional NRSA incentive	Households	36	0	36	3	36	36	36	180	CDBG, Other
Homeowner Emergency Repair and Rehabilitation	Housing Units	5	0	5	14	5	5	5	25	CDBG, Other
Multi-Family Rental Housing Rehabilitation	Housing Units	10	0	15	76	20	20	20	80	HOME, Other
Rehabilitation/Redevelopment for Affordable Homeownership	Housing Units	10	16	10	4	10	10	10	50	NSP, CDBG, HOME, Other

Receivership of abandoned multi-family rental buildings	Housing Units	5	3	5	5	5	5	5	25	CDBG, Other
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City of Springfield, MA

NRSA Accomplishments
Fiscal Year 2011-2012



B. HOME Program

The City targeted its FY11-12 HOME funds into four program areas:

- First-Time Homebuyer Assistance;
- Project Based Homeownership;
- Multi-Family Rental Housing; and
- Tenant-Based Rental Assistance.

In FY11-12 the City's HOME allocation was \$1,591,660.00. When added to the \$150,000.00 of anticipated program income, and \$185,000.00 of carryover funds, the amount of HOME funding available for use in FY 11-12 totaled \$1,926,738.00 of which \$1,752,565.00 was available for projects. The timely expenditure of federal funds for the furtherance of the City's identified housing goals is imperative. During this fiscal year, the City expended \$2,750,102.75 of available funds.

Chart A below illustrates the City's program expenditures for FY 11-12.

Each year, the Participating Jurisdiction is required to commit 15% of its HOME entitlement program dollars to CHDO organizations. A two year window is provided for commitment of CHDO funds and five years is provided to complete the eligible activity. In FY 11-12 the City expended \$531,766.97 of funds for CHDO activities. The City is on pace to meet both the two (2) year commitment and the five (5) year expenditure requirements for CHDO Organizations.

The City of Springfield has been able to use the federal HOME allocation to leverage significant additional resources. Within FY10-11, the City's completed projects leveraged a total of \$35,230,833 from private, state and federal sources. Chart B on the following page illustrates the breakdown of leveraged resources.

Program Income Narrative

During the course of the year, the City realized \$157,265.89 in HOME program income. Program Income funds are utilized to operate programs identified in the Action Plan. A summary of realized program income and its utilization is contained within the Financial Summary on page 82 for HOME.

Chart A
FY10-11 HOME Expenditures by Category
Total Expended \$2,750,102.75

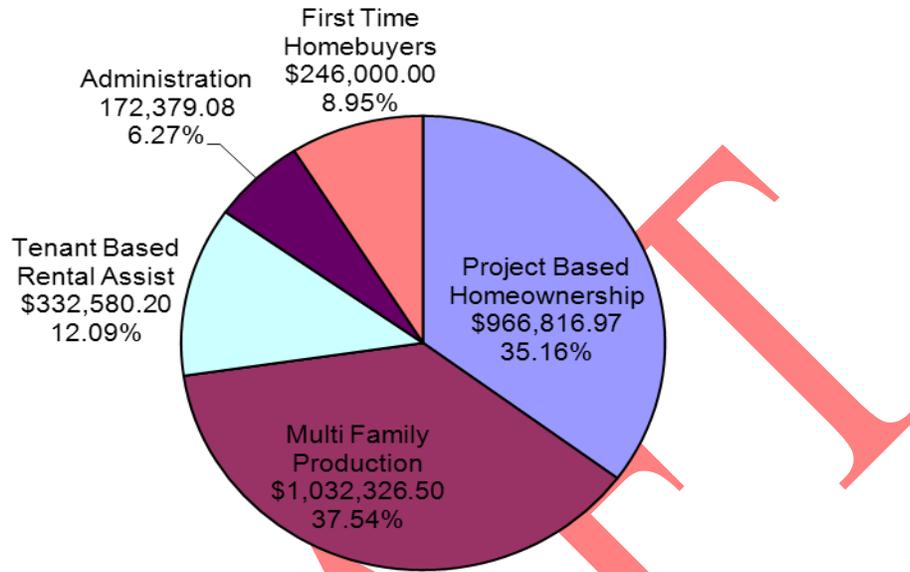
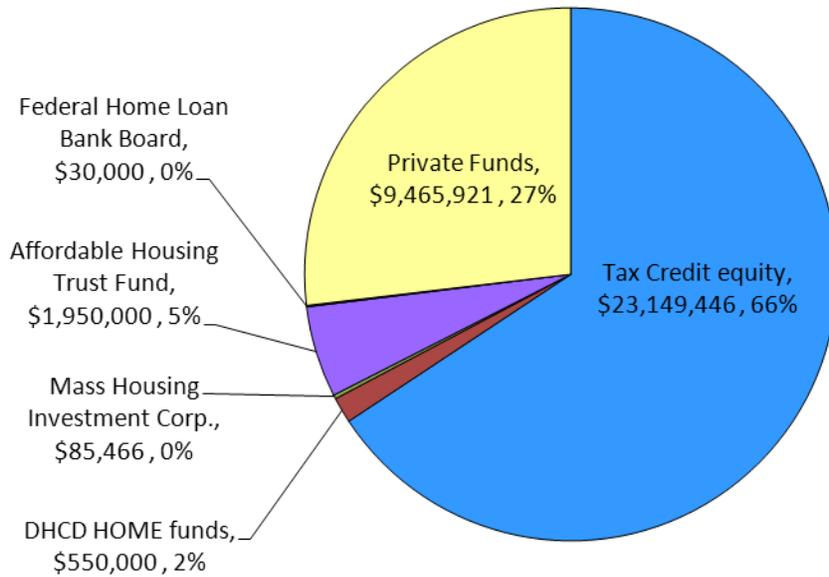


Chart B
Completed Projects Leveraged Resources



First-Time Homebuyer Assistance

The City provided homebuyer assistance—deferred 0% interest loans—in the amount of \$3000 to income-eligible first-time homebuyers purchasing homes within the City. During FY11-12, the City provided assistance to 82 households; of these households, 40 (49%) were Hispanic and 24 (29%) were Black/African-American. One assisted household was Native Hawaiian.

The first-time homebuyer program is directed to low and moderate income households. In addition, the program has been marketed to Section 8 Program housing voucher holders in partnership with the Springfield Housing Authority.

Project Based Homeownership

The City’s development partners completed and sold five units in the project-based homeownership program in FY11-12.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
299 Central St	New const./ Nonprofit	\$180,000	\$231,450	1/1
305 Central St.	New const./ Nonprofit	\$180,000	\$231,450	1/1
27 Ames St.	Rehab./ Non-profit	\$80,900	\$146,900	1/1
23 Quincy St	New const./ Nonprofit	\$130,000	\$248,031	1/1
183 Pendelton Ave.	New const./CHDO	\$50,000	\$245,333	1/1
TOTAL		\$620,000	\$1,103,164	5/5

HOME Project-Based Homeownership Photos



299 Central St.



305 Central St.



27 Ames St.



183 Pendelton Ave.



23 Quincy St.

The City's development partners currently have three single-family homes under development with HOME funds, and the City expects to commit funds for four additional single-family homes to be developed on City-owned land on Central Street. All of the properties will be sold to eligible first-time homebuyers upon completion.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
90 Quincy St.	New const./ CHDO	\$200,000	\$252,308	1/1
75 Quincy St.	New const./ CHDO	\$200,000	\$248,160	1/1
11 Jefferson St.	New Constr//Non Profit	\$198,200	\$234,100	1/1
TOTAL		\$598,200	\$734,568	3/3

Multi-Family Rental Housing Development

During FY11-12, the City’s partners completed two multi-family redevelopment projects.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
City View II Apartments	Rental rehabilitation/ For-profit	\$1,000,000	\$23,183,565	41/11
Cumberland Homes	Rental rehabilitation/ For-profit	\$50,000	\$19,544,516	76/4
TOTAL		\$1,050,000	\$42,728,081	172/15

HOME Rental Housing Redevelopment Photos



City View Commons II



Cumberland Homes

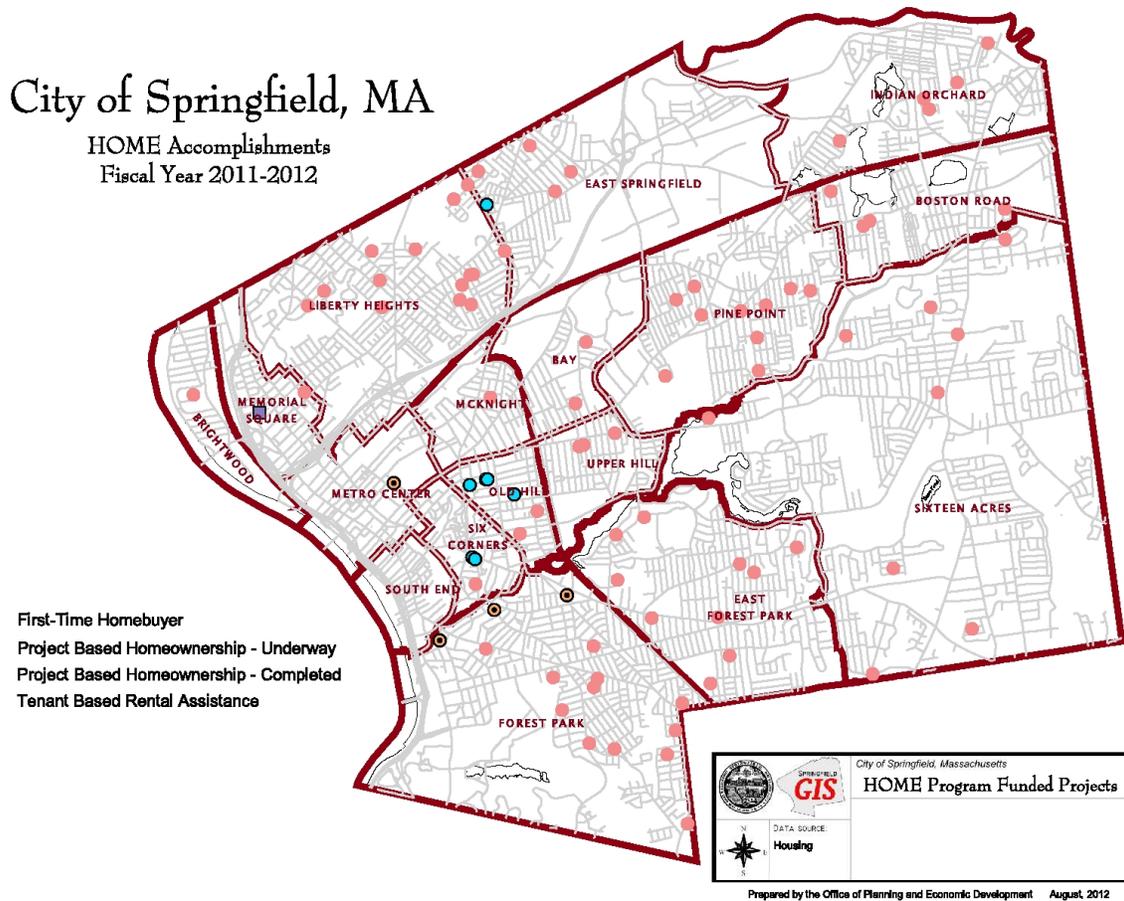
The City currently has four HOME-funded rental housing projects in development. The total number of affordable rental units that will be created as a result is 257. The four projects will achieve a total of 31 HOME units upon completion. The projects have affordability terms of at least fifteen years.

Project Address	Project Type	HOME Amount	Total Development Costs	Total Units/ HOME Units
Borinquen	Rental Rehab./Nonprofit	\$250,000	\$11,162,291	76/11
Concord Heights	Rental Rehab./For Profit	\$550,000	\$20,445,575	104/11
Center City	Rental Rehab./Nonprofit	\$50,000	\$13,115,852	47/3
Tapley Street	Rental Rehab./Nonprofit	\$100,000	\$4,078,088	30/6

Tenant-Based Rental Assistance

The City of Springfield provides tenant-based rental assistance (TBRA) to reduce the rent burden for very-low-income households. The City targets this assistance to vulnerable populations that

require supportive services, such as the chronically homeless and persons with HIV/AIDS. The City makes the assistance available through contracts with providers that have the capacity to operate a rental assistance program and to provide supportive services to TBRA recipients. In FY11-12, the City provided TBRA funding to the Mental Health Association to serve 24 chronically homeless individuals, and to River Valley Counseling Center, to serve 14 individuals who have HIV/AIDS. The City also operates its own TBRA program, which in FY11-12 provided assistance to 22 formerly homeless households.



Fair Housing and Affirmative Marketing

For all federally assisted housing programs, the City requires the project developer to conduct an analysis of those least likely to apply and to develop an Affirmative Marketing Plan. These two documents must be submitted at the time a funding application is submitted.

- The analysis must identify the protected classes least likely to apply for housing and make recommendations on how the likely reasons should be addressed.
- The Affirmative Marketing Plan shall include actions that shall be taken to implement the recommendations the result from the analysis. The Plan shall include but not necessarily be limited to the implementation of a minority outreach program that ensures the inclusion of, to

the maximum extent possible minorities, women, and entities owned by minorities and women.

Such outreach shall include without limitation, real estate firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction with such persons or entities, public or private, in order to facilitate the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other applicable Federal housing law.

The Developer's Affirmative Marketing Plan must identify specific community organizations, place of worship, employment centers, fair housing groups or housing counseling agencies where special outreach will be conducted.

Once the City approves the affirmative marketing plan, the project is monitored for compliance through project completion. Documentation is maintained for all marketing activities as part of the project records.

Affirmative marketing procedures and requirements are in place for all rental and homebuyer projects assisted with HOME funds administered by the City of Springfield.

Minority and Women-Owned Business Outreach

The City of Springfield promotes equal opportunity for all its citizens in every aspect of public procurement and contracting by assuring that opportunities to participate in City procurement and contracting are open to all without regard to age, ancestry, color, national origin, disability, race, religion or sex. The City encourages the utilization of minority, women and persons with disabilities by private businesses that contract with the City. The City encourages the award of procurement and construction contracts to business owned by minorities, women and persons with disabilities.

The City's Minority/Women Business Enterprise (MBE/WBE) Program implements the City equal opportunity policy. The Program is a set of specific results-oriented procedures, and has been formulated to further advance the City's policies. The objective of the Program is to develop maximum feasible MBE/WBE participation in construction contracts and in the procurement of goods, services, and supplies.

The City's goal for MBE/WBE participation and minority or women workforce on all construction projects and procurement of goods, supplies and services contracts is not less than twenty percent (20%). Success in meeting this objective will be affected by the availability of minority and women businesses with qualifications required by the City of Springfield.

In the year covered by the Action Plan, HOME project developers awarded M/WBE contracts and subcontracts in the amount of \$72,635, and Section 3 contracts and subcontracts in the amount of \$496,454. The City will continue to strive to increase M/WBE and Section 3 business participation.

Relocation

None of the development projects that were completed during the program year involved permanent displacement of tenants from housing units. All approved projects were reviewed to determine applicability of the Uniform Relocation Assistance (URA) guidelines.

Matching Funds Report

The City of Springfield is 100% forgiven from HOME matching requirements for the FY11-12 fiscal year. Census data demonstrate that the City meets the regulatory definition of a local government participating jurisdiction that is in severe fiscal distress as stated in section 92.222(a)(1) of the HOME Investment Partnership regulations. In Springfield:

- “the average poverty rate in the participating jurisdiction was equal to or greater than 125 percent of the average national poverty rate during the calendar year for which the most recent data are available, as determined according to information of the Bureau of the Census.”

Poverty Rate		
Springfield, MA	United States	% of Average United States Poverty Rate
26.9	14.4	187%

Source: US Census Bureau, 2010 American Community Survey, 3-year estimate

- “the average per capita income in the participating jurisdiction was less than 75 percent of the average national per capita income during the calendar year for which the most recent data are available.”

Per Capita Income*		
Springfield, MA	United States	% of United States
17,746	26,942	66%

Source: US Census Bureau, 2010 American Community Survey, 3-year estimate

Monitoring During Development Period

City staff conducts initial, progress, and close-out (final) inspections on all developer projects--project-based homeownership and multi-family production--throughout the development process.

On-Site Monitoring

Qualified City staff conduct on-site inspections of affordable rental projects in accordance with HOME regulations. Units are inspected as part of the annual recertification process.

HOME Long-Term Compliance Monitoring

During FY10-11, the City reviewed and made changes to its HOME Compliance Monitoring Program regarding monitoring of long-term compliance with HOME requirements in the Rental Rehabilitation Program and in the Project-Based Homeownership Program.

File Organization

The City has created a new filing system for properties subject to long-term monitoring. These HOME-funded programs are now divided into 3 categories: 1) properties with 26 or more units, which must be monitored at least annually; 2) properties with 5 to 25 units, which must be monitored at least every two years; and 3) properties with 1-4 units, which must be monitored at least every three years. Each category of files is color-coded.

Each property now has a single monitoring multi-page file folder, with set-aside locations for 1) property information; 2) annual occupancy and rent reports; 3) HQS inspection reports; 4) on-site monitoring reports; 5) monitoring letters and related correspondence; and 6) financial and other records.

Monitoring Task Schedule and Record

The master checklist for each type of file contains a list and schedule for all monitoring tasks. As each task is completed, the staff person completing the task will initial and date the box indicating that the task is complete. Having a master list for each property type enables multiple staff to work on monitoring, with all entering information about tasks completed in one place.

There are three primary activities that are undertaken to monitor compliance:

1. Annual Rent and Occupancy Report, which must be submitted by all HOME-funded projects containing rental projects annually.
2. Housing Quality Inspections, which are completed annually at all properties with 26 or more units, every two years for properties with 5 to 25 units, and every three years for properties with 1 to 4 units.
3. On-Site Monitoring Visits, which are completed annually at all properties with 26 or more units, every two years for properties with 5 to 25 units, and every three years for properties with 1 to 4 units.

Checklists for Use in Monitoring

In order to simplify the task of monitoring each HOME-funded property on a regular basis, the City is using HUD-distributed monitoring checklists. The checklists to be used are: 1) Rental Project Completion (for new projects, going forward); 2) Initial Rent and Occupancy; 3) Annual Project Compliance Report.; and 4) On-Going Monitoring. Copies of these checklists are enclosed.

These standard checklists ensure that all compliance issues are checked at each review, and also simplify the task of reporting on project compliance. Where a project is fully in compliance, there will be no need for a written memo: the checklists will stand as the record, and a simple letter will inform property management that the City has found them in compliance.

D. Foreclosure and Neighborhood Stabilization Program

Springfield continues to be hard-hit by the foreclosure crisis. The City had very high rates of subprime mortgage lending, and has a corresponding high rate of foreclosures. Springfield's rate far exceeds the Massachusetts and national averages, and most foreclosures in the Western Massachusetts region are concentrated in Springfield.

Because of falling housing prices and the weak housing market, foreclosed homes—especially in the City's core and transitional neighborhoods—remain unsold, and have become vacant. Neighborhoods made up of 1-4 unit rental homes have experienced property flipping, failure to maintain properties, and abandonment by investors as the market fell. In some neighborhoods, individual streets have multiple boarded-up homes. The blight is very destabilizing for neighborhoods, and further reduces property values. In addition, the City has begun to see an increase in suspicious fires taking place in vacant or abandoned homes.

Understanding the Impact on Neighborhoods and Targeting Interventions In FY08-09, the City undertook neighborhood-level analysis to choose target areas for Neighborhood Stabilization Program fund investments. Based on the assessment to determine the neighborhoods most at risk of destabilization due to foreclosure, the City decided to target interventions in two complete neighborhoods—Old Hill and Six Corners, as well as the eastern side of the South End and a part of lower Forest Park.

In FY09-10 and 10-11, the City continued to look at data regarding target neighborhoods in its NeighborhoodStat meetings, where multiple City departments come together to examine various types of data about a single neighborhood. For neighborhood stabilization efforts, these meetings included maps with overlays showing information about crime, fire, complaints about property, property tax delinquency, code enforcement complaints and cases, and building code issues. These coordinated data reviews enabled the City to further refine its targeting of interventions. The NSP projects that the City has chosen to fund are clustered in a few areas within the highly-impacted neighborhoods.

Neighborhood Stabilization Program The City was awarded \$3.5 million in Neighborhood Stabilization Program (NSP 1) funds in 2008--\$2.5 as an entitlement grant from HUD, and \$1 million through the MA Department of Community Development. The City was awarded another \$1.7 million in NSP 3 funds in 2011.

Five NSP-funded rehabilitation/redevelopment projects have been completed and sold to income-qualified buyers, and 13 are underway.

In Old Hill, Springfield Neighborhood Housing Services has redeveloped three residential parcels that had been foreclosed upon by the City of Springfield for failure to pay taxes. Two of

these new homes are affordable housing for homeowner households with incomes at or below 50% of the area median income; the third is being assisted with HOME funds and will be affordable to households with up to 80% area median income.



11 Olive St.



176 Quincy St.

In Old Hill, HAP has completed redevelopment of 11 Olive Street, and rehabilitation of 176 Quincy Street. Both of these properties had been foreclosed upon for nonpayment of taxes, and both have been sold to homeowners with incomes at or below 120% area median income.



19 Ashley Street



34 Ashley Street



70 Ashley Street

In the Six Corners neighborhood, HAP Housing rehabilitated three foreclosed homes on one block. Each of the three houses is a two-family home. The properties have all been sold to homeowners, and three of the resulting six units are affordable to households with income at or below 50% of the area median income. The first-floor unit at 34 Ashley Street is handicap-accessible.

The City has also used NSP funds to demolish blighted buildings. The City has demolished or is in process of demolishing the following:

South End neighborhood

- Demolition of 11-15 Adams, a blighted and foreclosed commercial building
- Demolition of 32 Richelieu St., a blighted and abandoned house
- Demolition of 6-8 Hillside Place, a blighted and abandoned house
- Demolition of 609-611 Main Street, a blighted commercial structure

Six Corners neighborhood

- Demolition of 388 Central Street, a blighted and foreclosed nursing home
- Demolition of 368 Central Street, a blighted and foreclosed house

Old Hill neighborhood

- 200-204 Quincy St., a blighted and foreclosed home (in process)
- 43 Stebbins St., a blighted and foreclosed home (in process)

During FY11-12, a number of NSP projects will be completed or substantially completed, and the completed homes are expected to sell in the next fiscal year. These projects are listed below:

Old Hill neighborhood

- 13-15 Quincy St., redevelopment of foreclosed residential lot, resulting in a two-family home, with both units available for homeownership affordable to households with income at or below 50% area median income (Greater Springfield Habitat for Humanity)
- 75 Tyler St., rehabilitation of a foreclosed single-family home, to be sold to a homeowner (Springfield Neighborhood Housing Services)
- 236 Tyler St., demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for homeownership (HAP Housing)
- 140 Pendelton Ave., demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for affordable homeownership (Springfield Neighborhood Housing Services)
- 238 Quincy St., redevelopment of a foreclosed residential lot into one single-family homeownership unit, which will be sold to a household at or below 50% area median income (Habitat for Humanity) [NSP3]
- 48 Quincy St., redevelopment of a foreclosed residential lot into one single-family homeownership unit, which will be sold to a household at or below 50% area median income (Habitat for Humanity) [NSP3]
- 133 Colton St., demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for affordable homeownership (Springfield Neighborhood Housing Services) [NSP3]
- 245 Tyler St., redevelopment of a foreclosed residential lot into one single-family homeownership unit (HAP Housing) [NSP3]

- 126 Orleans St., demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for affordable homeownership (HAP Housing) [NSP3]
- 129 Orleans St., demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for affordable homeownership (HAP Housing) [NSP3]

South End neighborhood

- 56 Adams, demolition of an abandoned blighted house, followed by redevelopment of the site into a single-family home for affordable homeownership (Criminal Justice Organization of Hampden County, Inc.)
- 62 Adams, redevelopment of an abandoned residential lot, into a single-family home for homeownership (Criminal Justice Organization of Hampden County, Inc.)

Six Corners neighborhood

- 44 Dexter St., redevelopment of foreclosed residential lot, resulting in an affordable single-family home for homeownership (Greater Springfield Habitat for Humanity)

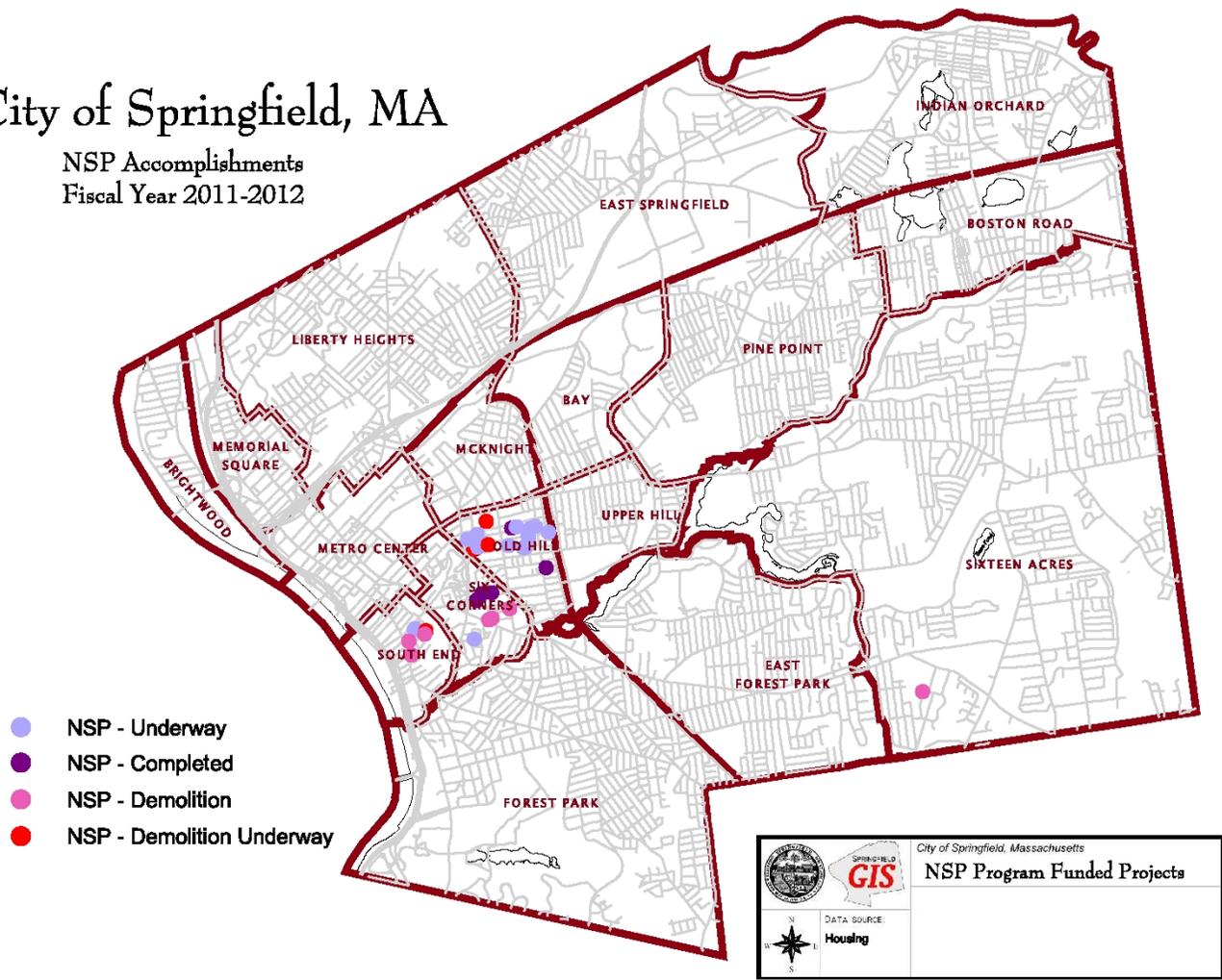
The full impact of NSP1 and 3 will be creation of 28 units. Of these, 24 will be for homeownership, and 4 will be for rental. Ten of the units will be affordable to households with income at or below 50% area median income, and the rest will be affordable to households with income at or below 120% area median income.

As the completed homes are sold, the City will realize program income. The City intends to use the program income to develop homeownership opportunities on foreclosed residential land on Central Street, in the Six Corners neighborhood.

The City has coordinated its NSP projects with additional investments in the same neighborhoods. Some of these investments are HUD-funded, and are described in other sections of the CAPER. These acquisition and disposition of residential properties, homebuyer assistance, targeted code enforcement, securing vacant buildings, and demolition of additional blighted buildings. Other improvements include paving and streetscapes, and improvements to parks.

City of Springfield, MA

NSP Accomplishments
Fiscal Year 2011-2012



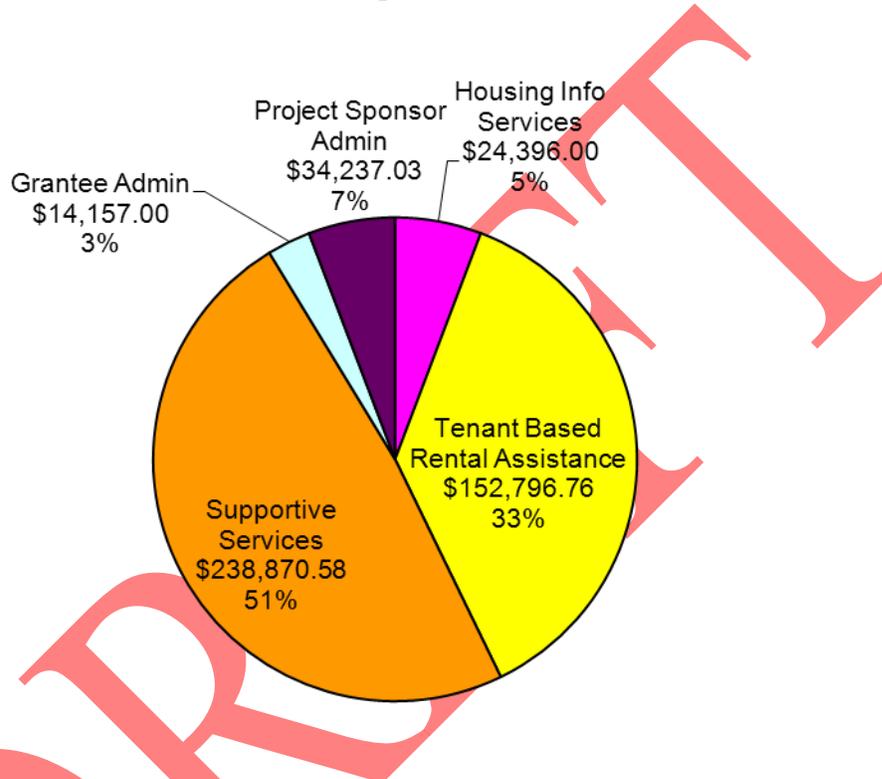
Increasing Receivership Activity. In FY11-12, the City continued working with The Massachusetts Housing Partnership (MHP) and the Massachusetts Housing Investment Corporation (MHIC) to increase our capacity to initiate and maintain receiverships, including “no-heat” receiverships. MHP contributed funding for staff interns and a pool of funds to undertake small emergency repairs, and MHIC created a loan fund to finance large receiverships. The City allocated CDBG funds to a receivership loan pool for moderate-size projects. Receiverships enable the City to stabilize and preserve multi-family properties in foreclosure.

In FY11-12, the City filed motions for receiver in 71 cases, and receivers were appointed in 38 cases. The City made two loans to receivers.

E. HOPWA Narrative

The City of Springfield is the HOPWA grantee for the tri-county area, which is comprised of Hampden, Hampshire, and Franklin County. The designation as an entitlement community for HOPWA funds occurred in 2001.

FY11 - 12 Expenditures by Category Total HOPWA Expended: \$464,457.37



The HOPWA grant funded the following projects:

1. **River Valley Counseling Center** provided intensive support services and Tenant Based Rental Assistance to 16 households, and Housing Information Services to 67 individuals. River Valley primarily serves residents of Hampden County.
2. **Center for Human Development HIV/AIDS Law Consortium** provided legal assistance, advocacy, and small group workshops to clients and case managers on issues of discrimination in housing and benefits. The Law Consortium provided legal services to 82 households.
3. **New North Citizen's Council** provided support services, rental start up and short-term assistance to eligible households. The program provided supportive services to 93 individuals and short-term rental assistance to 86 households.

4. **Cooley Dickinson Hospital's AIDS Care** provided tenant-based rental assistance and support services to 12 households. Supportive Services were provided to 32 households. Cooley Dickinson primarily serves residents of Hampshire County.

The City of Springfield's Office of Housing provides the grant management and the Community Development Department provides financial oversight. Program oversight consists of program monitoring through quarterly reports and on-site monitoring as needed. The City's quarterly report mirror HUD's Annual Progress Reports with the addition of a program narrative, which details challenges and accomplishments.

Sponsors are selected through a competitive formal Request for Proposal process. The RFP process has been consistent since Springfield's designation of an entitlement area.

Project Accomplishments Overview

HOPWA funds provided a range of housing activities including:

- Emergency or short-term housing for 86 households
- Rental assistance to 28 households
- Housing Information Services to 67 persons
- Supportive Services to 223 households

HOPWA Grantees reported matching funds of \$328,285.59 from the following sources: City of Springfield, MDPH, SAMSHA and a federal grant.

Barrier/Trends Overview

The greatest challenge has been the scarcity of resources to serve the eligible population.

The Tri-county area continues to see an increase in the number of HIV/AIDS cases and a decrease in the public dollars available to serve this growing population. Coupling these factors with an increased life expectancy results in a tremendously burdened system. Over the next five years, providers will need to evaluate the use of mainstream health and housing programs to provide for impacted households. The City as an administrator of HOPWA funds will continue to stress the importance of a community partnership and leveraging of non-HOPWA resources.

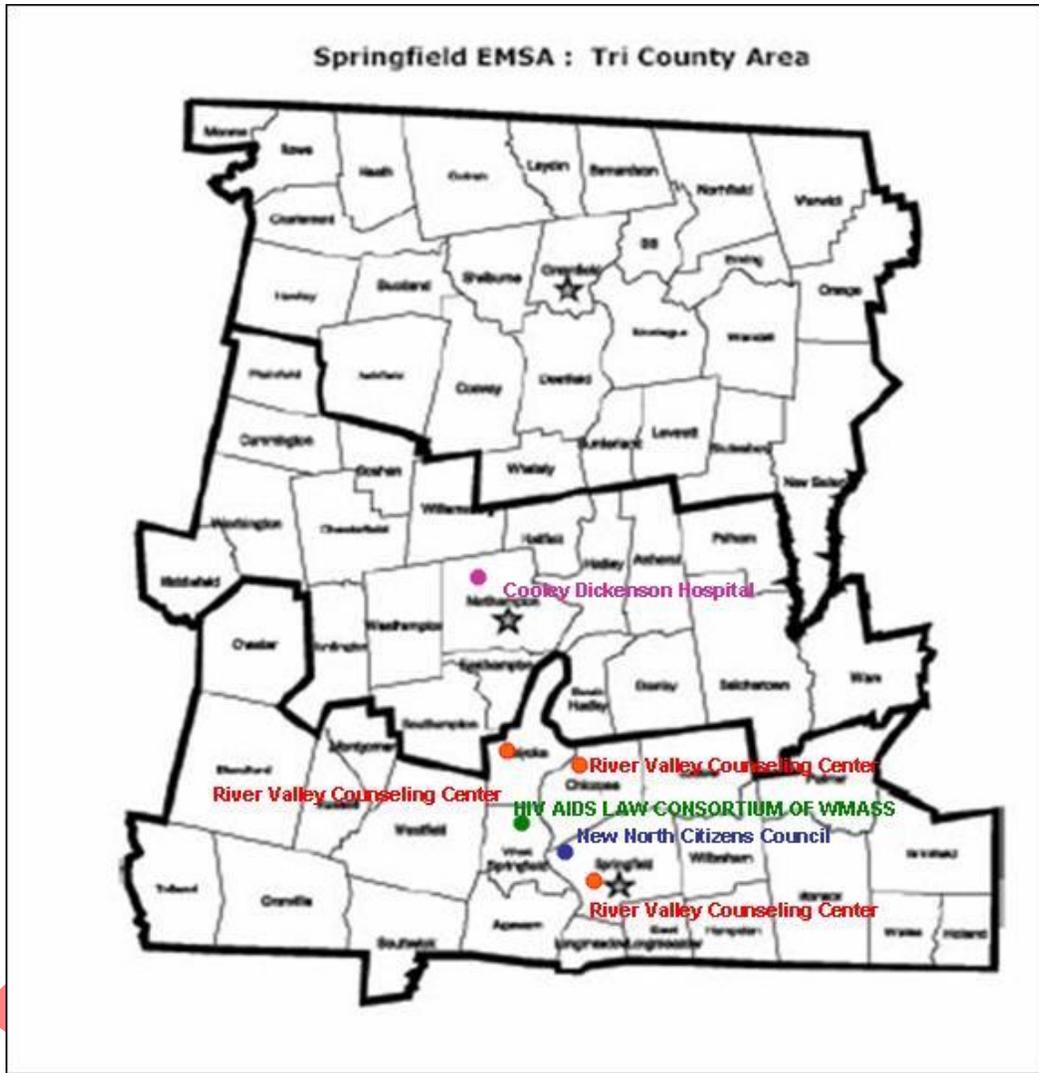
HOPWA REPORT – FY11-12

	Center for Human Development	Cooley Dickinson	NNCC	River Valley	FY TOTAL
CONTRACT #	20120066	20120170	20120070	20120072	
Support Services	82	32	93	16	223
TBRA		12		16	28
Housing Information				67	67
STRMU			86		86
less duplicates:				-16	-16
TOTAL HH SERVED:	82	44	179	83	388

Agency	Center for Human Development	Cooley Dickinson	NNCC	River Valley	FY TOTAL
HOPWA Contract Amount	\$47,916.00	\$128,253.00	\$ 124,465.00	\$165,591.00	\$ 466,225.00
HOPWA Expended Funds	\$ 47,910.66	\$ 128,253.00	\$ 124,465.00	\$163,023.00	\$ 463,651.66
EXPENDITURE AMOUNTS					
Support Services	\$ 44,569.77	\$ 55,577.00	\$ 73,683.00	\$77,038.59	\$ 250,868.36
TBRA		\$ 63,703.00		\$50,063.00	\$ 113,766.00
Housing Information				\$24,542.86	\$ 24,542.86
STRMU			\$ 42,069.00		\$42,069.00
Administration	\$ 3,340.00	\$ 8,973.00	\$ 8,713.00	\$11,378.60	\$ 32,404.60
TOTAL	\$ 47,909.77	\$ 128,253.00	\$ 124,465.00	\$163,023.05	\$ 463,650.82
Matching Funds					
City of Spfidl				\$40,000.00	\$ 40,000.00
MDPH	\$ 110,488.33	\$ 15,402.00		\$2,562.26	\$ 128,452.59
Fed Grant			\$ 157,741.00		\$ 157,741.00
SAMHSA				\$2,092.00	\$ 2,092.00
Ryan White					\$ -
Donations					\$-
In Kind					\$ -
TOTAL MATCHING FUNDS	\$ 110,488.33	\$ 15,402.00	\$ 157,741.00	\$44,654.26	\$ 328,285.59

HOPWA Funded Organizations

2011-2012



F. ESG Narrative

After a community needs assessment, the City requested proposals from homeless service providers to operate ESG eligible programs. For the first allocation of ESG funds for 2011-2012, which was \$179,926, the City operated under the spending caps that were part of the former ESG program. These spending caps limited spending for Essential Services at 30%, and limited spending for Prevention at 30% (30% of the initial grant amount is \$53,977.)

In December, 2011, the City was notified that it would receive a second allocation of ESG funds, in the amount of \$101,208, and that these funds must be used in accordance with newly-released interim Emergency Solutions Grant regulations. The new regulations eliminate the former spending caps, but require that the amount that be used for street outreach and emergency shelter activities cannot exceed the greater of 60% of the recipient's fiscal year grant or the amount of fiscal year 2010 ESG grant funds committed for Homeless Assistance activities.

60% of FY11 ESG grant	\$170,000
FY10 Homeless Assistance funds	\$127,200

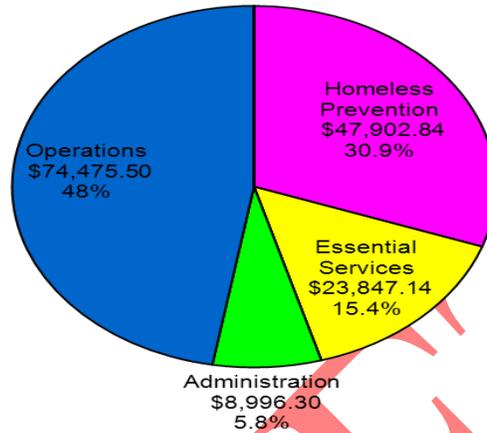
The greater of these amounts is \$170,000, which caps the amount that the City could spend on street outreach and emergency shelter activities. The interim ESG regulations allowed the City to re-allocate unspent first allocation ESG funds under the new regulations.

The Interim ESG regulations require the City to consult with the Continuum of Care in planning for use of the second allocation. This planning took place in February and March 2012.

The City expenditures for FY11-12 within the allowable activities and expenditure caps are located on pages 96-97.

ESG funds have statutory match funds requirement of one to one. For every ESG dollar expended, one dollar of other private or eligible public money must be expended. The City's ESG program far exceeded this requirement by leveraging \$1,334,624.50.

FY11-2012 Expenditures by Category, First Allocation
Total ESG Expended: \$155,221.78



ESG Activities – First Allocation

The former Emergency Shelter Grants program (which governed the City’s first allocation of ESG funds) included four eligible activities: to increase the number and quality of emergency shelters/and transitional housing facilities, to operate these facilities, to provide essential services, and to help prevent homelessness.

Eligible ESG activities, with corresponding funded programs, are:

1. **Renovation** - Rehabilitation and conversion of buildings for use as emergency shelters or transitional housing for the homeless. The City did not fund any renovation activities this fiscal year.
2. **Essential services** - Assistance may be used to provide essential services for persons in emergency shelter, including services concerned with employment, health, drug abuse or education.

PROJECTS

Friends of the Homeless was awarded \$20,000 to provide case management to shelter guests, to assist them in moving out of homelessness and into permanent housing. FOH provided case management to 3202 people.

3. **Operating costs** - such as maintenance, insurance, rent, utilities and furnishings incurred by a recipient operating a facility

PROJECTS

Friends of the Homeless was awarded \$60,000 for the operation of an emergency shelter for homeless individuals. The shelter served 3202 persons.

The YWCA was awarded \$10,000 for the operation of an emergency shelter for women and their children who were victims of domestic abuse. The project served 103 households.

4. **Homeless prevention** and efforts to prevent homelessness such as financial assistance to families who have received eviction notices or notices of termination of utility services if - a) the inability of the family to make the required payments is due to a sudden reduction in income; b) the assistance is necessary to avoid the eviction or termination of services; c) there is a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and d) the assistance will not supplant funding for preexisting homelessness prevention activities from other sources.

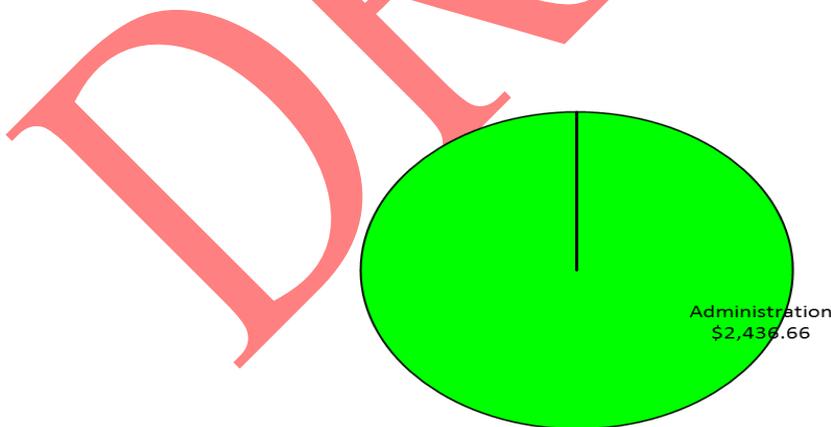
PROJECTS

Tenancy Preservation Program (TPP) was awarded \$25,000 to provide case management, mediation and mental health intervention for households with tenancy at-risk due to mental health issues. The program utilizes a community-based team that works in conjunction with community organizations to identify and intervene in situations where there is imminent risk of homelessness. This program is a state-wide model that has received national acclaim. During this fiscal year, the TPP assisted 84 people.

HAP Housing was awarded \$26,962 to provide financial assistance to prevent homelessness among households who have received eviction notices or notices of termination of utility services. With the ESG grant, HAP provided financial assistance to 22 households in order to prevent homelessness.

FY11-2012 Expenditures by Category, Second Allocation and Re-Allocated Funds

Total ESG Expended: \$2,436.66



For the second allocation, only administrative funds were drawn down prior to expiration of the fiscal year. Expenses were incurred by program recipients but were not reimbursed prior to June 30, 2012.

ESG Activities – Second Allocation and Re-allocated Funds

The Interim Emergency Solutions Grant regulations include the following program components:

1. **Street Outreach - Essential Services** related to reaching out to unsheltered homeless individuals and families, connecting them with emergency shelter, housing, or critical services, and providing them with urgent, non-facility-based care.
2. **Emergency Shelter - Major Rehabilitation, Conversion, or Renovation** of a building to serve as a homeless shelter; Essential Services such as case management, childcare, education services, employment assistance and job training, outpatient health services, legal services, life skills training, mental health services, substance abuse treatment services, transportation, and services for special populations; and Shelter Operations, including maintenance, rent, repair, security, fuel, equipment, insurance, utilities, relocation, and furnishings.
3. **Prevention - Housing relocation and stabilization services and short-and/or medium-term rental assistance** as necessary to prevent the individual or family from becoming homeless if: Annual income of the individual or family is below 30 percent of median family income, and Assistance is necessary to help program participants regain stability in their current permanent housing or move into other permanent housing and achieve stability in that housing. Eligible costs include utilities, rental application fees, security deposits, last month's rent, utility deposits and payments, moving costs, housing search and placement, housing stability case management, landlord-tenant mediation, tenant legal services, and credit repair.
4. **Rapid Re-Housing - Housing relocation and stabilization services and short-and/or medium-term rental assistance** as necessary to help individuals or families living in shelters or in places not meant for human habitation move as quickly as possible into permanent housing and achieve stability in that housing. Eligible costs also include utilities, rental application fees, security deposits, last month's rent, utility deposits and payments, moving costs, housing search and placement, housing stability case management, landlord-tenant mediation, tenant legal services, and credit repair.
5. **Data Collection (HMIS)** - Grant funds may be used for the costs of participating in an existing HMIS of the Continuum of Care where the project is located.

PROJECTS

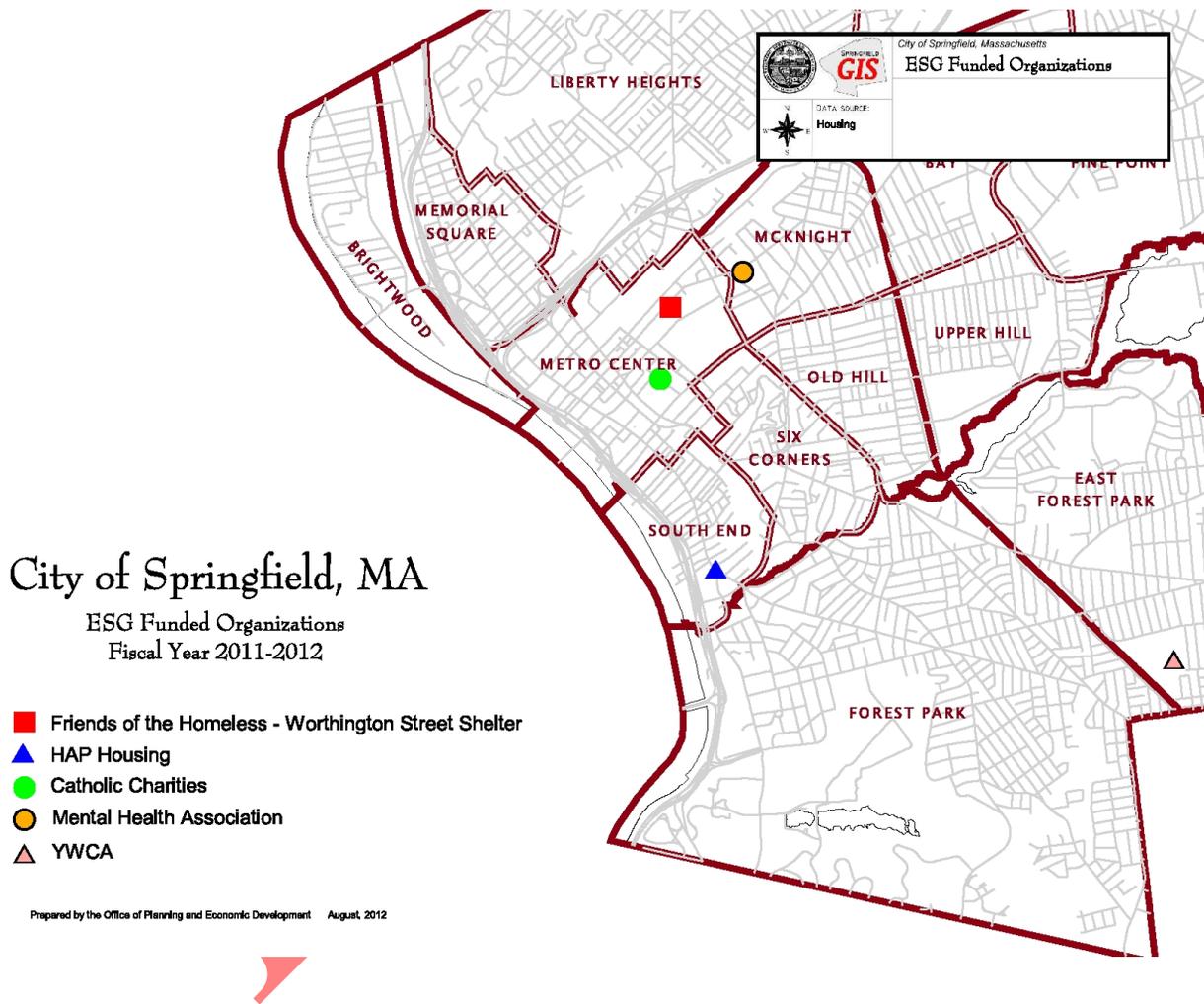
The City used its entire second allocation, as well as re-allocated funds from the first allocation, for Prevention and Rapid Re-Housing. The City funded the following projects:

Catholic Charities was awarded \$55,703.47 to provide rapid rehousing to individuals who are homeless.

Rapid Rehousing	
Housing Relocation and Stabilization Services	\$18,000.00
Tenant-Based Rental Assistance	\$37,703.47

HAP Housing was awarded \$62,383.48 to provide rapid rehousing assistance to homeless families. These funds are all for tenant-based rental assistance.

Because these funds were awarded late in the fiscal year, little of this money was spent in the current fiscal year. Agencies were awarded contracts that required that the funds be spent in full by June 30, 2013.



ESG REPORT FY11-12

	HAP PREVENTION	FOH OPERATIONS	Catholic Charities	MHA-TPP PREVENTION	YWCA OPERATIONS	FY TOTAL
CONTRACT #	20120776	20120049	20121165	20120069	20120073	
Unaccompanied males	1	2612	13	17	0	2643
Unaccompanied females	4	590	14	67	56	731
Under 18 female	0	0	0	0	0	0
Under 18 male	0	0	0	0	0	0
Male Single Parent Families	0	0	0	0	0	0
Female Single Parent Families	15	0	0	0	47	62
Two Parent Families	0	0	0	0	0	0
Adult couples w/o child	2	0	1	0	0	3
HOUSEHOLDS SERVED:	22	3202	28	84	103	3439
Children:	32	0	0	0	81	113
RACE:						
White	6	988	4	54	32	1084
Black	10	805	13	13	30	871
Hispanic	5	1377	9	16	38	1445
Asian	0	29	0	0	0	29
Nat.Amer Indn/Alaskan Native	0	1	0	0	0	1
Hawaiian/Other Pacific Islander	0	0	0	0	0	0
Amer Indian/Alaskan Native & White	0	0	0	0	0	0
Asian & White	0	0	0	0	0	0
Black/African-American & White	0	0	0	0	0	0
Amer Indian/Alaskan Native & Black	0	0	0	0	0	0
Other - Multi	1	2	2	1	3	9
TOTAL SERVED:	22	3202	28	84	103	3439
Chronically Homeless	12	806	13	0	0	831
Severly Mentally Ill	1	1278	0	80	0	1359
Chronic Substance Abuse	0	871	0	19	0	890
Other Disability	4	1307	11	33	0	1355
Veterans	0	198	0	2	0	200
Persons w/HIV/AIDS	0	49	0	3	2	54
Domestic Violence	5	470	0	31	109	615
Elderly	0	0	0	0	0	0
CONTRACT AMOUNTS & EXPENDITURES						
Contract Amounts	\$27,926.00	\$80,000.00	\$56,735.00	\$25,000.00	\$10,000.00	\$199,661.00
ESG FUNDS EXPENDED	\$24,483.48	\$80,000.00	\$13,464.77	\$24,196.00	\$ 10,000.00	\$152,144.25
MATCHING FUNDS						
Dept. of Families & Children					\$ 10,000.00	\$ 10,000.00
DHCD	\$27,983.39			\$ 191,638.00		\$ 219,621.39
DMH				\$ 62,335.00		\$ 62,335.00
MA HOUSING				\$ 91,133.00		\$ 91,133.00
CHA				\$ 7,295.00		\$ 7,295.00
In Kind			\$ 26,208.13			\$ 26,208.13
DTA		\$ 918,031.98				\$ 918,031.98
TTL MATCHING FUNDS	\$ 27,983.39	\$ 918,031.98	\$ 26,208.13	\$ 352,401.00	\$ 10,000.00	\$ 1,334,624.50
	Contract #		GOALS			
FOH Operations	20120049		To operate an emergency shelter for at least 1200 single individuals, and to provide casemanagement services to homeless individuals			
Catholic Charties	20121165		Homeless prevention to as risk individuals.			
YWCA	20120073		To provide emergency shelter for 140 women & children who are victims of domestic violence.			
MHA - TPP	20120069		To provide advocacy for at least 30 at-risk households.			
HAP	20120776		Homeless prevention to at risk families.			

HUD REPORTING REQUIREMENTS

A. Affirmatively Furthering Fair Housing

The CDBG program contains a regulatory requirement to affirmatively further fair housing based upon HUD's obligation under Section 808 of the Fair Housing Act, and CDBG regulations also require that CDBG grantees certify that they will affirmatively further fair housing. The HOME program also requires that jurisdictions affirmatively further fair housing.

In support of these regulations, HUD's CPD Department also requires CD grantees, including entitlement communities like Springfield, to document Affirmatively Furthering Fair Housing AFFH actions in their annual CAPERs. Grantees must:

- Conduct an analysis to identify impediments to fair housing choice within the jurisdiction;
- Take appropriate actions to overcome the effects of any impediments identified through the analysis; and
- Maintain records reflecting the analysis and actions to eliminate impediments to fair housing choice.

In order to comply with these regulations and requirements, the City of Springfield conducted a Fair Housing planning process in 2001, which included completion of an analysis of impediments to fair housing. In 2003, the City of Springfield's Office of Community Development revised its analysis of impediments (AI) with the help of MBL Housing and Development Inc., a consultant hired based on direction from HUD.

In 2006, the City of Springfield augmented this AI with additional analysis and creation of measurable action steps. A DRAFT AI was made available for public review as part of the public review process for the 05-06 CAPER, and it was sent for review and comment to organizations that are directly or indirectly involved with affirmatively furthering fair housing in the region. A copy of the final AI was included in the City's FY06-07 Action Plan.

The City has determined that it should update its AI, and intends to do so in the next fiscal year. As part of a regional Sustainable Communities Initiative, the Pioneer Valley Planning Commission is collecting and analyzing data related to regional impediments to Fair Housing. The City is waiting for this analysis to be complete in order to use it in creating its updated AI.

An overview of the impediments found in the 2006 AI and a list of actions taken during the FY11-12 program year to address the impediments are detailed below.

IMPEDIMENTS FOUND

The following impediments to fair housing in Springfield were identified in the AI:

- a. Lack of extensive amounts of undeveloped land.
- b. Imbalance between rental and homeownership in various neighborhoods.

- c. Presence of deteriorated privately-owned properties which are vacant or not actively managed.
- d. Evidence of predatory lending and redlining.
- e. Existing patterns of segregation.
- f. Language barriers and cultural differences.
- g. The age of the housing stock and the prevalence of lead-based paint hazards.

ACTIONS TAKEN TO ADDRESS IMPEDIMENTS

The City of Springfield has taken positive steps to affirmatively further fair housing and to address the impediments to fair housing identified in the AI.

1. Provision of housing opportunities. Springfield’s AI indicates that some of the greatest barriers to fair housing are related to the lack of housing opportunities for all people. The City continues to address this issue through the following initiatives:
 - a. Expansion of affordable, affirmatively marketed housing stock throughout all Springfield’s neighborhoods. Through the strategic use of its federal housing funds, the city has financed affordable housing opportunities within nearly all of Springfield’s neighborhoods. The City’s financing requires the units to be affirmatively marketed by the developer/owner with the results of those marketing efforts reported to the City annually.
 - b. Utilization of municipal properties as redevelopment sites for affordable housing opportunities. Springfield has undertaken a comprehensive program to take abandoned blighting properties through the land court process. The reuse of these properties has enabled the city to create affordable homeownership opportunities.
 - c. Multi-family dwelling owners seeking financial assistance, including for rehabilitation and lead paint abatement, are required to demonstrate how the project will be marketed to ‘those persons least likely to apply’ and demonstrate, to the greatest extent possible, that the multi-family complexes are integrated communities.
 - d. Provision of financial assistance to eligible homebuyers in all Springfield neighborhoods. A basic premise of Springfield’s homebuyer assistance program is that each buyer should be able to achieve homeownership in any neighborhood.
 - e. Advocacy at the regional level to expand affordable and supportive housing opportunities throughout the region.
2. Provision of Education concerning Fair Housing and Housing Discrimination

- a. The City provided homebuyer education workshops in English and Spanish throughout the program year. While the primary objective is to prepare first-time homebuyers for ownership, the education workshops contain a component on fair housing.
- b. The City, through its Office of Housing and through a subcontract relationship, provided education and legal advocacy for households facing housing discrimination.

B. Affordable Housing

Through a variety of programs, the City was able to assist low and moderate income homeowners and renters. The following table illustrated the numbers of households assisted. The accompanying program descriptions provide a brief overview of the programs and funding sources.

AFFORDABLE HOUSING: HOUSEHOLDS ASSISTED

	First-Time Homebuyer	Emergency Repair/ Rehab	Heating Systems and Clean & Tune	Homelessness Prevention & Rapid Rehousing	Project-Based Homeownership	Multi-Family Rental Production	TBRA	Totals
0-30 MFI Renter	-	-	11	34	-	14	64	123
0-30 MFI Owner	4	4	936	-	0	-	-	944
31-50 MFI Renter	-	-	4	-	-	12	1	17
31-50 MFI Owner	11	3	312	-	2	-	-	328
51-80 MFI Renter	-	-	2	-	-	-	0	2
51-80 MFI Owner	47	2	34	-	3	-	-	86

Key:

Heating Systems - a state funded heating system repair and replacement system that serves fuel assistance eligible households.

Clean and Tune – a state funded program that provides annual maintenance service to heating systems for fuel assistance eligible homeowners.

Homelessness Prevention and Rapid Rehousing – a federally funded program which offers assistance to households at risk of or experiencing homelessness.

Project Based Homeownership – a federally funded (HOME) program, which provides homeownership units for first-time homebuyers. Developers are provided financial assistance to acquire and rehabilitate distressed properties.

Multi-family Rental Production – a federally funded (HOME) program which produces affordable rental units through the rehabilitation of multi-family housing complexes, or, in some limited cases, through new construction.

TBRA –Tenant-based Rental Assistance - a federal funded (HOME) program used to provide rental subsidies for formerly homeless singles and families.

C. Homeless/Continuum of Care Narrative

The City is in its sixth year of implementing its Ten-Year Plan to End Homelessness, “Homes Within Reach,” which was released in January 2007. The plan addresses the needs of both chronically homeless and crisis homeless, and both individuals and families. The plan sets forth numerous strategies to achieve eight core goals: 1) permanent supportive housing for the chronic homeless; 2) homelessness prevention; 3) rapid exit from homelessness; 4) employment and training to increase incomes; 5) deeply subsidized housing; 6) improved access to mainstream services; 7) coordination and advocacy with our community, our region, and state and federal governments; and 8) accountability through data collection and analysis.

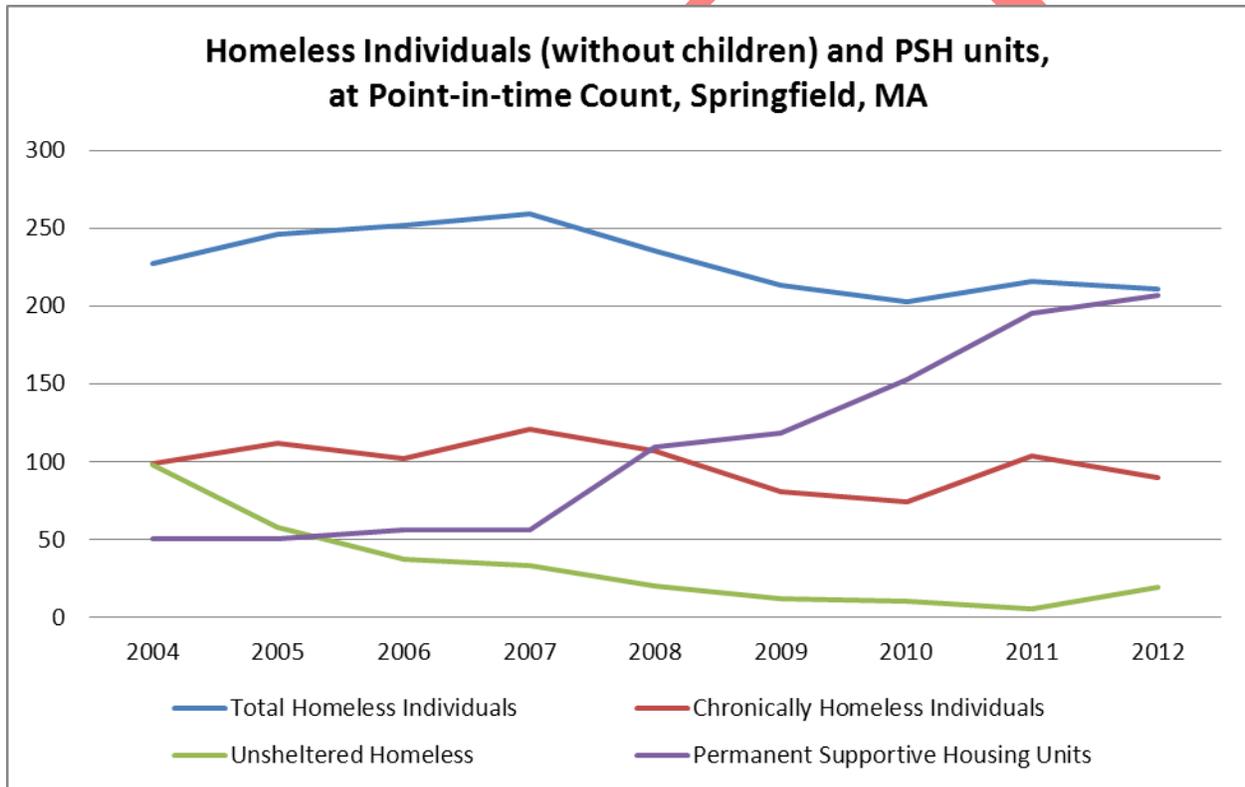
Implementation of the plan has been led by an Implementation Committee, composed of individuals from government, non-profit entities, the business community, the faith community, housing providers and foundations. Members of the Implementation Committee have been very active in advocating for and committing resources to the plan, as well as in building community support for the plan.

Homelessness Prevention and Rapid ReHousing Program. During FY11-12, the City continued administering a Homelessness Prevention and Rapid Rehousing Program (HPRP) grant of \$1,700,802. The City used these funds to set up one coordinated response system for families, and one system for single individuals. These systems, each organized by a single lead agency, are designed to be points of entry for the populations they serve. The lead agencies were chosen through a request for proposals (RFP) competitive process. Catholic Charities, partnering with Friends of the Homeless and Health Care for the Homeless, became the lead provider for individuals, and was funded at \$568,499 for a three-year period. HAP Housing became the lead provider for homeless families, and was funded at \$1,055,783 over a five-year period.

HPRP funds were exhausted during the past fiscal year. The City has used Emergency Solutions Grant funds to continue the system set up with HPRP funds. Catholic Charities and HAP Housing continue in their roles as lead agencies providing rapid rehousing assistance to homeless households.

The new HPRP programs started in November 2009. In the last fiscal year, these programs served 34 households (funds ran out early in the fiscal year). Since initiation of the programs in 2009, they have served a total of 297 unduplicated households.

Point-in-Time Count. Springfield’s January 2012 point-in-time count showed that Homes Within Reach continues to be effective in reducing street homelessness; the count identified 16 individuals on the street (down from a high of 98 individuals on the street in 2004). Unfortunately, despite increased production of permanent supportive housing, the City experienced small increases in the number of chronically homeless individuals and in the overall number of individuals since 2010. The City attributes this increase to continued troubles in the nation’s economy, with a corresponding high rate of unemployment in Springfield, combined with federal and state cuts to funding for mainstream social services programs.



The January 2012 count identified 206 homeless individuals and 166 homeless families in the City.

The January point-in-time count showed a stable number of homeless families, after six years of annual increases in family homelessness. The rise in family homelessness is a national trend, and is believed to be caused by a sluggish economy and the high rate of foreclosures over the past several years.

The Springfield point-in-time count likely under-reports the problem of family homelessness in

the City. In Massachusetts, the state provides shelter to eligible homeless families. In order to meet the need for shelter, the state contracts directly with service providers to shelter families referred to them by the state Department of Housing and Community Development. If there is more demand for shelter than there are shelter units under contract, the state places homeless families in motels. Most families placed in motels are placed outside of Springfield. At the time of the 2012 point-in-time count, there were more than 300 families in motels in Hampden County. It is believed that the majority of these families originated in Springfield.

The state has transformed its response to homelessness with its establishment of the HomeBase Program in August 2011, and with new program regulations in 2012. It is hoped that the program will result in a decrease in family homelessness in the upcoming year.

Regional Response to Homelessness. Springfield has continued to make progress toward our goal of engaging our regional partners in the goal of ending homelessness. With state funding, the Western Mass Regional Network to End Homelessness hired a director and a data analyst in FY09-10. The Network's goal is to establish housing first as the appropriate response to homelessness throughout the region. With state funding, the Network has engaged multiple providers throughout the 4-county region in initiating prevention, rapid rehousing, and permanent supportive housing programs. The effort is spearheaded by a Leadership Council, which functions in the region in much the way that Springfield's Implementation Committee functions within the City.

Creation of Permanent Supportive Housing Units. Providers in Springfield created 16 permanent supportive housing (PSH) opportunities for chronically homeless individuals in FY11-12, added to the 143 housing opportunities for chronically homeless individuals that had previously been created. In the middle of the 6th year of Springfield's ten-year plan, the City has created 67% of the City's 10-year goal of 250 PSH units.

Homeless Resource Center. In FY10-11, FOH completed construction of the homeless resource center, which combines shelter beds and day center space with the services necessary to exit homelessness: an employment and housing resource center, a medical and dental clinic, on-site social services, and flexible office space to be used by providers of mainstream services on a rotating basis. The project was funded through Tax Credit Assistance Program funds, HOME funds through both the City and state, McKinney funds, additional state funding, City general revenue funds, a Federal Home Loan Bank Board grant, and \$1.2 million raised by the local business community.

Homeless Management Information System (HMIS). The City uses Social Solutions' Efforts to Outcomes (ETO) programs for its HMIS. In FY11-12, the City provided usable data for the Annual Homeless Assessment Report (AHAR) for the first time, in the category Emergency Shelter-Individuals. Our HMIS manager has been working with providers to expand the number of entities using HMIS and to improve data quality. In 2012, the City expects to be able to provide usable AHAR data in all categories.

Leadership: Continuum of Care and Ten-Year Plan Implementation Committee

Leadership for the City's homelessness initiatives originates from both the Ten-Year-Plan Implementation Committee and the Continuum of Care. The two committees share several common members. The CoC serves to identify issues at the service level that the Implementation Committee seeks to address at the policy level.

The CoC has regular monthly meeting, attended by 30-40 individuals. The meetings are scheduled on a regular date (the third Thursday of the month), with agendas sent out in advance. The Implementation Committee meets annually, and focuses on our community's progress toward achieving the goals set out in the Ten-Year Plan, and addressing barriers to achieving those goals.

At the end of the current fiscal year, the Springfield CoC expanded to become the Springfield/Chicopee/Holyoke/Westfield/Hampden County CoC. Springfield expects that this expansion will support improved regional homelessness planning and coordination.

E. Other Actions

i. Addressing Obstacles to Meeting Underserved Needs

While there are numerous obstacles to serving underserved needs and subpopulations in Springfield, the three primary obstacles are:

- The coordination of resources from multiple funding sources across various agencies and providers. Each funding source has its own eligibility, definitions and objectives.
- Lack of sufficient resources to engage and serve special needs sub-populations.
- A lack of a regional approach to meeting the needs of people in Springfield; within the region, the majority of low-income individuals live within the City, and communities outside of Springfield are not engaged in a concentrated way to address the needs of this population at a regional level.

During FY 11-12, the City worked to overcome these obstacles by advocating for legislative change, when appropriate; providing technical and financial assistance; and continued implementation of the City's ten year plan to end homelessness.. The City continued to advocate for a regional approach for addressing income inequity throughout the region, and is participating in a regional housing planning initiative funded by a Sustainable Communities grant.

ii. Foster and Maintain Affordable Housing

The City of Springfield's population, according to the 2010 United States census, is 153,060. According to census data, nearly 60% of Springfield's households are low or moderate income and there are 39,273 people living in poverty. This figure represents close to 27% of the population of Springfield.

Springfield has 61,706 housing units. Of this number, 50% are owner occupied and 50% are rental units. According to the 2010 census, there are 28,513 occupied rental units. Of these rental housing units, 10,522 are occupied by households with a public housing certificate (Section 8 or Mass Rental Voucher) or are legally deed-restricted to provide affordable housing to low-income households. Nearly 37% of Springfield’s rental stock provides affordable housing to low-income persons.

Widespread poverty and the City’s aged housing stock create an enormous demand for safe, affordable housing. However, the City’s high concentration of poverty and associated social problems, along with the fact that households impacted by concentrated poverty are predominantly minority, suggest that significant creation of new affordable rental units in the City may have negative consequences in terms of providing existing City residents with economic opportunity and fair housing choices

The City’s primary response to the need for safe affordable housing in the City is funding for preservation and rehabilitation of existing housing stock (including housing subject to expiring use restrictions), and initiatives which support affordable homeownership opportunities. The City uses HOME funds to provide tenant-based rental assistance, a strategy that both supports housing affordability and addresses the concentration of poverty. The City encourages its partner agencies and municipalities to assist in simultaneously addressing affordability and concentrated poverty through use of mobile housing resources such as Section 8 vouchers, and through creation of affordable housing throughout the Springfield metropolitan area.

Springfield set and accomplished the following goals for FY 11-12. Each short-term goal is a direct response to identified community housing needs.

Goal	Proposed	Accomplished
Improve the quality of rental housing stock through rehabilitation	→ 20 rehabilitated rental units	→ 15 units
Ensure the availability of affordable rental housing through multi-family rental production and preservation	→ 10 units created through rental production program → 50 households assisted through TBRA program	→ 15 units → 60 households
Increase homeownership among low-income households	→ 100 households assisted through the Homebuyer Assistance Program → 10 units benefiting from the project based homeownership program	→ 82 households → 5 units
Improve the quality of owner-occupied housing thereby permitting low-income owners to remain in safe housing	→ 15 units	→ 32 units

iii. Eliminate Barriers to Affordable Housing

Although Western Massachusetts is a more affordable housing market than the metro-Boston area, public policies such as land-use controls, zoning ordinances, and growth limits have greatly impacted the development of new housing. Many communities throughout the Pioneer Valley have adopted policies which require increased lot size for residential properties, have created protective open space and agricultural zones to limit residential development and have established lengthy review processes for new developments. These actions have directly impacted the cost of housing development, and effectively halted affordable housing development. Additionally, Massachusetts communities operate under Proposition 2 ½, which restricts the ability to raise local revenues. For many communities, this restriction is a disincentive to develop housing, especially multi-family housing. As the cost of municipal services and education are deemed greater than the tax revenue, communities are reluctant to reduce barriers.

The City of Springfield has a successful track record in overcoming traditional barriers to affordable housing in order to increase the availability of decent affordable housing for all individuals. According to the Commonwealth of Massachusetts' Department of Housing and Community Development, Springfield ranks 5th in the state, with 17.4% of its housing stock dedicated to affordable housing. Springfield policies of zoning, land use, and public financing greatly encourage affordable housing.

The City has undertaken proactive steps to eliminate barriers to affordable housing. Specifically, during FY11-12 the City:

- Maintained an inventory of municipally owned land that is suitable for the development of housing;
- Aggressively pursued tax-taking of properties to be utilized to promote the goals of its housing strategy;
- Worked with local lenders and non-profit agencies to provide first-time homebuyer education and counseling;
- Provided housing search and relocation assistance to households residing in sub-standard rental units and to persons experiencing homelessness;
- Supported project applications for affordable housing resources including LIHTC, HIF, HSF and HOME; and
- Provided leadership for the regional planning process to end homelessness, advocating that affordable housing needs be considered on a regional basis.

iv. Overcome Gaps in Institutional Structures and Enhance Coordination

A collaborative approach is utilized by the City of Springfield to implement programs and projects that involve the use of entitlement funding. With the Office of Community Development (OCD) as the lead agency, the ConPlan has been completed with direct involvement of a number of City departments. Although this collaborative approach is working, the City works continuously to identify gaps of service and coordinates efforts to ensure that necessary actions are taken to fill the gaps.

The Office of Community Development administered and implemented programs described in the Five Year Consolidated Plan and Annual Action Plans.

Key Staff includes: Director of Community Development
 Deputy Director of Neighborhoods
 Deputy Director of Economic Development
 Deputy Director of Planning
 Director of Housing
 Director of Administration and Finance

To implement the City's strategy, during FY11-12 these departments utilized private industry, non-profit organizations, including CBDO's, CHDO's, and City departments. The utilization of such a broad base of organizations enabled the City to address its community development, housing, homeless and special needs objectives. However, while the number and abilities of the organizations and departments involved are an institutional strength, the City constantly works to coordinate the projects and programs. Such coordination is integral to the success of the Plan. During the past OCD continued to find success through its efforts to coordinate with these organizations and departments.

During 2011-2012 program year areas of particular strength included:

- Programs funded in part or in whole with entitlement funds were measured using HUD's performance measurement system.
- Coordination of activities undertaken by multiple city departments toward the goal of neighborhood stabilization.
- The City's strong homeless provider network is a particularly important strength of the delivery system, especially the components of the Plan that pertain to the implementation of the 10 year plan to end chronic homelessness in Springfield..

v. Improve Public Housing and Resident Initiatives

Each year, the Springfield Housing Authority produces an Annual Plan, which includes numerous goals and objectives for public housing and resident initiatives.

In its current Annual Plan, the Springfield Housing Authority committed to the following in their strategy for addressing the housing needs for families in the jurisdiction and on the waiting list:

GOAL: Increase the availability of decent, safe, and affordable housing.

- Apply for additional rental vouchers;
- Leverage private or other public funds to create additional housing opportunities;
- Federalize state family housing units: 150 units at Reed Village, 196 units at Duggan Park, and 136 units at Robinson Gardens;
- Achieve High Performer status for public housing and Section 8 management;
- Increase customer satisfaction in the admissions department, the rental assistance

- department, and in the management of public housing;
- Modernize state public housing units that are federalized;
- Provide voucher mobility counseling and conduct outreach to potential voucher landlords; and
- Expand the voucher homeownership program.

GOAL: Improve community quality of life and economic vitality

- Implement measures, including flat rents, to promote a broad range of income households in its developments;
- Increase security through Neighborhood Watch, resident initiatives, and collaboration with the Springfield Police Department and other law enforcement entities;
- Offer an array of programs for youth and adult members of the community; and
- Consider designation of certain developments for particular resident groups (elderly, persons with disabilities).

GOAL: Promote self-sufficiency and asset development of assisted households

- Increase the number of percentage of employed persons in assisted families through in-house maintenance apprenticeship and computer training;
- Operate an educational center to teach computer skills to residents; and
- Coordinate with other agencies to provide supportive services to increase independence for the elderly and families with disabilities.

GOAL: Ensure Equal Opportunity in Housing for All Americans

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability;
- Undertake affirmative measures to provide a suitable living environment for families in assisted living, regardless of race, color, religion, national origin, sex, familial status, and disability; and
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.

vi. Evaluate and Reduce Lead Based Paint Hazards

Prevalence of Lead-Based Paint Hazards Springfield is defined as a "high risk" community for lead poisoning by the Commonwealth's Department of Public Health. Of Springfield's total of 61,172 housing units, 36.3% were built prior to 1940. A full 89.9% were built pre-1979 and are therefore likely to contain lead-based products.

The Lead Hazards section of Environmental Defense "Scorecard", co-sponsored by the Alliance to End Childhood Lead Poisoning, indicates that there are 6,207 "high-risk" units in Springfield, meaning housing units built before 1950 and occupied by families living below the poverty level. The Scorecard ranks census tracts by the potential lead hazards; Springfield includes the top-ranked tract in Massachusetts. Scorecard's summary of Lead Hazards clearly documents a high

level of potential lead hazards within the City.

SUMMARY OF LEAD HAZARDS – CITY OF SPRINGFIELD

Neighborhood	Number Of Units at High Risk*	Units Built Pre-1950	Units With Low Income	Children Under 5 Living In Poverty
Sixteen Acres	216	850	709	344
Six Corners	730	1,800	1,200	590
Bay	240	700	450	200
Brightwood	194	650	840	292
East Springfield	160	1,300	300	160
Forest Park	1,282	6,330	1,828	771
Indian Orchard	314	1,770	643	249
Liberty Heights	575	3580	1,350	563
McKnight	380	1,100	550	200
Memorial Square	301	540	911	410
Metro Center	530	1,330	920	200
Old Hill	320	910	510	300
Pine Point	235	1,480	650	432
South End	470	1,260	740	341
Upper Hill	260	1,500	330	270
TOTAL	6,207	25,100	11,931	5,322

Source: Scorecard/Environmental Defense

*This measure is the number of housing units that were built before 1950 and are occupied by families living below the poverty level.

The City has aggressively sought to improve the quality of its affordable housing stock. The City is a co-applicant this year with the Massachusetts Department of Housing Community Development for a Lead Hazard Control Grant.

The City uses federal dollars to evaluate and reduce lead based paint hazards. CDBG funds support the Division of Code Enforcement, which conducted over 4,000 inspections within target areas. In accordance with Mass lead laws, lead based paint hazards determination is undertaken during all state sanitary inspections in units that house children under 6 years of age.

Lead abatement activities were completed on properties under the state lead abatement financing program, and all project-based and multi-family units funded the HOME funds.

vii. Ensure Compliance with Program and Comprehensive Planning Requirements

The City strives to improve its compliance and sub-recipient management system to achieve efficient administration of our federal programs by:

- Tracking accomplishments and performance measurement data and entering this information on HUD's IDIS system.
- Detailed scope of services and budgets will help the city measure its success with goals and outcomes for the performance measurement system.
- A master contract list managed by the Office of Community Development used to track projects from initiative through closeout.

In FY 11-12, CDBG subrecipients generally performed their work in accordance with their scope of service and achieved a national objective. For organizations that required additional guidance, program monitors provided technical assistance and, in some cases, withheld funds until said objectives were met.

During this program year with input from HUD, the City continued to improve the system used to monitor projects and programs paid for in whole or in part with entitlement funds, including CDBG, HOME, ADDI, HOPWA and ESG, including improvements to the long term compliance process and increased use of the logic model in RFP's and contract scopes of services and budgets.

viii. Reduce Number of Persons Living Below the Poverty Level

Almost 27% of Springfield households live in poverty (\$15,020 for a family of three in 2002). Over a third (33.9%) of children under 18 live in poverty, giving the City one of the highest child poverty rates in the state (Census 2000). The rate is higher for Latino families, with 58% of children under 18, and 74% of children under five living in poverty. Of all household types, single-parent households headed by women are the poorest, with 62% with children under age five living in households with poverty-level incomes. In addition 87% of students in the City's Public Schools are classified as low income.

During FY11-2012, the City worked to reduce the number of families living in poverty. Specific actions to provide housing opportunities, economic development opportunities, adult basic education, and job training programs, financial education and financial literacy programs, life skills counseling, transportation, day-care, health and other support services included:

- Continued implementation of the City's 10 Year Plan to End Homelessness.
- Funding numerous human service programs that delivered programs for at-risk youth and adults that provided self-sufficiency training and employment services.

The Community Development, Housing and Neighborhood Services, Health and Human Services and Economic Development offices, made a concerted and focused effort to independently address poverty issues during this program year. Further, the City continued to place strong emphasis on development partnerships with neighborhood organizations, private

corporations, state and federal social service agencies and economic development agencies, non profit service providers and impacted parties who are residents of Springfield.

The City also incorporated the services and programs provided by the Massachusetts Career Development Institute (MCDI) into its anti-poverty strategy. MCDI administers job training, adult basic education, on the job training related programs for incumbent workers, the unemployed, the underemployed, welfare recipients, dislocated workers, at-risk youth, and the homeless. As one of only two major skills centers in the county, MCDI continues to play an important role in the City's economic development and anti-poverty strategy.

MCDI provides basic instruction in precision tooling and manufacturing program for those Springfield residents who are underemployed or incumbent workers looking to start a career in the machine industry. The program will expose students via hands on computer simulation to CNC machining equipment, academic remediation and will provide economic opportunities for the low income persons by creating jobs.

MCDI provides healthcare training program for those Springfield residents looking to start a career field related to nurses 'aides/home health aides and medical billing and coding. The program will be augmented with contextualized ABE which includes reading, writing and math associated with the healthcare occupation. Job readiness and employability will be incorporated through career services; assistance with internship and job placement will be provided through the program. The training program will provide economic opportunities for low income persons by creating jobs. Two jobs were created during the 2011-2012 FY in the healthcare field.

Leveraging Resources

During 2011-2012 the City of Springfield attracted and utilized significant non-entitlement funds. The sources of these funds include federal grants, local and state bonds, Low-Income Housing Tax Credits, State Affordable housing funds, resources from numerous State agencies, private foundation grants, and private financing. A summary that details the source, dollar value and use of funds is included in the table on page 78.

x. Citizen Comments/Citizen Participation

FY 11-2012 Action Plan

During the development of the Annual Action Plan the City held a public hearing was held on January 25, 2011 at the Chestnut Accelerated School, 355 Plainfield Street where the majority of the funds would be spent. Two hearings were scheduled, but do to unforeseen weather; the hearing that was scheduled on January 18, 2011 was canceled. The hearing was held to obtain input from residents and to identify priority community needs. The City's major initiatives were Code Enforcement, Public Infrastructure, Quality of Life Issues, Parks & Public Facilities, Workforce Development, Economic Development, Commercial Districts, Youth, Elderly, Special Needs Persons, Persons with HIV/AIDS, Homeless Persons, Affordable Housing and Homebuyer Counseling and Assistance. The City advertised the public hearings in the Springfield Republican newspaper, the Neighborhoods Plus section of the Republican and the Spanish Newspaper, LaVoz. The City also mailed out a flyer from OCD's extensive mailing list. A summary of comments received during these hearings was included as part of the final Annual Action Plan submitted to HUD in May 2011.

The Draft Action Plan was available for public review and comment from April 8th through May 9, 2011 at multiple locations to increase likelihood of citizen participation, including the Office of Community Development, 1600 East Columbus Avenue; Office of Housing, 1600 East Columbus Avenue; Office of Planning and Economic Development, 70 Tapley Street and the Office of Health & Human Services, 95 State Street. An electronic version was posted on the City's Website at www.cityofspringfield.com.

A public hearing to obtain comments on the Draft Annual Action Plan was held on April 13th at 5:00 PM, 36 Court Street in Room 220.

Citizen Comments on the Plan. David Gaby, a concerned citizen spoke on behalf of McKnight CDC. He is commenting on homeowner rehab of the McKnight neighborhoods and what he would like to done to homes in the neighborhood. He also made mention to using funds towards a paint program. Ms. Buono explained to Mr. Gaby and the other residents in attendance, that the meeting was for input on the DRAFT Action Plan that was available at the time for public comment. The comments Mr. Gaby is making should have been made at the public hearings to obtain input for the Action Plan.

No further comments were received.

Consolidated Annual Performance Evaluation and Review (CAPER)

An executive summary of the CAPER for the fiscal year that commenced on July 1, 2011 and ended on June 30, 2012 (FY 11-2012) was posted online and available for public review from August 31, 2012 through September 14, 2012 and a public hearing was held on September 11th at 5:00, at Springfield City Hall in Room 220. During the review period copies of the Draft CAPER were available to all Springfield's residents, at the following locations:

- Office of Planning & Economic Development, 70 Tapley Street;
- Office of Community Development, 1600 E. Columbus Avenue;

- Office of Housing, 1600 E Columbus Avenue, 1st Floor
- Department of Health and Human Services, 95 State Street
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

An announcement about the hearing and the availability of the draft document was published in English and Spanish in the Springfield Republican on August 24, 2012 and published in the Neighborhoods Plus Section of the Republican on September 5, 2012. A flyer was mailed to persons and organizations included on the Office of Community Development's extensive mailing list. The advertisement also solicited written feedback from Springfield residents. No comments were received.

xi. Self Evaluation and Performance Measurement

During the FY06-07, the City of Springfield undertook a thorough self evaluation process as part of its planning process for the FY07-08 Action Plan. The City also allocated time and resources for gathering and analyzing data and community input to assist with the identification of annual priorities, goals and objectives for the Action Plan and for problem solving and technical assistance to subrecipients.

As indicated in the "Overcome Gaps in Institutional Structures and Enhance Coordination" section above, during this 06-07 program year the City conducted an analysis of the Consolidated Plan delivery system and took measurable steps toward eliminating or reducing the gaps identified.

In particular, the continued reorganization of the community development departments led to identification of non federal funding to hire new staff to conduct economic development and revitalization programs and projects and to continue to improve the delivery of code enforcement, demolition and related programs.

Also, the City continued to work with community based development organizations and other non profits to improve the delivery of programs serving residents of CDBG eligible areas in a coordinated, efficient and thorough manner. It is anticipated that such upfront investment will yield increased capacity at underperforming community-based organizations, CDC's, and nonprofits; leverage additional funds for projects and programs; and result in improved living conditions and quality of life for low and moderate income persons in Springfield.

xii. Sources of Funds

The City of Springfield (the "City") expects to receive amounts allocated under HUD Formula grant programs and through program income during the year to address the priority needs and objectives identified in the City's strategic plan.

SOURCES OF FUNDS

CDBG	\$ 3,717,871.00
HOME	\$ 1,591,738.00
HOPWA	\$ 471,919.00
ESG	\$ 281,134.00
	\$
<hr/> Subtotal	<hr/> \$ 6,062,662.00
Total Estimated Program Income for FY2011-2012	
CDBG	\$ 250,000.00
HOME	\$ 150,000.00
Grant funds from previous years for which the planned use has not been included in prior statements or plans	
HOME	\$ 185,000.00
CDBG	\$ 400,000.00
<hr/>	<hr/>
TOTAL ENTITLEMENT FUNDING SOURCES	\$ 7,047,662.00

Federal funds from non-entitlement sources were used for toward programs and projects underway in Springfield during the fiscal year. (NOTE: Many of the following sources of funds are intended to be utilized over a period of several years.)

Other Sources of Funds Expended during 2011-2012

PROJECT	EXPENDITURE	SOURCES OF FUNDS
South End Development	\$ 1,053,115	City of Springfield Bond
Chapman Valve Development	\$ 12,238	City of Springfield Bond
Neighborhood Stabilization	\$ 1,374,611	Federal - HUD
	\$ 245,913	State - DHCD
Streets & Sidewalks	\$ 3,626,553	Chapter 90
Neighborhood Development - Demolition Program	\$ 323,181	City of Springfield Bond
ARRA Funds	\$ 106,186	CDBG-R Federal – HUD
	\$ 323,522	HPRP – Federal – HUD

Housing Initiatives

\$	599,498	DHCD-Heartwap
\$	550,000	DHCD – Home
\$	30,000	Federal Home Loan Bank
\$	1,950,000	Affordable Housing Trust Fund
\$	9,465,921	Private Financing
\$	85,466	Mass Housing Investment Corp
\$	23,149,446	Tax Credit Equity

Homeless Initiatives

\$	387,091	HUD-Shelter Plus Care
\$	1,086,303	HUD-McKinney Grant
\$	2,818,157	Health Care for the Homeless-5 yr

The City of Springfield is 100% forgiven from HOME matching requirements for the FY11-12 fiscal year. Current demographic trends in the City of Springfield cause the City to meet the regulatory definition of a local government participating jurisdiction that is in severe fiscal distress as stated in section 92.222(a)(1) of the HOME Investment Partnership regulations. This means that in Springfield:

- “The average poverty rate in the participating jurisdiction was equal to or greater than 125 percent of the average national poverty rate during the calendar year for which the most recent data are available, as determined according to information of the Bureau of the Census.”

Poverty Rate

Springfield, MA	United States	% of Average United States Poverty Rate
29.6	13.3	223%

Source: US Census Bureau, 2005 American Community Survey

- “The average per capita income in the participating jurisdiction was less than 75 percent of the average national per capita income during the calendar year for which the most recent data are available.”

Per Capita Income*

Springfield, MA	United States	% of United States
17,023	25,035	68%

*In 2005 inflation-adjusted dollars

Source: US Census Bureau, 2005 American Community Survey

Vendors and service providers provide the required ESG match.

As a component of their applications and monthly reports, each provider must detail their matching funds. Resources used include:

Department of Social Services
 Department of Transitional Assistance
 Mass Bar Foundation
 Department of Mental Health
 Department of Transitional Assistance
 SMOC/CSBG
 HRSA

The City also anticipates it will use publicly owned land to further its objectives.

City-owned properties will be used to further affordable housing, citizen participation and economic development objectives.

The City, through its Tax Title foreclosure process, strives to create as much affordable housing as is feasible either through public auction or via a Request for Proposals process. The City is currently devising a city-wide, neighborhood-specific housing strategy geared towards maximizing homeownership.

E. Low Mod Calculation

LOW/MOD CALCULATION-FY12-FEDERAL YEAR 2011

Total Expenditures		\$	4,536,998.53
Less:			
Planning and Administration			<u>(779,573.45)</u>
		\$	3,757,425.08
Activities Categorized as Slum & Blight			
Activity			
Historic Restoration	2990	\$	(6,700.00)
Bond Payment	3553	\$	<u>(393,442.35)</u>
Total Expenditures qualifying as Low/Mod		\$	3,357,282.73
Percentage Benefit			89.35%

LOW/MOD MULTI-YEAR CALCULATION

FY09	\$	3,725,668.74
FY10	\$	4,913,730.97
FY11	\$	3,757,425.08
TOTAL	\$	8,639,399.71

FY09	\$	3,188,306.88
FY10	\$	4,310,007.94
FY11	\$	3,357,282.73
TOTAL	\$	7,498,314.82
Percentage Benefit		86.79%

DRAFT

F. Annual Performance Report Reconciliation

FEDERAL YEAR 2011-FISCAL YEAR 2012			
Annual Performance Report Reconciliation-HOME Entitlement			
Beginning Balance			0.00
Amount Received (Prior Year Report)			
Program Income			
Amount Expended	Comm. Dev/Office of Housing		157,265.89
			<u>(144,740.79)</u>
		Balance on Hand	12,525.10
Detail-Program Income Draws			
Draws:			
	HUD#		Amount
	#3698		50,288.76
	#3612		19,496.00
	#3569		531.00
	#3734		531.60
	#3741		3000.00
	#3661		70,893.43
Expenditure Category Data:			
TBRA			70,847.36
PBHO-non-chdo			70,893.43
First Time Homebuyer			<u>3,000.00</u>
	Total 2012 Program Income		144,740.79

HOME Activities Total

HOME ACTIVITIES TOTALS-FY2012-FEDERAL YEAR 2011

1. Homebuyer Assistance		
PBHO-CHDO		\$ 531,766.97
PBHO-NON-CHDO		<u>\$ 435,050.00</u>
	Total	\$ 966,816.97
2. Multi-Family Production		
PBHO-CHDO		\$ -
PBHO-NON-CHDO		<u>\$ 1,032,326.50</u>
	Total	\$ 1,032,326.50
3. First Time Homebuyer	Total	\$ 246,000.00
4. Tenant Based Rental Assistance (TBRA)	Total	\$ 332,580.20
5. Administration	Total	\$ 172,379.08
HOME TOTAL		<u>\$2,750,102.75</u>

Home Administration Cap

Entitlement	\$1,591,660.00
Program Income	<u>\$ 157,265.89</u>
Total	\$1,748,925.89
Amount Expended	\$ 172,379.08
Percentage	9.86%

Annual Performance Report HOME Program

**U.S. Department of Housing
and Urban Development**
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	This report is for period (mm/dd/yyyy) Starting 07/01/2009	Ending 06/30/2010	Date Submitted (mm/dd/yyyy)
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Part I Participant Identification

1. Participant Number M-09-MC-25-0209	2. Participant Name City of Springfield		
3. Name of Person completing this report Geraldine McCafferty	4. Phone Number (Include Area Code) 413-787-6500		
5. Address 1600 East Columbus Avenue	6. City Springfield	7. State MA	8. Zip Code 01103

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
\$14,846.09	\$19,585.61	\$34,215.22		\$216.48

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic	
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic		e. Hispanic
A. Contracts						
1. Number	6			1	2	3
2. Dollar Amount	\$14,041,457			\$652,704	\$310,000	\$13,078,753
B. Sub-Contracts						
1. Number	9		1	6	2	
2. Dollar Amount	\$1,820,464		\$31,318	\$232,772	\$1,556,374	
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number	1	1				
2. Dollar Amount	\$432,800	\$432,800				
D. Sub-Contracts						
1. Number	6	6				
2. Dollar Amounts	\$675,193	\$675,193				

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
1. Number	4		1		2
2. Dollar Amount	\$1,150,000		\$50,000		\$1,100,000

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost	Minority Business Enterprises (MBE)			f. White Non-Hispanic
Households Displaced	a. Total	b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
1. Parcels Acquired						
2. Businesses Displaced						
3. Nonprofit Organizations Displaced						
4. Households Temporarily Relocated, not Displaced						
5. Households Displaced - Number						
6. Households Displaced - Cost						

CITY OF SPRINGFIELD Report has been submitted.

August 30, 2012

Section 3 Summary Report
Economic Opportunities for
Low and Very Low-Income Persons

**U.S. Department of Housing
and Urban Development**
Office of Fair Housing
and Equal Opportunity

OMB Approval No.2529-0043
(exp. 11/30/2010)

HUD Field Office : : BOSTON, MA

See Public Reporting Burden Statement below

1. Recipient Name:		Recipient Address: (street, city, state, zip)	
City of Springfield		1600 E. Columbus Ave Springfield, Massachusetts 01103	
2. Grant Number:		3. Total Amount of Award: \$ 1,591,660	
M11MC250209		Amount of All Contracts Awarded: \$ 1,256,079	
4. Contact Person:		5. Phone: 413-787-6500	
Geraldine McCafferty		Fax: 413-787-6515	
6. Length of Grant: 12 <i>Month(s)</i>		E-Mail: gmccafferty@springfieldcityhall.com	
8. Date Report Submitted:		7. Reporting Period: Quarter 4 of Fiscal Year 2011	
08/30/2012		9. Program Code-Name:	
		5-HOME Assistance	
Program Codes:			
3A = Public/Indian Housing Development	1 = Flexible Subsidy	2 = Section 202/811	3C = Public/Indian Housing Modernization
4 = Homeless Assistance	3B = Public/Indian Housing Operation	5 = HOME Assistance	6 = HOME-State Administered
7 = CDBG-Entitlement	5 = HOME Assistance	8 = CDBG-State Administered	9 = Other CD Programs
10 = Other Housing Programs	8 = CDBG-State Administered		

Part I. Employment and Training (Columns B, C, and F are mandatory fields.)					
A Job Category	B Number of New Hires	C Number of New Hires that are Sec.3 Residents	D % of Section 3 New Hires	E % of Total Staff Hours for Section 3 Employees	F Number of Section 3 Trainees
Professionals	0	0	0.00 %	0.00 %	1
Technicians	0	0	0.00 %	0.00 %	0
Office/Clerical	0	0	0.00 %	0.00 %	0
Officials/Managers	0	0	0.00 %	0.00 %	0
Sales	0	0	0.00 %	0.00 %	0
Craft Workers (skilled)	1	1	100.00 %	0.00 %	1
Operatives (semiskilled)	2	1	50.00 %	0.00 %	2
Laborers (unskilled)	1	1	100.00 %	0.00 %	1
Service Workers	0	0	0.00 %	0.00 %	0
Other (List)	0	0	0.00 %	0.00 %	0
Total	4	3			5

Part II. Contracts Awarded

1. Construction Contracts:

- A. Total dollar amount of all construction contracts awarded on the project \$ 1,186,065
- B. Total dollar amount of construction contracts awarded to Section 3 businesses \$ 496,454
- C. Percentage of the total dollar amount that was awarded to Section 3 businesses 41.90 %
- D. Total number of Section 3 businesses receiving construction contracts 8

2. Non-Construction Contracts:

- A. Total dollar amount of all non-construction contracts awarded on the project \$ 70,014
- B. Total dollar amount of non-construction contracts awarded to Section 3 businesses \$ 0
- C. Percentage of the total dollar amount that was awarded to Section 3 businesses 0.00 %
- D. Total number of Section 3 businesses receiving non-construction contracts 0

Part III. Summary of Efforts

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Select **yes** to all that apply)

Yes Recruited low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

Yes Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

Yes Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

Yes Coordinated with Youthbuild Programs and administered in the metropolitan area in which the Section 3 covered project is located.

No Other; describe below.

Public reporting burden for this collection of information is estimated to average 6 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency

may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

H. Financial Summary Grantee Performance

Financial Summary
Grantee Performance Report
Community Development Block Grant Program

U. S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0077 (Exp. 5/31/97)

1. Name of Grantee City of Springfield	2. Grant Number B-10-MC-25-0023	3. Reporting Period From 7/1/11 to 6/30/12
----------------------------------------------	------------------------------------	--------------------------------------------------

Part I: Summary of CDBG Resources			
1.	Unexpended CDBG funds at end of previous reporting period (Balance from prior program years)		4,834,231
2.	Entitlement Grant from Form HUD-7082		3,717,950
3.	Surplus Urban Renewal Funds		-
4.	Section 108 Guaranteed Loan Funds (Principal Amount)		-
5.	Program Income received by:	Grantee (Column A)	Subrecipient (Column B)
a.	Revolving Funds	\$ -	\$ -
b.	Other (identify below, if more space is needed use an attachment)		
	Program income	202,086	
c.	Total Program Income (sum of columns a and b)		202,086
6.	Prior Period Adjustments (if column is a negative amount, enclose in brackets)		-
7.	Total CDBG Funds available for use during this reporting period (sum of lines 1 through 5)		8,754,267
Part II: Summary of CDBG Expenditures			
8.	Total expenditures reported on Activity Summary, forms HUD-4949.2 & 4949.2A		4,536,998
9.	Total expended for Planning & Administration (form HUD-4949.2)	\$ 779,573	
10.	Amount subject to Low/Mod Benefit Calculation (line 8 minus line 9)	\$ 3,757,425	
11.	CDBG funds used for Section 108 principal & interest payments		-
12.	Total expenditure (line 8 plus line 11)		4,536,998
13.	Unexpended balance (line 7 minus line 12)		4,217,268
Part III: Low/Mod Benefit This Reporting Period			
14.	Total Low/Mod credit for multi-unit housing expenditures from form HUD-4949.2A		-
15.	Total from all other activities qualifying as low/mod expenditures from forms HUD-4949.2 and 4949.2A		3,357,282
16.	Total (line 14 plus line 15)		3,357,282
17.	Low/Mod Benefit percentage		89.35%

Part IV: Low/Mod Benefit for Multi-Year Certifications (Complete only if certification period includes prior years)

Program years (PY) covered in certification	PY <u>09</u>	PY <u>10</u>	PY <u>11</u>	
18. Cumulative net expenditures subject to program benefit calculation				8,639,399
19. Cumulative expenditures benefitting low/mod persons				7,498,314
20. Percent benefit to low/mod persons (line 19 divided by line 18)				86.79%

Part V: For Public Service (PS) Activities Only: Public Service Cap Calculation

21. Total PS expenditures from column h, form HUD-4949.2A	-
22. Total PS unliquidated obligations from column i, form HUD-4949.2A	-
23. Sum of line 21 and line 22	SEE
24. Total PS unliquidated reported at the end of the previous reporting period	ATTACHED
25. Net obligations for public services (line 23 minus line 24)	-
26. Amount of Program Income received in the preceding year	-
27. Entitlement Grant Amount (from line 2)	-
28. Sum of line 26 and 27	-
29. Percent funds obligated for Public Service Activities (line 25 divided by line 28)	%

Part VI: Planning and Program Administration Cap Calculation

30. Amount subject to planning and administrative cap (grant amount from line 2 plus line 5c)	3,920,036
31. Amount expended for Planning & Administration (from line 9 above)	779,573
32. Percent funds expended (line 31 divided by line 30)	19.89%

PUBLIC SERVICE CAP 15% - CALCULATION

		TOTAL EXPENDITURE	TOTAL EXEMPT	ADJUSTED TOTAL
21	Total Public Service Expenditures	688,809.01	(119,033.12)	569,775.89
22	Total PS Unliquidated Obligations	80,174.56	(35,134.48)	45,040.08
23	Sum of line 21 and 22	768,983.57	(154,167.60)	614,815.97
24	Total PS Unliquidated obligation reported at end of previous reporting period	(100,174.63)	0.00	(100,147.63)
25	Net Obligation for Public Service (line 23-line 24)	668,835.94	(154,167.60)	514,668.34
26	Amount of Program Income received in the preceding program year	200,844.60		200,844.60
27	Entitlement Grant Amount	3,717,950.00		3,717,950.00
28	Sum of lines 26 and 27	3,918,794.60		3,918,794.60
29	Percent of Funds Obligated for PS (line 25 divided by line 28)	17%		13%

Community Development Activity	Original Budget	Incr/decr	Amended Budget	Prior Year Expenditures	Expenditures FY12	Total Expenditures	Balance
Public Service							
5A	\$ 6,000.00		\$ 6,000.00		\$ 6,000.00	\$ 6,000.00	\$ -
Bing Arts Center	\$ 7,000.00	7,000.00)	\$ -			\$ -	\$ -
Blackmen of Greater Spfld	\$ 13,000.00		\$ 3,000.00		\$ 13,000.00	\$ 13,000.00	\$ -
Boy Scouts of America	\$ 5,000.00	(1,406.85)	\$ 3,593.15		\$ 2,616.98	\$ 2,616.98	\$ 976.17
Caring Health Center	\$ 5,000.00		\$ 5,000.00		\$ 4,073.00	\$ 4,073.00	\$ 927.00
Recreation Program - Pools	\$ 88,000.00	(1,008.90)	\$ 86,991.10		\$ 86,991.10	\$ 86,991.10	\$ -
Council of Churches	\$ 21,000.00		\$ 21,000.00		\$ 21,000.00	\$ 21,000.00	\$ -
Dunbar	\$ 5,000.00		\$ 5,000.00		\$ 4,400.00	\$ 4,400.00	\$ 600.00
Forest Park Zoological	\$ 5,000.00	(800.00)	\$ 4,200.00		\$ 4,200.00	\$ 4,200.00	\$ -
Friends of the Homeless	\$ 100,000.00		\$ 100,000.00		\$ 100,000.00	\$ 100,000.00	\$ -
Greater New Life - YES	\$ 7,000.00	(631.28)	\$ 6,368.72		\$ 2,868.76	\$ 2,868.76	\$ 3,499.96
Greater New Life - Recovery	\$ 7,000.00		\$ 7,000.00		\$ 3,499.98	\$ 3,499.98	\$ 3,500.02
Hungry Hill	\$ 5,000.00	(1,912.20)	\$ 3,087.80		\$ 3,087.80	\$ 3,087.80	\$ -
MLK	\$ 8,500.00	(517.50)	\$ 7,982.50		\$ 6,138.05	\$ 6,138.05	\$ 1,844.45
Mason Square Vets	\$ 12,000.00	(12,000.00)	\$ -			\$ -	\$ -
MCDI	\$ 50,000.00	(11.51)	\$ 49,988.49		\$ 49,744.76	\$ 49,744.76	\$ 243.73
Camp Star-Angelina	\$ 85,000.00		\$ 85,000.00		\$ 85,000.00	\$ 85,000.00	\$ -
Pine Point Senior Center	\$ 6,500.00	126.54)	\$ 6,373.46		\$ 5,110.16	\$ 5,110.16	\$ 1,263.30
Roca	\$ 13,000.00		\$ 13,000.00		\$ 7,399.07	\$ 7,399.07	\$ 5,600.93
Russian Community Assoc	\$ 7,500.00		\$ 7,500.00		\$ 6,066.99	\$ 6,066.99	\$ 1,433.01
Salvation Army	\$ 10,000.00	(0.50)	\$ 9,999.50		\$ 9,909.86	\$ 9,909.86	\$ 89.64
South End Community Center	\$ 23,000.00	(334.10)	\$ 22,665.90		\$ 22,665.90	\$ 22,665.90	\$ -
Spfld Boys and Girls - IO Unit	\$ 6,000.00		\$ 6,000.00		\$ 3,367.51	\$ 3,367.51	\$ 2,632.49
Spfld Boys and Girls - Summ	\$ 8,000.00	(1.64)	\$ 7,998.36		\$ 7,998.36	\$ 7,998.36	\$ -
Springfield College	\$ 5,000.00		\$ 5,000.00		\$ 2,925.00	\$ 2,925.00	\$ 2,075.00
Spfld Girls Club	\$ 7,000.00		\$ 7,000.00		\$ 4,076.14	\$ 4,076.14	\$ 2,923.86
SHA	\$ 5,000.00		\$ 5,000.00		\$ 4,224.40	\$ 4,224.40	\$ 775.60
VACA - Family	\$ 5,000.00		\$ 5,000.00		\$ 5,000.00	\$ 5,000.00	\$ -
VACA - HOME	\$ 5,000.00		\$ 5,000.00		\$ 5,000.00	\$ 5,000.00	\$ -
Square One - Access Fds	\$ 7,000.00		\$ 7,000.00		\$ 6,623.35	\$ 6,623.35	\$ 376.65
Square One - Fitness	\$ 7,500.00		\$ 7,500.00		\$ 7,500.00	\$ 7,500.00	\$ -
The Gray Housie	\$ 6,000.00		\$ 6,000.00		\$ 6,000.00	\$ 6,000.00	\$ -
Urban League	\$ 7,000.00		\$ 7,000.00		\$ 1,750.02	\$ 1,750.02	\$ 5,249.98
Western Mass Develop Coll	\$ 10,000.00	-	\$ 10,000.00		\$ -	\$ -	\$ 10,000.00
YMCA	\$ 10,000.00	(220.27)	\$ 9,779.73		\$ 9,779.73	\$ 9,779.73	\$ -
YWCA	\$ 12,000.00		\$ 12,000.00		\$ 12,000.00	\$ 12,000.00	\$ -

NNCC - Recovery	\$ 20,000.00		\$ 20,000.00		\$ 11,846.06	\$ 11,846.06	\$ 8,153.94
NNCC - Summer Fun	\$ 15,000.00		\$ 15,000.00		\$ 11,988.53	\$ 11,988.53	\$ 3,011.47
NNCC - Homeless	\$ 20,000.00		\$ 20,000.00		\$ 20,000.00	\$ 20,000.00	\$ -
NNCC - Under ground	\$ 15,000.00		\$ 15,000.00		\$ 12,124.45	\$ 12,124.45	\$ 2,875.55
NNCC - ESOL	\$ 40,000.00		\$ 40,000.00		\$ 18,906.48	\$ 18,906.48	\$ 21,093.52
Fair Housing	\$ 5,000.00	-	\$ 5,000.00		\$ 3,971.71	\$ 3,971.71	\$ 1,028.29
Blackmen of Greater Spfld	10,000.00	2,315.18	\$ 12,315.18	9,977.59	2,337.59	\$ 12,315.18	\$ -
Boat People SOS	7,000.00	(21.20)	\$ 6,978.80	5,690.69	1,288.11	\$ 6,978.80	\$ -
CJO Hampden County	8,000.00	(196.41)	\$ 7,803.9	7,655.07	148.52	\$ 7,803.59	\$ -
Dunbar	5,000.00	(550.00)	\$ 4,450.00	4,450.00	0.00	\$ 4,450.00	\$ -
Forest Park Zoological	7,500.00	(4,000.00)	\$ 3,500.00	0.00	3,500.00	\$ 3,500.00	\$ -
Friends of the Homeless	115,000.00		\$ 115,000.00	113,765.42	1,234.58	\$ 115,000.00	\$ -
Greater Christian New Life Center	7,000.00	(28.14)	\$ 6,971.86	0.00	6,971.86	\$ 6,971.86	\$ -
Mass Fair Housing Center	7,500.00		\$ 7,500.00	0.00	7,500.00	\$ 7,500.00	\$ -
Hungry Hill	5,000.00	(2,781.08)	\$ 2,218.92	2,218.92	0.00	\$ 2,218.92	\$ -
MLK	10,000.00	(1,832.89)	\$ 8,167.11	3,981.40	4,185.71	\$ 8,167.11	\$ -
NNCC-recovery	20,000.00		\$ 20,000.00	11,475.07	8,524.93	\$ 20,000.00	\$ -
NNCC-summer fun	10,000.00		\$ 10,000.00	2,638.71	7,361.29	\$ 10,000.00	\$ -
NNCC-underground	10,000.00		\$ 10,000.00	5,329.23	4,670.77	\$ 10,000.00	\$ -
NNCC-ESOL	0.00	35,300.24	\$ 35,300.24	11,689.63	23,610.61	\$ 35,300.24	\$ -
Pine Point CC	6,500.00	(886.13)	\$ 5,613.87	5,124.49	489.38	\$ 5,613.87	\$ -
ROCA	15,000.00	(552.50)	\$ 14,447.50	10,815.50	3,632.00	\$ 14,447.50	\$ -
Salvation Army	10,000.00		\$ 10,000.00	9,273.14	726.86	\$ 10,000.00	\$ -
Spfld Boys & Girls Club	6,000.00	(891.44)	\$ 5,108.56	2,314.96	2,793.60	\$ 5,108.56	\$ -
Springfield Girls Club	7,000.00	(18.04)	\$ 6,981.96	6,212.64	769.32	\$ 6,981.96	\$ -
SPCA	9,000.00	(1,734.60)	\$ 7,265.40	4,055.37	3,210.03	\$ 7,265.40	\$ -
Urban League	7,000.00	(0.30)	\$ 6,999.70	0.00	6,999.70	\$ 6,999.70	\$ -
TOTAL PUBLIC SERVICE	987,500.00	(1,848.60)	985,651.40	216,667.83	688,809.01	905,476.84	80,174.56
TOTAL EXEPMT - PUBLIC SERVICE							
NNCC-recovery	20,000.00		\$ 20,000.00	11,475.07	8,524.93	\$ 20,000.00	\$ -
NNCC-summer fun	10,000.00		\$ 10,000.00	2,638.71	7,361.29	\$ 10,000.00	\$ -
NNCC-underground	10,000.00		\$ 10,000.00	5,329.23	4,670.77	\$ 10,000.00	\$ -
NNCC-ESOL	0.00	35,300.24	\$ 35,300.24	11,689.63	23,610.61	\$ 35,300.24	\$ -
NNCC - Recovery	\$ 20,000.00		\$ 20,000.00		\$ 11,846.06	\$ 11,846.06	\$ 8,153.94
NNCC - Summer Fun	\$ 15,000.00		\$ 15,000.00		\$ 11,988.53	\$ 11,988.53	\$ 3,011.47
NNCC - Homeless	\$ 20,000.00		\$ 20,000.00		\$ 20,000.00	\$ 20,000.00	\$ -
NNCC - Under ground	\$ 15,000.00		\$ 15,000.00		\$ 12,124.45	\$ 12,124.45	\$ 2,875.55
NNCC - ESOL	\$ 40,000.00		\$ 40,000.00		\$ 18,906.48	\$ 18,906.48	\$ 21,093.52
	150,000.00	35,300.24	185,300.24	31,132.64	119,033.12	150,165.76	35,134.48

TOTAL NON-EXEMPT - PUBLIC SERVICE							
5A	\$ 6,000.00		\$ 6,000.00		\$ 6,000.00	\$ 6,000.00	\$ -
Bing Arts Center	\$ 7,000.00	(7,000.00)	\$ -			\$ -	\$ -
Blackmen of Greater Spfld	\$ 13,000.00		\$ 13,000.00		\$ 13,000.00	\$ 13,000.00	\$ -
Boy Scouts of America	\$ 5,000.00	(1,406.85)	\$ 3,593.15		\$ 2,616.98	\$ 2,616.98	\$ 976.17
Caring Health Center	\$ 5,000.00		\$ 5,000.00		\$ 4,073.00	\$ 4,073.00	\$ 927.00
Recreation Program - Pools	\$ 88,000.00	(1,008.90)	\$ 86,991.10		\$ 86,991.10	\$ 86,991.10	\$ -
Council of Churches	\$ 21,000.00		\$ 21,000.00		\$ 21,000.00	\$ 21,000.00	\$ -
Dunbar	\$ 5,000.00		\$ 5,000.00		\$ 4,400.00	\$ 4,400.00	\$ 600.00
Forest Park Zoological	\$ 5,000.00	(800.00)	\$ 4,200.00		\$ 4,200.00	\$ 4,200.00	\$ -
Friends of the Homeless	\$ 100,000.00		\$ 100,000.00		\$ 100,000.00	\$ 100,000.00	\$ -
Greater New Life - YES	\$ 7,000.00	(631.28)	\$ 6,368.72		\$ 2,868.76	\$ 2,868.76	\$ 3,499.96
Greater New Life - Recovery	\$ 7,000.00		\$ 7,000.00		\$ 3,499.98	\$ 3,499.98	\$ 3,500.02
Hungry Hill	\$ 5,000.00	(1,912.20)	\$ 3,087.80		\$ 3,087.80	\$ 3,087.80	\$ -
MLK	\$ 8,500.00	(517.50)	\$ 7,982.50		\$ 6,138.05	\$ 6,138.05	\$ 1,844.45
Mason Square Vets	\$ 12,000.00	(12,000.00)	\$ -			\$ -	\$ -
MCDI	\$ 50,000.00	(11.51)	\$ 49,988.49		\$ 49,744.76	\$ 49,744.76	\$ 243.73
Camp Star-Angelina	\$ 85,000.00		\$ 85,000.00		\$ 85,000.00	\$ 85,000.00	\$ -
Pine Point Senior Center	\$ 6,500.00	(126.54)	\$ 6,373.46		\$ 5,110.16	\$ 5,110.16	\$ 1,263.30
Roca	\$ 13,000.00		\$ 13,000.00		\$ 7,399.07	\$ 7,399.07	\$ 5,600.93
Russian Community Assoc	\$ 7,500.00		\$ 7,500.00		\$ 6,066.99	\$ 6,066.99	\$ 1,433.01
Salvation Army	\$ 10,000.00	(0.50)	\$ 9,999.50		\$ 9,909.86	\$ 9,909.86	\$ 89.64
South End Community Center	\$ 23,000.00	(334.10)	\$ 22,665.90		\$ 22,665.90	\$ 22,665.90	\$ -
Spfld Boys and Girls - IO Unit	\$ 6,000.00		\$ 6,000.00		\$ 3,367.51	\$ 3,367.51	\$ 2,632.49
Spfld Boys and Girls - Summ	\$ 8,000.00	(1.64)	\$ 7,998.36		\$ 7,998.36	\$ 7,998.36	\$ -
Springfield College	\$ 5,000.00		\$ 5,000.00		\$ 2,925.0	\$ 2,925.00	\$ 2,075.00
Spfld Girls Club	\$ 7,000.00		\$ 7,000.00		\$ 4,076.14	\$ 4,076.14	\$ 2,923.86
SHA	\$ 5,000.00		\$ 5,000.00		\$ 4,224.40	\$ 4,224.40	\$ 775.60
VACA - Family	\$ 5,000.00		\$ 5,000.00		\$ 5,000.00	\$ 5,000.00	\$ -
VACA - HOME	\$ 5,000.00		\$ 5,000.00		\$ 5,000.00	\$ 5,000.00	\$ -
Square One - Access Fds	\$ 7,000.00		\$ 7,000.00		\$ 6,623.35	\$ 6,623.35	\$ 376.65
Square One - Fitness	\$ 7,500.00		\$ 7,500.00		\$ 7,500.00	\$ 7,500.00	\$ -
The Gray Housie	\$ 6,000.00		\$ 6,000.00		\$ 6,000.00	\$ 6,000.00	\$ -
Urban League	\$ 7,000.00		\$ 7,000.00		\$ 1,750.02	\$ 1,750.02	\$ 5,249.98
Western Mass Develop Coll	\$ 10,000.00	-	\$ 10,000.00		\$ -	\$ -	\$ 10,000.00
YMCA	\$ 10,000.00	(220.27)	\$ 9,779.73		\$ 9,779.73	\$ 9,779.73	\$ -
YWCA	\$ 12,000.00		\$ 12,000.00		\$ 12,000.00	\$ 12,000.00	\$ -
Fair Housing	\$ 5,000.00	-	\$ 5,000.00		\$ 3,971.71	\$ 3,971.71	\$ 1,028.29
Blackmen of Greater Spfld	10,000.00	2,315.18	\$ 12,315.18	9,977.59	2,337.59	\$ 12,315.18	\$ -
Boat People SOS	7,000.00	(21.20)	\$ 6,978.80	5,690.69	1,288.11	\$ 6,978.80	\$ -
CJO Hampden County	8,000.00	(196.41)	\$ 7,803.59	7,655.07	148.52	\$ 7,803.59	\$ -
Dunbar	5,000.00	(550.00)	\$ 4,450.00	4,450.00	0.00	\$ 4,450.00	\$ -
Forest Park Zoological	7,500.00	(4,000.00)	\$ 3,500.00	0.00	3,500.00	\$ 3,500.00	\$ -
Friends of the Homeless	115,000.00		\$ 115,000.00	113,765.42	1,234.58	\$ 115,000.00	\$ -

Greater Christian New Life Center	7,000.00	(28.14)	\$ 6,971.86	0.00	6,971.86	\$ 6,971.86	\$ -
Mass Fair Housing Center	7,500.00		\$ 7,500.00	0.00	7,500.00	\$ 7,500.00	\$ -
Hungry Hill	5,000.00	(2,781.08)	\$ 2,218.92	2,218.92	0.00	\$ 2,218.92	\$ -
MLK	10,000.00	(1,832.89)	\$ 8,167.11	3,981.40	4,185.71	\$ 8,167.11	\$ -
Pine Point CC	6,500.00	(886.13)	\$ 5,613.87	5,124.49	489.38	\$ 5,613.87	\$ -
ROCA	15,000.00	(552.50)	\$ 14,447.50	10,815.50	3,632.00	\$ 14,447.50	\$ -
Salvation Army	10,000.00		\$ 10,000.00	9,273.14	726.86	\$ 10,000.00	\$ -
Spfld Boys & Girls Club	6,000.00	(891.44)	\$ 5,108.56	2,314.96	2,793.60	\$ 5,108.56	\$ -
Springfield Girls Club	7,000.00	(18.04)	\$ 6,981.96	6,212.64	769.32	\$ 6,981.96	\$ -
SPCA	9,000.00	(1,734.60)	\$ 7,265.40	4,055.37	3,210.03	\$ 7,265.40	\$ -
Urban League	7,000.00	(0.30)	\$ 6,999.70	0.00	6,999.70	\$ 6,999.70	\$ -
TOTAL PUBLIC SERVICE	837,500.00	(37,148.84)	800,351.16	185,535.19	569,775.89	755,311.08	45,040.08
	987,500.00	(1,848.60)	985,651.40	216,667.83	688,809.01	905,476.84	80,174.56
	0.00	(0.00)	0.00	0.00	0.00	0.00	0.00

DRAFT

J. HOPWA Summary of Program Expenditures

Part 3 Program Expenditures and Housing Provided.

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

Exhibit E – Summary of Program Expenditures. – FY12; Federal 2010

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period.

Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

HOPWA Funding Available	
1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program)	\$108,013.66
2. Amount of HOPWA grant received during period	-0-
3. Program income (e.g., loan repayments)	-0-
4. Total of HOPWA funds available during period (sum of lines 1 thru 4)	\$108,013.66

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

HOPWA Expenditures (Totals by Eligible Activity)	
5. Expenditures for Housing Information Services	\$6,702.00
6. Expenditures for Resource Identification	-0-
7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G)	\$35,007.00
8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used)	\$55,662.63
9. Grantee Administrative Costs expended	-0-
10. Project Sponsor(s) Administrative Costs expended	\$10,642.03
11. Total of HOPWA funds expended during period (sum of lines 5 thru 10)	\$108,013.66
12. Balance of HOPWA funds at end of report period (line 4 minus line 11)	-0-

Part 3 Program Expenditures and Housing Provided.

Expenditures are amounts spent for eligible activities. Do not include non-HOPWA sources or in-kind items, such as the value of services or materials provided by volunteers or by other individuals or organizations.

Exhibit E – Summary of Program Expenditures. – FY12; Federal 2011

This exhibit will provide information about available HOPWA funds and HOPWA expenditures for the program during the reporting period.

Include only expenditures made from a single competitively-awarded HOPWA grant. Please round dollar amounts to the nearest dollar.

HOPWA Funding Available	
1. Unexpended HOPWA funds at end of previous report period (this balance is \$0 in the first year of the program)	-0-
2. Amount of HOPWA grant received during period	\$471,919.00
3. Program income (e.g., loan repayments)	-0-
4. Total of HOPWA funds available during period (sum of lines 1 thru 4)	\$471,919.00

Also report the following aggregate totals by type of activity for the report period (totals equal all expenditures of HOPWA funds during this period):

HOPWA Expenditures (Totals by Eligible Activity)	
5. Expenditures for Housing Information Services	\$17,694.00
6. Expenditures for Resource Identification	-0-
7. Expenditures for Housing Assistance (equals the sum of all sites and scattered-site Housing Assistance reported in Exhibit G)	\$117,789.76
8. Expenditures for Supportive Services (equals the sum of all Exhibit H funds used)	\$183,207.95
9. Grantee Administrative Costs expended	\$14,157.00
10. Project Sponsor(s) Administrative Costs expended	\$23,595.00
11. Total of HOPWA funds expended during period (sum of lines 5 thru 10)	\$356,443.71
12. Balance of HOPWA funds at end of report period (line 4 minus line 11)	\$115,475.29

Emergency Shelter Grant, Financial Status Report 10

FINANCIAL STATUS REPORT	1. Submitted to: Department of Housing and Urban Development	2. Federal Grant or Other Identifying Number S-10-MC-25-0013	Page 1 of 1				
3. Recipient Organization City of Springfield Office of Community Development 36 Court Street Springfield, Massachusetts 01103	4. Employer Identification Number 04-6001415	6. Final Report? Yes	7. Basis ? Cash				
	PROJECT/GRANT PERIOD		PERIOD COVERED BY THIS REPORT				
	From: To:	From: To:					
		7/1/2011	6/30/2012				
STATUS OF FUNDS							
PROGRAMS/FUNCTIONS/ACTIVITIES	a. Rehabilitation	b. Homeless Prevention	c. Services	d. Administration	e. Operations	f.	g. TOTAL
a. Net outlays previously reported	\$ -	38,416.25	20,833.34	9,148.00	97,724.50		166,122.09
b. Total outlays this report period	-	5,931.00	4,166.66	-	6,742.25		16,839.91
c. Less: Program income credits	-	-	-	-	-		-
d. Net outlays this report period (Line b minus line c)	-	5,931.00	4,166.66	-	6,742.25		16,839.91
e. Net outlays to date (Line a plus line d)	-	44,347.25	25,000.00	9,148.00	104,466.75		182,962.00
f. Less: Non-Federal share of outlays.	-	-	-	-	-		-
g. Total Federal share of outlays (Line e minus line f)	-	44,347.25	25,000.00	9,148.00	104,466.75		182,962.00
h. Total unliquidated obligations	-	-	-	-	-		-
i. Less: non-Federal share of unliquidated obligations on line h	-	-	-	-	-		-
j. Federal share of unliquidated obligations	-	-	-	-	-		-
k. Total Federal share of outlays and unliquidated obligations	-	44,347.25	25,000.00	9,148.00	104,466.75		182,962.00
l. Total cumulative amount of Federal funds authorized	-	51,600.00	51,600.00	9,148.00	70,614.00		182,332.00
m. Unobligated balance of Federal funds	-	-	-	-	-		-
CERTIFICATION I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.	SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL				DATE REPORT SUBMITTED		
	PRINTED NAME AND TITLE Cathy K. Buono Director of Administration and Finance				TELEPHONE (413) 787-6082		

Emergency Shelter Grant, Financial Status Report 11-First Allocation

FINANCIAL STATUS REPORT	1. Submitted to: Department of Housing and Urban Development	2. Federal Grant or Other Identifying Number S-11-MC-25-0013	Page 1 of 1				
3. Recipient Organization City of Springfield Office of Community Development 36 Court Street Springfield, Massachusetts 01103	4. Employer Identification Number 04-6001415	6. Final Report? Yes	7. Basis ? Cash				
	PROJECT/GRANT PERIOD		PERIOD COVERED BY THIS				
	From:	To:	From: To:				
		7/1/2011	6/30/2012				
STATUS OF FUNDS							
PROGRAMS/FUNCTIONS/ACTIVITIES	a. Rehabilitation	b. Homeless Prevention	c. Services	d. Administration	e. Operations	f.	g. TOTAL
a. Net outlays previously reported	\$ -	-	-	-	-		-
b. Total outlays this report period	-	41,971.84	19,680.48	8,996.30	67,733.25		138,381.87
c. <i>Less:</i> Program income credits	-	-	-	-	-		-
d. Net outlays this report period (Line b minus line c)	-	41,971.84	19,680.48	8,996.30	67,733.25		138,381.87
e. Net outlays to date (Line a plus line d)	-	41,971.84	19,680.48	8,996.30	67,733.25		138,381.87
f. <i>Less:</i> Non-Federal share of outlays.	-	-	-	-	-		-
g. Total Federal share of outlays (Line e minus line f)	-	41,971.84	19,680.48	8,996.30	67,733.25		138,381.87
h. Total unliquidated obligations	-	12,005.16	29,296.22	-	242.75		41,544.13
i. <i>Less:</i> non-Federal share of unliquidated obligations on line h	-	-	-	-	-		-
j. Federal share of unliquidated obligations	-	12,005.16	29,296.22	-	242.75		41,544.13
k. Total Federal share of outlays and unliquidated obligations	-	53,977.00	48,976.70	8,996.30	67,976.00		179,926.00
l. Total cumulative amount of Federal funds authorized	-	53,977.00	53,977.00	8,996.00	62,976.00		179,926.00
m. Unobligated balance of Federal funds	-	-	-	-	-		-
CERTIFICATION I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.	SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL				DATE REPORT SUBMITTED		
	PRINTED NAME AND TITLE Cathy K. Buono Director of Administration and Finance				TELEPHONE (413) 787-6082		

CDBG Entitlement Grant

Program Income Reconciliation

Total Program Income Fiscal Year 2012 \$ 202,086.42

<u>Date</u>	<u>HUD#</u>	<u>Voucher</u>	<u>Amount</u>
12/28/2011	3527	#5369044	\$ 98,009.57
02/22/2012	3527	#5391475	\$ 35,640.84
04/10/2012	3527	#5411272	\$ 35,607.44
05/23/2012	3673	#5429936	\$ 15,168.06
06/25/2012	3527	#5443544	\$ 5,111.77
08/06/2012	3527	#5460265	\$ 12,548.74

Expenditure Category Detail:

Administration	3527	\$ 186,918.36
Workforce Dev.	3673	\$ <u>15,168.06</u>
		\$ 202,086.42

PROJECT RECONCILIATION	2011-2012 Budget	2011-2012 Expenditures
Administration	\$ 793,574.00	\$ 779,573.45
Public Service	\$ 705,000.00	\$ 598,854.15
Economic Development Prog Delivery	\$ 50,000.00	\$ 39,920.71
Economic Development Programs	\$ 100,000.00	\$ 20,999.03
Workforce Development Program	\$ 175,000.00	\$ 48,990.87
Lead Abatement Program	\$ 50,000.00	\$ -
Existing Homeowner Rehab-emergency Repairs	\$ 100,000.00	\$ 65,990.00
HEARTWAP Program	\$ 175,000.00	\$ 172,897.52
Housing Program Delivery-Rehabilitation	\$ 78,000.00	\$ 75,591.78
Housing Program Delivery-Direct Assistance	\$ 100,000.00	\$ 101,727.63
Historic Restoration-Rehab blight	\$ 75,000.00	\$ -
Home Retention & Community Revitalization	\$ 75,000.00	\$ 74,820.00
Clearance and Demolition - Program Delivery	\$ 28,000.00	\$ 33,903.63
Bond Payment	\$ 426,877.00	\$ 393,442.35
Demolition of Vacant/Abandon Properties	\$ 250,079.00	\$ 6,518.85
Acquisition/Disposition	\$ 22,500.00	\$ 52,887.02
Code Enforcement - Street Sweeps	\$ 40,000.00	\$ 39,851.20
Park Reconstruction	\$ 733,920.00	\$ 135,005.11
Streets/Sidewalks	\$ 300,000.00	\$ 300,000.00
Neighborhood Capacity Building Program Delivery	\$ 45,000.00	\$ 34,592.22
Graffiti Removal	\$ 45,000.00	\$ 28,000.68
	\$ 4,367,950.00	\$ 3,003,566.20
PRIOR YEAR BUDGET CARRY OVER		
Public Service	\$ 803,658.00	\$ 89,954.86
Small Business Assistance	\$ 50,000.00	\$ 18,943.81
Workforce Development Program	\$ 100,000.00	\$ 29,321.17
Indian Motorcycle Redevelopment	\$ 50,000.00	\$ 38,744.70
Retail Enhancement Program	\$ 50,000.00	\$ 35,000.00
Existing Homeowner Rehab-emergency Repairs	\$ 100,000.00	\$ 72,463.00
HEARTWAP Program	\$ 175,000.00	\$ 20,077.10
Historic Restoration-Rehab blight	\$ 150,000.00	\$ 6,700.00
Home Retention & Community Revitalization	\$ 75,000.00	\$ 75,000.00
Receivership Program-Emergency Repairs	\$ 100,000.00	\$ 50,000.00
Demolition of Vacant/Abandon Properties	\$ 324,000.00	\$ 233,655.35
Abandon Response Program	\$ 180,000.00	\$ 25,670.73
Park Reconstruction	\$ 615,000.00	\$ 487,586.95
Streets/Sidewalks	\$ 400,000.00	\$ 94,942.22
Public Facilities-Rehab for Non-Profits	\$ 345,205.00	\$ 64,681.00
Graffiti Removal	\$ 45,000.00	\$ 20,000.00
Neighborhood Façade Program	\$ 200,000.00	\$ 110,691.44
Small Business Loan Pool	\$ 100,000.00	\$ 60,000.00
		\$ 1,533,432.33
		\$ 4,536,998.53

A. PROGRAM INCOME

		Category
Springfield Redevelopment Authority		
HeartWAP	<u>193,513.41</u>	Other
 Total SRA	 \$197,590.66	
 Community Development		
Economic Development Loans		
3GS Transport	658.93	Economic Development
K&J Beauty	163.00	Economic Development
Alliance Medical	1,629.04	Economic Development
BayState Metal	2,096.60	Economic Development
Ortiz Tool	1,886.94	Economic Development
Kwanzaa	670.88	Economic Development
Vesuvio LLC	1,467.62	Economic Development
 Total Community Development	 \$ 8,573.01	 Economic
<hr/> <hr/>		
TOTAL PROGRAM INCOME	202,086.42	
<hr/> <hr/>		

PROGRAM INCOME

Economic Development	8,573.01
Other	<u>193,513.41</u>
Total Program Income	<u>202,086.42</u>

B. PRIOR PERIOD ADJUSTMENTS **N/A**

C. LOANS AND OTHER RECEIVABLES

1. Float Funded activities - N/A
2. Total number of outstanding loans and outstanding principal balance owed for the reporting period:

a. Total Loans:	Principal Balance 06/30/2012	
1. 3GS Transport	\$ 1,855.93	Economic Development
2. Alliance Medical	\$ 5,503.67	Economic Development
3. K&J Beauty	\$ 8,344.93	Economic Development
4. BayState Metal	\$ 10,427.50	Economic Development
5. Ortiz Tool	\$ 10,634.95	Economic Development
6. Kwanzaa	\$ 4,336.94	Economic Development
7. Vesuvio	\$ 11,049.70	Economic Development
8. Chaconia	\$ 3,533.80	Economic Development
9. Virtue Salon	\$ 2,060.00	Economic Development

b. Total Loans: none

3. List of parcels of property owned that have been acquired or improved using CDBG funds during the reporting period and are available for sale: n/a

4. Lump sum draws–
n/a

DRAFT

A PUBLIC HEARING

Review & Receive Citizen Input on Springfield's DRAFT Consolidated Annual Performance & Evaluation Report (CAPER) FY 11-2012

**Tuesday, September 11th, City Hall,
Room 220 at 5:00 PM**

Copies of the DRAFT CAPER will be available for 15 day period review beginning on August 31st at:

- Office of Planning and Economic Development, 70 Tapley Street;
- Office of Community Development, 1600 East Columbus Avenue;
- Office of Housing, 1600 E Columbus Ave, 1st Floor;
- Department of Health and Human Services, 95 State Street
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

Interested parties are also invited to submit written comments to Cathy Buono, Director of Administration & Finance, Office of Community Development. Submissions must be received by the Office of Community Development, 1600 East Columbus Avenue, Springfield, MA 01103 or cbuono@springfieldcityhall.com no later than 4:00 PM on Friday, September 14, 2012.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

UNA AUDENCIA PÚBLICA

Rendimiento Del Proyecto Anual

Consolidado

Y Evaluación Revisada

De Springfield FY 10 – 2012

Martes, 11 de septiembre a las 5:00pm
en la Casa Alcaldía, Salón 220

Las copias del PROYECTO CAPER estarán disponibles durante un periodo de revisión de 15 días a partir del 31 Agosto en:

- Oficina de Planificación y Desarrollo Económico, 70 Tapley Street
- Oficina de Desarrollo de la Comunidad, 1600 E. Columbus Avenue, Salón 101
- Oficina de la Vivienda, 1600 E. Columbus Ave. 1er piso
- Departamento de Salud y Recursos Humanos, 95 State Street
- http://www.springfieldcityhall.com/sos/Services/dept_cd.htm

Las personas interesadas están invitadas a someter comentarios por escrito concernientes a este documento. La correspondencia debe ser dirigida a Cathy Buono, Directora de Administración y Finanzas de la Oficina de Desarrollo de la Comunidad, 1600 E. Columbus Avenue, Springfield, MA 01103 o al siguiente correo electrónico cbuono@springfieldcityhall.com , el Viernes, 14 de septiembre de 2012, no más tarde de las 4:00PM,

Para más información, favor de llamar a la Oficina de Desarrollo de la Comunidad al 787-6050 o TTY 787-6641

Notice of DRAFT Availability and Public Hearing

Review of DRAFT Consolidated Annual Performance And Evaluation Report (CAPER)

7/1/11-6/30/2012

The City of Springfield, through the Office of Community Development, is preparing its Draft Consolidated Annual Performance and Evaluation Report (CAPER) for the program year July 1, 2011-June 30, 2012. This Annual Report outlines how federal Community Development Block Grant (CDBG), HOME Investment Partnership Act (HOME), Emergency Shelter Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) formula grant programs were utilized during the prior program year, which runs from July 1, 2011 to June 30, 2012.

Copies of the Draft CAPER will be available starting on August 31st through September 14th at:

- Office of Planning & Economic Development, 70 Tapley Street;
- Office of Community Development, City Hall, 1600 East Columbus Avenue;
- Office of Housing, 1600 East Columbus Avenue, 1st Floor;
- Department of Health & Human Services, 95 State Street;
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

A Public Hearing will be held on Tuesday, September 11th, City Hall, Room 220 at 5:00 PM.

Interested parties are also invited to submit written comments to Cathy Buono, Director of Administration & Finance, Office of Community Development. Submissions must be received by the Office of Community Development no later than 4:00 PM; September 14, 2012. Please mail or email comments to the Office of Community Development, 1600 East Columbus Avenue, Springfield, MA 01103 or cbuono@springfieldcityhall.com.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

The City of Springfield is an Equal Employment Opportunity

Aviso de disponibilidad de Proyecto y Audiencia Pública

Revisión de Rendimiento Consolidado Anual e Informe de Evaluación (CAPER)

7/1/11-6/30/2012

La Ciudad de Springfield, a través de la Oficina de Desarrollo Comunitario, está preparando su proyecto consolidado anual sobre los resultados y el Informe de Evaluación (CAPER) para el año del programa del 1 de julio de 2011-junio 30 de 2012. Este informe anual describe las subvenciones federales Community Development Block Grant (CDBG), Asociación de Inversión para Hogar (HOME), Refugio de Emergencia (ESG) y Oportunidades de Vivienda para Personas Pacientes de SIDA (HOPWA); la fórmula de subvención se utilizó durante el programa del año anterior que se extiende desde el 1 de julio de 2011 al 31 de junio de 2012.

Las copias del Proyecto CAPER estarán disponibles a partir del 31 de agosto hasta el 14 de septiembre de 2012 en:

- Oficina de Planificación y Desarrollo Comunitario, 70 Tapley Street;
- Oficina de Desarrollo Comunitario, 1600 East Columbus Avenue;
- Oficina de Vivienda, 1600 East Columbus Avenue, 1er Piso;
- Departamento de Salud y Recursos Humanos, 95 State Street;
- http://www.springfieldcityhall.com/cos/Services/dept_cd.htm

Una audiencia pública se llevará a cabo el martes, 11 de septiembre de 2012 en la Casa Alcaldía, Sala 220 a las 5:00 PM.

Las partes interesadas están invitadas a presentar comentarios por escrito a Cathy Buono, Directora de la Administración y Finanzas de la Oficina de Desarrollo Comunitario. Las propuestas deben ser recibidas por la Oficina de Desarrollo Comunitario el viernes, 14 de septiembre de 2012 antes de las 4:00 PM. Favor de enviar sus comentarios por correo a la Oficina de Desarrollo Comunitario, 1600 East Columbus Avenue, Springfield, MA 01103 o a la siguiente dirección de correo electrónico cbuono@springfieldcityhall.com.

Para información adicional favor comunicarse a la Oficina de Desarrollo Comunitario al 787-6050 o TTY 787-6641

La Ciudad de Springfield es Igualdad de Oportunidad de Empleo.