

# CITY OF SPRINGFIELD

Consolidated Annual Performance and Evaluation Report (CAPER)

*Program Year: July 1, 2013- June 30, 2014*



South End Revitalization

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# Executive Summary

## Purpose

The City of Springfield's Consolidated Annual Performance and Evaluation Report (CAPER) describes the City's accomplishments of projects and programs funded by the U.S. Department of Housing and Urban Development. These programs include the Community Development Block Grant (CDBG) program, the HOME Investment Partnership (HOME) program, the Neighborhood Stabilization Program (NSP), the Emergency Solutions Grant (ESG) program, Continuum of Care program, and the Housing Opportunities for Persons with AIDS (HOPWA) program.

This report compares the anticipated benefits projected in the City's Annual and Five year plans with the actual accomplishments achieved. Every attempt is made to provide a programmatic and financial analysis in a meaningful, user-friendly format comprehensible to all Springfield's residents.

## Citizen Participation

A DRAFT of the CAPER for the fiscal year that commenced on July 1, 2013 and ended on June 30, 2014 (FY 13-14) was posted online and available for public review from Friday, September 12<sup>th</sup> through Monday, September 29, 2014 and a public hearing was held on Wednesday, September 17, 2014 at 5:00 PM in room 220 in City Hall. During the review period copies of the Draft CAPER are available in English and Spanish to all Springfield residents at the following locations:

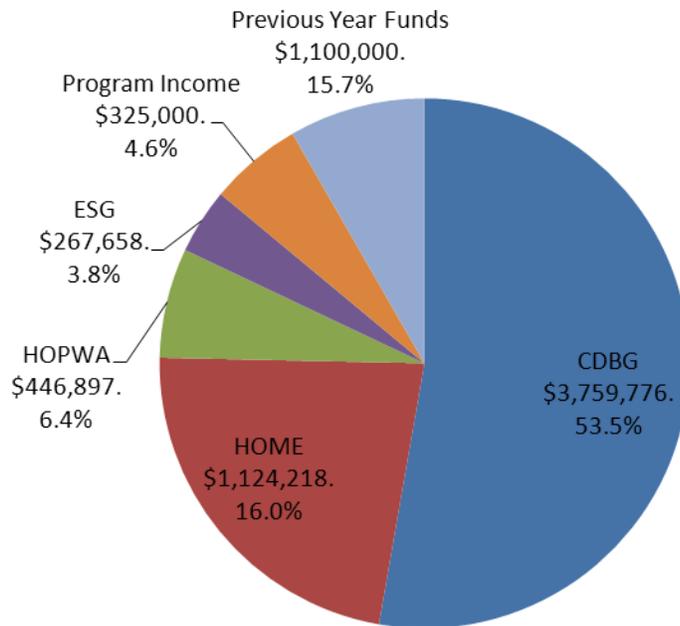
- Office of Planning & Economic Development, 70 Tapley Street
- Office of Neighborhood Services, 70 Tapley Street
- Office of Community Development, City Hall, 1600 E. Columbus Avenue
- Office of Housing, 1600 E Columbus Avenue, 1st Floor
- Department of Health and Human Services, 95 State Street
- Springfield Central Library, 220 State Street
- [www.cityofspringfield.com](http://www.cityofspringfield.com)

An announcement about the hearing and the availability of the draft document was published in English and Spanish in the Springfield *Republican* on September 1, 2014, in the Local Section of the Neighborhoods Plus of the Republican on September 10, 2014, in the Spanish Newspaper *LaVoz* on September 11, 2014, and a flyer was mailed to persons and organizations included on the Office of Community Development's extensive mailing list. The advertisement also solicited written feedback from Springfield residents.

## Funds Available

In FY 13-14, the Department of Housing and Urban Development (HUD) awarded the City of Springfield a total of \$7,023,549 in entitlement funding. The City received \$3,759,776 through the CDBG Program, \$267,658 through the ESG program, \$1,124,218 through the HOME Program, and \$446,897 through the HOPWA Program. Prior year funds of \$1,100,000, as well as estimated program income totaling \$325,000, were also available. Therefore, total entitlement funding available for the program year was \$7,023,549.

## TOTAL SOURCES OF FUNDS FY13-14



During this program year, 86.69% of CDBG funds were used to benefit low- to moderate-income persons. The majority of CDBG funding was allocated for activities classified as economic development, housing, public services, or public infrastructure and facilities. Details of the services, programs, and accomplishments and an analysis of expenditures are provided throughout the CAPER. This report also provides information and accomplishments completed with Neighborhood Stabilization Program (NSP) funds, which are multi-year HUD grants awarded starting in 2009.

### Distribution of Funds

Within the City of Springfield's Five Year Consolidated Plan, the City committed to the over-arching goal of undertaking activities that would result in substantial public benefit through the revitalization of depressed areas and in assistance to low/moderate income residents.

CDBG funds were used to serve persons residing in CDBG eligible area, which, in total, is comprised of almost 103,000 persons, an estimated 73.5 percent of which are deemed low- or moderate-income by the 2000 US Census. In 2000, these residents represented many races and ethnicities. Of these persons, approximately 44.0 percent were White, 25.5 percent were Black or African American, 0.4 percent was American Indian/Alaska Native, 2.1 percent were Asian, 0.1 percent were Native Hawaiian and Other Pacific Islander and 29 percent were Other/Multi Racial. In terms of ethnicity, approximately 37% of these persons were Hispanic.

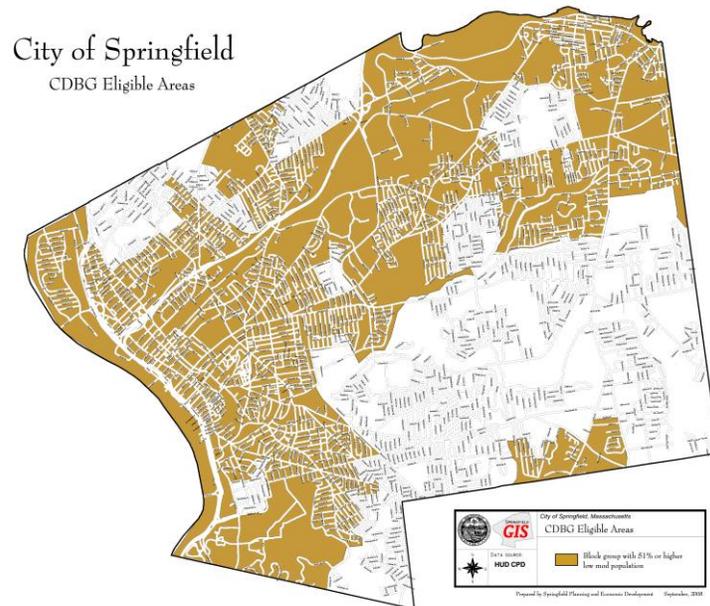
The CDBG and NRSA areas include the following block groups and census tracts (added census tract/block groups due to a 2007 administrative change implemented by HUD are noted in **red type**).

CDBG Eligible Census Tract / Block Groups in Springfield, MA effective 7/1/07

| TRACT   | NRS<br>A | BLK<br>GRP | LOW<br>MOD<br>PCT | TRACT   | NRSA | BLK<br>GRP | LOW MOD<br>PCT | TRACT   | NRSA | BLK<br>GRP | LOW<br>MOD<br>PCT |
|---------|----------|------------|-------------------|---------|------|------------|----------------|---------|------|------------|-------------------|
| 8026.01 |          | 3          | 64.8              | 8017.00 |      | 1          | 59.7           | 8011.01 |      | 2          | 100.0             |
| 8026.01 |          | 4          | 60.6              | 8017.00 |      | 3          | 80.3           | 8009.00 |      | 1          | 86.0              |
| 8026.01 |          | 5          | 74.7              | 8017.00 |      | 4          | 64.5           | 8009.00 |      | 2          | 84.7              |
| 8023.00 |          | 1          | 61.7              | 8017.00 |      | 5          | 68.6           | 8009.00 |      | 3          | 96.8              |
| 8023.00 |          | 2          | 57.4              | 8017.00 |      | 6          | 73.4           | 8009.00 |      | 4          | 70.3              |
| 8023.00 |          | 4          | 87.4              | 8016.05 |      | 2          | 57.9           | 8009.00 |      | 5          | 90.3              |
| 8023.00 |          | 5          | 76.2              | 8016.03 |      | 1          | 55.8           | 8008.00 | X    | 1          | 91.4              |
| 8023.00 |          | 6          | 78.2              | 8016.02 |      | 1          | 60.4           | 8008.00 | X    | 2          | 84.5              |
| 8022.00 |          | 1          | 69.5              | 8015.03 |      | 1          | 68.0           | 8007.00 | X    | 1          | 88.0              |
| 8022.00 |          | 2          | 68.9              | 8015.03 |      | 2          | 68.9           | 8007.00 | X    | 2          | 79.5              |
| 8022.00 |          | 3          | 79.1              | 8015.02 |      | 1          | 60.6           | 8006.00 | X    | 1          | 89.3              |
| 8021.00 |          | 1          | 80.9              | 8015.02 |      | 2          | 51.5           | 8006.00 | X    | 2          | 96.6              |
| 8021.00 |          | 4          | 59.5              | 8015.02 |      | 4          | 73.0           | 8006.00 | X    | 3          | 99.4              |
| 8021.00 |          | 6          | 57.2              | 8015.01 |      | 3          | 78.2           | 8005.00 |      | 1          | 67.5              |
| 8021.00 |          | 9          | 69.1              | 8015.01 |      | 4          | 60.9           | 8005.00 |      | 2          | 62.2              |
| 8020.00 | X        | 1          | 87.6              | 8014.02 |      | 1          | 59.3           | 8004.00 |      | 2          | 62.8              |
| 8020.00 |          | 2          | 86.5              | 8014.02 |      | 4          | 60.7           | 8004.00 |      | 4          | 61.5              |
| 8020.00 | X        | 3          | 84.2              | 8014.01 |      | 5          | 76.5           | 8004.00 |      | 5          | 67.1              |
| 8019.00 | X        | 1          | 85.5              | 8014.01 |      | 6          | 79.5           | 8004.00 |      | 6          | 69.4              |
| 8019.00 | X        | 2          | 85.7              | 8013.00 |      | 1          | 76.6           | 8003.00 |      | 1          | 64.9              |
| 8019.00 | X        | 3          | 85.4              | 8013.00 |      | 2          | 87.8           | 8003.00 |      | 2          | 54.7              |
| 8019.00 | X        | 4          | 84.6              | 8013.00 |      | 3          | 70.2           | 8002.02 |      | 1          | 57.2              |
| 8019.00 | X        | 5          | 88.7              | 8013.00 |      | 5          | 65.5           | 8002.01 |      | 3          | 62.2              |
| 8019.00 | X        | 8          | 89.0              | 8012.00 |      | 1          | 94.1           | 8002.01 |      | 4          | 53.1              |
| 8018.00 | X        | 1          | 79.0              | 8012.00 |      | 2          | 86.7           | 8002.01 |      | 6          | 75.5              |
| 8018.00 | X        | 2          | 75.9              | 8012.00 |      | 3          | 67.1           | 8001.00 |      | 1          | 82.9              |
| 8018.00 | X        | 3          | 85.2              | 8011.02 | X    | 1          | 64.6           | 8001.00 |      | 2          | 60.5              |
| 8018.00 | X        | 5          | 78.6              | 8011.02 | X    | 2          | 87.1           | 8001.00 |      | 4          | 76.2              |
| 8018.00 | X        | 6          | 91.0              | 8011.01 | X    |            | 88.0           | 8001.00 |      | 5          | 76.2              |
|         |          |            |                   |         |      |            |                | 8001.00 |      | 8          | 70.9              |

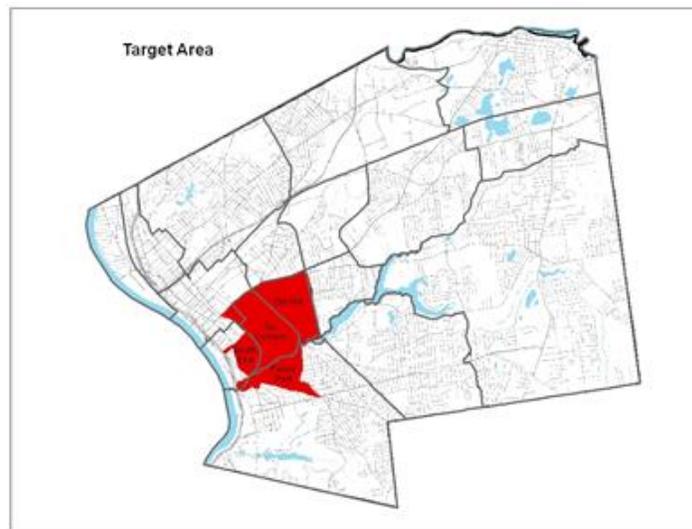
Source: HUD CPD

The map below illustrates the CDBG-eligible areas.



## Allocation of Funds

HOME and ESG funds were allocated citywide providing persons and/or households assisted who met the eligibility criteria of the applicable program. HOPWA funds were allocated throughout the Springfield Eligible Metropolitan Statistical Area (EMSA), which includes Hampden, Hampshire and Franklin Counties. NSP funds were allocated within the geographic area designated in 2009 as the City's NSP target area. This area is shown on the map below.



## Assessment of Five Year and Annual Goals and Objectives

The City has completed the fourth year program covered by the FY10-14 Consolidated Plan. Within each priority area, a brief synopsis of objectives outcomes of the activities in the Consolidated Plan and a report on the accomplishments achieved as of the end of FY 2014 is provided.

### Background: HUD’s Performance Measurement System

HUD uses a nationwide performance measurement system to help determine how well programs and activities are meeting established needs and goals. The system contains three components: Objectives, Outcomes and Indicators. The three **objectives** are below:

PROVIDING DECENT HOUSING This objective covers the wide range of housing activities that are generally undertaken with HOME, CDBG or HOPWA funds, and focuses on housing activities whose purpose is to meet individual family or community housing needs.

CREATING SUITABLE LIVING ENVIRONMENTS. This objective is related to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment, and are intended to address a wide range of issues faced by low- and moderate-income persons, from physical problems with their environment, such as poor quality infrastructure, to social issues such as crime prevention, literacy or elderly health services.

CREATING ECONOMIC OPPORTUNITIES. This objective applies to activities related to economic development, commercial revitalization, or job creation.

The system also establishes three **outcomes** to show the anticipated result of the activity:

AVAILABILITY/ACCESSIBILITY. This outcome applies to activities that make services, infrastructure, public services, public facilities, housing or shelter available or accessible to low and moderate-income people, including persons with disabilities.

AFFORDABILITY. This outcome applies to activities that provide affordability in a variety of ways to low- and moderate-income people. It can include the creation or maintenance of affordable housing, basic infrastructure hook-ups, or services such as transportation or day care.

SUSTAINABILITY. This outcome applies to activities that are aimed at improving communities or neighborhoods, helping to make them livable or viable by providing benefit to persons of low- and moderate-income or by removing or eliminating slums or blighted areas.

The following table overviews the link between objectives and outcomes.

|                                  | Availability/<br>Accessibility (1) | Affordability (2) | Sustainability (3) |
|----------------------------------|------------------------------------|-------------------|--------------------|
| Decent Housing (DH)              | DH-1                               | DH-2              | DH-3               |
| Suitable Living Environment (SL) | SL-1                               | SL-2              | SL-3               |
| Economic Opportunity (EO)        | EO-1                               | EO-2              | EO-3               |

## Five Year Goals and Objectives

This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan in Performance Measurement Objective Tables and in a table that overviews Annual Accomplishments as detailed in the FY 13-14 Action Plan.

### DH-1 Availability/Accessibility of Decent Housing

| Specific Obj. # | Outcome/Objective  | Sources of Funds | Performance Indicators | Federal Year    | Expected Number | Actual Number | Percent Completed |
|-----------------|--|------------------|------------------------|-----------------|-----------------|---------------|-------------------|
| DH-1.1          | Produce affordable rental housing units                          | HOME             | Housing units          | 2010            | 5               | 22            | 44%               |
|                 |  |                  |                        | 2011            | 5               | 0             | 0%                |
|                 |  | Other private    |                        | 2012            | 5               | 11            | 220%              |
|                 |  |                  |                        | 2013            | 5               | 4             | 80%               |
|                 |  | Other Public     |                        | 2014            | 5               |               |                   |
|                 |  |                  |                        | MULTI-YEAR GOAL |                 | 25            | 37                |
| DH-1.2          | Rehabilitate existing multi-family rental housing rehabilitation | HOME             | Housing units          | 2010            | 20              | 22            | 110%              |
|                 |  |                  |                        | 2011            | 20              | 15            | 75%               |
|                 |  | Other private    |                        | 2012            | 10              | 11            | 110%              |
|                 |  |                  |                        | 2013            | 10              | 11            | 110%              |
|                 |  | Other Public     |                        | 2014            | 10              |               |                   |
|                 |  |                  |                        | MULTI-YEAR GOAL |                 | 70            | 59                |
| DH-1.3          | Preserve affordable housing facing expiring use restrictions     | HOME             | Housing units          | 2010            | 5               | 0             | 0%                |
|                 |  |                  |                        | 2011            | 5               | 15            | 33%               |
|                 |  | Other private    |                        | 2012            | 5               | 6             | 120%              |
|                 |  |                  |                        | 2013            | 5               | 0             | 0%                |
|                 |  | Other Public     |                        | 2014            | 5               |               |                   |
|                 |  |                  |                        | MULTI-YEAR GOAL |                 | 25            | 21                |
| DH-1.4          | Assist homeowners to repair and rehabilitate their homes         | HOME             | Housing units          | 2010            | 15              | 49            | 33%               |
|                 |  |                  |                        | 2011            | 15              | 32            | 21%               |
|                 |  | CDBG             |                        | 2012            | 15              | 11            | 73%               |
|                 |  |                  |                        | 2013            | 15              | 52            | 35%               |
|                 |  | Other Private    |                        | 2014            | 15              |               |                   |
|                 |  |                  |                        | MULTI-YEAR GOAL |                 | 75            | 144               |
| DH-1.5          | Increase energy efficiency for existing homeowners               | CDBG             | Housing units          | 2010            | 1200            | 1331          | 111%              |
|                 |  |                  |                        | 2011            | 1200            | 1299          | 108%              |
|                 |  | Other Public     |                        | 2012            | 1200            | 957           | 79%               |
|                 |  |                  |                        | 2013            | 1200            | 888           | 74%               |
|                 |  | Other Public     |                        | 2014            | 1200            |               |                   |
|                 |  |                  |                        | MULTI-YEAR GOAL |                 | 6000          | 4475              |

|        |                             |      |               |      |      |      |      |
|--------|-----------------------------|------|---------------|------|------|------|------|
| DH-1.6 | Evaluate and eliminate lead | CDBG | Housing units | 2010 | 1000 | 1040 | 104% |
|        |                             |      |               | 2011 | 1000 | 1012 | 101% |

|         |   |                      |                 |      |      |      |      |
|---------|---|----------------------|-----------------|------|------|------|------|
|         | based paint hazards   | HOME                 |                 | 2012 | 1000 | 978  | 98%  |
|         |   | Other Public         |                 | 2013 | 1000 | 1028 | 103% |
|         |   |                      |                 | 2014 | 1000 |      |      |
|         |   |                      | MULTI-YEAR GOAL |      | 5000 | 4058 | 81%  |
| DH-1.7  | Perform proactive Code Enforcement                                    | CDBG                 | Housing units   | 2010 | 1000 | 1175 | 175% |
|         |   | Other Public         |                 | 2011 | 1000 | 3991 | 399% |
|         |   |                      |                 | 2012 | 1000 | 1840 | 184% |
|         |   |                      |                 | 2013 | 1000 | 2822 | 282% |
|         |   |                      |                 | 2014 | 1000 |      |      |
|         |   |                      | MULTI-YEAR GOAL |      | 5000 | 9828 | 196% |
| DH-1.8  | Redevelop blighted properties into homeownership opportunities        | HOME                 | Housing units   | 2010 | 10   | 11   | 110% |
|         |   | NSP                  |                 | 2011 | 10   | 5    | 50%  |
|         |   | CDBG                 |                 | 2012 | 10   | 14   | 140% |
|         |   | Other public/private |                 | 2013 | 10   | 2    | 20%  |
|         |   |                      |                 | 2014 | 10   |      |      |
|         |   |                      | MULTI-YEAR GOAL |      | 50   | 32   | 64%  |
| DH-1.9  | Acquisition/Disposition of Tax-Title Properties                       | CDBG                 | Housing units   | 2010 | 75   | 199  | 265% |
|         |   | Other Public         |                 | 2011 | 75   | 145  | 193% |
|         |   |                      |                 | 2012 | 75   | 161  | 215% |
|         |   |                      |                 | 2013 | 75   | 124  | 165% |
|         |   |                      |                 | 2014 | 75   |      |      |
|         |   |                      | MULTI-YEAR GOAL |      | 375  | 629  | 167% |
| DH-1.10 | Board & Secure: Operation and repair of foreclosed properties<br>Tina | CDBG                 | Housing units   | 2010 | 75   | 63   | 84%  |
|         |   | Other public         |                 | 2011 | 75   | 145  | 193% |
|         |   |                      |                 | 2012 | 75   | 252  | 336% |
|         |   |                      |                 | 2013 | 50   | 24   | 48%  |
|         |   |                      |                 | 2014 | 50   |      |      |
|         |   |                      | MULTI-YEAR GOAL |      | 325  | 484  | 149% |
| DH-1.11 | Residential Historic Preservation                                     |                      | Housing units   | 2010 | 2    | 1    | 50%  |
|         |   |                      |                 | 2011 | 1    | 1    | 100% |
|         |   |                      |                 | 2012 | 1    | 1    | 100% |
|         |   |                      |                 | 2013 | 1    | 3    | 300% |
|         |   |                      |                 | 2014 | 1    |      |      |
|         |   |                      | MULTI-YEAR GOAL |      | 6    | 6    | 100% |

|         |   |                      |                        |                 |      |        |      |
|---------|---|----------------------|------------------------|-----------------|------|--------|------|
| DH-1.12 | Develop accessible housing units  | HOME                 | Housing units          | 2010            | 5    | 7      | 80%  |
|         |   | Other public         |                        | 2011            | 5    | 15     | 300% |
|         |   |                      |                        | 2012            | 5    | 15     | 300% |
|         |   |                      |                        | 2013            | 5    | 7      | 140% |
|         |   |                      |                        | 2014            | 5    |        |      |
|         |   |                      |                        | MULTI-YEAR GOAL | 25   | 45     | 180% |
| DH-1.13 | Ensure sufficient capacity at emergency shelters                              | ESG                  | People served annually | 2010            | 1200 | 4589   | 382% |
|         |   | CDBG<br>Other Public |                        | 2011            | 1000 | 4283   | 428% |
|         |   |                      |                        | 2012            | 900  | 2937   | 326% |
|         |   |                      |                        | 2013            | 900  | 2041   | 226% |
|         |   |                      |                        | 2014            | 900  |        |      |
|         |   |                      |                        | MULTI-YEAR GOAL | 4900 | 13,850 | 282% |
| DH-1.14 | Increase housing options and related services for persons with HIV/AIDS       | HOPWA                | Households             | 2010            | 382  | 382    | 100% |
|         |   | Other Public         |                        | 2011            | 382  | 388    | 102% |
|         |   |                      |                        | 2012            | 382  | 386    | 101% |
|         |   |                      |                        | 2013            | 382  | 241    | 63%  |
|         |   |                      |                        | 2014            | 382  |        |      |
|         |   |                      |                        | MULTI-YEAR GOAL | 1910 | 1397   | 73%  |
| DH-1.15 | Create supportive housing for chronically homeless and vulnerable populations | HOME                 | People                 | 2010            | 32   | 32     | 100% |
|         |   | Other public         |                        | 2011            | 8    | 16     | 200% |
|         |   |                      |                        | 2012            | 8    | 15     | 188% |
|         |   |                      |                        | 2013            | 8    | 0      | 0%   |
|         |   |                      |                        | 2014            | 8    |        |      |
|         |   |                      |                        | MULTI-YEAR GOAL | 64   | 63     | 98%  |
| DH-1.16 | Provide tenant-based rental assistance to special needs households            |                      | People                 | 2010            | 50   | 85     | 170% |
|         |   |                      |                        | 2011            | 50   | 60     | 120% |
|         |   |                      |                        | 2012            | 50   | 55     | 110% |
|         |   |                      |                        | 2013            | 50   | 71     | 9142 |
|         |   |                      |                        | 2014            | 50   |        |      |
|         |   |                      |                        | MULTI-YEAR GOAL | 250  | 271    | 108% |

## DH-2 Affordability of Decent Housing

| Specific Obj. # | Outcome/Objective                        | Sources of Funds | Performance Indicators | Fed. Year | Expected Number | Actual Number | Percent Completed |
|-----------------|--|------------------|------------------------|-----------|-----------------|---------------|-------------------|
| DH-2.1          | Direct homebuyer down payment assistance | ADDI             | Households             | 2010      | 100             | 85            | 85%               |
|                 |  |                  |                        | 2011      | 100             | 82            | 82%               |
|                 |  | HOME             |                        | 2012      | 100             | 79            | 79%               |
|                 |  |                  |                        | 2013      | 100             | 83            | 83%               |
|                 |  |                  |                        | 2014      | 100             |               |                   |
|                 |  | MULTI-YEAR GOAL  |                        | 500       | 329             | 66%           |                   |
| DH-2.2          | Homebuyer education/counseling           | CDBG             | Households             | 2010      | 150             | 256           | 171%              |
|                 |  |                  |                        | 2011      | 150             | 92            | 61%               |
|                 |  | Other private    |                        | 2012      | 150             | 59            | 39%               |
|                 |  |                  |                        | 2013      | 150             | 47            | 31%               |
|                 |  |                  |                        | 2014      | 150             |               |                   |
|                 |  | MULTI-YEAR GOAL  |                        | 750       | 454             | 61%           |                   |

## SL-1 Availability/Accessibility of Suitable Living Environment

| Specific Obj. # | Outcome/Objective   | Sources of Funds | Performance Indicators | Fed. Year            | Expected Number | Actual Number | Percent Completed |       |
|-----------------|---|------------------|------------------------|----------------------|-----------------|---------------|-------------------|-------|
| SL-1.1          | Homelessness prevention and rapid rehousing                           | ESG              | Households             | 2010                 | 225             | 496           | 220%              |       |
|                 |   |                  |                        | HPRP                 | 2011            | 200           | 140               | 70%   |
|                 |   | Other public     |                        |                      | 2012            | 200           | 582               | 291%  |
|                 |   |                  |                        |                      | 2013            | 200           | 383               | 192%  |
|                 |   | 2014             |                        | 200                  |                 |               |                   |       |
|                 |   | MULTI-YEAR GOAL  |                        | 1025                 | 1601            | 156%          |                   |       |
| SL-1.2          | Provide essential services to assist homeless people to become housed | ESG              | Households             | 2010                 | 300             | 3422          | 1140%             |       |
|                 |   |                  |                        | Other public         | 2011            | 300           | 3202              | 1067% |
|                 |   | 2012             |                        |                      | 300             | 1129          | 376%              |       |
|                 |   | 2013             |                        |                      | 300             | 1057          | 352%              |       |
|                 |   | 2014             |                        | 300                  |                 |               |                   |       |
|                 |   | MULTI-YEAR GOAL  |                        | 1500                 | 8810            | 587%          |                   |       |
| SL-1.3          | Employment training   | CDBG             | People                 | 2010                 | 41              | 44            | 107%              |       |
|                 |   |                  |                        | Other public/private | 2011            | 25            | 62                | 248%  |
|                 |   | 2012             |                        |                      | 25              | 81            | 324%              |       |
|                 |   | 2013             |                        |                      | 25              | 82            | 328%              |       |
|                 |   | 2014             |                        | 25                   |                 |               |                   |       |
|                 |   | MULTI-YEAR GOAL  |                        | 141                  | 269             | 190%          |                   |       |

|        |                               |                                      |        |                 |      |        |        |
|--------|-------------------------------|--------------------------------------|--------|-----------------|------|--------|--------|
| SL-1.4 | Health services               | CDBG<br><br>Other public/<br>private | People | 2010            | 0    | 0      | 0%     |
|        |                               |                                      |        | 2011            | 25   | 137    | 54%    |
|        |                               |                                      |        | 2012            | 0    | 0      | 0%     |
|        |                               |                                      |        | 2013            | 0    | 0      | 0%     |
|        |                               |                                      |        | 2014            | 0    |        |        |
|        |                               |                                      |        | MULTI-YEAR GOAL |      | 25     | 137    |
| SL-1.5 | Senior services               | CDBG<br><br>Other public/<br>private | People | 2010            | 200  | 309    | 154%   |
|        |                               |                                      |        | 2011            | 200  | 334    | 167%   |
|        |                               |                                      |        | 2012            | 200  | 182    | 91%    |
|        |                               |                                      |        | 2013            | 200  | 204    | 102%   |
|        |                               |                                      |        | 2014            | 200  |        |        |
|        |                               |                                      |        | MULTI-YEAR GOAL |      | 1000   | 1029   |
| SL-1.6 | Childcare Services            | CDBG<br><br>Other public/<br>private | People | 2010            | 3    | 3      | 100%   |
|        |                               |                                      |        | 2011            | 2    | 3      | 150%   |
|        |                               |                                      |        | 2012            | 2    | 9      | 450%   |
|        |                               |                                      |        | 2013            | 2    | 6      | 300%   |
|        |                               |                                      |        | 2014            | 2    |        |        |
|        |                               |                                      |        | MULTI-YEAR GOAL |      | 11     | 21     |
| SL-1.7 | Services for disabled persons | CDBG<br><br>Other public/<br>private | People | 2010            | 120  | 124    | 103%   |
|        |                               |                                      |        | 2011            | 100  | 113    | 113%   |
|        |                               |                                      |        | 2012            | 100  | 121    | 121%   |
|        |                               |                                      |        | 2013            | 100  | 113    | 113%   |
|        |                               |                                      |        | 2014            | 100  |        |        |
|        |                               |                                      |        | MULTI-YEAR GOAL |      | 520    | 471    |
| SL-1.8 | Fair Housing                  | CDBG<br><br>Other public/<br>private | People | 2010            | 150  | 363    | 242%   |
|        |                               |                                      |        | 2011            | 150  | 496    | 330%   |
|        |                               |                                      |        | 2012            | 150  | 427    | 285%   |
|        |                               |                                      |        | 2013            | 150  | 483    | 322%   |
|        |                               |                                      |        | 2014            | 150  |        |        |
|        |                               |                                      |        | MULTI-YEAR GOAL |      | 750    | 1769   |
| SL-1.9 | Youth Services                | CDBG<br><br>Other public/<br>private | People | 2010            | 2545 | 4628   | 181%   |
|        |                               |                                      |        | 2011            | 2500 | 4087   | 163%   |
|        |                               |                                      |        | 2012            | 2500 | 4015   | 160%   |
|        |                               |                                      |        | 2013            | 2500 | 3481   | 139%   |
|        |                               |                                      |        | 2014            | 2500 |        |        |
|        |                               |                                      |        | MULTI-YEAR GOAL |      | 12,545 | 16,211 |

|                |  |   |                 |      |      |      |      |
|----------------|--|---|-----------------|------|------|------|------|
| <b>SL-1.10</b> | Battered & abused spouses                      | CDBG/<br>ESG<br>public<br>private       | People          | 2010 | 0    | 0    | 0%   |
|                |  |   |                 | 2011 | 100  | 103  | 103% |
|                |  |   |                 | 2012 | 100  | 125  | 125% |
|                |  |   |                 | 2013 | 100  | 102  | 102% |
|                |  |   |                 | 2014 | 100  |      |      |
|                |  |   | MULTI-YEAR GOAL |      | 400  | 320  | 83%  |
| <b>SL-1.11</b> | Public service general                         | CDBG<br><br>Other<br>public/<br>private | People          | 2010 | 385  | 1289 | 334% |
|                |  |   |                 | 2011 | 300  | 967  | 322% |
|                |  |   |                 | 2012 | 300  | 1250 | 416% |
|                |  |   |                 | 2013 | 350  | 742  | 212% |
|                |  |   |                 | 2014 | 350  |      |      |
|                |  |   | MULTI-YEAR GOAL |      | 1685 | 4248 | 252% |
| <b>SL-1.12</b> | Mental Health Services                         | CDBG<br><br>Other<br>public/<br>private | People          | 2010 | 0    | 0    | 0%   |
|                |  |   |                 | 2011 | 120  | 146  | 121% |
|                |  |   |                 | 2012 | 120  | 181  | 151% |
|                |  |   |                 | 2013 | 120  | 198  | 165% |
|                |  |   |                 | 2014 | 120  |      |      |
|                |  |   | MULTI-YEAR GOAL |      | 480  | 525  | 109% |
| <b>SL-1.13</b> | Substance Abuse Services                       | CDBG<br><br>Other<br>public/<br>private | People          | 2010 | 100  | 45   | 45%  |
|                |  |   |                 | 2011 | 125  | 213  | 170% |
|                |  |   |                 | 2012 | 100  | 265  | 265% |
|                |  |   |                 | 2013 | 100  | 339  | 339% |
|                |  |   |                 | 2014 | 100  |      |      |
|                |  |   | MULTI-YEAR GOAL |      | 525  | 862  | 164% |
| <b>SL-1.14</b> | CDBG Non-profit Organization Capacity Building | CDBG                                    | Organization    | 2010 | 10   | 10   | 100% |
|                |  |   |                 | 2011 | 10   | 10   | 100% |
|                |  |   |                 | 2012 | 10   | 10   | 100% |
|                |  |   |                 | 2013 | 10   | 10   | 100% |
|                |  |   |                 | 2014 | 10   |      |      |
|                |  |   | MULTI-YEAR GOAL |      | 50   | 40   | 80%  |

### SL-3 Sustainability of Suitable Living Environment

| Specific Obj. # | Outcome/Objectives                 | Sources of Funds | Performance Indicators | Fed. Year | Expected Number | Actual Number | Percent Completed |
|-----------------|------------------------------------|------------------|------------------------|-----------|-----------------|---------------|-------------------|
| SL-3.1          | Parks, Recreational Facilities     | CDBG             | Public Facilities      | 2010      | 4               | 2             | 50%               |
|                 |                                    |                  |                        | 2011      | 4               | 2             | 50%               |
|                 |                                    |                  |                        | 2012      | 4               | 2             | 50%               |
|                 |                                    |                  |                        | 2013      | 4               | 1             | 25%               |
|                 |                                    |                  |                        | 2014      | 4               |               |                   |
|                 |                                    | MULTI-YEAR GOAL  |                        | 20        | 7               | 35%           |                   |
| SL-3.2          | Street Improvements                |                  | People                 | 2010      | 5000            | 5098          | 101%              |
|                 |                                    |                  |                        | 2011      | 5000            | 3177          | 64%               |
|                 |                                    |                  |                        | 2012      | 5000            | 6101          | 122%              |
|                 |                                    |                  |                        | 2013      | 5000            | 3909          | 78%               |
|                 |                                    |                  |                        | 2014      | 5000            |               |                   |
|                 |                                    | MULTI-YEAR GOAL  |                        | 25,000    | 18,285          | 73%           |                   |
| SL-3.3          | Sidewalks                          |                  | People                 | 2010      | 5000            | 14,342        | 286%              |
|                 |                                    |                  |                        | 2011      | 5000            | 14,846        | 296%              |
|                 |                                    |                  |                        | 2012      | 5000            | 18,951        | 379%              |
|                 |                                    |                  |                        | 2013      | 5000            | 15,350        | 307%              |
|                 |                                    |                  |                        | 2014      | 5000            |               |                   |
|                 |                                    | MULTI-YEAR GOAL  |                        | 25,000    | 63,489          | 253%          |                   |
| SL-3.4          | Urban Reforestation                | Other Funds      | Units                  | 2010      | 100             | 121           | 121%              |
|                 |                                    |                  |                        | 2011      | 100             | 900           | 0                 |
|                 |                                    |                  |                        | 2012      | 100             | 230           | 230%              |
|                 |                                    |                  |                        | 2013      | 100             | 379           | 379%              |
|                 |                                    |                  |                        | 2014      | 100             |               |                   |
|                 |                                    | MULTI-YEAR GOAL  |                        | 500       | 1630            | 326%          |                   |
| SL-3.5          | Demolition of distressed buildings | CDBG             | Housing Units          | 2010      | 40              | 55            | 138%              |
|                 |                                    |                  |                        | 2011      | 40              | 15            | 38%               |
|                 |                                    |                  |                        | 2012      | 40              | 22            | 55%               |
|                 |                                    |                  |                        | 2013      | 40              | 8             | 20%               |
|                 |                                    |                  |                        | 2014      | 40              |               |                   |
|                 |                                    | MULTI-YEAR GOAL  |                        | 180       | 100             | 56%           |                   |
| SL-3.6          | Graffiti removal                   | CDBG             | Businesses             | 2010      | 100             | 149           | 149%              |
|                 |                                    |                  |                        | 2011      | 100             | 145           | 145%              |
|                 |                                    |                  |                        | 2012      | 100             | 140           | 140%              |
|                 |                                    |                  |                        | 2013      | 100             | 160           | 160%              |
|                 |                                    |                  |                        | 2014      | 100             |               |                   |
|                 |                                    | MULTI-YEAR GOAL  |                        | 500       | 594             | 118%          |                   |

|        |                      |              |                 |      |      |      |      |
|--------|----------------------|--------------|-----------------|------|------|------|------|
| SL-3.7 | Vacant Lot Cleanup   | CDBG         | Units           | 2010 | 250  | 263  | 105% |
|        |                      |              |                 | 2011 | 250  | 144  | 57%  |
|        |                      | Other public | 2012            | 250  | 252  | 101% |      |
|        |                      |              | 2013            | 250  | 121  | 48%  |      |
|        |                      |              | 2014            | 250  |      |      |      |
|        |                      |              | MULTI-YEAR GOAL |      | 1250 | 780  | 62%  |
| SL-3.8 | Interim Lot Greening | CDBG         | Units           | 2010 | 1    | 2    | 200% |
|        |                      |              |                 | 2011 | 1    | 1    | 100% |
|        |                      | Other public | 2012            | 1    | 0    | 0%   |      |
|        |                      |              | 2013            | 1    | 0    | 0%   |      |
|        |                      |              | 2014            | 1    |      |      |      |
|        |                      |              | MULTI-YEAR GOAL |      | 5    | 3    | 60%  |

### EO-1 Availability/Accessibility of Economic Opportunity

| Specific Obj. # | Outcome/Objective             | Sources of Funds | Performance Indicators | Fed. Year       | Expected Number | Actual Number | Percent Completed |
|-----------------|-------------------------------|------------------|------------------------|-----------------|-----------------|---------------|-------------------|
| EO-1.1          | Cleanup of Contaminated Sites |                  | Jobs                   | 2010            | 0               | 0             | 0                 |
|                 |                               |                  |                        | 2011            | 0               | 0             | 0                 |
|                 |                               |                  |                        | 2012            | 1               | 2             | 200%              |
|                 |                               |                  |                        | 2013            | 0               | 0             | 0                 |
|                 |                               |                  |                        | 2014            |                 |               |                   |
|                 |                               |                  |                        | MULTI-YEAR GOAL |                 | 1             | 2                 |
| EO-1.2          | Relocation                    |                  | Businesses             | 2010            | 0               | 0             | 0                 |
|                 |                               |                  |                        | 2011            | 5               | 5             | 100%              |
|                 |                               |                  |                        | 2012            | 0               | 0             | 0                 |
|                 |                               |                  |                        | 2013            | 0               | 0             | 0                 |
|                 |                               |                  |                        | 2014            |                 |               |                   |
|                 |                               |                  |                        | MULTI-YEAR GOAL |                 | 5             | 5                 |
| EO-1.3          | CI Land Acquisition           |                  | Businesses             | 2010            | 3               | 0             | 0                 |
|                 |                               |                  |                        | 2011            | 3               | 0             | 0                 |
|                 |                               |                  |                        | 2012            | 3               | 0             | 0                 |
|                 |                               |                  |                        | 2013            | 3               | 0             | 0                 |
|                 |                               |                  |                        | 2014            | 3               |               |                   |
|                 |                               |                  |                        | MULTI-YEAR GOAL |                 | 15            | 0                 |

|                 |  |    |                 |      |     |     |      |
|-----------------|--|----|-----------------|------|-----|-----|------|
| EO-1.6          | Direct Financial Assistance to For Profits |    | Businesses      | 2010 | 10  | 10  | 100% |
|                 |  |    |                 | 2011 | 15  | 14  | 93%  |
|                 |  |    |                 | 2012 | 30  | 9   | 30%% |
|                 |  |    |                 | 2013 | 15  | 9   | 60%  |
|                 |  |    |                 | 2014 |     |     |      |
|                 |  |    | MULTI-YEAR GOAL |      | 70  | 42  | 60   |
| EO-1.7          | ED Technical Assistance                    |    | Businesses      | 2010 | 10  | 10  | 100% |
|                 |  |    |                 | 2011 | 10  | 14  | 140% |
|                 |  |    |                 | 2012 | 10  | 147 | 147% |
|                 |  |    |                 | 2013 | 10  | 100 | 100% |
|                 |  |    |                 | 2014 | 10  |     | 171% |
|                 |  |    | MULTI-YEAR GOAL |      | 50  | 271 | 542% |
|                 |  |    | Jobs            | 2010 | 10  | 6   | 60%  |
|                 |  |    |                 | 2011 | 10  | 10  | 100% |
|                 |  |    |                 | 2012 | 10  | 17  | 170% |
|                 |  |    |                 | 2013 | 10  | 50  | 50%  |
|                 |  |    |                 | 2014 | 10  |     |      |
| MULTI-YEAR GOAL |  | 50 | 83              | 166% |     |     |      |
| EO-1.8          | Micro-Enterprise Assistance                |    | Jobs            | 2010 | 2   | 2   | 100% |
|                 |  |    |                 | 2011 | 2   | 2   | 100% |
|                 |  |    |                 | 2012 | 2   | 4   | 50%  |
|                 |  |    |                 | 2013 | 2   | 0   | 0    |
|                 |  |    |                 | 2014 | 2   |     |      |
|                 |  |    | MULTI-YEAR GOAL |      | 10  | 8   | 80%  |
|                 |  |    | Businesses      | 2010 | 5   | 7   | 14%  |
|                 |  |    |                 | 2011 | 5   | 2   | 40%  |
|                 |  |    |                 | 2012 | 5   | 5   | 100% |
|                 |  |    |                 | 2013 | 5   | 5   | 100% |
|                 |  |    |                 | 2014 | 5   |     |      |
| MULTI-YEAR GOAL |  | 25 | 19              | 76%  |     |     |      |
| EO-1.9          | Clearance and Demolition                   |    | Housing Units   | 2010 | 200 | 326 | 163% |
|                 |  |    |                 | 2011 | 40  | 159 | 397% |
|                 |  |    |                 | 2012 | 40  | 269 | 672% |
|                 |  |    |                 | 2013 | 20  | 129 | 645% |
|                 |  |    |                 | 2014 | 20  |     |      |
|                 |  |    | MULTI-YEAR GOAL |      | 320 | 883 | 275% |

## Assessment of Annual Goals and Objectives

Within the Annual Action Plan, the City proposes to operate a number of programs to further the goals identified in the Consolidated Plan. This section compares the proposed accomplishments to actual achievements for each activity within the Annual Action Plan. Additional detail about each accomplishment is provided in the IDIS Reports included as appendices to this document.

| Project No. | Obj. No. | Project Name   | Accomplishment     |                                 |
|-------------|----------|--|--------------------|---------------------------------|
|             |          |  | Proposed           | Actual                          |
| 1           | N/A      | HOME Administration                                      | N/A                | N/A                             |
| 2           | DH-2     | First Time Homebuyer Financial Assistance                | 83 Households      | 83 Households                   |
| 3           | DH-1     | Tenant Based Rental Assistance (TBRA)                    | 25 Households      | 45 Households                   |
| 4           | DH-1     | Project Based Homeownership                              | 4 Housing Units    | 0 Housing Units                 |
| 5           | DH-1     | Project Based Homeownership-NON CHDO                     | 1 Housing Unit     | 0 Housing Units                 |
| 6           | DH-1     | Rental Production  | 10 Housing Units   | 15 Housing Units                |
| 7           | N/A      | ESG Administration                                       | N/A                | N/A                             |
| 8           | DH-1     | ESG Homeless Shelter Operating Costs                     | 9125 people        | 1057 people                     |
| 9           | SL-1     | ESG Housing Relocation and Stabilization                 | 70 People          | 68 People                       |
| 10          | SL-1     | ESG Rental Assistance                                    | 50 People          | 185 People                      |
| 11          | N/A      | HOPWA Planning & Administration                          | N/A                | N/A                             |
| 12          | N/A      | HOPWA Project Sponsor Administration                     | N/A                | N/A                             |
| 13          | DH-1     | HOPWA-Non Homeless Special Needs                         | 25 Households      | 26 Households                   |
| 14          | EO-1     | Economic Development Program Delivery                    | N/A                | N/A                             |
| 15          | EO-1     | Business Development-Small Business Support Program      | 7 Jobs             | 6 Jobs/1 Created/<br>5 Retained |
| 16          | DH-1     | Existing Homeowner Rehab-Emergency Repairs               | 15 Housing Units   | 35 Housing Units                |
| 17          | DH-1     | HEARTWAP Program   | 350 Housing Units  | 888 Housing Units               |
| 18          | DH-1     | Housing Program Delivery-Rehabilitation                  | 15 Households      | 35 Households                   |
| 19          | DH-1     | Housing Program Delivery-Direct Homeownership Assistance | 100 Households     | 83 Households                   |
| 20          | SL-1     | Historic Restoration-Rehab Blight                        | 3 Housing Units    | 3 Housing Units Completed       |
| 21          | SL-3     | Clearance & Demolition Program Delivery                  | 269 Housing Units  | 129 Housing Units               |
| 22          | SL-3     | Bond Payment   | 1 Public Facility  | 1 Public Facility               |
| 23          | SL-3     | Demo of Vacant/Abandon Properties                        | 10 Housing Units   | 8                               |
| 24          | DH-1     | ReBuilding Together                                      | 3 Housing Units    | 17 Housing Units                |
| 25          | DH-1     | Acquisition/Disposition                                  | 75 Housing Units   | 124 Housing Units               |
| 26          | DH-3     | Targeted Code Enforcement- Street Sweeps                 | 2000 Housing Units | 2822 Housing Units              |
| 27          | DH-3     | Code Enforcement-Keep Springfield Beautiful              | 8000 People        | 2511 People                     |
| 28          | N/A      | CDBG Planning & Administration                           | N/A                | N/A                             |
| 29          | SL-3     | Park Reconstruction                                      | 2800 People        | 3746                            |
| -----       | SL-3     | Mary Troy  | 1 Park             | Underway                        |
| -----       | SL-3     | Camp Wilder  | 1 Park             | Completed                       |
| -----       | SL-3     | Camp Star Bath House                                     | 1 Public Facility  | Underway                        |
| -----       | SL-3     | Gunn Square  | 1 Park             | Underway                        |

|           |             |   |                    |                    |
|-----------|-------------|---|--------------------|--------------------|
| <b>30</b> | SL-1        | Public Improvements-Streets/Sidewalks   | 1000 People        | 18,369 People      |
| <b>31</b> | SL-1        | Neighborhood Capacity Building  | 10 Organizations   | 10 Organizations   |
| <b>32</b> | SL-3        | Graffiti Removal  | 25 Sites           | 159 Sites          |
| <b>33</b> | <b>SL-1</b> | <b>Human Capital-Public Service</b>   | <b>4985 People</b> | <b>6434 People</b> |
| -----     | SL-1        | 5A  | 100 People         | 108 People         |
| -----     | SL-1        | W.E.B. Dubois Academy-Black Men of Greater Springfield                                | 60 People          | 64 people          |
| -----     | SL-1        | Boys Scouts of America  | 30 People          | 23 People          |
| -----     | SL-1        | Children's Study Home   | 20 People          | 20 People          |
| -----     | SL-1        | Teen Pregnancy Prevention Program-Dunbar YMCA Family Center                           | 50 People          | 59 People          |
| -----     | SL-1        | Open Pools/Recreation Program   | 1000 People        | 1405 People        |
| -----     | SL-1        | Summer Enrichment Program   | 200 People         | 323 People         |
| -----     | SL-1        | Hungry Hill Senior Center   | 75 People          | 81 people          |
| -----     | SL-1        | Mass Fair Housing Center-Fair Housing Project   | 200 People         | 483 People         |
| -----     | SL-1        | Worthington Street Shelter-Friends of the Homeless                                    | 1000 People        | 984 People         |
| -----     | SL-1        | Home City Housing- Liberty Leaders  | 4 People           | 62 People          |
| -----     | SL-1        | Greater New Life Christian Center-New Life Center for Recovery                        | 15 People          | 9 People           |
| -----     | SL-1        | Youth Education & Young Development Martin Luther King Community Center               | 200 People         | 268 People         |
| -----     | SL-1        | Camp Star/Camp Angelina   | 120 People         | 113 People         |
| -----     | SL-1        | Pine Point Senior Center  | 30 People          | 123 People         |
| -----     | SL-1        | ROCA-Intervention Model for High Risk   | 25 People          | 20 People          |
| -----     | SL-1        | Russian Community Association-Health Liaison  | 100 People         | 74 People          |
| -----     | SL-1        | Russian Community Association-Springfield Pathway to Employment                       | 20 People          | 34 People          |
| -----     | SL-1        | Salvation Army-Bridging the Gap   | 120 People         | 127 People         |
| -----     | SL-1        | South End Community Center-Summer Activities  | 80 People          | 100 People         |
| -----     | SL-1        | Springfield Boys & Girls Club-Indian Orchard Unit                                     | 100 People         | 159 People         |
| -----     | SL-1        | Springfield Boys & Girls Club-Summer Youth Development                                | 20 People          | 35 People          |
| -----     | SL-1        | Springfield College- Literacy Awards  | 500 People         | 280 People         |
| -----     | SL-1        | Springfield Girls Club Family Center  | 25 People          | 33 People          |
| -----     | SL-1        | Springfield Housing Authority-GED Program   | 30 People          | 65 People          |
| -----     | SL-1        | Springfield Vietnamese American Civic Association- Housing Empowerment Program (HOME) | 60 People          | 85 People          |
| -----     | SL-1        | Springfield Vietnamese American Civic   | 65 People          | 67 People          |

|       |      |   |             |            |
|-------|------|---|-------------|------------|
|       |      | Association- Family Empowerment                           |             |            |
| ----- | SL-1 | Square One-Early Education & Child Care For At-Risk Youth | 3 People    | 6 People   |
| ----- | SL-1 | Square One-Fitness & Healthy Living Clinics               | 100 People  | 167 People |
| ----- | SL-1 | Square One –Family Services in the South End              | 13 Families | 5 Families |
| ----- | SL-1 | The Gray House-Community Education Support (CES)          | 75 People   | 136 People |
| ----- | SL-1 | Urban League-Digital Connectors                           | 15 People   | 20 People  |
| ----- | SL-1 | YMCA-Safe Summer Streets                                  | 45 People   | 45 People  |
| ----- | SL-1 | YWCA-Youth Build  | 30 People   | 62 People  |
| ----- | SL-1 | New North Citizens Council-Recovery Engagement            | 200 People  | 330 People |
| ----- | SL-1 | New North Citizens Council-Rockets to Success             | 45 People   | 83 People  |
| ----- | SL-1 | New North Citizens Council-Homeless Prevention            | 150 People  | 220 People |
| ----- | SL-1 | New North Citizens Council-Underground Youth Network      | 20 People   | 33 People  |
| ----- | SL-1 | New North Citizens Council-ESOL                           | 40 People   | 123 People |

## Multi-Year Activities

As part of the City's work to track projects that have been in progress for more than one year, the City has developed the following status of multi-year projects that are currently listed as activities in IDIS. Further details about multi-year activities funded through CDBG are reported in IDIS, HUD's database system.

### **Redevelopment of the Springfield Riverfront**

Completed and opened in September 2002, the Naismith Memorial Basketball Hall of Fame is the key element in the City of Springfield's Riverfront Development Plan. In March, 2008, the Rivers Landing complex opened in the former Basketball Hall of Fame building on the Riverfront, featuring a 60,000 square foot LA Fitness and ONYX Restaurant & Fusion Bar. This complex represents over \$15 million worth of private investment with no public subsidies. The City has completed the relocation of the William Sullivan Visitors Information Center, formerly at 1600 Hall of Fame Avenue, into the Basketball Hall of Fame complex. The move allowed the 4,100 square foot former visitors center building on the Riverfront to be available for reuse or sale. The Springfield Redevelopment Authority (SRA) disposed of the property through a Request for Proposals (RFP) process to the Chow Fun Restaurant Group of Providence, RI. The group has since opened a LUXE Burger Bar restaurant, following a \$2 million investment in rehabilitating the property, and has created over 50 new jobs.

### **Court Square Redevelopment**

In July 2011, the SRA named OPAL Real Estate as preferred developer for Court Square – a pair of significant historic buildings on Springfield's Court Square Park, just across from Springfield City Hall. OPAL is led by Peter Picknelly, owner of the Springfield-based Peter Pan Bus Lines. OPAL plans a complete historic rehabilitation of the buildings and the city has assisted through grant proceeds in funding a significant amount of due diligence activities including environmental, engineering, and architectural work. In 2014, it was announced that the company had established a partnership with MGM Resorts to develop a boutique hotel at the property, to complement the nearby \$800 million planned MGM Springfield complex.

### **Union Station Rehabilitation Project**

The Union Station rehabilitation project is slated to involve the rehabilitation and conversion of the historic Union Station terminal building into an intermodal transportation facility with ancillary uses that will support the station project. This facility will be the hub for bus, rail, and freight transportation services for Western New England. The SRA has now taken on the lead development role in the project and has been designated a direct recipient of Federal Transit Authority (FTA) funding. The SRA has hired an Owners Project Manager as well as a designer for the project, and initial remediation and demolition work has gotten underway. The project is expected to be completed in 2016.

The redevelopment of Union Station will be a major catalyst for the redevelopment of the North Blocks of the City's downtown. The completion of the station will coincide with expanded commuter rail from New Haven and Hartford, CT, ultimately offering more daily service through to New York City.

The North Blocks have also benefited from a recent HUD Section 108 loan for the redevelopment of a former Holiday Inn Hotel recently redeveloped to a LaQuinta Inn & Suites. The project held its grand opening in March, 2013 and has quickly become a popular hotel for visitors to the region.

### **South End Project**

In 2006, the Urban Land Institute identified the South End as the top priority neighborhood in the City, and the City has undertaken numerous revitalization projects in this neighborhood since that time, including Main Street repaving and streetscaping and cleanup of the Gemini site. These initial public improvements helped spur private development, including an office development on Arlington Court and a new Hampton Inn & Suites, which is nearing completion as of August, 2014.

The City has completed the acquisition and demolition of nine homes as part of the expansion of Emerson Wight Park. Park improvements were completed in 2012 and the new expanded park has become a popular location for families in the neighborhood. Also in 2012, Dwight Street Extension was redeveloped with the support of a Commonwealth of Massachusetts MASSWORKS grant. The City committed \$1.5 million in HOME funds, which has helped catalyze an investment of \$75 million in the Hollywood section of the South End, redeveloping 22 multifamily apartment buildings in a neighborhood now named “Outing Park”.

The City and the Springfield Housing Authority, with the support of a Choice Neighborhoods Initiative planning grant, are in the process of completing planning efforts regarding the housing mix and new opportunities in the neighborhoods. The city is also in the process of making important new roadway connections through a planned extension of Marble Street, with the goal to reduce the number of dead end streets in the neighborhood. The City has committed funding to development of a new South End Community Center; design of this facility is underway.

### **Central Street Corridor**

The City continues efforts to rebuild from the tornado of June 2011, with a focused effort on the Central Street corridor and implementing recommendations from the tornado rebuilding plan. With the CDBG-DR Action Plan investing a significant amount of funding into infrastructure, housing, education and economic development in this area, the city hopes to mirror some of the improvements made in the South End in these affected neighborhoods in Maple High, Six Corners, and Old Hill.

The project will rebuild Central Street and realign the roadway with Hickory Street, build new single family housing, help rebuild multifamily housing, and look to workforce training and lending programs to assist affected businesses. This is expected to be a multi-year effort. The realigned roadway will offer greater transportation access and a gateway to Springfield College, the New Brookings School (currently under construction), and all of the new housing elements in the neighborhood.

## **Narrative and Financial Summary by Program**

The City has completed the fourth year covered by the current Consolidated Plan. The analysis contained within this CAPER demonstrates that within most program areas the City has already met the goals described. Where results have not been achieved, the analysis provides guidance for the City in the remaining year.

## Community Development Block Grant (CDBG)

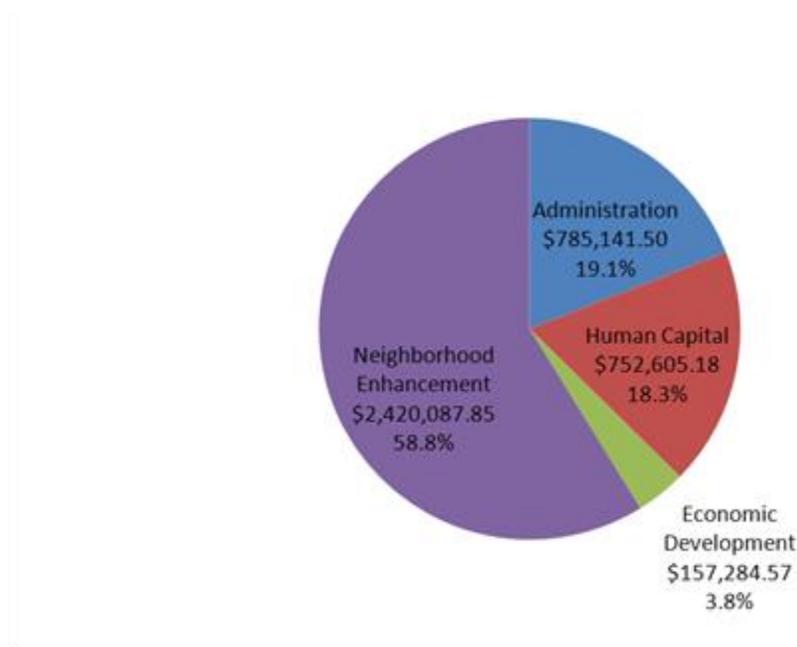
In FY 13-2014, the City's CDBG allocation was \$4,659,776.00. During this fiscal year the City expended \$4,115,119.10 of CDBG entitlement funding.

### FY 2013-2014 CDBG Expenditures by Category

The following chart illustrates these expenditures into three major categories—Human Capital, Neighborhood Enhancement and Economic Development—plus Administration. These categories correspond with the priorities, needs, goals, and specific objectives identified in the Consolidated Plan and the FY 2013-2014 Action Plan.

#### FY 13-2014 CDBG EXPENDITURES BY CATEGORY

Total Expended: \$4,115,119.10



### CDBG Administration

#### *Certification Narrative/Plan Implementation*

The City strongly supports the receipt of assistance by various organizations regarding the furtherance of the Consolidated Plan goals. The City views these organizations as our partners.

During the course of the year, the City provided certification for numerous programs including those for funding applications for the Springfield Housing Authority and the New North Citizens Council. The City of Springfield did not hinder the implementation of the Action Plan by action or willful inaction. The City of Springfield pursued all resources it indicated it would. A summary of leveraged resources is located in the table starting on pages 75.

*Compliance with National Objective*

During FY 13-14 the City used its CDBG funds exclusively for the benefit of low and moderate income persons or to eliminate or prevent slum and blight. Of funding expended, 82.36% was directed toward low and/or moderate income persons.

During the FY 13-14 program year, extremely low income, low income and moderate income persons were served by CDBG-funded activities. A summary of accomplishment for activities that require a determination of income by family to determine the eligibility of the activity is provided on the following table for FY 13-2014; the data is from the PR23 report in the HUD database system.

**NON-HOUSING: CDBG BENEFICIARIES BY RACIAL/ETHNIC CATEGORY**

| <b>Race</b>  | <b>Total Persons</b> | <b>Hispanic Persons</b> | <b>Total Households</b> | <b>Hispanic Households</b> |
|--|----------------------|-------------------------|-------------------------|----------------------------|
| <b>White</b>   | 3,622                | 1,203                   | 0                       | 0                          |
| <b>Black/African American</b>                                      | 2,782                | 245                     | 0                       | 0                          |
| <b>Asian</b>   | 467                  | 0                       | 0                       | 0                          |
| <b>American Indian/Alaskan Native</b>                              | 21                   | 1                       | 0                       | 0                          |
| <b>Native Hawaiian/Other Pacific Islander</b>                      | 12                   | 0                       | 0                       | 0                          |
| <b>American Indian/Alaskan Native &amp; White</b>                  | 10                   | 0                       | 0                       | 0                          |
| <b>Asian &amp; White</b>   | 21                   | 0                       | 0                       | 0                          |
| <b>Black/African American &amp; White</b>                          | 179                  | 1                       | 0                       | 0                          |
| <b>American Indian/Alaskan Native &amp; Black/African American</b> | 22                   | 3                       | 0                       | 0                          |
| <b>Other Multi-Racial</b>  | 4,374                | 2,975                   | 0                       | 0                          |
| <b>Total</b>   | <b>11,510</b>        | <b>4,428</b>            | <b>0</b>                | <b>0</b>                   |

## CDBG BENEFICIARIES BY INCOME CATEGORY

| Category                  | Type                       | Income Category |              |                 |              |             | Total Beneficiaries |
|---------------------------|----------------------------|-----------------|--------------|-----------------|--------------|-------------|---------------------|
|                           |                            | ELI             | Low Income   | Moderate Income | Total LMI    | Non Low Mod |                     |
| Housing – Owner Occupied  | Households                 | 594             | 324          | 104             | 1,023        | 17          | <b>1,040</b>        |
| Housing – Rental Occupied | Households                 | 0               | 0            | 0               | 0            | 0           | <b>0</b>            |
| Housing Total             | Households                 | 594             | 325          | 104             | 1,023        | 17          | <b>1,040</b>        |
| Non-Housing               | Persons                    | 4,165           | 1,631        | 660             | 6,456        | 2           | <b>6,458</b>        |
|                           | <b>Total Beneficiaries</b> | <b>4,759</b>    | <b>1,956</b> | <b>764</b>      | <b>7,479</b> | <b>19</b>   | <b>7,498</b>        |

### *Relocation Narrative*

The City of Springfield ensures proper relocation for all revitalization projects. For economic development projects resulting from implementation of urban renewal plans, the City through its redevelopment authority uses a private qualified consultant. For housing projects, the City's Office of Housing oversees and monitors project developer compliance with the Uniform Relocation Act. No housing initiatives resulted in permanent displacement.

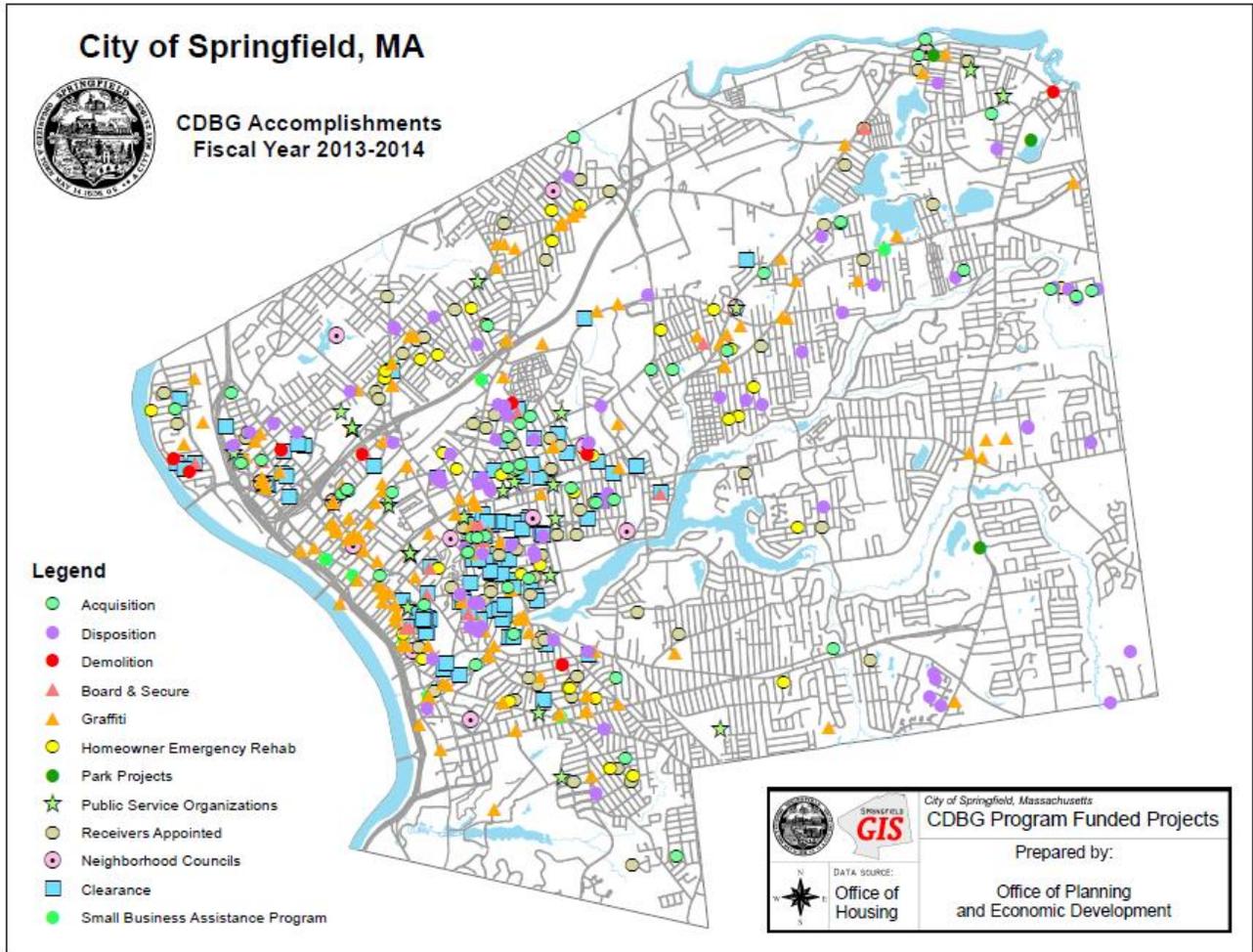
### *Limited Clientele Narrative*

Programs funded by CDBG are required to demonstrate that their activities are serving low to moderate income persons/households. The City utilizes presumed benefit from some public service programs that serve hard-to-reach sub-populations or are located in qualified census tracts. In those special circumstances, the City, prior to funding, considers who the targeted beneficiaries are intended to be, the nature of the program, and its location. This evaluation must result in the determination that at least 51% of the intended beneficiaries will be low and moderate income persons.

### *Program Income Narrative*

During the course of the year, the City realized \$171,989.67 in CDBG program income and \$20,286.56 in HOME program income. Program income funds are used to operate programs identified in the Action Plan. A summary of realized program income and its utilization is contained within the Financial Summary on page 79 for CDBG and 78 for HOME.

# Map of CDBG-Funded Activities



## NEIGHBORHOOD REVITALIZATION STRATEGY AREA (NRSA)

The City of Springfield continues its focus on fundamentally changing the urban neighborhoods that are located within the NRSAs. These communities are home to some of the City's poorest residents and have a wealth of ethnic diversity. The residents, businesses owners, and key stakeholders within the NRSA are dynamic, diverse, and eager for positive change and new investment. The premise of a NRSA is that a concentrated investment of resources in a limited impact area can have a substantial impact for a targeted revitalization area. Springfield's strategy for these areas hinges on a coordinated marshalling of resources, including those of federal, state and local governments; the private sector; community organizations; and neighborhood residents.

Springfield's NRSA initiatives started in 2005 with initial planning, investment in capacity, and identification of partners and resources. As a result, revitalization has been taking place at a steady rate since NRSA designation. However, success in the NRSAs has been handicapped by the downturn in the housing market. These neighborhoods have been very hard-hit by foreclosures, and now have significant numbers of vacant and abandoned homes and blighted commercial structures. Continued investment in these neighborhoods has the potential to take advantage of redevelopment of these properties, would build on the work that that has been initiated, and would support the City's overall efforts to attract private investment. In addition, in 2011, two of the NRSAs were hit by the EF3 tornado that tore through the City, which destroyed and badly damaged many buildings in the neighborhoods.

In March 2013, the City of Springfield was awarded \$21.8 million dollars in Community Development Block Grant-Disaster Relief Funds (CDBG-DR), which will be used for disaster related relief, long term recovery, restoration of infrastructure and housing and economic revitalization activities in the most impacted distressed areas of Springfield resulting from multiple Presidentially Declared Disasters occurring in 2011-2013. The City Plans to spend the majority of these funds in the South End, Six Corners, and Old Hill neighborhoods as has been set forth in the City's CDBG-DR Partial Action Plan A.

There has also been an influx of collaborative anti-crime activities that utilize the City's resources that include multiple departments. In the North End there's is the C3 initiative. The Mason Square initiative includes Old Hill, Upper Hill, Bay and McKnight neighborhoods. The South End is implementing C3 strategies with support of grant funding from the Byrne Criminal Justice Initiative.

Specific objectives and strategies for each of the NRSAs are below. Many of these are subsets of goals otherwise set forth in this plan, demonstrating an intention to focus existing resources in the NRSAs.

### **THE SOUTH END NRSA**

*Objective 1. Attract and retain business on Main Street.*

Caring Health Center and Square One relocated back to the South End. After the June tornado, they had moved to temporary space outside the neighborhood.

The City removed graffiti from 7 buildings in the South End during FY 2013-2014.

*Objective 2. Increase income diversity by providing new housing ownership opportunities.*

The City used Neighborhood Stabilization Programs funds to complete a new home at 56 Adams Street, which is one of two newly-constructed NSP homes on Adams Street. The homes have been sold to new homeowner households with income at or below 80% area median income.

*Objective 3. Improve appearance and appeal of existing apartment buildings.*

The City is supporting the three-phase redevelopment of the Outing Park Apartments with HOME funds commitments for each phase. The total work encompasses rehabilitation of 22 historic apartment buildings, plus the addition of an on-site management office and a laundry facility. The project's first two phases, Concord Heights and Outing Park I, have been completed; and the third phase, Outing Park II, is underway and expected to be completed in 2015. The City has committed \$500,000 in HOME funds to Outing Park II.

The Crosstown Corners project, supported with \$50,000 in Springfield Neighborhood Stabilization Program (NSP) funds, completed renovation of the 8-unit tornado-damaged building at 71 Adams Street in 2014. With other public funding, this project also completed rehabilitation of 22-24 Winthrop Street, another tornado-damaged multi-family property in the South End.

*Objective 4. Improve opportunities and support for neighborhood residents.*

Springfield has committed CDBG-DR funds for development of a new South End Community Center to be located within Emerson Wight Park. The Parks Department has initiated planning and design for this project.

In 2013, the City undertook a targeted marketing campaign to inform South End and Six Corners residents of homeowner repair programs operated by the City and nonprofit agencies. As a result of the campaign, the City provided homeowner emergency repair loans to three South End households.

During FY13-14, the City conducted 196 proactive code enforcement sweeps in the South End resulting in citations.

In 2013, the Office of Housing stationed a housing outreach worker in the South End, at the office of the South End Citizens Council. The outreach worker engages community residents as part of the South End public safety initiative, and also conducts first-time homebuyers training in Spanish.

*Objective 5. Increase public safety.*

The City secured a \$1 million Byrne Criminal Justice Initiative (BCJI) grant for the South End in 2013, which will be used to replicate in the South End a very effective policing model that is being used in the City's North End neighborhood. The South End C3 initiative is a collaborative effort between the Springfield Police department, the State Police, the Attorney General's office, the Hampden County Sheriff's Department and multiple city departments and neighborhood partners.

The City and South End residents have long believed that neighborhood safety could be improved by connecting streets to eliminate inaccessible dead ends. In FY13-14, the City committed CDBG-DR funds for the connection of Marble and Ashmun Streets, and the City's Department of Public Works initiated design and engineering work for this project.

The City continues aggressive code and court action against blighted properties in the neighborhood. In fourth fiscal year, the city cleared 15 properties, acquired 1 property, disposed of 1 property to a responsible owner and boarded and secured three properties.

### **MAPLE HIGH/SIX CORNERS/ OLD HILL**

The Six Corners and Old Hill sustained significant damage in the June 1 tornado, and, like the South End, will be the focus of recovery efforts and a Master Plan Rebuilding process. Accomplishments undertaken in these neighborhoods in the past year include the following:

#### *Objective 1. Attract retail, commercial, and market-rate housing to the State Street Corridor.*

Two important multi-year projects progressed in 2013-2014. First Resource Development has been advancing its planning for redevelopment of the Indian Motorcycle buildings. DevelopSpringfield has been actively advancing the project to bring a full-service supermarket to the State Street corridor.

The City conveyed a tax-title residential property on State Street to a new owner, who has brought stability to the housing units and has opened a new restaurant in a vacant storefront on the first floor.

The City and DevelopSpringfield worked together to accomplish demolition of the River Inn, a derelict motel on State Street, which had posed a dangerous nuisance to the neighborhood for years. Post-demolition, DevelopSpringfield will package the site with an adjacent parcel to seek redevelopment proposals.

#### *Objective 2. Improve appearance of the Central Street Corridor.*

#### *Objective 3. Increase income diversity by providing new housing ownership opportunities.*

The Central Street Corridor was extremely hard hit by the tornado, and multiple buildings on the street were demolished in the aftermath or during the months of clean-up. The street is significantly transformed, and presents an opportunity for new housing development.

In 2013, the City has been allocated \$21.8 million CDBG-DR funds, and plans to use a significant portion of the funds on and around the Central Street Corridor. With the CDBG-DR funds, the City will develop fifteen new single family houses for homeownership, which will be in addition to four Central Street homes developed with Neighborhood Stabilization Program (NSP) funds, and another three homes developed using HOME funds. In FY13-14, the seven NSP and HOME houses were under construction, and the City had awarded funds for the first seven houses to be built with CDBG-DR funds.

CDBG-DR funds were committed to realignment of Central Street into Hickory Street, and repaving and streetscaping along Central and Hickory Streets. Design and engineering for this work is underway.

#### *Objective 4. Assist existing homeowners to preserve their housing and stay in the neighborhood.*

The City supported Rebuilding Together's Green N Fit Initiative, which provided home repair and energy efficiency upgrades to 17 households on a single street in Old Hill.

In 2013, the City undertook a targeted marketing campaign to inform South End, Six Corners and Old Hill residents of homeowner repair programs operated by the City and nonprofit agencies. As a result of the campaign, the City provided a homeowner emergency repair loans to three households in Old Hill/Six Corners.

*Objective 5. Increase public safety.*

The city has cleaned 19 vacant and abandoned and continues to clean vacant and abandoned lots in the neighborhood through its clean and lien' program. The City has initiated an abutter lot auction program, in order to sell vacant lots to abutters to be combined with their properties and maintained by a responsible owner.

*Objective 6. Improve the physical attractiveness of the neighborhood.*

Brookings School is now under construction for expected completion in January 2015.

During FY13-14, the City conducted 393 proactive code enforcement sweeps in Maple High/Six Corners/Old Hill resulting in citations.

There were 22 Graffiti Remediation for this fiscal year. There were 6 multifamily receiverships. The City provided technical assistance to one business.

The City continues aggressive code and court action against blighted properties in the neighborhood. In the Fourth Program year, the city cleared eighty nine properties, acquired one property, disposed of thirteen properties, and boarded & secured nine properties.

The City issued a Target Improvement Program RFP for this FY, and Old Hill was awarded funding for a community garden water line on 233 Tyler Street, formerly a vacant lot which attracted trash and dumping. The project is expected to be completed by September 2014.

## **MEMORIAL SQUARE/BRIGHTWOOD**

*Objective 1. Revitalize the Main Street retail/commercial corridor.*

The Mass Highway Project, which addresses Main Street in the North End, is underway. This includes street, sidewalk and streetscape improvements.

*Objective 2. Increase income diversity by providing new housing ownership opportunities.*

The City created two new infill homeownership opportunities on vacant lots in the neighborhood, and has one project planned for next year. The City continued to promote neighborhood homeownership through the Buy Springfield Now campaign and promotion of the Baystate employee assistance program.

*Objective 3. Assist existing homeowners to preserve their housing and stay in the neighborhood.*

The City provided one homeowner with emergency repair funds in Memorial Square.

*Objective 4. Improve neighborhood facilities.*

The City continues to assist New North Citizens' Council in development of a new facility, and demolition of the existing building. The City continues to assist in the repurposing the Greek Cultural Center facility.

*Objective 5. Improve the physical attractiveness of the neighborhood.*

The City continues to clear abandoned and vacant property. Seventeen properties have been cleaned up/boarded up in the Brightwood /Memorial Square Neighborhoods. The City has demolished three blighted structures, acquired four, and boarded & secured four.

During FY13-14, the City conducted 116 proactive code enforcement sweeps in Memorial Square/Brightwood.

*Objective 6. Improve appearance and appeal of existing apartment buildings.*

The City provided funding this year to complete the rehabilitation of Borinquen Apartments (76 units). The City has committed HOME funds for the rehabilitation of the Memorial Square apartment building.

The City has removed graffiti from 11 buildings in the Brightwood/Memorial Square neighborhood.

One multi-family building was placed in receivership.

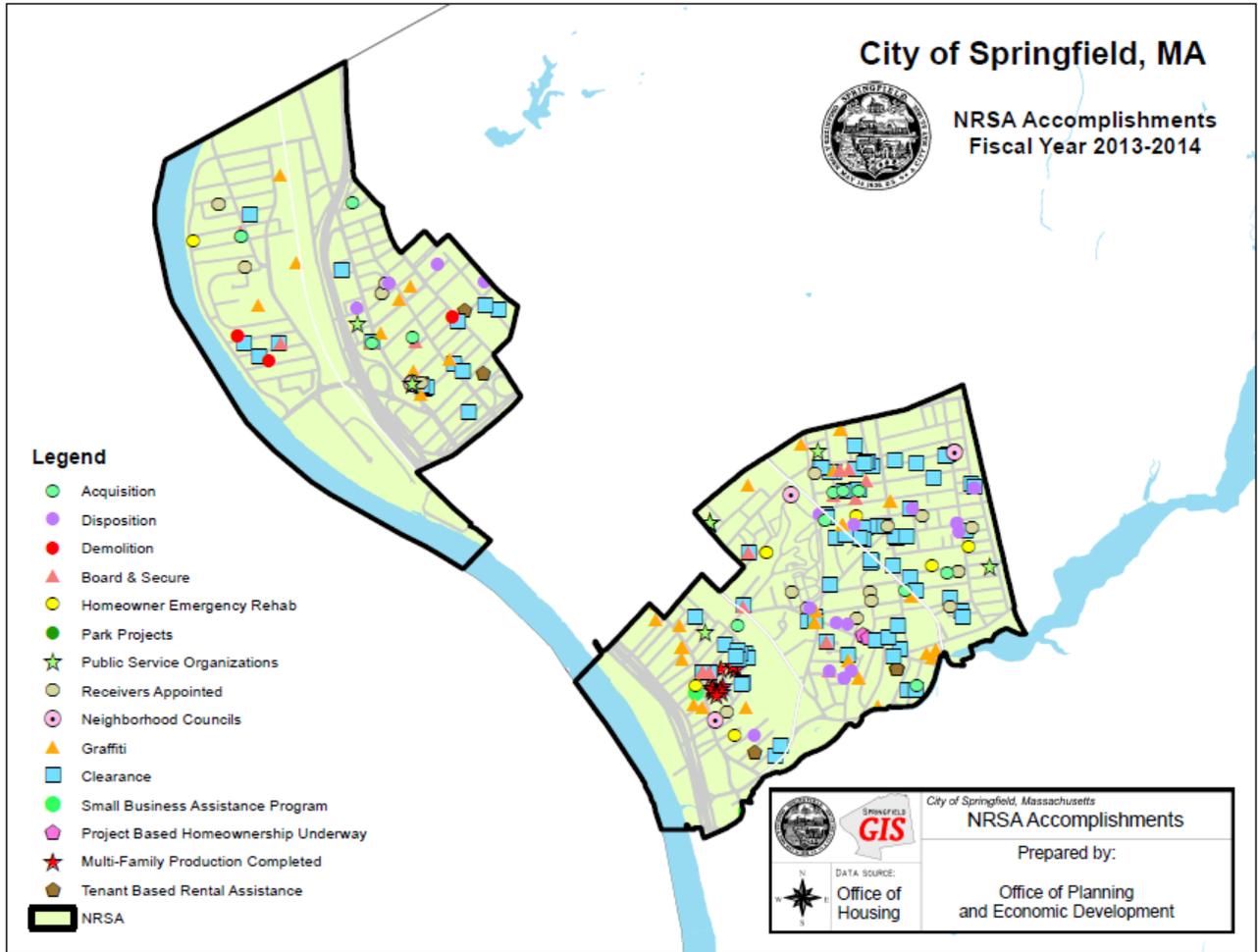
The City provided technical assistance to one business in Memorial Square

## SUMMARY

The following chart shows the annual performance goals for each of the NRSA activities. Many of these are subsets of goals otherwise set forth in this plan.

| NRSA Activity                                 | Accomplishment Type | Year 1 | Year 1 actual | Year 2 | Year 2 actual | Year 3 | Year 3 Actual | Year 4 | Year 4 Actual | Year 5 | Total Goal | Fund Source: CDBG, Other |
|---|---------------------|--------|---------------|--------|---------------|--------|---------------|--------|---------------|--------|------------|--------------------------|
| Eco Dev Direct Assistance to For-Profits      | Businesses          | 3      | 5             | 3      | 5             | 3      | 63            | 3      | 1             | 3      | 15         | CDBG, Other              |
| Eco Dev TA                                    | Businesses          | 2      | 21            | 2      | 12            | 2      | 68            | 2      | 2             | 2      | 10         | CDBG, Other              |
| Micro-Enterprise Assistance                   | Businesses          | 3      | 2             | 3      | 2             | 3      | 2             | 3      | 0             | 3      | 15         | CDBG, Other              |
| Employment Training                           | People              | 27     | 2             | 25     | 5             | 25     | 0             | 25     | 0             | 25     | 127        | CDBG, Other              |
| Sidewalks/Street Improvements                 | People              | 5000   | 4849          | 5000   | 3884          | 5000   | 3780          | 5000   | 5160          | 5000   | 25,000     | CDBG, Other              |
| Park Reconstruction                           | Facilities          | 2      | 1             | 2      | 3             | 2      | 0             | 2      | 0             | 2      | 10         | CDBG, Other              |
| Clearance and Demolition                      | Units               | 15     | 172           | 15     | 87            | 15     | 156           | 15     | 102           | 15     | 75         | CDBG, Other              |
| Targeted Code Enforcement                     | Housing units       | 500    | 460           | 500    | 480           | 500    | 472           | 500    | 705           | 500    | 2500       | CDBG, Other              |
| Interim Lot Greening                          | Units               | 2      | 3             | 2      | 1             | 2      | 0             | 2      | 0             | 2      | 10         | CDBG, Other              |
| Public Facilities / Improvements              | Facilities          | 2      | 4             | 2      | 0             | 2      | 0             | 2      | 0             | 2      | 10         | CDBG, Other              |
| Down payment Assistance                       | Households          | 36     | 0             | 36     | 2             | 36     | 3             | 36     | 5             | 36     | 180        | CDBG, Other              |
| Homeowner Repair/Rehab                        | Housing Units       | 5      | 0             | 5      | 16            | 5      | 3             | 5      | 30            | 5      | 25         | CDBG, Other              |
| Rental Housing Rehabilitation                 | Housing Units       | 10     | 0             | 15     | 76            | 20     | 104           | 20     | 94            | 20     | 80         | HOME, Other              |
| Redevelopment for Affordable Homeownership    | Housing Units       | 10     | 16            | 10     | 5             | 10     | 14            | 10     | 14            | 10     | 50         | NSP, CDBG, HOME          |
| Receivership of multi-family rental buildings | Housing Units       | 5      | 3             | 5      | 5             | 5      | 5             | 5      | 7             | 5      | 25         | CDBG, Other              |

# Map of FY13-14 NRSA Accomplishments



## HOME Program

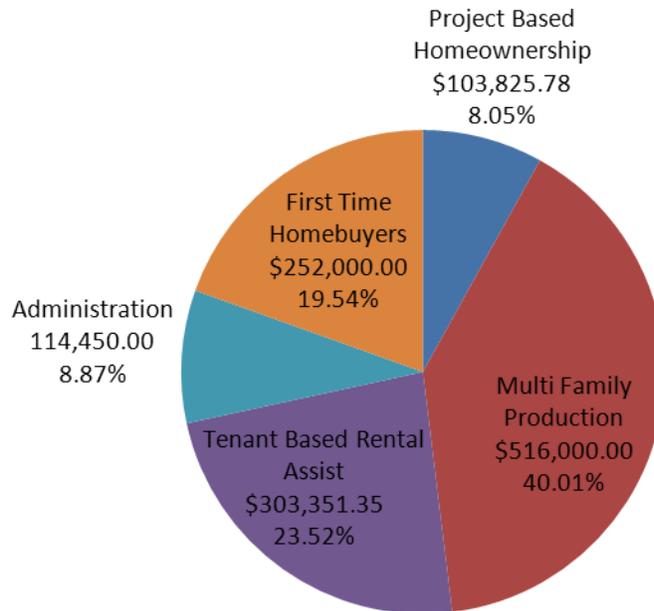
The City targeted its FY13-14 HOME funds into four program areas:

- First-Time Homebuyer Assistance;
- Project Based Homeownership;
- Multi-Family Rental Housing; and
- Tenant-Based Rental Assistance.

In FY13-14 the City's HOME allocation was \$1,124,218. When added to the \$75,000 of anticipated program income, and \$450,000 of carryover funds, the amount of HOME funding available for use in FY13-14 totaled \$1,649,218, of which \$1,529,297 was available for projects. The timely expenditure of federal funds for the furtherance of the City's identified housing goals is imperative. During this fiscal year, the City expended \$1,289,627.13 of available funds.

### FY 13-14 HOME EXPENDITURES BY CATEGORY

Total Expended \$1,289,627.13

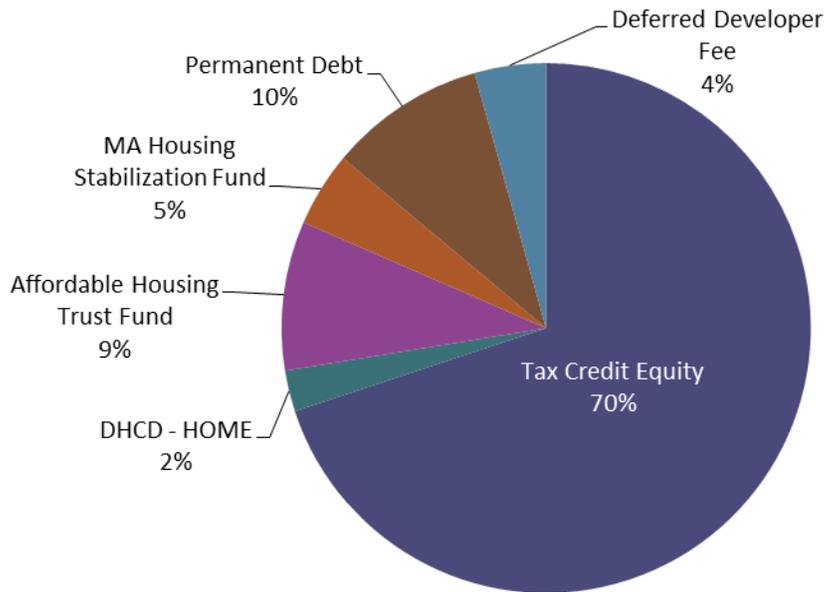


### CHDO Funding

Each year, the Participating Jurisdiction is required to commit 15% of its HOME entitlement program dollars to CHDO organizations. A two year window is provided for commitment of CHDO funds and five years is provided to complete the eligible activity. In FY 13-14 the City expended \$103,825.78 of funds for CHDO activities. The City is on pace to meet both the two (2) year commitment and the five (5) year expenditure requirements for CHDO Organizations.

## Leverage

The City of Springfield has been able to use the federal HOME allocation to leverage significant additional resources. Within FY13-14, the City's completed projects leveraged a total of \$35,696,296 from private, state and federal sources. The chart below illustrates the breakdown of leveraged resources.



## Program Income Narrative

During the course of the year, the City realized \$20,286.56 in HOME program income. Program Income funds are used to operate programs identified in the Action Plan. A summary of realized program income and its use is contained within the Financial Summary on page 78 for HOME.

## First-Time Homebuyer Assistance

The City provided homebuyer assistance—deferred 0% interest loans—in the amount of \$3000 to income-eligible first-time homebuyers purchasing homes within the City. During FY13-14, the City provided assistance to 83 households; of these households, 47 (57%) were Hispanic, 12 (14%) were Black/African-American, 24 (29%) were white and one assisted household was Asian.

The first-time homebuyer program is directed to low and moderate income households. In addition, the program has been marketed to Section 8 Program housing voucher holders in partnership with the Springfield Housing Authority.

## Project Based Homeownership

With a commitment of \$600,000 in HOME funds, the CHDO North End Housing Initiative (NEHI) is building three new homes for homeownership. The contiguous homes are being built at 378, 384 and 388 Central Street, on land where the City had previously demolished an abandoned and tax-foreclosed nursing home. The homes will be made available to households with annual income of up to 80% of the area median income.

The City has awarded \$127,886 to the CHDO Springfield Neighborhood Housing Services to build a single-family home on Eastern Avenue. These funds leverage additional loans from the state of Massachusetts. This home is targeted to a household at or below 80% area median income.

**HOME Project-Based Homeownership:  
Central Street Homes under Construction**



## Multi-Family Rental Housing Development

The City funded one multi-family redevelopment project which was completed in FY13-14. The project, Outing Park I, has resulted in a total of 94 affordable housing units.

| Project Address | Project Type             | HOME Amount | Total Development Costs | Total Units/ HOME Units |
|-----------------|--------------------------|-------------|-------------------------|-------------------------|
| Outing Park I   | Rental Rehab./For profit | \$500,000   | \$22,467,177            | 94/11                   |

### HOME Rental Housing Redevelopment Photos: Outing Park I



The City currently has two HOME-funded rental rehabilitation housing projects in development. The total number of affordable rental units that will be impacted is 618. The two projects will achieve a total of 22 HOME units upon completion. One of the projects, Colonial Estates, is an expiring use project, and the commitment of new funds will preserve the 500 units as affordable housing.

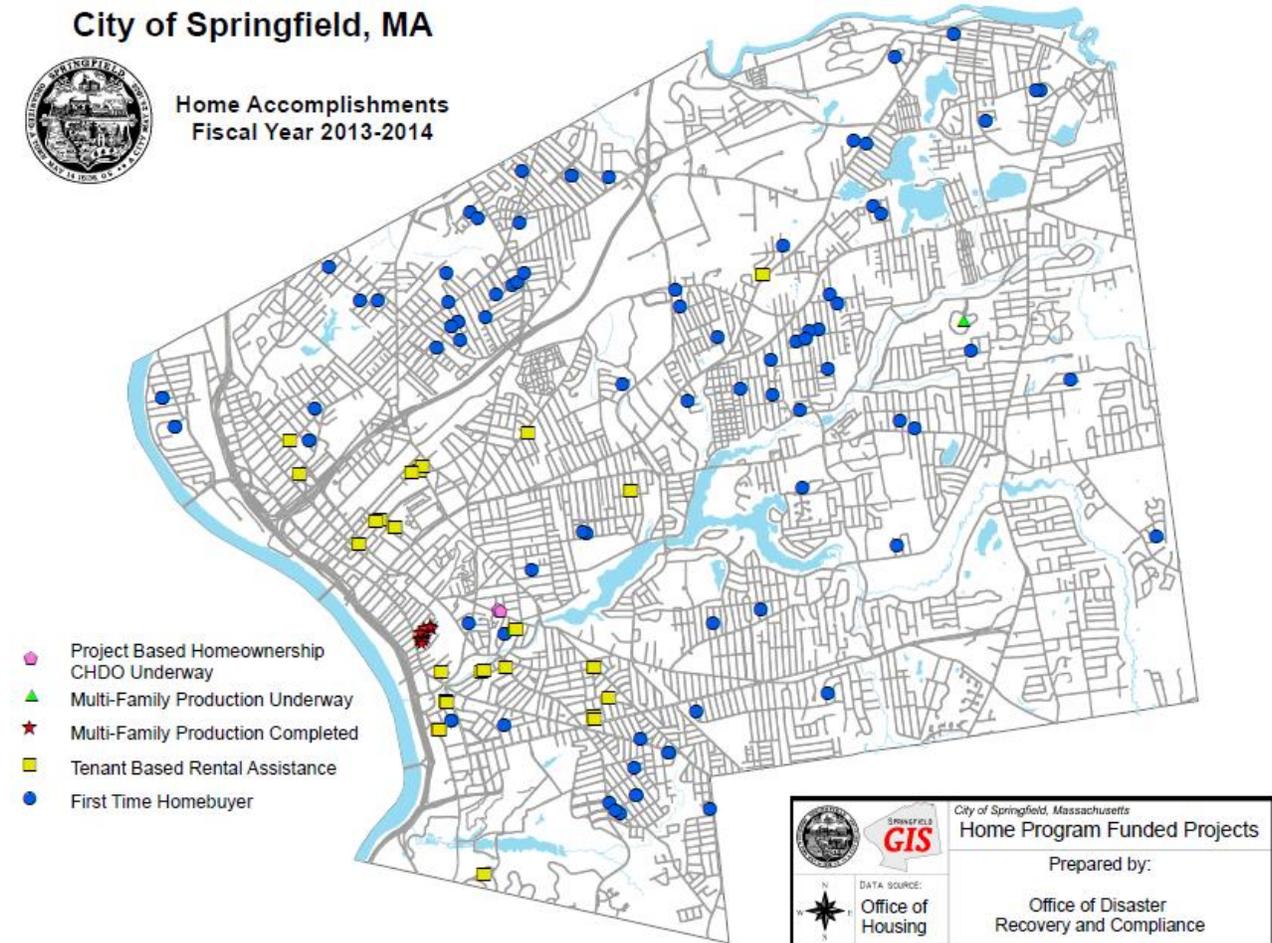
| Project Address  | Project Type            | HOME Amount      | Total Development Costs | Total Units/ HOME Units |
|------------------|-------------------------|------------------|-------------------------|-------------------------|
| Outing Park II   | Rental Rehab/For Profit | \$500,000        | \$23,692,215            | 118 /11                 |
| Colonial Estates | Rental Rehab/For Profit | \$100,000        | \$79,500,000            | 500/11                  |
| <b>TOTAL</b>     |                         | <b>\$600,000</b> | <b>\$103,192,215</b>    | <b>618/22</b>           |

## Tenant-Based Rental Assistance

The City of Springfield provides tenant-based rental assistance (TBRA) to reduce the rent burden for very-low-income households. The City targets this assistance to vulnerable populations that require supportive services, such as the chronically homeless. The City makes the assistance available through contracts with providers that have the capacity to operate a rental assistance program and to provide supportive services to TBRA recipients. In FY13-14, the City provided TBRA funding to the Mental Health Association to serve 28 chronically homeless individuals. The City also operates its own TBRA program, which in FY13-14 provided assistance to 17 formerly homeless households.

During FY13-14, TBRA recipients were 36% Hispanic, 42% African American, 20% Non-Hispanic White, and 2% American Indian. All TBRA households were extremely low income. Three were elderly. TBRA participants may use the assistance to rent units outside of the City. In FY13-14, 24% participants lived in Hampden County communities outside Springfield.

## Map of FY13-14 HOME-Funded Activities



## HOME Program Requirements

### *Fair Housing and Affirmative Marketing*

For all federally assisted housing programs, the City requires the project developer to conduct an analysis of those least likely to apply and to develop an Affirmative Marketing Plan. These two documents must be submitted at the time a funding application is submitted.

- The analysis must identify the protected classes least likely to apply for housing and make recommendations on how the likely reasons should be addressed.
- The Affirmative Marketing Plan shall include actions that shall be taken to implement the recommendations the result from the analysis. The Developer's Affirmative Marketing Plan must identify specific community organizations, place of worship, employment centers, fair housing groups or housing counseling agencies where special outreach will be conducted.

Once the City approves the affirmative marketing plan, the project is monitored for compliance through project completion. Documentation is maintained for all marketing activities as part of the project records.

Affirmative marketing procedures and requirements are in place for all rental and homebuyer projects assisted with HOME funds administered by the City of Springfield.

### *Minority and Women-Owned Business Outreach*

The City of Springfield promotes equal opportunity for all its citizens in every aspect of public procurement and contracting by assuring that opportunities to participate in City procurement and contracting are open to all without regard to age, ancestry, color, national origin, disability, race, religion or sex. The City encourages the utilization of minority, women and persons with disabilities by private businesses that contract with the City. The City encourages the award of procurement and construction contracts to business owned by minorities, women and persons with disabilities.

The City's Minority/Women Business Enterprise (MBE/WBE) Program implements the City's equal opportunity policy. The Program is a set of specific results-oriented procedures, and has been formulated to further advance the City's policies. The objective of the Program is to develop maximum feasible MBE/WBE participation in construction contracts and in the procurement of goods, services, and supplies.

The City's goal for MBE/WBE participation and minority or women workforce on all construction projects and procurement of goods, supplies and services contracts is not less than twenty percent (20%). Success in meeting this objective will be affected by the availability of minority and women businesses with qualifications required by the City of Springfield.

In the year covered by the Action Plan, HOME project developers awarded M/WBE contracts and subcontracts in the amount of \$1,424,452, and Section 3 contracts and subcontracts in the amount of \$1,690,131. The City will continue to strive to increase M/WBE and Section 3 business participation.

### *Relocation*

None of the development projects that were completed during the program year involved permanent displacement of tenants from housing units. All approved projects were reviewed to determine applicability of the Uniform Relocation Assistance (URA) guidelines.

### Matching Funds Report

The City of Springfield is 100% forgiven from HOME matching requirements for the FY13-14 fiscal year. Census data demonstrate that the City meets the regulatory definition of a local government participating jurisdiction that is in severe fiscal distress as stated in section 92.222(a)(1) of the HOME Investment Partnership regulations.

In Springfield:

- the average poverty rate in the participating jurisdiction was equal to or greater than 125 percent of the average national poverty rate during the calendar year for which the most recent data are available.

| Poverty Rate    |               |   |
|-----------------|---------------|---|
| Springfield, MA | United States | % of Average United States Poverty Rate |
| 26.9            | 14.4          | <b>187%</b>                             |

Source: US Census Bureau, 2010 American Community Survey, 3-year estimate

- the average per capita income in the participating jurisdiction was less than 75 percent of the average national per capita income during the calendar year for which the most recent data are available.

| Per Capita Income |               |                    |
|-------------------|---------------|--------------------|
| Springfield, MA   | United States | % of United States |
| 17,746            | 26,942        | <b>66%</b>         |

Source: US Census Bureau, 2010 American Community Survey, 3-year estimate

### Monitoring During Development Period

City staff conducts initial, progress, and close-out (final) inspections on all developer projects (project-based homeownership and multi-family production) throughout the development process.

### On-Site Monitoring

Qualified City staff conduct on-site inspections of affordable rental projects in accordance with HOME regulations. Units are inspected as part of the annual recertification process.

### HOME Long-Term Compliance Monitoring

Each property subject to long-term monitoring has a single monitoring multi-page file folder, with set-aside locations for 1) property information; 2) annual occupancy and rent reports; 3) HQS inspection reports; 4) on-site monitoring reports; 5) monitoring letters and related correspondence; and 6) financial and other records.

The City maintains a master checklist for all properties, which contains a list and schedule for all monitoring tasks. As each task is completed, the staff person completing the task initials and dates the box indicating that the task is complete.

There are three primary activities that are undertaken to monitor compliance:

1. Annual Rent and Occupancy Report, which must be submitted by all HOME-funded projects containing rental projects annually.

2. Housing Quality Inspections, which are completed annually at all properties with 26 or more units, every two years for properties with 5 to 25 units, and every three years for properties with 1 to 4 units.
3. On-Site Monitoring Visits, which are completed annually at all properties with 26 or more units, every two years for properties with 5 to 25 units, and every three years for properties with 1 to 4 units.

In order to simplify the task of monitoring each HOME-funded property on a regular basis, the City uses HUD-distributed monitoring checklists. The checklists used are: 1) Rental Project Completion (for new projects, going forward); 2) Initial Rent and Occupancy; 3) Annual Project Compliance Report; and 4) On-Going Monitoring.

These standard checklists ensure that all compliance issues are checked at each review, and also simplify the task of reporting on project compliance. Where a project is fully in compliance, there is no need for a written memo: the checklists stand as the record, and a simple letter informs property management that the City has found them in compliance.

## Neighborhood Stabilization Program (NSP) and Response to Foreclosure

Springfield's recovery from the foreclosure crisis has been slow. The City had very high rates of subprime mortgage lending, and has a corresponding high rate of foreclosures. Because of low housing prices and the weak housing market, foreclosed homes—especially in the City's core and transitional neighborhoods—remain unsold, and have become vacant. Neighborhoods made up of 1-4 unit rental homes have experienced property-flipping, failure to maintain properties, and abandonment by investors as the market fell.

### Neighborhood Stabilization Program

The City was awarded \$3.5 million in Neighborhood Stabilization Program (NSP 1) funds in 2008--\$2.5 as an entitlement grant from HUD, and \$1 million through the MA Department of Community Development. The City was awarded another \$1.7 million in NSP 3 funds in 2011.

In FY13-14, two NSP-funded homes were completed: 48 Quincy Street and 56 Adams Street. Five NSP-funded homes remain under development—316,324, 330 and 336 Central Street, and 233 Quincy Street. In FY13-14, the NSP-funded redevelopment of a vacant 8-unit multifamily property at 71 Adams Street was completed, which resulted in 4 affordable rental units.



48 Quincy Street

In the Old Hill neighborhood, Habitat for Humanity completed 48 Quincy Street in FY13-14.



56 Adams Street



71 Adams Street

In the South End neighborhood, the Criminal Justice Organization of Hampden County, Inc. completed and sold the single-family home at 56 Adams Street. Better Homes completed the redevelopment of 71 Adams Street (Crosstown Corners), an 8-unit building damaged in the 2011 tornado.

The full impact of NSP1 and 3 will be creation of 36 units. Of these, 28 will be for homeownership, and 8 will be for rental. Eleven of the units will be affordable to households with income at or below 50% area median income, and the rest will be affordable to households with income at or below 120% area median income.

The City has coordinated its NSP projects with additional investments in the same neighborhoods. Some of these investments are HUD-funded, and are described in other sections of the CAPER. These include acquisition and disposition of residential properties, homebuyer assistance, targeted code enforcement, securing vacant buildings, and demolition of additional blighted buildings. Other improvements include paving and streetscapes, and improvements to parks.

### Increasing Receivership Activity

In FY13-14, the City continued working with the Massachusetts Housing Partnership (MHP) and the Massachusetts Housing Investment Corporation (MHIC) to increase our capacity to initiate and maintain receiverships, especially for vacant buildings. MHP contributed funding for staff and legal interns, and MHIC maintained a loan fund to finance large receiverships. In January 2013 the Massachusetts Attorney Generals' Office began providing funds to the Office of Housing through the HomeCorps Partnership: Municipal and Community Restoration Grant Program. Some of these funds contributed to receivership-related staff and interns. Receiverships enable the City to stabilize and preserve single and multi-family properties in foreclosure. In FY13-14, the City filed motions for receiver in 69 cases, and receivers were appointed in 55 cases.

## Emergency Solutions Grant (ESG)

Emergency Solutions Grant funds may be used for five program components: street outreach, emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS; as well as administrative activities.

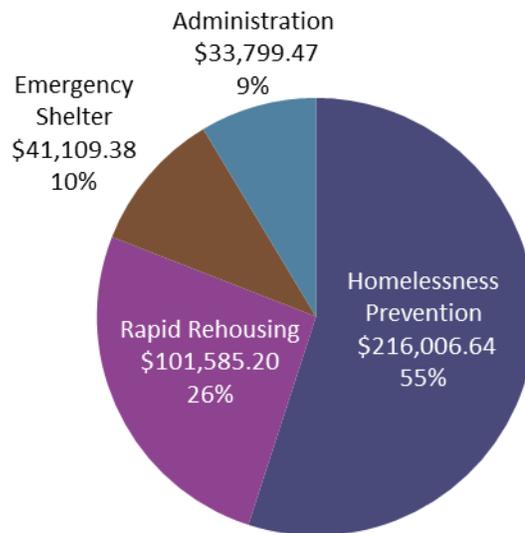
There is a cap on the amount of ESG that may be used for street outreach and emergency shelter activities. The ESG Interim Rule, which is the current governing regulation for the program, provides that the amount that can be used for these activities cannot exceed the greater of: (1) 60 percent of the recipient's fiscal year grant (\$183,263 for FY13-14); or (2) The amount of Fiscal Year 2010 grant funds committed for homeless assistance activities, which was \$127,200.

The greater of these amounts is \$183,263, which caps the amount that the City may spend on street outreach and emergency shelter activities. The total amount that the City spent on these activities in FY13-14 is \$40,000. The Interim ESG regulations require the City to consult with the Continuum of Care in planning for use of ESG funds. This planning took place in February and March 2012, February 2013, and March 2014.

ESG funds have statutory match funds requirement of one to one. For every ESG dollar expended, one dollar of other private or eligible public money must be expended. The City's ESG program far exceeded this requirement by leveraging \$1,502,121.69.

### ESG FY12-13 EXPENDITURES BY CATEGORY

Total ESG Expended: \$392,773.69



## ESG Activities and Projects Funded

### *Street Outreach*

ESG funds may be used for costs of providing essential services necessary to reach out to unsheltered homeless people; connect them with emergency shelter, housing, or critical services; and provide urgent, non-facility based care to unsheltered homeless people who are unwilling or unable to access emergency shelter, housing, or an appropriate health facility. The City did not use ESG funds for outreach in FY13-14.

### *Emergency Shelter*

ESG funds may be used for costs of providing essential services to homeless families and individuals in emergency shelters, renovating buildings to be used as emergency shelters for homeless families and individuals, and operating emergency shelters.

***Friends of the Homeless*** was awarded \$30,000 for the operation of an emergency shelter for homeless individuals. The shelter served 1057 persons.

***The YWCA*** was awarded \$10,000 for the operation of an emergency shelter for women and their children who were victims of domestic abuse. The project served 102 households.

### *Homelessness Prevention*

ESG funds may be used to provide housing relocation and stabilization services and short- and/or medium-term rental assistance necessary to prevent an individual or family from moving into an emergency shelter.

***The Mental Health Association's Tenancy Preservation Program (TPP)*** was awarded \$30,000 to provide case management, mediation and mental health intervention for households with tenancy at-risk due to mental health issues. The program utilizes a community-based team that works in conjunction with community organizations to identify and intervene in situations where there is imminent risk of homelessness. This program is a state-wide model that has received national acclaim. During this fiscal year, the TPP assisted 198 people.

***HAP Housing*** was awarded \$77,500 to provide financial assistance to prevent homelessness among households who have received eviction notices or notices of termination of utility services. With the ESG grant, HAP provided financial assistance to prevent homelessness for 12 households.

***Catholic Charities*** was awarded \$50,000 to provide financial assistance to prevent homelessness for individuals being displaced by eviction. The agency assisted 103 individuals.

### *Rapid Rehousing Assistance*

ESG funds may be used to provide housing relocation and stabilization services and short- and/or medium-term rental assistance as necessary to help a homeless individual or family move as quickly as possible into permanent housing and achieve stability in that housing.

***Friends of the Homeless*** was awarded \$50,000 to provide case management to shelter guests, to assist them in moving out of homelessness and into permanent housing. FOH

provided case management to 1057 people.

**Catholic Charities** was awarded \$71,027.85 to provide rapid rehousing to individuals who are homeless. Catholic Charities provided rapid rehousing to 24 individuals.

**HAP Housing** was awarded \$45,161.32 to provide rapid rehousing assistance to homeless families, which assisted 46 households.

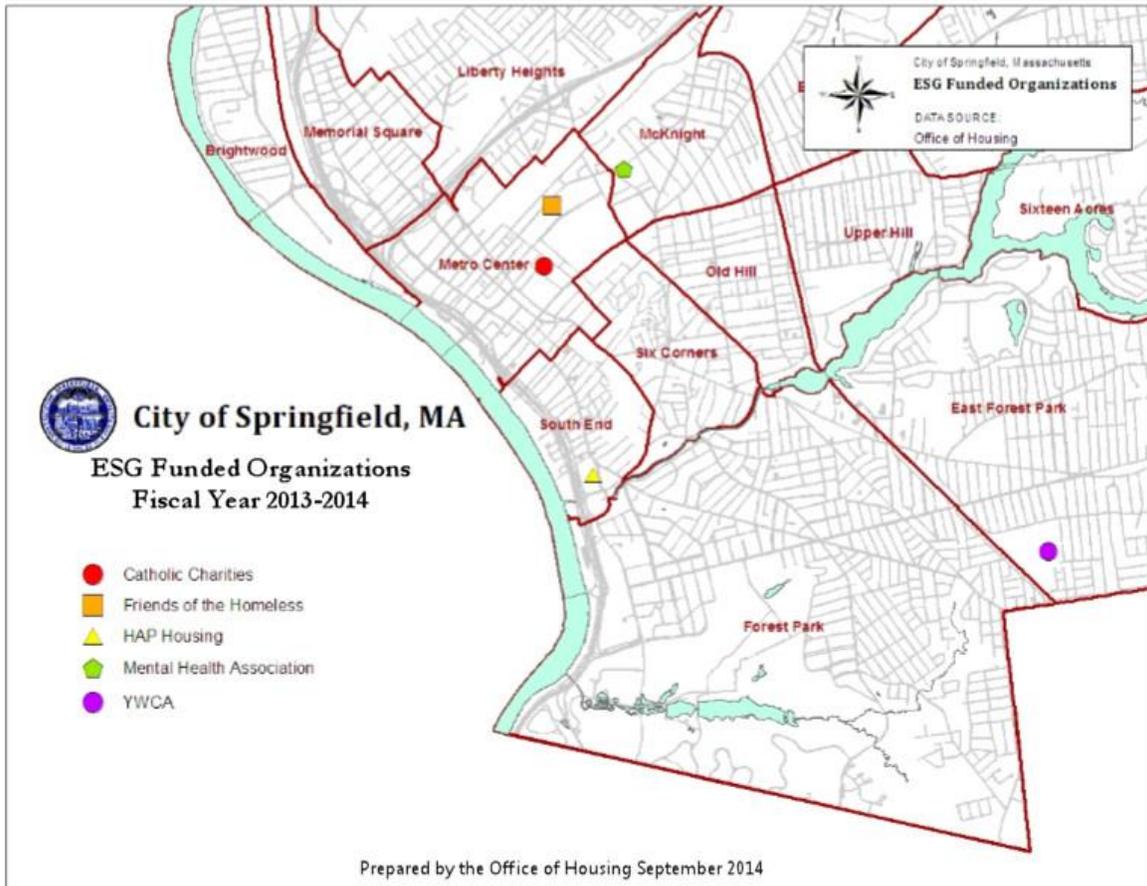
### HMIS

The recipient or subrecipient may use ESG funds to pay the costs of contributing data to the CoC's Homeless Management Information System (HMIS). The City did not use ESG funds for HMIS in FY13-14.

### Performance Outcomes

The CoC worked with the City to create two performance outcomes for the ESG program: a 4% reduction in the number of individuals entering shelter, and a 4% reduction in the average length of stay for individuals in shelter. The City reduced the number of single individuals entering shelter by 6%, reducing the number from 1133 to 1057. The City was unsuccessful in reducing length of stay, and, in fact, had a substantial increase in average length of stay, from 65 days to 89 days.

### Map of ESG-funded Agencies



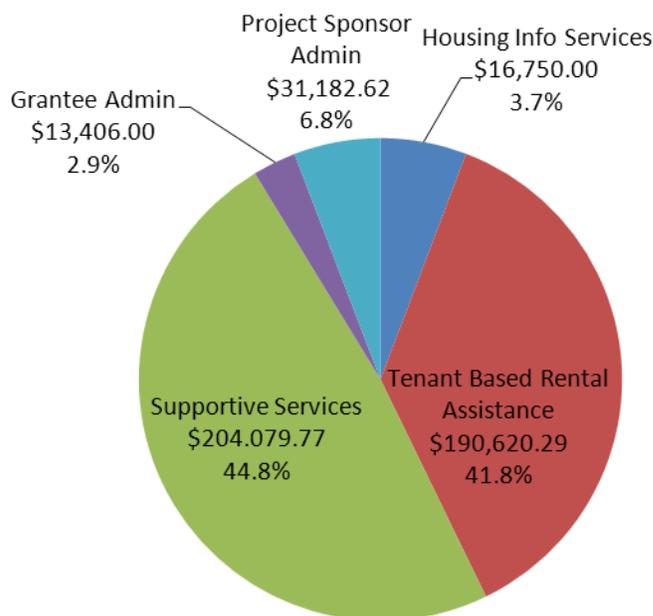
## Housing Opportunities for Persons with AIDS (HOPWA)

The City of Springfield administers the HOPWA program for the Eligible Metropolitan Statistical Area (EMSA) comprised of Hampden, Hampshire and Franklin Counties. In this area, the most recent surveillance data (2012) indicates that there are 1874 reported cases of HIV/AIDS: 70 in Franklin County, 123 in Hampshire County, and 1681 in Hampden County. Hampden County, which has 90% of the EMSA's cases, includes the cities of Springfield, Holyoke and Chicopee.

The designation as an entitlement community for HOPWA funds occurred in 2001.

### HOPWA EXPENDITURES BY CATEGORY

Total HOPWA Expended: \$456,038.68



### HOPWA Overview and Funded Projects

In FY13-14, recipients of HOPWA funding served 241 households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 26 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 76 households; housing information to 60 households and advocacy/legal services and supportive services to 213 households.

The following projects were funded in FY13-14:

***River Valley Counseling Center*** provided intensive support services and Tenant Based Rental Assistance to 14 households and Housing Information Services to 60 individuals. River Valley primarily serves residents of Hampden County.

***Center for Human Development HIV/AIDS Law Consortium*** provided legal assistance, advocacy, and small group workshops to clients and case managers on issues of discrimination in housing and benefits. The Law Consortium provided legal services to 101 households.

***New North Citizen's Council*** provided support services, rental start up and short-term assistance to eligible households. The program provided supportive services to 102 individuals and short-term rental assistance to 76 households.

***Cooley Dickinson Hospital's A Positive Place*** provided tenant-based rental assistance and support services to 12 households. Cooley Dickinson primarily serves residents of Hampshire County.

The City of Springfield's Office of Housing provides the grant management and the Community Development Department provides financial oversight. Program oversight consists of program monitoring through monthly fiscal reports, quarterly program reports, and on-site monitoring as needed.

Sponsors are selected through a competitive formal Request for Proposal process. The RFP process has been consistent since Springfield's designation of an entitlement area.

### Leverage

HOPWA Grantees reported matching funds of \$242,133 from the following sources: Ryan White, MDPH, SAMSHA, and fundraising.

### Barrier/Trends Overview

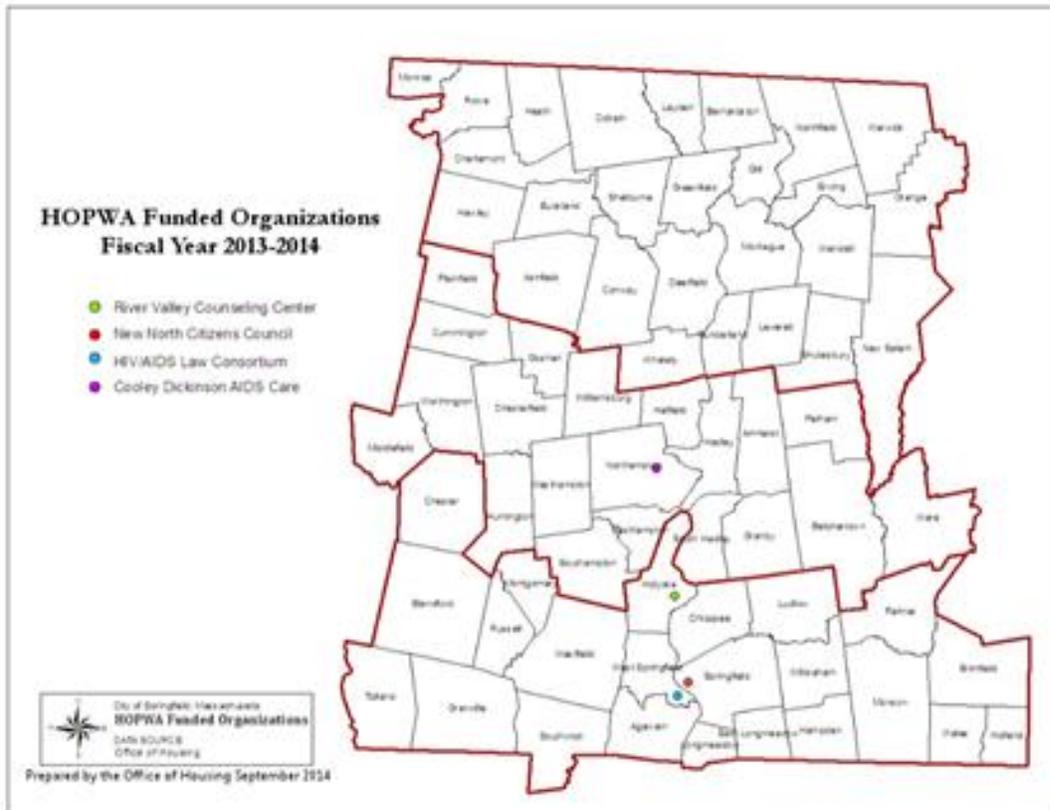
The greatest challenge has been the scarcity of resources to serve the eligible population.

The Tri-county area continues to see an increase in the number of HIV/AIDS cases and a decrease in the public dollars available to serve this growing population. The combination of these factors with an increased life expectancy results in a tremendously burdened system. Providers need to assist impacted households to access mainstream health, housing, and employment programs. The City as an administrator of HOPWA funds will continue to stress the importance of a community partnership and leveraging of non-HOPWA resources.

### Full HOPWA CAPER Report

The full FY13-14 HOPWA CAPER report is included in the appendix to this document.

## Map of FY13-14 HOPWA Funded Organizations



## HUD REPORTING REQUIREMENTS

### Affirmatively Furthering Fair Housing

The CDBG and HOME programs require participating jurisdictions to affirmatively further fair housing. The requirement also mandates Springfield to document its efforts to affirmatively further fair housing. The City must:

- Conduct an analysis to identify impediments to fair housing choice within the jurisdiction;
- Take appropriate actions to overcome the effects of any impediments identified through the analysis; and
- Maintain records reflecting the analysis and actions to eliminate impediments to fair housing choice.

In FY12-13, the City undertook a review and updating of its Analysis of Impediments to Fair Housing. Prior to this review, the analysis had most recently been completed in 2006.

The City engaged the Pioneer Valley Planning Commission to undertake the analysis. PVPC completed research and used a number of methods to obtain public input, including a resident survey, several focus group conversations, and two public hearings. The completed Analysis of Impediments to Fair Housing was submitted to HUD with the FY13-14 Action Plan. Both the identified impediments and the recommended actions to address the impediments are listed here. Following these lists is a summary of actions taken by the City of Springfield in FY13-14 address impediments to fair housing.

### Impediments to Fair Housing Choice

#### *Discrimination or Barriers that Limit Successful Housing Search and Access*

- Discriminatory attitudes of some individual landlords, property owners, and others in the fields of housing search, rental, sales and financing
- Lack of awareness of Fair Housing laws
- High number of rental units owned and managed by small unsophisticated landlords
- Linguistic profiling (a negative response to a housing seeker via phone because of an accent or manner of speaking)
- Discrimination in advertising (Craigslist has been singled out for this barrier by survey respondents)
- Refusal to take Section 8 housing vouchers by some landlords
- Limited number of housing professionals (especially Realtors and mortgage lenders) who speak Spanish
- Lack of information on housing options and the housing market in Spanish
- Presence of lead paint in older housing
- Lack of accessible units
- Lack of access to credit on equal terms, including lenders that target minority neighborhoods for loans with less-favorable terms (predatory lending)
- Factors that contribute to a lack of education and employment opportunities for people of color, thereby limiting their incomes and ability to access the private housing market
- Disparities in purchasing power, and, particularly, a large population with very low incomes

### *Factors that Support Continuation of Exclusive Communities which Cannot be Accessed by Persons in Protected Classes*

- Lack of multi-family or rental housing in many of the communities outside of Springfield as a result of municipal zoning that limits or prohibits its construction
- Lack of low-income housing, particularly for families, in most communities outside Springfield
- Regional HUD Fair market rents (FMR) which prevent most Section 8 Housing voucher holders from renting units in high opportunity communities where rents exceed the regional FMR
- Lack of an effective regional public transportation system
- Lack of a regional tax base, which enables higher-opportunity communities to be better able to provide high-quality municipal services, while low-income/low-opportunity communities are starved for resources

### *Factors that Contribute to Concentration of Affordable or Poor-Quality Housing in Low-Opportunity Neighborhoods*

- A weak housing market in the City, where the costs of construction exceed the sales or rental income value of residential properties
- A market that attracts low-quality investor-owners who fail to maintain properties
- Poor condition of rental and for-sale housing in distressed neighborhoods
- Presence of deteriorated properties that are vacant or not actively managed
- State and federal policies regarding affordable housing funding
- The placement of the vast majority of the region's public and subsidized housing in a limited area in the region (most of which is in Springfield)
- Lack of sufficient resources for the City to adequately address neighborhood blight, public safety, and quality K-12 education

### *Factors that Contribute to Lack of Employment Opportunity for City Residents*

- Discriminatory attitudes of persons in position to hire
- Limited public transit routes and schedules
- Low educational outcomes for City residents

## Recommended Actions to Address Impediments

### *People-Based Strategies*

These are strategies that help individuals and households overcome discrimination in housing search and have equal access to housing.

- Educate the public about fair housing rights and responsibilities
  - Put information about fair housing and fair lending on the City website and on the website for the Buy Springfield Now campaign
  - Provide training and educational materials about fair housing to housing search workers at agencies throughout the City
- Support vigorous enforcement of Fair Housing Laws
  - Continue funding support for the Massachusetts Fair Housing Center and partnership with the Massachusetts Commission Against Discrimination and

HAPHousing to enable:

- Ongoing outreach to local landlord associations
- Education of renters and homebuyers
- Monitoring and reporting fair housing violations
- Testing, especially for linguistic profiling, Section 8 discrimination, and discrimination against families with children
- Technical assistance in the form of trainings and information on accessibility laws and best practices to landlords and housing providers.
- Review internal data to determine if the City may be able to support legal claims regarding lending activities.
- Assist Springfield households to become homeowners
  - Provide downpayment assistance to first-time homebuyers
  - Coordinate with HAPHousing’s Homebuyer Club and with Springfield Partners for Community Action’s Individual Development Account (IDA) program
  - Coordinate with lenders regarding assistance to first time homebuyers with mortgage assistance and below market mortgage products.
  - Coordinate with the Springfield Housing Authority to expand the Section 8 homeownership program
- Assist households with Limited English Proficiency (LEP) to access housing
  - Ensure that housing search services are available in Spanish, and with translation available for other languages
  - Provide homebuyer education in Spanish
  - Access to Realtors and financing in Spanish
  - Make fair housing information and services available in Spanish
  - Review the City’s Limited English Proficiency (LEP) policy, and revise as indicated
- Improve access to housing for persons with disabilities
  - Review and revise City guidelines for investment of HOME funds, to ensure that these guidelines prioritize accessibility and visibility.
- Improve access to housing for families with children
  - Apply for competitive federal funding to address lead-based paint hazards in housing throughout the City

### *Place-Based Strategies*

These are strategies that assist neighborhoods and communities to achieve integrated housing and equal access to opportunity for all.

#### Strategies for All City Neighborhoods

- Promote the “Buy Springfield Now” program, which is a collaborative effort comprised of public sector and private sector organizations to attract middle income residents to homeownership in the city
- Review and revise City guidelines for investment of HOME funds, to ensure that these funds are prioritized to support neighborhood revitalization and needed rehabilitation of older housing
- Continue existing strategies to improve Springfield Public Schools city-wide

#### Strategies for Lowest Opportunity Neighborhoods

- Continue to use Neighborhood Revitalization Strategy Area designations for the South End,

Six Corners, Old Hill, Brightwood and Memorial Square neighborhoods, and to provide targeted investment of federal dollars in those neighborhoods

- Use federal funds, including HOME and CDBG-DR, to create new homeownership units
- Provide funds for homeowner repairs and rehabilitation
- Explore creation of a housing rehabilitation program targeted to landlords
- Continue existing historic preservation program, and explore creation of a historic preservation revolving fund
- Seek funds under the federal Choice, Promise and Byrne grant programs to create or expand place-based housing, education and public safety strategies
- Support early literacy strategies targeted toward ensuring that children can read by grade 3

### *Linkage Strategies*

These are strategies aimed at assisting people in protected classes to access opportunity.

- Provide minority residents with assistance in accessing housing in high-opportunity communities
  - Propose that HUD partner with the City to create a Moving to Opportunity demonstration program in which Section 8 voucher recipients are provided with mobility counseling and HUD creates small-market Fair Market Rent values, which would enable voucher-holders to afford rents in communities outside of Springfield and Holyoke
  - Coordinate with the Springfield Housing Authority and HAP Housing to provide Section 8 mobility counseling
- Take steps to improve access to employment for City residents, especially in low-income neighborhoods
  - Use a Section 3 coordinator to improve Section 3 hiring outcomes
  - Vigorously enforce Section 3 requirements for HUD-funded projects
- Work with the Springfield Housing Authority to explore designation as a Moving to Work Housing Authority, which would enable SHA to have more flexibility in its funding, in order to assist residents to improve education and income
- Use City role in governance of Pioneer Valley Transit Authority to improve public transit for City residents

### *Strategies to Increase Understanding*

- Facilitate the formation of and participate in a fair housing coalition of key stakeholders to help shape a regional conversation on fair housing
- Play a leading role on the Regional Housing Plan Committee
- Collaborate with Massachusetts Commission Against Discrimination, Massachusetts Fair Housing Center and HAP Housing to produce annual regional fair housing conference, and emphasize the issue of regional access to opportunity at these conferences
- Engage in collaborative discussions to address the issue that the few accessible units in the region often get rented to people who do not need the accessibility features
- Advocate for changes to state revenue sharing practices which provide inequitable financial support for cities

## ACTIONS TAKEN TO ADDRESS IMPEDIMENTS

The City of Springfield has taken positive steps to affirmatively further fair housing and to address the impediments to fair housing identified in the AI.

### *Implementation of People-Based Strategies*

#### Education and Enforcement of Fair Housing Laws

The City funded the Massachusetts Fair Housing Center, the region's fair housing education and enforcement center. Massachusetts Fair Housing Center accepts housing discrimination complaints and provides free legal assistance to people who have been victims of discrimination.

Springfield's Office of Housing provides fair housing materials to the public, in English and Spanish, and displays fair housing posters to inform residents of their fair housing rights.

#### DownPayment Assistance

The City provided homebuyer assistance—deferred 0% interest loans—in the amount of \$3000 to 83 income-eligible first-time homebuyers purchasing homes within the City in FY13-14. The City has found that the program is frequently a tool that enables people of color to purchase their first homes; in 2013-2014, 57% of assisted households were Hispanic and 14% were African-American. The City's homebuyer assistance program may be used in any of the City's seventeen neighborhoods.

Within the City, there are only three neighborhoods in the City whites make up more than 60% of the population (Indian Orchard 66%, Sixteen Acres 71%, and East Forest Park 84%). Twenty-three percent of first-time homebuyers who received assistance from the City in FY13-14 purchased homes in these neighborhoods.

#### Affirmative Marketing

All housing units developed or rehabilitated with HOME or NSP assistance are required to be marketed to 'those persons least likely to apply.' HOME and NSP developers are required to provide copies of their affirmative marketing plans to the City.

#### Homeownership Counseling

The City's homeownership development partner, HAP Housing, runs an ongoing Homebuyers' Club, which provides ongoing coaching, counseling, workshops and support about the home buying process, improving credit, financial planning, and the advantages of homeownership to first-time homebuyers. Homebuyer's Club events and counseling are offered in English and Spanish. Springfield Partners for Community Action provides financial literacy workshops, credit counseling, housing counseling, and Individual Development Accounts (IDAs), which can assist people in saving for homeownership.

Springfield is a funding partner for Springfield Neighborhood Housing Services, a community-based housing development organization which provides homebuyer counseling, foreclosure counseling, and financial fitness workshops, targeted to the City's historically African-American neighborhoods.

#### Homeownership Assistance to LEP Households

The City provides homebuyer education classes in Spanish. The class includes components addressing fair housing component and predatory lending.

## Grantees

The City's Office of Housing requires all subrecipients to comply with fair housing obligations, and monitors compliance as part of regular grant monitoring.

### Springfield Housing Authority

The Springfield Housing Authority's Admissions and Continued Occupancy Policy (ACOP) includes SHA's commitment to fair housing and nondiscrimination, and contains policies to carry out these commitments, including policies regarding Limited English Proficiency and reasonable accommodations for persons with disabilities.

### *Implementation of Place-Based Strategies*

#### Tornado Rebuilding

The 2011 EF 3 Tornado cut a swath across Springfield, first hitting the downtown and South End area, moving through inner-city neighborhoods, and then hitting more middle-class residential neighborhoods. In 2013, the City was awarded \$21.9 million in Community Development Block Grant-Disaster Recovery (CDBG-DR) funds. The City created an Action Plan for the first \$13 million of the funds, which was approved by HUD in December 2013, and is available at [http://www3.springfield-ma.gov/planning/fileadmin/Planning\\_files/Community\\_Development/11-27-13\\_CDBG\\_DR\\_Action\\_Plan\\_FINAL.pdf](http://www3.springfield-ma.gov/planning/fileadmin/Planning_files/Community_Development/11-27-13_CDBG_DR_Action_Plan_FINAL.pdf).

The Action Plan commits the substantial majority of funds to revitalization of three neighborhoods that were hit and had previously been designated as Neighborhood Revitalization Strategy Areas (NRSAs) due to long-term disinvestment and distress. Of the committed program funds, over 95% are expected to be spent in the South End, Six Corners, and Old Hill neighborhoods. Neighborhood investments include new single-family homes, replacement of affordable housing that was destroyed, housing repair, demolition of blight, new paving and streetscaping, realignment of streets to improve safety, purchase of two buildings to use for local school purposes, an employment training program, and loans to small businesses. These activities will take place in conjunction with several FEMA-funded projects impacting the same neighborhoods, including construction of a new elementary school in Old Hill and a new facility for the South End Community Center.

#### Neighborhood Revitalization Strategy Areas

The City has designated three areas as NRSAs: Old Hill and Six Corners; the South End; and the North End (Memorial Square and Brightwood). Each of these are neighborhoods with low rates of homeownership, low household incomes, and populations which are majority Black and/or Latino. The City focuses all of its funding for homeownership development in these neighborhoods.

#### Old Hill Revitalization

The Old Hill neighborhood, close to downtown, is made up of affordable single- and two-family homes, but many of the homes are distressed and the homeownership rate is only 32%. The neighborhood has historically been Black (74% in 1980), but has become diverse over time: in 2010, the population was 45% Black and 47% Hispanic.

Beginning in 2003, the City has partnered with the Old Hill Neighborhood Council, HAP Housing, Springfield Neighborhood Housing Services, Habitat for Humanity, and Springfield College in an effort to strategically revitalize the neighborhood. Together, the partners have committed to developing 100 new or rehabilitated energy-efficient homes for first-time homebuyers.

In 2009, the City was awarded Neighborhood Stabilization Program funds, and, as part of its planning for use of these funds, determined that Old Hill had been particularly hard-hit by the foreclosure crisis and had the most concentrated level of blight in the City. Based on this analysis, the City focused NSP funding in Old Hill, and accompanied NSP-funded redevelopment with other focused city actions to address neighborhood conditions, including targeted code enforcement and increased demolition. Through these combined efforts, the City and its partners have produced about 50 new or substantially rehabilitated homes to date within the neighborhood, all of which have sold to owner-occupants, and have reduced the amount of blighted homes.

#### Choice Neighborhoods Planning Grant

The City of Springfield, in partnership with the Springfield Housing Authority (SHA), applied for and was granted a Choice Neighborhoods Planning Grant for the City's South End neighborhood in January 2013. Funds from this grant are enabling the City and SHA to plan for demolition of distressed public housing in one of the City's most low-income neighborhoods, the South End, and replacement of these units in a mixed-income environment. The planning process includes work to bring substantial neighborhood and supportive services improvements to the South End and its residents.

#### Buy Springfield Now Campaign

The City uses general fund revenue to support the Buy Springfield Now marketing program, which provides service, lending and retail incentives to households purchasing a home in the City. The campaign also conducts coordinated open houses, where potential buyers can qualify for prizes by viewing city homes available for sale. The Buy Springfield Now events have included homes built as part of the Old Hill revitalization.

#### Enhanced Downpayment Assistance

The City worked with the Massachusetts Housing Investment Corporation (MHIC), Springfield Neighborhood Housing Services, HAP Housing and the North End Housing Initiative to use Neighborhood Stabilization Program and other funds to provide larger amounts of downpayment assistance to households purchasing in the Old Hill, Six Corners, and the South End neighborhoods, each of which is a neighborhood with very low homeownership rates. The larger amounts of downpayment assistance available in these neighborhoods are for the purpose of encouraging homebuyers who might otherwise be reluctant to purchase a home in a neighborhood where the majority of homes are renter-occupied.

#### Historic Home Rehabilitation

Within the City's core neighborhoods, which are predominantly renter-occupied, there are many historic homes which are in need of repair. When these homes become City-owned due to foreclosure for non-payment of taxes, the City makes the properties available, on a competitive basis, for homeownership. Through a request for proposals process (RFP), the City seeks bids for the particular historic property, along with CDBG funds that may be used for property rehabilitation.

#### Abandoned Property Initiatives

The City has several inter-related programs to address abandoned and/or distressed properties. The activities undertaken in these programs are concentrated in neighborhoods that are predominantly rental and have higher-than-average populations of Latinos and African-Americans.

*Code Enforcement and legal action.* The City's Housing and Building Department undertake both responsive and proactive code enforcement. Through these efforts, these departments condemn

units and building that are not fit for human habitation, and cite properties for blight. Condemnations and blight cases are referred to the City's Law Department, which initiates actions against property owners seeking court orders for owners to repair or demolish distressed and blighted buildings.

*Receivership.* Where there is no responsible owner to take action regarding a vacant or abandoned property but the property is one where the property is not in distressed condition, the City's Law Department seeks court appointment of a receiver to make necessary repairs to the property. The state's receivership law allows the receiver to repair and lien the property, and the lien takes priority over all other liens, allowing foreclosure of the lien to convey ownership of the property. In FY13-14, the City filed motions for receivers in 69 cases, and the court appointed receivers in 55 cases. In the majority of the cases where a motion for receiver was filed but a receiver was not appointed, the outcome was that the filing of the motion prompted the owner to take responsibility for the property and make repairs.

In some cases, the City found that receivers were unwilling to take on receivership of vacant properties due to a lack of capital to make needed repairs. In order to address this barrier, the City worked with the Springfield Redevelopment Authority to set up a loan fund for receivers; the loan fund has been capitalized with City of Springfield CDBG funds. The SRA loaned funds to three receivers in FY13-14.

*Acquisition and disposition.* When properties are abandoned, owners stop paying property taxes. The City places tax liens on the properties, and then forecloses these liens, becoming owner of the property. The City uses an auction process to return these properties to active and responsible use. The City requires that owners purchasing at auction use the homes for owner-occupancy.

*Demolition Program.* The City undertakes demolition of distressed properties that are beyond repair or create dangerous conditions in neighborhoods. The City's demolition program is funded annually with CDBG funds, and the City also allocates bond funding for this purpose. In FY13-14, the City demolished 8 structures.

### Support for Home Repair and Rehabilitation

The City has initiated and expanded City-operated programs which provide assistance to homeowners in need of repairs. The City operates an Emergency Homeowner Repair Program, funded with CDBG funds, which is marketed primarily in the Old Hill, Six Corners, South End, Memorial Square and Brightwood neighborhoods.

Similarly, the City has worked with other entities to provide funds for home repair and rehabilitation. In connection with the State Street Revitalization initiative, MassMutual has made funds available for homeowner rehabilitation. The City applied successfully to the Massachusetts' Attorney General's Office on behalf of Springfield Neighborhood Housing Services for funds for homeowner rehabilitation in the Old Hill neighborhood.

### Rental Rehabilitation

The City has provided substantial funding for rehabilitation of aged rental stock and preservation of affordable rental housing. In FY13-14, the City funded one project, which was located in a Neighborhood Revitalization Strategy Areas: Outing Park I, made up of 118 units and located in the South End.

When rehabilitation projects are completed, a portion of the units in each project is made into

accessible units for persons with disabilities. Outing Park I includes 7 accessible units—5 for mobility impairments and 2 for sensory impairments.

### Lead-Safe Housing

All rental rehabilitation funded by the City results in lead-safe housing.

The state of Massachusetts funds removal or control of lead-based paint hazards and home modifications for persons with disabilities. These programs are operated in Springfield by HAP Housing. The City's Office of Housing assists in marketing and refers city residents to these programs. City programs that provide funding for homeowners for these purposes are described above, under *Support for Home Repair and Rehabilitation*.

In 2011 and 2012, the City partnered with the Massachusetts Department of Housing and Community Development to apply for HUD funds to be used to mitigate lead paint hazards. These applications were unsuccessful. In 2014, the City applied to HUD for \$3.5 million in Lead Hazard Control and Healthy Homes funds. The City is waiting to learn if it will be awarded these funds.

### North End C3 Initiative and Byrne Grant

The City has been proactive in addressing crime in urban core neighborhoods. Over the last several years, the Springfield Police Department has partnered with the Massachusetts State Police and community organizations and residents in implementing the Counter Criminal Continuum (C3) policing model in the North End neighborhoods of Brightwood and Memorial Square. The C3 model is a type of community policing that has been enhanced by lessons learned from Department of Defense strategies used in Iraq and Afghanistan, and has had a measurable impact in reducing drug and gang-related crime in the North End since 2010.

In 2013, the City was awarded funding from the Department of Justice to expand this initiative to the South End neighborhood. The South End has completed a required planning phase of this grant, and will begin implementation in early 2015.

In 2014, Springfield's Mayor appointed a new police commissioner, John Barbieri, who has indicated that he will expand the C3 model into additional high-crime distressed neighborhoods.

### Response to Homelessness and Housing for Special Needs Populations

Since 2007, Springfield has been a leader in converting its homeless assistance program into a Housing First model, in which the highest priority is given to ensuring that people experiencing a housing crisis are offered the housing and services needed to enable them to obtain and maintain stable housing. A core of this strategy is creation of permanent supportive housing units, which provide people with disabilities housing and supportive services in a single package. Since 2007, the City and its funding partners have created over 250 units of permanent supportive housing for chronically homeless people, all of whom have disabilities which have previously interfered with the ability to maintain stable housing. The vast majority of these units have been created as scattered site units.

The City has had a leadership role in encouraging this housing-focused response to homelessness throughout the region. The City was a founding member of the Western Massachusetts network to End Homelessness, which educates and advocates for a housing first response to homelessness in all cities and towns in western Massachusetts. In 2013, the Springfield Continuum of Care, administered by the City's Office of Housing, expanded its territory to cover all of Hampden County.

The expansion allows funding and policy decisions to be made on a more regional basis.

### *Implementation of Linkage Strategies*

#### **Section 8 Mobility**

The Springfield Housing Authority has created a Section 8 Housing Voucher mobility program. The City has advocated with HUD to use small market Fair Market Rents (FMRs) for the Section 8 program in the Springfield Metropolitan Area.

#### **Section 3 Coordination and Implementation Grant**

The City of Springfield applied for and was awarded a competitive Section 3 Coordination and Implementation Grant. The City partnered on this grant with the Springfield Housing Authority and the Regional Employment Board of Hampden County. This grant provides funds to support a staff person whose role is to improve rates of local low-income persons who are employed on projects funded with HUD assistance. With the support of a Section 3 Coordinator, the City has been able to exceed Section 3 goals.

### *Implementation of Strategies to Increase Understanding*

#### **Regional Understanding**

Springfield is a partner in the Knowledge Corridor Consortium, a bi-state initiative that has received HUD Sustainable Communities planning funds. The City actively engaged with the Pioneer Valley Planning Commission's work to create a regional housing plan and in undertaking a regional Fair Housing and Equity Assessment. In 2014, the City and regional collaborators initiated a regional fair housing task force.

#### **Fair Housing Conference**

The City coordinated with the Massachusetts Commission Against Discrimination, the Western Massachusetts Fair Housing Center, and HAP Housing in their presentation of an annual regional fair housing conference.

## Affordable Housing

Through a variety of programs, the City was able to assist low and moderate income homeowners and renters. The following table illustrated the numbers of households assisted. The accompanying program descriptions provide a brief overview of the programs and funding sources.

### AFFORDABLE HOUSING: HOUSEHOLDS ASSISTED

|                  | First-Time Homebuyer | Emergency Repair/ Rehab | Heating Systems and Clean & Tune | Homelessness Prevention & Rapid Rehousing | Project-Based Homeownership | Multi-Family Rental Production | TBRA      | Totals      |
|------------------|----------------------|-------------------------|----------------------------------|---|-----------------------------|--------------------------------|-----------|-------------|
| 0-30 MFI Renter  | 0                    | 0                       | 18                               | 185                                       | 0                           | 0                              | 71        | 274         |
| 0-30 MFI Owner   | 4                    | 12                      | 604                              | 0   | 0                           | 0                              | 0         | 620         |
| 31-50 MFI Renter | 0                    | 0                       | 6                                | 0   | 0                           | 0                              | 0         | 6           |
| 31-50 MFI Owner  | 11                   | 14                      | 234                              | 0   | 0                           | 0                              | 0         | 259         |
| 51-80 MFI Renter | 0                    | 0                       | 1                                | 0   | 0                           | 15                             | 0         | 16          |
| 51-80 MFI Owner  | 68                   | 10                      | 25                               | 0   | 0                           | 0                              | 0         | 103         |
| <b>TOTAL</b>     | <b>83</b>            | <b>36</b>               | <b>888</b>                       | <b>0</b>                                  | <b>0</b>                    | <b>15</b>                      | <b>45</b> | <b>1278</b> |

#### Key:

- Heating Systems - a state funded heating system repair and replacement system that serves fuel assistance eligible households.
- Clean and Tune – a state funded program that provides annual maintenance service to heating systems for fuel assistance eligible homeowners.
- Homelessness Prevention and Rapid Rehousing – a federally funded program which offers assistance to households at risk of or experiencing homelessness.
- Project Based Homeownership – a federally funded (HOME) program, which provides homeownership units for first-time homebuyers. Developers are provided financial assistance to acquire and rehabilitate distressed properties.
- Multi-family Rental Production – a federally funded (HOME) program which produces affordable rental units through the rehabilitation of multi-family housing complexes, or, in some limited cases, through new construction.
- TBRA –Tenant-based Rental Assistance - a federal funded (HOME) program used to provide rental subsidies for formerly homeless singles and families.

## Homeless/Continuum of Care Narrative

This section documents progress in meeting objectives for reducing and ending homelessness. The report covers all of Hampden County because the Continuum of Care, which undertakes homelessness planning and receives federal homelessness grants, is a county-wide collaborative.

*Outreach.* The CoC's very strong outreach capacity is indicated by the progress made over many years in reducing our street population, which was only 35 at our most recent point-in-time count. Our outreach providers know our street population and work actively to engage them in housing solutions. Outreach is conducted throughout Hampden County by Eliot Community Human Services (funded by the PATH program) and Mercy Medical Center's Health Care for the Homeless. In Springfield, additional outreach is provided by the Mental Health Association.

*Emergency shelter and transitional housing.* Our CoC conducts an annual point-in-time count and gaps analysis to guide our priorities for creation of new projects. The gaps analysis indicates that Springfield and Hampden County have sufficient emergency shelter beds and sufficient transitional housing.

*Transition to Permanent Housing.* The Hampden County CoC has focused attention and resources into creation of permanent supportive housing for both individuals and families, and targets all of these units to the chronically homeless using a Housing First model. The CoC continues to identify resources for creation of additional permanent supportive housing.

Hampden County has several transitional housing programs which plan to convert to permanent supportive housing over the next two years. These are HAP Turning Point in Holyoke, Providence Ministries Loreto House in Holyoke, Samaritan Inn Transitional in Westfield, Annie's House in Springfield, and Safe Havens in Springfield.

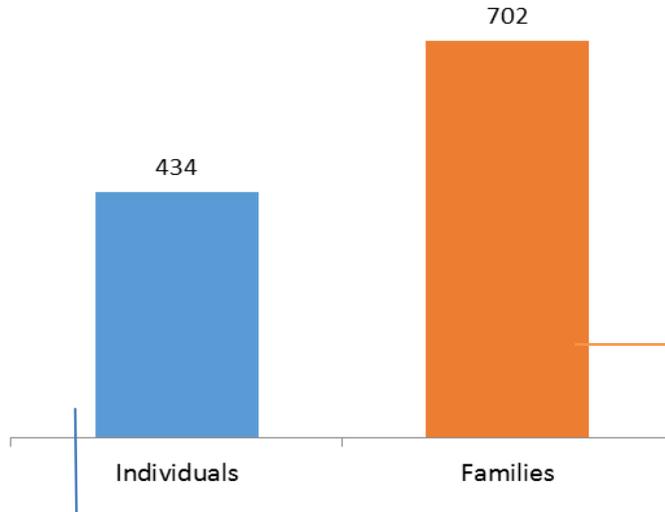
The CoC has created a rapid rehousing system over the past several years, which is effective in quickly moving homeless families and individuals with some sufficient level of income into permanent affordable housing. The City of Springfield allocates a substantial amount of Emergency Solutions Grant funds to rapid rehousing. CoC providers also receive state ESG and other state funds for rapid rehousing.

*Homelessness prevention.* Homelessness prevention resources are targeted to those who are most at risk of homelessness. The Tenancy Preservation Program (TPP) assists households where behavior health issues are causing eviction, by bringing in the resources to improve the issues leading to lease violations. TPP is funded by the state of Massachusetts, and City of Springfield ESG funds.

The CoC participates in regional discharge planning work being done by the Western Massachusetts Network to End Homelessness. This work involves meetings between providers, government representatives, and representatives from various institutional providers involved in potential discharge to homelessness, with the goal of improving referral and resources which lead to discharge into housing.

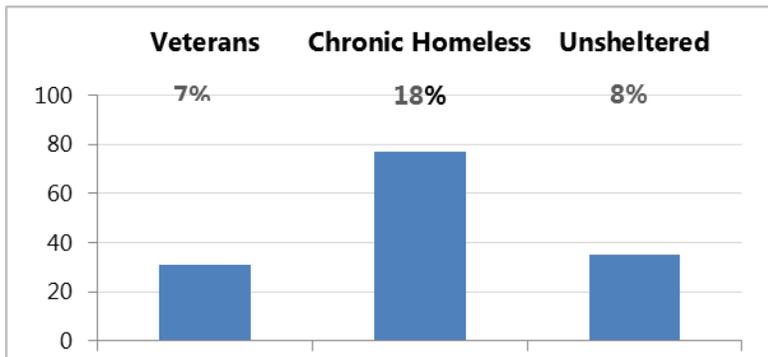
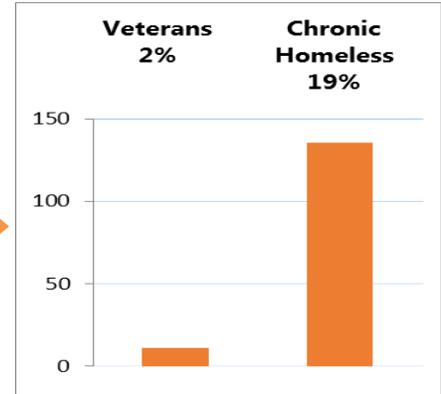
**Point-in-Time Count.** A total of 2690 persons were counted in Hampden County in this year's PIT. Of these persons, 1% were living outside; 89% were staying in emergency shelter; and 8% were living in transitional housing.

**2014 PIT: Increase in number of homeless persons, decrease in unsheltered count**



**Family homelessness**

660 families were staying in emergency shelter or overflow hotel/motel rooms on the night of the count. No families were living outside.



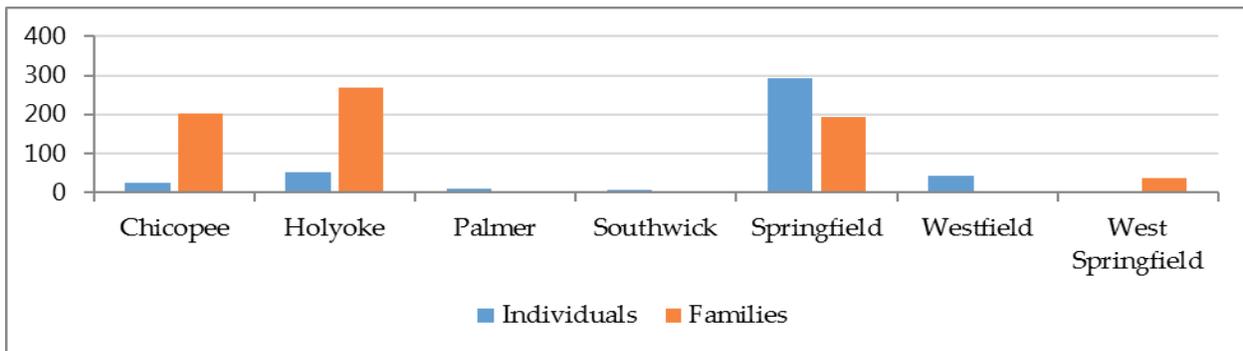
**Individual homelessness**

283 individuals were staying in emergency shelter and 35 were on the street on the night of the count.

**Youth homelessness**

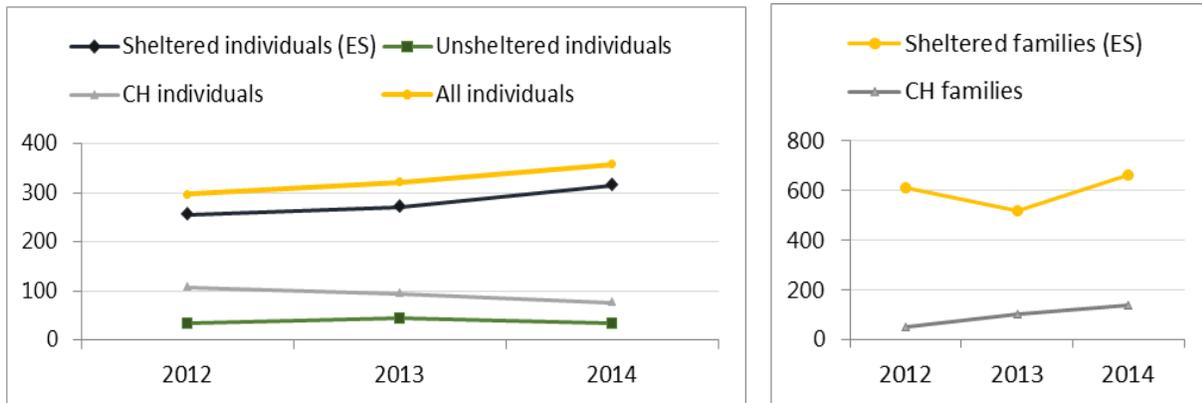
The individuals included 4 youth, under 18, on their own. Two were in shelter and 2 were on the streets.

At the time of the point-in-time count, homeless people were concentrated where the shelter beds and hotel/motels are located: individuals in Springfield, and families in Chicopee, Holyoke, Springfield and West Springfield.



Overall, the number of persons who were homeless increased by 27% compared to last year. The increase was driven primarily by a 35% increase in the number of family households staying in state-funded motels. For individuals without children, the increase is due to 52 individuals in the family shelter system (pregnant women and, sometimes, their partners); this is the first year that data has identified adults without children in the family shelter system. Rates of chronic homelessness and street homelessness have declined.

The charts below illustrate 3-year trends in the PIT data.



**Ten-Year Plans to End Homelessness.** The City of Springfield is in its eighth year of implementing its Ten-Year Plan to End Homelessness, “Homes Within Reach,” which was released in January 2007. The surrounding communities of Hampden County are in the seventh year of implementing the Pioneer Valley Plan to End Homelessness, “All Roads Lead Home.” The plans, which are very similar, address the needs of both chronically homeless and crisis homeless, and both individuals and families. The plans set forth numerous strategies to achieve eight core goals: 1) permanent supportive housing for the chronically homeless; 2) homelessness prevention; 3) rapid exit from homelessness; 4) employment and training to increase incomes; 5) deeply subsidized housing; 6) improved access to mainstream services; 7) coordination and advocacy with our community, our region, and state and federal governments; and 8) accountability through data collection and analysis.

**Creation of Permanent Supportive Housing Units.** Providers did not create any permanent supportive housing (PSH) opportunities for chronically homeless individuals in FY13-14, for the first time in many years. In the middle of the 8<sup>th</sup> year of Springfield’s ten-year plan, the City has created 78% of the City’s 10-year goal of 250 PSH units.

**Homeless Management Information System (HMIS).** The CoC uses Social Solutions’ Efforts to Outcomes (ETO) programs for its HMIS, and the City of Springfield is the HMIS Lead. In FY13-14, the CoC provided usable data for the Annual Homeless Assessment Report (AHAR) for the third year in a row. The CoC’s HMIS manager has been working with providers to expand the number of entities using HMIS and to improve data quality. In 2014, the City expects to be able to provide usable AHAR data in all categories.

**Leadership: Continuum of Care.** In September of 2013, following an expansion of the CoC’s geographic area from Springfield-only to all of Hampden County, the CoC adopted a Governance Charter that established a process for creation of a Board of Directors with geographic representation from throughout the county, and the CoC has a new Board in place. In 2013 and 2014, the CoC,

through committees and its Board has established policies and procedures to come into compliance with the CoC 2011 Interim Rule.

The CoC Board has regular quarterly meetings, with agendas published in advance on the website of the Western Massachusetts Network to End Homelessness. The CoC conducts its work through the following subcommittees: HMIS and Data; Outcomes and Performance Measurement; Individual Services; Family Services; Homeless Youth; and Veterans.

## Other Actions

### Addressing Obstacles to Meeting Underserved Needs

While there are numerous obstacles to serving underserved needs and subpopulations in Springfield, the three primary obstacles are:

- The coordination of resources from multiple funding sources across various agencies and providers. Each funding source has its own eligibility, definitions and objectives. A lack of a regional approach to meeting the needs of people in Springfield; within the region, the majority of low-income individuals live within the City, and communities outside of Springfield are not engaged in a concentrated way to address the needs of this population at a regional level.
- The impact of the foreclosure crisis—the large number of abandoned structures throughout the City has overwhelmed the resources of multiple City departments, and there has been a lack of sufficient federal and state resources to address the problem.
- Lack of sufficient resources to engage and serve special needs sub-populations.

During FY 13-14, the City worked to overcome these obstacles by advocating for legislative change, when appropriate; providing technical and financial assistance; and continued implementation of the City's ten year plan to end homelessness. The City applied for, and received, a number of competitive grants that have brought new resources to the community, particularly resources to be used to address abandoned property. The City continued to advocate for a regional approach for addressing income inequity throughout the region, and is participating in a regional housing planning initiative funded by a Sustainable Communities grant.

### Foster and Maintain Affordable Housing

The City of Springfield's population, according to the 2010 United States census, is 153,060. According to census data, nearly 60% of Springfield's households are low or moderate income and there are 39,273 people living in poverty. This figure represents close to 27% of the population of Springfield.

Springfield has 61,706 housing units. Of this number, approximately 50% are owner occupied and 50% are rental units. According to the 2010 census, there are 28,513 occupied rental units. Of these rental housing units, 10,522 are occupied by households with a public housing certificate (Section 8 or Mass Rental Voucher) or are legally deed-restricted to provide affordable housing to low-income households. Nearly 37% of Springfield's rental stock provides affordable housing to low-income persons.

Widespread poverty and the City's aged housing stock create an enormous demand for safe, affordable housing. However, the City's high concentration of poverty and associated social problems, along with the fact that households impacted by concentrated poverty are predominantly minority, suggest that significant creation of new affordable rental units in the City may have negative consequences in terms of providing existing City residents with economic opportunity and fair housing choices.

The City's primary response to the need for safe affordable housing in the City is funding for preservation and rehabilitation of existing housing stock (including housing subject to expiring use restrictions), and initiatives which support affordable homeownership opportunities. The City uses HOME funds to provide tenant-based rental assistance (TBRA), a strategy that both supports

housing affordability and addresses the concentration of poverty by allowing households to take advantage of mobility. The City encourages its partner agencies and municipalities to assist in simultaneously addressing affordability and concentrated poverty through use of mobile housing resources such as Section 8 vouchers, and through creation of affordable housing throughout the Springfield metropolitan area.

Springfield set and accomplished the following goals for FY 13-14. Each short-term goal is a direct response to identified community housing needs.

| Goal   | Proposed   | Accomplished    |
|--|--|-----------------|
| Improve the quality of rental housing stock through rehabilitation   | → 20 rehabilitated rental units                                    | → 15 units      |
| Ensure the availability of affordable rental housing through multi-family rental production and preservation | → 10 units created through rental production program               | → 4 units       |
|  | → 50 households assisted through TBRA and HOPWA programs           | → 71 households |
| Increase homeownership among low-income households   | → 100 households assisted through the Homebuyer Assistance Program | → 83 households |
|  | → 10 units benefiting from the project based homeownership program | → 2 units       |
| Improve the quality of owner-occupied housing thereby permitting low-income owners to remain in safe housing | → 15 units   | → 36 units      |

### Eliminate Barriers to Affordable Housing

Although Western Massachusetts is a more affordable housing market than the metro-Boston area, public policies such as land-use controls, zoning ordinances, and growth limits have greatly impacted the development of new housing. Many communities throughout the Pioneer Valley have adopted policies which require increased lot size for residential properties, have created protective open space and agricultural zones to limit residential development and have established lengthy review processes for new developments. These actions have directly impacted the cost of housing development, and effectively halted affordable housing development. Additionally, Massachusetts communities operate under Proposition 2 1/2, which restricts the ability to raise local revenues. For many communities, this restriction is a disincentive to develop housing, especially multi-family housing. As the cost of municipal services and education are deemed greater than the tax revenue, communities are reluctant to reduce barriers.

The City of Springfield has a successful track record in overcoming traditional barriers to affordable housing in order to increase the availability of decent affordable housing for all individuals. According to the Commonwealth of Massachusetts’ Department of Housing and Community Development, Springfield ranks 5<sup>th</sup> in the state, with 17.4% of its housing stock dedicated to affordable housing. Springfield policies of zoning, land use, and public financing greatly encourage affordable housing.

The City has undertaken proactive steps to eliminate barriers to affordable housing. Specifically, during FY13-14 the City:

- Maintained an inventory of municipally owned land that is suitable for the development of housing;
- Aggressively pursued tax-taking of properties to be utilized to promote the goals of its housing strategy;
- Worked with local lenders and non-profit agencies to provide first-time homebuyer education and counseling;
- Supported project applications for affordable housing resources including LIHTC, HIF, HSF and HOME;
- Provided leadership for the regional planning process to end homelessness, advocating that affordable housing needs be considered on a regional basis; and
- Participated in regional housing planning and fair housing activities carried out by PVPC.

### Overcome Gaps in Institutional Structures and Enhance Coordination

The City of Springfield uses a collaborative approach to implement programs and projects that involve the use of entitlement funding. With the Office of Community Development (OCD) as the lead agency, the ConPlan has been completed with direct involvement of a number of City departments. Although this collaborative approach is working, the City works continuously to identify gaps of service and coordinates efforts to ensure that necessary actions are taken to fill the gaps.

The Office of Community Development administered and implemented programs described in the Five Year Consolidated Plan and Annual Action Plans.

Key Staff includes:

- Director of Community Development
- Deputy Director of Neighborhoods
- Deputy Director of Economic Development
- Deputy Director of Planning
- Director of Housing
- Director of Administration and Finance

To implement the City's strategy, during FY 13-14 these departments utilized private industry, non-profit organizations, including CBDO's, CHDO's, and City departments. The utilization of such a broad base of organizations enabled the City to address its community development, housing, homeless and special needs objectives. However, while the number and abilities of the organizations and departments involved are an institutional strength, the City constantly works to coordinate the projects and programs. Such coordination is integral to the success of the Plan. During the past year, OCD continued to find success through its efforts to coordinate with these organizations and departments.

During 2013-2014 program year areas of particular strength included:

- Coordination of multiple City departments and outside consultants to plan for the use of CDBG-DR funds.
- The City hired a CDBG-DR Director, financial person and a Program Manager to implement the DR Program. The CDBG-DR Partial Action Plan A is available on the City's CDBG-DR Website.
- Coordination of activities undertaken by multiple city departments toward the goal of

neighborhood stabilization.

- The City's strong homeless provider network is a particularly important strength of the delivery system, especially the components of the Plan that pertain to the implementation of the 10 year plan to end chronic homelessness in Springfield.

## Improve Public Housing and Resident Initiatives

Each year, the Springfield Housing Authority produces an Annual Plan, which includes numerous goals and objectives for public housing and resident initiatives. In its current Annual Plan, the Springfield Housing Authority committed to the following in their strategy for addressing the housing needs for families in the jurisdiction and on the waiting list:

*GOAL: Increase the availability of decent, safe, and affordable housing.*

- Apply for additional rental vouchers;
- Leverage private or other public funds to create additional housing opportunities;
- Achieve High Performer status for public housing and Section 8 management;
- Increase customer satisfaction in the admissions department, the rental assistance department, and in the management of public housing;
- Modernize state public housing units that are federalized;
- Provide voucher mobility counseling and conduct outreach to potential landlords; and
- Expand the voucher homeownership program.

*GOAL: Improve community quality of life and economic vitality*

- Implement measures, including flat rents, to promote a broad range of income households in its developments;
- Increase security through Neighborhood Watch, resident initiatives, and collaboration with the Springfield Police Department and other law enforcement entities;
- Offer an array of programs for youth and adult members of the community; and
- Consider designation of certain developments for particular resident groups (elderly, persons with disabilities).

*GOAL: Promote self-sufficiency and asset development of assisted households*

- Increase the number of percentage of employed persons in assisted families through in-house maintenance apprenticeship and computer training;
- Operate an educational center to teach computer skills to residents; and
- Coordinate with other agencies to provide supportive services to increase independence for the elderly and families with disabilities.

*GOAL: Ensure Equal Opportunity in Housing for All Americans*

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability;
- Undertake affirmative measures to provide a suitable living environment for families in assisted living, regardless of race, color, religion, national origin, sex, familial status, and disability; and
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.

## Evaluate and Reduce Lead Based Paint Hazards

### *Prevalence of Lead-Based Paint Hazards*

Springfield is defined as a "high risk" community for lead poisoning by the Commonwealth's Department of Public Health. Of Springfield's total of 61,172 housing units, 36.3% were built prior to 1940. A full 89.9% were built pre-1979 and are therefore likely to contain lead-based products.

The Lead Hazards section of Environmental Defense "Scorecard", co-sponsored by the Alliance to End Childhood Lead Poisoning, indicates that there are 6,207 "high-risk" units in Springfield, meaning housing units built before 1950 and occupied by families living below the poverty level. The Scorecard ranks census tracts by the potential lead hazards; Springfield includes the top-ranked tract in Massachusetts. Scorecard's summary of Lead Hazards clearly documents a high level of potential lead hazards within the City.

#### SUMMARY OF LEAD HAZARDS – CITY OF SPRINGFIELD

| Neighborhood     | Number Of Units at High Risk* | Units Built Pre-1950 | Units With Low Income | Children Under 5 Living In Poverty |
|------------------|-------------------------------|----------------------|-----------------------|------------------------------------|
| Sixteen Acres    | 216                           | 850                  | 709                   | 344                                |
| Six Corners      | 730                           | 1,800                | 1,200                 | 590                                |
| Bay              | 240                           | 700                  | 450                   | 200                                |
| Brightwood       | 194                           | 650                  | 840                   | 292                                |
| East Springfield | 160                           | 1,300                | 300                   | 160                                |
| Forest Park      | 1,282                         | 6,330                | 1,828                 | 771                                |
| Indian Orchard   | 314                           | 1,770                | 643                   | 249                                |
| Liberty Heights  | 575                           | 3580                 | 1,350                 | 563                                |
| McKnight         | 380                           | 1,100                | 550                   | 200                                |
| Memorial Square  | 301                           | 540                  | 911                   | 410                                |
| Metro Center     | 530                           | 1,330                | 920                   | 200                                |
| Old Hill         | 320                           | 910                  | 510                   | 300                                |
| Pine Point       | 235                           | 1,480                | 650                   | 432                                |
| South End        | 470                           | 1,260                | 740                   | 341                                |
| Upper Hill       | 260                           | 1,500                | 330                   | 270                                |
| TOTAL            | 6,207                         | 25,100               | 11,931                | 5,322                              |

Source: Scorecard/Environmental Defense

\*This measure is the number of housing units that were built before 1950 and are occupied by families living below the poverty level.

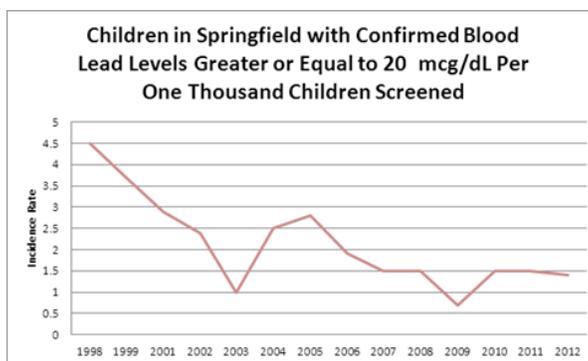
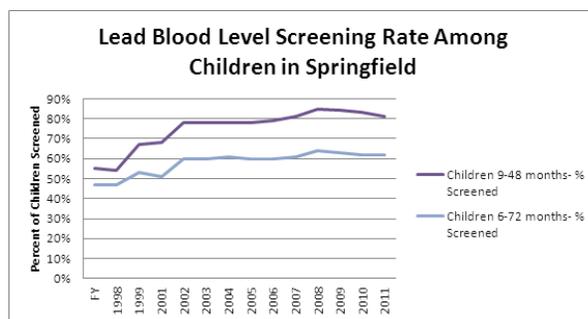
The City has aggressively sought to improve the quality of its affordable housing stock. The City has applied as a co-applicant with the Massachusetts Department of Housing Community Development for a Lead Hazard Control Grant but has not been funded. In 2014, the City applied to HUD as a solo applicant for \$3.5 million in lead abatement funds. The City has not yet learned if it will be awarded

these funds.

The City uses federal dollars to evaluate and reduce lead based paint hazards. CDBG funds support the Division of Code Enforcement, which conducted over 4,000 inspections within target areas. In accordance with Mass lead laws, lead based paint hazards determination is undertaken during all state sanitary inspections in units that house children under 6 years of age.

Lead abatement activities were completed on properties under the state lead abatement financing program, and all project-based and multi-family units funded the HOME funds.

Data indicate that the percent of City children screened for elevated lead levels has increased since 1998, and the incidence rate of children with confirmed blood lead levels greater or equal to 20 mcg/dL has decreased over the same period, which is one indication that the number of lead hazards in the City is decreasing. This data is illustrated by the graphs below.



### Ensure Compliance with Program and Comprehensive Planning Requirements

The City strives to improve its compliance and sub-recipient management system to achieve efficient administration of our federal programs by:

- Tracking accomplishments and performance measurement data and entering this information on HUD's IDIS system.
- Using detailed scope of services and budgets to help the city measure its success with goals and outcomes for the performance measurement system.
- Maintain a master contract list managed in the Office of Community Development to track projects from initiative through closeout.

In FY 13-14, CDBG subrecipients generally performed their work in accordance with their scope of service and achieved a national objective. For organizations that required additional guidance, program monitors provided technical assistance and, in some cases, withheld funds until projected objectives were met.

### Reduce Number of Persons Living Below the Poverty Level

Almost 27% of Springfield households live in poverty (\$15,020 for a family of three). Over a third (33.9%) of children under 18 live in poverty, giving the City one of the highest child poverty rates in the state (Census 2000). The rate is higher for Latino families, with 58% of children under 18, and 74% of children under five living in poverty. Of all household types, single-parent households headed by women are the poorest, with 62% with children under age five living in households with poverty-level incomes. In addition 87% of students in the City's Public Schools are classified as low income.

During FY13-14, the City worked to reduce the number of families living in poverty. Specific actions included providing housing opportunities, economic development opportunities, adult basic education, and job training programs, financial education and financial literacy programs, and life skills counseling. In addition, the City funded numerous human service programs that delivered self-sufficiency training and employment services for at-risk youth and adults.

The Community Development, Housing and Neighborhood Services, Health and Human Services and Economic Development offices made a concerted and focused effort to independently address poverty issues during this program year. Further, the City continued to place strong emphasis on development partnerships with neighborhood organizations, private corporations, state and federal social service agencies and economic development agencies, nonprofit service providers and impacted parties who are residents of Springfield.

The City also incorporated services and programs provided by New North Citizens Council, Gray House and the Greater New Life Christian Center to administer adult basic education, Substance Abuse Recovery and homelessness prevention.

### Leveraging Resources

During FY 13-14 the City of Springfield attracted and utilized significant non-entitlement funds. The sources of these funds include federal grants, local and state bonds, Low-Income Housing Tax Credits, Historic Tax Credits, state Affordable housing funds, resources from numerous State agencies, private foundation grants, and private financing. A summary that details the source, dollar value and use of funds is included in the table on page 75.

## CITIZEN COMMENTS/CITIZEN PARTICIPATION

### FY 2013-2014 Action Plan

During the development of the FY2013-2014 Annual Action Plan, the City held two public hearings. The first one was on January 22, 2013 at Rebecca Johnson School, 55 Catherine Street, and the second one was held on January 29, 2013 at Chestnut Accelerated School, 355 Plainfield Street. The hearings were held to obtain input from residents and to identify priority community needs. The City's major initiatives were Code Enforcement, Public Infrastructure, Quality of Life Issues, Parks & Public Facilities, Workforce Development, Economic Development, Commercial Districts, Youth, Elderly, Special Needs Persons, Persons with HIV/AIDS, Homeless Persons, Affordable Housing and Homebuyer Counseling and Assistance. The City advertised the public hearings in the Springfield Republican newspaper, the Neighborhoods Plus section of the *Republican* and the Spanish Newspaper, *LaVoz*. The City also mailed out a flyer to OCD's extensive mailing list. A summary of comments received during these hearings was included as part of the final Annual Action Plan submitted to HUD in May 2013.

The Draft Action Plan was available for public review and comment from April 8, 2013 through May 7, 2013 at multiple locations to increase likelihood of citizen participation, including the Office of Community Development, 1600 East Columbus Avenue; Office of Housing, 1600 East Columbus Avenue; Office of Planning and Economic Development, 70 Tapley Street and the Office of Health & Human Services, 95 State Street. An electronic version was posted on the City's Website at [http://www.springfieldcityhall.com/cos/Services/dept\\_cd.htm](http://www.springfieldcityhall.com/cos/Services/dept_cd.htm)

A public hearing to obtain comments on the Draft Annual Action Plan was held on April 23, 2013 at 5:00 PM, 36 Court Street in Room 220.

Citizen Comments on the Plan:

Attendees:

#### **Hearing 1: January 22, 2013 Rebecca Johnson Auditorium, 55 Catherine St.**

##### **Attendees:**

Cathy Buono, City of Springfield, Office of Community Development

Lori Santaniello, City of Springfield, Office of Community Development

Ms. Buono opened up the meeting by explaining that this is a way to gather public input/citizen comments for the upcoming Entitlement Funds and the Action Plan process. The Action Plan will be available to the public for review for a 30 day review period in April and will go to HUD on May 15<sup>th</sup> for a 45 day review. The funding will become available July 1, 2013 for FY14.

Ms. Buono mentioned that the comments at all public hearings will be included in the Action Plan.

Funding for this year has not been announced as of yet. The City received 3.7 million dollars last year. Ms. Buono said she has no idea what the funding amount will be this year; all public service activities are capped at 15%, administrative costs are capped at 20%. These funds are for neighborhood councils for their overhead costs. At least 70% of the funds have to be used for low income persons and 30% can be used toward the elimination of slum and blight.

Mr. Fairman, Wilshire Athletic Association asked if the public service dollars could be used toward land for youth sports. Ms. Buono explained that a project like this is eligible but would require a different process other than RFP. It would be considered a public facility/ improvements project and the process for this type of project would be to send an email and a letter to the Mayor explaining the project, the area that it is in, which has to be a CDBG eligible neighborhood, and requesting the dollar amount.

Mr. Kevin Molina, HAP Housing, also commented. He would like to see a program put together for landlords, particularly absentee landlords; or a program where landlords will have to register. It was asked how the programs/projects are prioritized; particularly housing rehab. Again, Ms. Buono specified, it is ultimately up to the Mayor what programs are funded. It was also asked if the public hearings have any impact. Ms. Buono emphasized that the minutes, any public comments will be in the Action Plan and the Mayor will read the comments and minutes from the meetings.

Mr. Johnson, from New North Citizens' Council addressed the issue of young adults who were involved in the judicial system and trying to obtain employment. He wants funds to be allocated to start up a program work with those individuals so they do not reoffend. Ms. Santaniello explained that the organization ROCA implements a program that works with the Sheriff's Department and works with these offenders. Mr. Johnson explained that these people do not like to work with the Sheriff's Department they would rather work with someone who is not directly involved in the system. He would like to see the City fund programs that can move toward the young folks to gain opportunities. Ms. Buono told Mr. Johnson to fill out the RFP and submit for funding a program of this type.

Examples of funding are public service activities which funding is capped at 15%. The RFP for public service activities will be released on February 4, 2013 and is due back on March 1, 2013. Any questions regarding the RFP can be emailed to Ms. Buono by February 15, 2013 at [cbuono@springfieldcityhall.com](mailto:cbuono@springfieldcityhall.com)

An RFP Committee will review all proposals and score them and make recommendations to the Mayor. The Mayor does have final funding decisions.

Other projects that are funded are proactive street sweeps-code enforcement, Receivership Program, streets and sidewalks, parks, small business loans, façade programs, emergency repair program to low income persons; historic preservation program, which CDBG funds will be used to rehab the outside of historic homes in the City for those who are not low income but who don't have funds to do extensive repairs to the outside. The property owners will get anywhere between \$25,000-100,000. Last year the program rehabbed 3 homes.

HOME Funds are used for First Time Homebuyers Program; \$3000 is used for closing costs to income eligible persons. In the Action Plan the four Entitlement funds are discussed; CDBG, HOME, ESG and HOPWA.

## **Hearing 2: January 29, 2013 at the Chestnut Accelerated School, 355 Plainfield St.**

Attendees:

Cathy Buono, Office of Community Development

Lori Santaniello, Office of Community Development

Ms. Buono opened up the meeting by explaining that this is a way to gather public input/citizen comments for the upcoming Entitlement Funds and the Action Plan process. The Action Plan will be available to the public for review for a 30 day review period in April and will go to HUD May 15<sup>th</sup> for a 45 day review and funding will become available July 1, 2013.

Funding for this year has not been announced as of yet. The City received 3.7 million last year and Ms. Buono said she has no idea what the funding amount will be this year; public service activities are capped at 15%, administrative costs are capped at 20%. These funds are for neighborhood councils for their overhead costs. At least 70% of the funds have to be used for low income persons and 30% can be used toward the elimination of slum and blight.

Ms. Buono mentioned that the comments at all public hearings will be included in the Action Plan.

HOME City Housing, new organization attended the meeting. The housing complex at Liberty Hill has been identified as the highest drop-out rates in the City, large number of single moms with no education. They need programs within the complex to keep the youth busy and safe.

Rebuilding Together wanted to know if there are any funds available to do parks over or playgrounds. Ms. Buono suggested submitting a written request to the Mayor for this type of funding.

### Consolidated Annual Performance Evaluation and Review (CAPER)

The CAPER for the fiscal year that commenced on July 1, 2013 and ended on June 30, 2014 (FY 13-2014) was posted online and available for public review from September 12, 2014 through September 29, 2014 and a public hearing was held on September 17th at 5:00 pm, at Springfield City Hall in Room 220. During the review period copies of the Draft CAPER were available in English and in Spanish to all Springfield's residents, at the following locations:

- Office of Planning & Economic Development, 70 Tapley Street;
- Office of Community Development, 1600 E. Columbus Avenue;
- Office of Housing, 1600 E Columbus Avenue, 1st Floor
- Department of Health and Human Services, 95 State Street
- City of Springfield, City Library, 220 State Street
- [http://www.springfieldcityhall.com/cos/Services/dept\\_cd.htm](http://www.springfieldcityhall.com/cos/Services/dept_cd.htm)

An announcement about the hearing and the availability of the draft document was published in English and Spanish in the Springfield *Republican* on September 1, 2014; the Local Section, Neighborhoods Plus, of the *Republican* on September 10, 2014 and in the Spanish Newspaper, *La Voz* on September 12, 2014. A flyer was mailed to persons and organizations included on the Office of Community Development's extensive mailing list. The advertisement also solicited written feedback from Springfield residents.

**Public Hearing: CAPER Input: September 17, 2014, City Hall, Room 220; 5:00 PM**

**Attendees:**

Cathy Buono, Office of Community Development  
Lori Santaniello, Office of Community Development  
Melanie Acobe, Office of Housing

Ms. Buono opened up the meeting for comments. She explained that the public hearing was to receive input on the CAPER, accomplishments for FY 2013-2014. Ms. Acobe was available at the meeting to translate into Spanish.

Mr. David Gaby, McKnight CDC was in attendance at the public hearing. He noticed “some” improvement in the City’s performance this year. What stood out most as he read through the CAPER was that the City hired two Section 3 Compliance persons to work on compliance issues; the City’s staff has become more open to Fair Housing in other nearby communities; and the increase in rehab and new construction as opposed to demolition has improved. All of which are good points and good improvements in the City.

However, Mr. Gaby indicated that he sees some of the same failed strategies as when the Finance Control Board was in. He said it is replicated over and over again: the continued massive rehab projects; low income housing projects that compacts the minority population; certain districts more than others. He indicated that the South End is a particular neighborhood with these projects.

He feels that there has been a declining emphasis on demo; however the projected goal for demo during FY 2013-2014 was 400%. It would save the City money and tax payer’s money not to demo these properties. Mr. Gaby feels that some of these buildings could be repaired and not demolished.

Mr. Gaby closed the meeting by again mentioning that there have been some improvements in the City’s accomplishments this year.

In closing, Ms. Buono informed Mr. Gaby that he could also submit any written comments by Wednesday, September 24, 2014.

Additional written comment was received and included in this document.

Financial Tables, Charts, and Forms  
Sources of Funds

The City of Springfield (the “City”) expects to receive amounts allocated under HUD Formula grant programs and through program income during the year to address the priority needs and objectives identified in the City’s strategic plan.

| SOURCES OF FUNDS   |                        |
|--|------------------------|
| CDBG   | \$ 3,759,776.00        |
| HOME   | \$ 1,124,218.00        |
| HOPWA  | \$ 446,897.00          |
| ESG  | \$ 267,658.00          |
| Subtotal   | \$ 5,598,549.00        |
| Total Estimated Program Income for FY2012-2013   |                        |
| CDBG   | \$ 250,000.00          |
| HOME   | \$ 75,000.00           |
| Grant funds from previous years for which the planned use has not been included in prior statements or plans |                        |
| HOME   | \$ 450,000.00          |
| CDBG   | \$ 650,000.00          |
| <b>TOTAL ENTITLEMENT FUNDING SOURCES</b>   | <b>\$ 7,023,549.00</b> |

Federal funds from non-entitlement sources were used for toward programs and projects underway in Springfield during the fiscal year. *(NOTE: Many of the following sources of funds are intended to be utilized over a period of several years.)*

| Other Sources of Funds Expended during 2012-2013 |              |                                   |
|--|--------------|-----------------------------------|
| PROJECT  | EXPENDITURE  | SOURCES OF FUNDS                  |
| Disaster Recovery                                | \$3,929,379  | CDBG-DR US Dept of HUD            |
| Union Station                                    | \$ 1,250,000 | City/State/Federal                |
| Neighborhood Stabilization                       | \$922,456    | Federal - HUD                     |
| Community Transformation Grant                   | \$101,022    | State of MA                       |
| HomeCorp   | \$241,779    | State of MA                       |
| Streets & Sidewalks                              | \$ 3,357,000 | Chapter 90                        |
| EPA – Union Station                              | \$176,612    | EPA                               |
| MassWorks–Court Square                           | \$145,161    | State of MA                       |
| Housing Initiatives                              | \$585,725    | DHCD-Heartwap                     |
|  | \$713,926    | DHCD – Home                       |
|  | \$24,987,407 | Tax Credit Equity                 |
|  | \$3,212,667  | Affordable Housing Trust Fund     |
|  | \$1,784,815  | MA Housing Stabilization Fund     |
|  | \$3,569,630  | Permanent Debt                    |
| Homeless Initiatives                             | \$1,427,852  | Deferred Developer Fee            |
|  | \$284,479    | HUD-Shelter Plus Care             |
|  | \$1,327,637  | HUD-McKinney Grant                |
|  | \$2,579,328  | Health Care for the Homeless-5 yr |

Vendors and service providers provide the required ESG match.

As a component of their applications and monthly reports, each provider must detail their matching funds. Resources used include:

- Department of Social Services
- Department of Transitional Assistance
- Mass Bar Foundation
- Department of Mental Health
- Department of Transitional Assistance
- SMOC/CSBG
- HRSA

The City also anticipates it will use publicly owned land to further its objectives.

City-owned properties will be used to further affordable housing, citizen participation and economic development objectives.

The City, through its Tax Title foreclosure process, strives to create as much affordable housing as is feasible either through public auction or via a Request for Proposals process. The City is currently devising a city-wide, neighborhood-specific housing strategy geared towards maximizing homeownership.

## Low Mod Calculation

| LOW/MOD CALCULATION-FY13-FEDERAL YEAR 2012 |                    |      |  |  |                  |
|--|--------------------|------|--|--|------------------|
|  |                    |      |  |  |                  |
| Total Expenditures                         |                    |      |  |  | \$ 4,115,119.10  |
|  |                    |      |  |  |                  |
| Less:                                      |                    |      |  |  |                  |
| Planning and Administration                |                    |      |  |  | (785,141.50)     |
|  |                    |      |  |  | \$ 3,329,977.60  |
|  |                    |      |  |  |                  |
| Activities Categorized as Slum & Blight    |                    |      |  |  |                  |
|  |                    |      |  |  |                  |
| Activity                                   |                    |      |  |  |                  |
| Historic Restoration                       |                    | 3838 |  |  | \$ (24,124.23)   |
| Historic Restoration                       |                    | 3838 |  |  | \$ (21,421.59)   |
| Bond Payment                               |                    | 3553 |  |  | \$ (397,625.80)  |
|  |                    |      |  |  |                  |
| Total Expenditures qualifying as Low/Mod   |                    |      |  |  | \$ 2,886,805.98  |
|  |                    |      |  |  |                  |
| Percentage Benefit                         |                    |      |  |  | 86.69%           |
|  |                    |      |  |  |                  |
| LOW/MOD MULTI-YEAR CALCULATION             |                    |      |  |  |                  |
|  |                    |      |  |  |                  |
|  | FY11               |      |  |  | \$ 3,757,425.08  |
|  | FY12               |      |  |  | \$ 3,211,422.57  |
|  | FY13               |      |  |  | \$ 3,329,977.60  |
|  |                    |      |  |  |                  |
|  | TOTAL              |      |  |  | \$ 10,298,825.25 |
|  |                    |      |  |  |                  |
|  | FY11               |      |  |  | \$ 3,357,282.73  |
|  | FY12               |      |  |  | \$ 2,644,821.80  |
|  | FY13               |      |  |  | \$ 2,886,805.98  |
|  |                    |      |  |  |                  |
|  | TOTAL              |      |  |  | \$ 8,888,910.51  |
|  |                    |      |  |  |                  |
|  | Percentage Benefit |      |  |  | 86.31%           |
|  |                    |      |  |  |                  |

## Annual Performance Report Reconciliation

| FEDERAL YEAR 2013-FISCAL YEAR 2014                        |                             |                 |           |             |
|---|-----------------------------|-----------------|-----------|-------------|
| Annual Performance Report Reconciliation-HOME Entitlement |                             |                 |           |             |
| Beginning Balance   |                             |                 |           | 8,611.63    |
| Amount Received<br>(Prior Year Report)                    |                             |                 |           |             |
| Program Income  |                             |                 |           |             |
|   | Comm. Dev/Office of Housing |                 |           | 20,286.56   |
| Amount Expended   |                             |                 |           | (27,698.19) |
|   |                             | Balance on Hand |           | 1,200.00    |
| Detail-Program<br>Income Draws                            |                             |                 |           |             |
| Draws:  |                             |                 |           |             |
|   | HUD#                        |                 | Amount    |             |
| 7/22/2013   | #3929                       |                 | 8,611.63  |             |
| 11/19/2013  | #4036                       |                 | 1,183.15  |             |
| 6/12/2014   | #4070 and #4021             |                 | 17,903.41 |             |
|   |                             |                 |           |             |
|   |                             |                 |           |             |
| 7/08/2014   | #4070                       |                 | 1,200.00  |             |
|   |                             |                 |           |             |
|   |                             |                 |           |             |
| Expenditure<br>Category Data:                             |                             |                 |           |             |
|   |                             |                 |           |             |
| TBRA  |                             |                 |           | 25,806.38   |
| Administration  |                             |                 |           | 1,908.66    |
| First Time Homebuyer                                      |                             |                 |           | 1,183.15    |
|   | Total 2014 Program Income   |                 |           | 20,286.56   |

## CDBG Entitlement Grant Program Income Reconciliation

| TOTAL PROGRAM INCOME \$171,989.67 |      |              |
|-----------------------------------|------|--------------|
| Date                              | HUD# | Amount       |
| 10/30/2013                        | 4023 | \$ 43,455.47 |
| 01/17/2014                        | 4024 | \$ 34,767.08 |
| 03/12/2014                        | 4017 | \$ 39,537.01 |
| 06/13/2014                        | 4024 | \$ 26,065.82 |
| 07/14/2014                        | 4017 | \$ 28,164.29 |

Expenditure Category Detail:

|                           |      |                      |
|---------------------------|------|----------------------|
| Administration            | 4017 | \$ 67,701.30         |
| Public Facilities-Parks   | 3828 | \$ 43,455.47         |
| Public Facilities-Streets | 4024 | <u>\$ 60,832.90</u>  |
| <b>TOTAL</b>              |      | <b>\$ 171,989.67</b> |

| <b>PROJECT RECONCILIATION</b>                   | <b>2013-2014<br/>Budget</b> | <b>2013-2014<br/>Expenditures</b> |
|---|-----------------------------|-----------------------------------|
| Administration                                  | \$ 801,955.00               | \$ 785,141.50                     |
| Public Service                                  | \$ 718,966.00               | \$ 655,019.26                     |
| Economic Development Program Delivery           | \$ 50,000.00                | \$ -                              |
| Economic Development Programs                   | \$ 350,000.00               | \$ 155,775.82                     |
| Existing Homeowner Rehab – Emergency Repairs    | \$ 300,000.00               | \$ 200,875.00                     |
| HEARTWAP Program                                | \$ 175,000.00               | \$ 165,214.76                     |
| Housing Program Delivery – Rehabilitation       | \$ 78,000.00                | \$ 69,637.75                      |
| Housing Program Delivery-Direct Assistance      | \$ 100,000.00               | \$ 105,086.94                     |
| Historic Restoration – Rehab Blight             | \$ 100,000.00               | \$ -                              |
| Rebuilding Together                             | \$ 25,000.00                | \$ 25,000.00                      |
| Clearance and Demolition – Program Delivery     | \$ 40,000.00                | \$ 29,762.04                      |
| Bond Payment                                    | \$ 398,000.00               | \$ 397,625.80                     |
| Demolition of Vacant/Abandon Properties         | \$ 395,355.00               | \$ 84,127.70                      |
| Acquisition/Disposition                         | \$ 22,500.00                | \$ 50,171.43                      |
| Code Enforcement                                | \$ 40,000.00                | \$ 34,548.85                      |
| Park Reconstruction                             | \$ 425,000.00               | \$ 149,273.00                     |
| Streets/Sidewalks                               | \$ 400,000.00               | \$ 343,555.77                     |
| Neighborhood Capacity Building Program Delivery | \$ 30,000.00                | \$ 30,579.72                      |
| Neighborhood Target Improvement                 | \$ 170,000.00               | \$ -                              |
| Graffiti Removal                                | \$ 40,000.00                | \$ 19,041.22                      |
|   | \$ 4,659,776.00             | \$ 3,300,436.56                   |
|   |                             |                                   |
|   |                             |                                   |
| <b>PRIOR YEAR BUDGET CARRY OVER</b>             |                             |                                   |
|   |                             |                                   |
| Public Service                                  | \$ 697,829.00               | \$ 97,585.92                      |
| Economic Development Programs                   | \$ 225,000.00               | \$ 1,508.75                       |
| Existing Homeowner Rehab – Emergency Repairs    | \$ 300,000.00               | \$ 178,000.00                     |
| HEARTWAP Program                                | \$ 175,000.00               | \$ 12,667.01                      |
| Historic Restoration – Rehab Blight             | \$ 100,000.00               | \$ 45,545.82                      |
| Choice Neighborhoods                            | \$ 150,000.00               | \$ 10,500.00                      |
| Demolition of Vacant/Abandon Properties         | \$ 300,000.00               | \$ 160,635.64                     |
| Code Enforcement – Street Sweeps                | \$ 40,000.00                | \$ 2,235.50                       |
| Park Reconstruction                             | \$ 467,000.00               | \$ 306,003.90                     |
|   |                             | \$ 814,682.54                     |
|   |                             |                                   |
|   | <b>Total Expenditure</b>    | <b>\$ 4,115,119.10</b>            |
|   |                             |                                   |
| A. PROGRAM INCOME                               |                             |                                   |
|   | Category                    |                                   |

|   |                             |                       |                      |
|---|-----------------------------|-----------------------|----------------------|
| Springfield Redevelopment Authority   |                             |                       |                      |
|   | HeartWAP                    | \$150,585.72          | Other                |
| Community Development   |                             |                       |                      |
|   | Emergency Rehab             | \$6,800.00            |                      |
|   | Ortiz Tool                  | \$2,515.00            |                      |
|   | 3GS Transport               | \$1,315.14            | Economic Development |
|   | BayState Metal              | \$2,596.92            | Economic Development |
|   | Alliance Medical            | \$1,629.03            | Economic Development |
|   | Vesuvio                     | \$ 209.66             | Economic Development |
|   | 1600 Main                   | \$1,673.12            | Economic Development |
|   | Creative Strategy           | \$1,673.12            | Economic Development |
|   | En Motion Dance             | \$ 838.00             | Economic Development |
|   | Cabo Fashion                | \$ 627.42             | Economic Development |
|   | Alliance Upholstery         | \$ 627.42             | Economic Development |
|   | Surdoue Couture             | \$ 208.88             | Economic Development |
|   | Virtue Salon                | \$ 416.32             | Economic Development |
|   | Total Community Development | \$21,130.95           |                      |
| <b>TOTAL PROGRAM INCOME</b>   |                             | <b>\$171,989.67</b>   |                      |
| PROGRAM INCOME  |                             |                       |                      |
|   | Economic Development        | \$ 14,330.95          |                      |
|   | SRA                         | \$150,858.72          |                      |
|   | Other                       | \$ 6,800.00           |                      |
|   | Total Program Income        | \$171,989.67          |                      |
| B. PRIOR PERIOD ADJUSTMENTS   |                             | N/A                   |                      |
| C. LOANS AND OTHER RECEIVABLES  |                             |                       |                      |
| 1. Float Funded activities - N/A  |                             |                       |                      |
| 2. Total number of outstanding loans and outstanding principal balance owed for the reporting period:   |                             |                       |                      |
|   |                             | 06/30/2014-Prin. Bal. |                      |
|   |                             | \$ 5,644.34           | Economic Development |
|   | En Motion Dance             | \$ 6,669.44           | Economic Development |
|   | BayState Metal              | \$ 5,644.34           | Economic Development |
|   | Alliance Medical            | \$ 2,504.17           | Economic Development |
|   | Vesuvio                     | \$22,302.82           | Economic Development |
|   | Kwanzaa                     | \$ 8,921.96           | Economic Development |
|   | El Mariachi Loco            | \$ 4,520.00           | Economic Development |
|   | Cabo Fashions               | \$ 6,877.15           | Economic Development |
|   | K&J Beauty                  | \$18,839.76           | Economic Development |
|   | Surdoue Couture             | \$ 9,792.17           | Economic Development |
|   | Chaconia                    | \$ 3,533.80           | Economic Development |
|   | Stamps Williams Realty      | \$ 2,000.00           | Economic Development |
|   | 1600 Main                   | \$ 5,838.19           | Economic Development |
|   | Creative Strategy           | \$ 5,838.19           | Economic Development |
| 3. List of parcels of property owned that have been acquired or improved using CDBG funds during the reporting period and are available for sale: n/a |                             |                       |                      |
| 4. Lump sum draws:  |                             | n/a                   |                      |

## Financial Summary Grantee Performance

Financial Summary  
Grantee Performance Report  
Community Development Block Grant Program

U. S. Department of Housing  
and Urban Development  
Office of Community Planning  
and Development

OMB Approval No. 2506-0077 (Exp. 5/31/97)

|  |                                    |   |
|--|------------------------------------|---|
| 1. Name of Grantee<br>City of Springfield  | 2. Grant Number<br>B-13-MC-25-0023 | 3. Reporting Period<br>From 7/1/13 to 6/30/14 |
| Part I: Summary of CDBG Resources  |                                    |   |
| 1.Unexpended CDBG funds at end of previous reporting period (Balance from prior program years)           |                                    | 3,418,505.                                    |
| 2. Entitlement Grant from Form HUD-7082  |                                    | 3,757,776.                                    |
| 3. Surplus Urban Renewal Funds   |                                    | -   |
| 4. Section 108 Guaranteed Loan Funds (Principal Amount)  |                                    | -   |
| 5. Program Income received by:   | Grantee<br>(Column A)              | Subrecipient<br>(Column B)                    |
| a. Revolving Funds   | \$ -                               | \$ -  |
| b. Other (identify below, if more space is needed use an attachment)                                     |                                    |   |
| Program income   | 171,990                            |   |
| c. Total Program Income (sum of columns a and b)   |                                    | 171,990                                       |
| 6. Prior Period Adjustments (if column is a negative amount, enclose in brackets)                        |                                    | -   |
| 7. Total CDBG Funds available for use during this reporting period (sum of lines 1 through 5)            |                                    | 7,348,271                                     |
| Part II: Summary of CDBG Expenditures  |                                    |   |
| 8. Total expenditures reported on Activity Summary, forms HUD-4949.2 & 4949.2A                           |                                    | 4,115,119                                     |
| 9. Total expended for Planning & Administration (form HUD-4949.2   | \$ 785,142                         |   |
| 10. Amount subject to Low/Mod Benefit Calculation (line 8 minus line 9)                                  | \$ 3,329,978                       |   |
| 11. CDBG funds used for Section 108 principal & interest payments  |                                    | -   |
| 12. Total expenditure (line 8 plus line 11)  |                                    | 4,115,119                                     |
| 13. Unexpended balance (line 7 minus line 12)  |                                    | 3,233,152                                     |
| Part III: Low/Mod Benefit This Reporting Period  |                                    |   |
| 14. Total Low/Mod credit for multi-unit housing expenditures from form HUD-4949.2A                       |                                    | -   |
| 15. Total from all other activities qualifying as low/mod expenditures from forms HUD-4949.2 and 4949.2A |                                    | 2,886,806                                     |
| 16. Total (line 14 plus line 15)   |                                    | 2,886,806                                     |
| 17. Low/Mod Benefit percentage   |                                    | 86.69%  |

|   |            |
|---|------------|
| Part IV: Low/Mod Benefit for Multi-Year Certifications (Complete only if certification period includes prior years) |            |
| Program years (PY) covered in certification      PY <u>  11  </u> PY <u>  12  </u> PY <u>  13  </u>                 |            |
| 18.Cumulative net expenditures subject to program benefit calculation   | 10,298,825 |
| 19.Cumulagtive expenditures benefitting low/mod persons   | 8,888,911  |
| 20.Percent benefit to low/mod persons (line 19 divided by line 18)  | 86.31%     |
| Part V: For Public Service (PS) Activities Only: Public Service Cap Calculation                                     |            |
| 21.Total PS expenditures from column h, form HUD-4949.2A  | -          |
| 22.Total PS unliquidated obligations from column i, form HUD-4949.2A  | -          |
| 23. Sum of line 21 and line 22  | SEE        |
| 24.Total PS unliquidated reported at the end of the previous reporting period                                       | ATTACHED   |
| 25.Net obligations for public services (line 23 minus line 24)  | -          |
| 26.Amount of Program Income received in the preceding year  | -          |
| 27.Entitlement Grant Amount (from line 2)   | -          |
| 28.Sum of line 26 and 27  | -          |
| 29.Percent funds obligated for Public Service Activities (line 25 divided by line 28)                               | %          |
| Part VI: Planning and Program Administration Cap Calculation  |            |
| 30.Amount subject to planning and administrative cap (grant amount from line 2 plus line 5c)                        | 3,929,766  |
| 31.Amount expended for Planning & Administration (from line 9 above)  | 785,142    |
| 32.Percent funds expended (line 31 divided by line 30)  | 19.98%     |

| PUBLIC SERVICE CAP 15% CALCULATION  | TOTAL EXPENDITURE | TOTAL EXEMPT | ADJUSTED TOTAL |
|---|-------------------|--------------|----------------|
| 21.Total Public Service Expenditures  | 752,605.18        | (105,548.11) | 647,057.07     |
| 22. Total PS Unliquidated Obligations   | 50,607.52         | (38,308.54)  | 12,298.98      |
| 23.Sum of line 21 and 22  | 803,212.70        | (143,856.65) | 659,356.05     |
| 24. Total PS Unliquidated obligation reported at end of previous reporting period | (99,614.08)       | 0.00         | (99,614.08)    |
| 25.Net Obligation for Public Service (line 23-line 24)                            | 703,598.62        | (143,856.65) | 559,741.97     |
| 26.Amount of Program Income received in the preceding program year                | 173,012.91        |              | 173,012.91     |
| 27.Entitlement Grant Amount   | 3,759,776.00      |              | 3,759,776.00   |
| 28.Sum of lines 26 and 27   | 3,932,788.91      |              | 3,932,788.91   |
| 29.Percent of Funds Obligated for PS (line 25 divided by line 28)                 | 18%               |              | 14%            |

## HOME Activities Total

| HOME ACTIVITIES TOTALS-FY2014-FEDERAL YEAR 2013 |  |                 |       |                |
|---|--|-----------------|-------|----------------|
| 1. Homebuyer Assistance                         |  |                 |       |                |
|   |  | PBHO-CHDO       |       | \$ 103,825.78  |
|   |  | PBHO-NON-CHDO   |       | \$ 0.00        |
|   |  |                 | Total | \$ 103,825.78  |
| 2. Multi-Family Production                      |  |                 |       |                |
|   |  | Multi Family    |       | \$ 516,000.00  |
|   |  |                 | Total | \$ 516,000.00  |
| 3. First Time Homebuyer                         |  |                 | Total | \$ 252,000.00  |
| 4. Tenant Based Rental Assistance (TBRA)        |  |                 |       |                |
|   |  |                 | Total | \$ 303,351.35  |
| 5. Administration                               |  |                 |       |                |
|   |  |                 | Total | \$ 114,450.00  |
| HOME TOTAL                                      |  |                 |       | \$1,289,627.13 |
| Home Administration Cap                         |  |                 |       |                |
|   |  | Entitlement     |       | \$1,124,218.00 |
|   |  | Program Income  |       | \$ 20,286.56   |
|   |  | Total           |       | \$1,144,504.56 |
|   |  | Amount Expended |       | \$ 114,450.00  |
|   |  | Percentage      |       | 10%            |

# APPENDIX 1: HOPWA CAPER

# Housing Opportunities for Person with AIDS (HOPWA)

## Consolidated Annual Performance and Evaluation Report (CAPER)

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

### Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definition section for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

#### 1. Grantee Information

|   |  |  |   |          |
|---|--|--|---|----------|
| <b>HUD Grant Number</b><br>MAH12-F002   |  | <b>Operating Year for this report</b><br><i>From (mm/dd/yy) 7/1/13 To (mm/dd/yy) 6/30/14</i>   |   |          |
| <b>Grantee Name</b><br>City of Springfield, MA  |  |  |   |          |
| <b>Business Address</b>   |  | 1600 East Columbus Avenue  |   |          |
| <b>City, County, State, Zip</b>   |  | Springfield  | Hampden   | MA 01103 |
| <b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>                                |  | EIN# 04-60001415   |   |          |
| <b>DUN &amp; Bradstreet Number (DUNs):</b>  |  | DUNS# 073011921  | <b>Central Contractor Registration (CCR):</b><br><b>Is the grantee's CCR status currently active?</b><br><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br><b>If yes, provide CCR Number:</b><br>4ALL7 |          |
| <b>*Congressional District of Grantee's Business Address</b>  |  |  |   |          |
| <b>*Congressional District of Primary Service Area(s)</b>   |  |  |   |          |
| <b>*City(ies) and County(ies) of Primary Service Area(s)</b>  |  | Cities:  | Counties:   |          |
| <b>Organization's Website Address</b><br><a href="http://Springfieldcityhall.com">Springfieldcityhall.com</a> |  | <b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><b>If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.</b> |   |          |

\* Service delivery area information only needed for program activities being directly carried out by the grantee.

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

|  |  |  |   |
|--|--|--|---|
| <b>Project Sponsor Agency Name</b><br>Center for Human Development - HIV AIDS Law Consortium   |  | <b>Parent Company Name, if applicable</b>  |   |
| <b>Name and Title of Contact at Project Sponsor Agency</b>   |  | Rose Maloof, Program Director  |   |
| <b>Email Address</b>   |  | <a href="mailto:Maloof@chd.org">Maloof@chd.org</a>   |   |
| <b>Business Address</b>  |  | 425 Union Street, Suite 118  |   |
| <b>City, County, State, Zip,</b>   |  | West Springfield, Hampden County, MA 01089   |   |
| <b>Phone Number (with area code)</b>   |  | 413-732-0011   |   |
| <b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>   |  | <b>Fax Number (with area code)</b>   |   |
| 04-250-3926  |  | 413-732-3331   |   |
| <b>DUN &amp; Bradstreet Number (DUNs):</b>   |  | 09-919-4695  |   |
| <b>Congressional District of Project Sponsor's Business Address</b>  |  | MA 1 <sup>st</sup> Congressional District  |   |
| <b>Congressional District(s) of Primary Service Area(s)</b>  |  | MA 1 <sup>st</sup> Congressional District  |   |
| <b>City(ies) and County(ies) of Primary Service Area(s)</b>  |  | <b>Cities:</b><br>Springfield, Holyoke, Northampton  | <b>Counties:</b><br>Hampden, Hampshire County |
| <b>Total HOPWA contract amount for this Organization for the operating year</b>  |  | \$50,000.00  |   |
| <b>Organization's Website Address</b>  |  | <a href="http://Hivaidslawconsortium.org">Hivaidslawconsortium.org</a>   |   |
| <b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br><i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/><br><i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> |  | <b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><b>If yes, explain in the narrative section how this list is administered.</b> |   |

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

|  |  |  |  |
|--|--|--|--|
| <b>Project Sponsor Agency Name</b><br>Aids Care/Hampshire County   |  | <b>Parent Company Name, if applicable</b><br>Cooley Dickinson Hospital   |  |
| <b>Name and Title of Contact at Project Sponsor Agency</b>   | Betsy Shally-Jensen, Director  |  |  |
| <b>Email Address</b>   | <a href="mailto:aidscarehamp@cooley-dickinson.org">aidscarehamp@cooley-dickinson.org</a>   |  |  |
| <b>Business Address</b>  | P.O. Box 1299  |  |  |
| <b>City, County, State, Zip,</b>   | Northampton, Hampshire County, MA 01061  |  |  |
| <b>Phone Number (with area code)</b>   | 413-586-8288   |  |  |
| <b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>   | 22-2617 175  | <b>Fax Number (with area code)</b><br>413-586-8996   |  |
| <b>DUN &amp; Bradstreet Number (DUNs):</b>   | 06-699-1605  |  |  |
| <b>Congressional District of Project Sponsor's Business Address</b>  | MA 2 <sup>nd</sup> Congressional District  |  |  |
| <b>Congressional District(s) of Primary Service Area(s)</b>  | MA 1 <sup>st</sup> & 2 <sup>nd</sup> Congressional District  |  |  |
| <b>City(ies) and County(ies) of Primary Service Area(s)</b>  | <b>Cities:</b><br>Northampton, Holyoke, Springfield, Turners Falls, Ware, Amherst, Chicopee, Easthampton                               | <b>Counties:</b><br>Hampden, Franklin & Hampshire Counties   |  |
| <b>Total HOPWA contract amount for this Organization for the operating year</b>  | \$132,000.00   |  |  |
| <b>Organization's Website Address</b>  | <a href="http://Cooley-dickinson.org/services/aids-care-hampshire-county">Cooley-dickinson.org/services/aids-care-hampshire-county</a> |  |  |
| <b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br><br><i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/><br><i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> |  | <b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br><br><b>If yes, explain in the narrative section how this list is administered.</b> |  |

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

|  |  |  |  |
|--|--|--|--|
| <b>Project Sponsor Agency Name</b><br>New North Citizens Council   |  | <b>Parent Company Name, if applicable</b>  |  |
| <b>Name and Title of Contact at Project Sponsor Agency</b>   | Maria Perez, Coordinator   |  |  |
| <b>Email Address</b>   | <a href="mailto:mperez@newnorthcc.org">mperez@newnorthcc.org</a> |  |  |
| <b>Business Address</b>  | 2383 Main Street   |  |  |
| <b>City, County, State, Zip,</b>   | Springfield, Hampden County, MA 01107                            |  |  |
| <b>Phone Number (with area code)</b>   | 413-746-4885   |  |  |
| <b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>   | 23-7371934   | <b>Fax Number (with area code)</b><br>413-737-2321   |  |
| <b>DUN &amp; Bradstreet Number (DUNs):</b>   | 937637718  |  |  |
| <b>Congressional District of Project Sponsor's Business Address</b>  | MA 2 <sup>nd</sup> Congressional District                        |  |  |
| <b>Congressional District(s) of Primary Service Area(s)</b>  | MA 2 <sup>nd</sup> Congressional District                        |  |  |
| <b>City(ies) <u>and</u> County(ies) of Primary Service Area(s)</b>   | <b>Cities</b><br>Springfield                                     | <b>Counties:</b><br>Hampden  |  |
| <b>Total HOPWA contract amount for this Organization for the operating year</b>  | \$145,000.00   |  |  |
| <b>Organization's Website Address</b>  | <a href="http://Newnorthcc.org">Newnorthcc.org</a>               |  |  |
| <b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br><br><i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/><br><i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> |  | <b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><br><b>If yes, explain in the narrative section how this list is administered.</b> |  |

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

|  |  |  |   |  |
|--|--|--|---|--|
| <b>Project Sponsor Agency Name</b><br>River Valley Counseling Center   |  | <b>Parent Company Name, if applicable</b>  |   |  |
| <b>Name and Title of Contact at Project Sponsor Agency</b>   |  | Marianne Polmatier, Director   |   |  |
| <b>Email Address</b>   |  | <a href="mailto:Polmatier_marianne@holyokehealth.com">Polmatier_marianne@holyokehealth.com</a>   |   |  |
| <b>Business Address</b>  |  | 120 Maple Street, Suite 301  |   |  |
| <b>City, County, State, Zip,</b>   |  | Springfield, Hampden, MA 01103   |   |  |
| <b>Phone Number (with area code)</b>   |  | 413-737-2437   |   |  |
| <b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>   |  | 04-737-2437  | <b>Fax Number (with area code)</b><br>(413)737-3521 |  |
| <b>DUN &amp; Bradstreet Number (DUNs):</b>   |  | 602809733  |   |  |
| <b>Congressional District of Project Sponsor's Business Address</b>  |  | MA 2 <sup>nd</sup> Congressional District  |   |  |
| <b>Congressional District(s) of Primary Service Area(s)</b>  |  | MA 2 <sup>nd</sup> Congressional District  |   |  |
| <b>City(ies) and County(ies) of Primary Service Area(s)</b>  |  | <b>Cities:</b><br>Springfield, Holyoke, Chicopee   | <b>Counties:</b><br>Hampden                         |  |
| <b>Total HOPWA contract amount for this Organization for the operating year</b>  |  | \$190,000.00   |   |  |
| <b>Organization's Website Address</b>  |  | <a href="http://rvcc-inc.org">rvcc-inc.org</a>   |   |  |
| <b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br><br><i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/><br><i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> |  | <b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br><br><b>If yes, explain in the narrative section how this list is administered.</b> |   |  |

**3. Administrative Subrecipient Information – N/A**

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

|   |                |  |                  |   |
|---|----------------|--|------------------|---|
| <b>Subrecipient Name</b>  |                |  |                  | <b>Parent Company Name, if applicable</b> |
| <b>Name and Title of Contact at Subrecipient</b>                                  |                |  |                  |   |
| <b>Email Address</b>  |                |  |                  |   |
| <b>Business Address</b>   |                |  |                  |   |
| <b>City, State, Zip, County</b>   |                |  |                  |   |
| <b>Phone Number (with area code)</b>  |                |  |                  | <b>Fax Number (include area code)</b>     |
| <b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>    |                |  |                  |   |
| <b>DUN &amp; Bradstreet Number (DUNs):</b>  |                |  |                  |   |
| <b>North American Industry Classification System (NAICS) Code</b>                 |                |  |                  |   |
| <b>Congressional District of Subrecipient's Business Address</b>                  |                |  |                  |   |
| <b>Congressional District of Primary Service Area</b>                             |                |  |                  |   |
| <b>City (ies) and County (ies) of Primary Service Area(s)</b>                     | <b>Cities:</b> |  | <b>Counties:</b> |   |
| <b>Total HOPWA Subcontract Amount of this Organization for the operating year</b> |                |  |                  |   |

**4. Program Subrecipient Information – N/A**

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

*Note: Please see the definition of a subrecipient for more information.*

*Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.*

*Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.*

|   |                |   |                                       |  |
|---|----------------|---|---------------------------------------|--|
| <b>Sub-recipient Name</b>   |                | <b>Parent Company Name, if applicable</b> |                                       |  |
| <b>Name and Title of Contact at Contractor/<br/>Sub-contractor Agency</b>             |                |   |                                       |  |
| <b>Email Address</b>  |                |   |                                       |  |
| <b>Business Address</b>   |                |   |                                       |  |
| <b>City, County, State, Zip</b>   |                |   |                                       |  |
| <b>Phone Number (included area code)</b>  |                |   | <b>Fax Number (include area code)</b> |  |
| <b>Employer Identification Number (EIN) or<br/>Tax Identification Number (TIN)</b>    |                |   |                                       |  |
| <b>DUN &amp; Bradstreet Number (DUNs)</b>   |                |   |                                       |  |
| <b>North American Industry Classification<br/>System (NAICS) Code</b>                 |                |   |                                       |  |
| <b>Congressional District of the Sub-recipient's<br/>Business Address</b>             |                |   |                                       |  |
| <b>Congressional District(s) of Primary Service<br/>Area</b>                          |                |   |                                       |  |
| <b>City(ies) and County(ies) of Primary Service<br/>Area</b>                          | <b>Cities:</b> |   | <b>Counties:</b>                      |  |
| <b>Total HOPWA Subcontract Amount of this<br/>Organization for the operating year</b> |                |   |                                       |  |

## 5. Grantee Narrative and Performance Assessment

### a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The City of Springfield administers the HOPWA program for the three-county area of Hampden, Hampshire and Franklin Counties. In this area, the most recent surveillance data indicates that there are 1947 reported cases of HIV/AIDS: 61 in Franklin County, 125 in Hampshire County, and 1761 in Hampden County. Hampden County includes the cities of Springfield, Holyoke and Chicopee.

In FY13-14, recipients of HOPWA funding served 241 households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 27 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 84 households; housing information to 85 households and advocacy/legal services and supportive services to 190 households.

The following agencies received HOPWA funds:

- 1. River Valley Counseling Center** is a licensed mental health clinic and a multi-service agency. The mission of RVCC's HIV/AIDS Project is to support those affected by HIV/AIDS and to promote community awareness of the issues surrounding HIV/AIDS. The Project provides: information, assessment and referral services; comprehensive, bi-lingual/bi-cultural case management for medical and social services; HIV/AIDS consumer support groups; access to the Positive Alliance Network, a program designed specifically to provide mental health services to minorities affected by HIV/AIDS; an array of housing services for HIV+ individuals; and membership to a drop-in center that provides a safe environment for HIV+ individuals to use a computer lab, access video and book libraries, prepare snacks and enjoy healthy congregate lunch meals. RVCC primarily serves residents of Hampden County, and has offices in Springfield and Holyoke. RVCC uses HOPWA funds to provide supportive housing and housing information services and advocacy. RVCC supplements its HOPWA funding with McKinney funds, which enable the organization to provide housing subsidies and supportive services to an additional 12 households. The program maintains a wait list of eligible households that have applied for assistance. When an opening occurs, the program offers assistance to those on the wait list based upon highest acuity. The contact person for RVCC's HOPWA program is Marianne Polmatier.
- 2. Center for Human Development HIV/AIDS Law Consortium** The mission of the HIV/AIDS Law Consortium of Western Massachusetts is to assure access to legal services for individuals and families affected by HIV/AIDS. The Law Consortium is committed to educating both the legal community and the community at large about the legal rights of individuals and families affected by HIV/AIDS and advocating on behalf of people affected by HIV/AIDS to assure that their legal and human rights are preserved. The Law Consortium uses HOPWA funds to provide legal assistance in housing cases, and small group workshops to clients and case managers regarding housing issues. The contact person for the Law Consortium is Rose Maloof.
- 3. New North Citizen's Council** provides advocacy, public and human services to Hampden County residents with an emphasis on Hispanic/Latino community for the purpose of enhancing the preservation and support of the family resulting in the improvement of quality of life. NNCC uses HOPWA funds to provide shallow subsidies, rental assistance and supportive services to individuals who are HIV positive and are homeless or at risk of becoming homeless. NNCC's contact program for the HOPWA program is Maria Perez.
- 4. Cooley Dickinson Hospital's AIDS Care** provides case management and comprehensive and confidential support services to people living with HIV infection, their families, and friends using a harm reduction philosophy. AIDS CARE primarily serves residents of Hampshire County, and uses HOPWA funds to provide tenant-based rental assistance and support services. The program maintains a wait list of eligible households that have applied for assistance. When an opening occurs, the program offers assistance to those on the wait list based upon highest acuity. The contact person for AIDS CARE is Betsy Shally-Jensen.

**b. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

- 1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.
- 2. Outcomes Assessed.** Assess your program’s success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.
- 3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.
- 4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

In FY13-14, recipients of HOPWA funding served 241 unduplicated households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 27 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 86 households; housing information to 85 households and supportive services to 190 households.

**B. Annual Performance Under the Action Plan**

**1. Outputs Reported.** During this year, HOPWA grantees assisted 241 unduplicated households. Over 40% of funds are used to provide Tenant-Based Rental Assistance or Short Term Rental, Utilities or Mortgage Assistance. The rest of the funds go to supportive services, including Rental Start-Up (first, last and security deposit) and legal assistance related to housing issues.

Grantees operate in all three counties that are covered by the HOPWA grant (Franklin, Hampshire and Hampden). The highest rates of HIV are in the cities located in Hampden County (Springfield, Holyoke and Chicopee). As a result, three out of the four grantees work in Hampden County. Grantees are chosen through a competitive request for proposals process.

**2. Outcomes Assessed.** The programs that provide TBRA achieve housing stability outcomes higher than the national program targets. These programs also report success in improving access to health care. Programs providing other types of assistance have not tracked housing stability after the time of intervention, so they do not have data on housing stability. Our community is increasing and broadening use of the Homeless Management Information System (HMIS), so we hope to be able to track this data in the future.

**3. Coordination.** Grantees are all members of the Springfield Continuum of Care, and one of them is also a member of the Three-County (Franklin, Hampshire, Berkshire) Continuum of Care. The CoCs have independently created ten-year plans to end homelessness, and the two CoCs have come together to create a regional effort to end homelessness.

Over the past year, the Springfield CoC has expanded to include all of Hampden County. This expansion improves coordination and planning among the Hamden County cities, which is where the majority of persons with HIV/AIDS live (90% of persons with HIV/AIDS in the region live in Hampden County).

None of our programs have done any housing development. As part of our regional effort, we are encouraging housing developers and service providers to work together to create permanent supportive housing.

**4. Technical Assistance.** Technical assistance is welcome at any time to update staff on any changes within the program.

**c. Barriers and Trends Overview**

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

The highest rates of HIV in our area are due to injection drug use, so persons with HIV tend to have barriers to obtaining stable housing that are linked to substance abuse—poor credit, negative landlord histories, and criminal records. Our subgrantees are trained to advocate for clients to help them overcome these barriers, and have also established strong relationships with individual landlords which enable them to find units for hard-to-house households.

While it is possible to find affordable units in Hampden County, it can be harder to locate affordable units in Hampshire County, where there are numerous colleges, causing high demand for rental units. Our Hampshire County subgrantee is especially proactive in conducting housing search.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The greatest challenge has been the scarcity of resources to serve the eligible population. Eligible participants generally have very low incomes, and require subsidized housing, as well as support services. There is simply not a sufficient supply of affordable housing.

The Tri-county area continues to see an increase in the number of HIV/AIDS cases and a decrease in the public dollars available to serve this growing population. Coupling these factors with an increased life expectancy results in a tremendously burdened system. These factors make it critically important that HOPWA providers continue to partner with mainstream providers of housing and health services.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

None.

**d. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

|   |  |  |   |
|---|--|--|---|
| <input type="checkbox"/> HOPWA/HUD Regulations            | <input type="checkbox"/> Planning                  | <input type="checkbox"/> Housing Availability          | <input type="checkbox"/> Rent Determination and Fair Market Rents |
| <input type="checkbox"/> Discrimination/Confidentiality   | <input type="checkbox"/> Multiple Diagnoses        | <input type="checkbox"/> Eligibility                   | <input type="checkbox"/> Technical Assistance or Training         |
| <input type="checkbox"/> Supportive Services              | <input checked="" type="checkbox"/> Credit History | <input checked="" type="checkbox"/> Rental History     | <input checked="" type="checkbox"/> Criminal Justice History      |
| <input checked="" type="checkbox"/> Housing Affordability | <input type="checkbox"/> Geography/Rural Access    | <input type="checkbox"/> Other, please explain further |   |

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

**Note:** Report most current data available, through *Consolidated or Annual Plan(s)*, and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area. **Note:** In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

**1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households**

|  |      |
|--|------|
| 1. Total number of households that have unmet housing subsidy assistance need.   | 1559 |
| 2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance: |      |
| a. Tenant-Based Rental Assistance (TBRA)   | 744  |
| b. Short-Term Rent, Mortgage and Utility payments (STRMU)  | 472  |
| • Assistance with rental costs   | 343  |
| • Assistance with mortgage payments  | 250  |
| • Assistance with utility costs.   |      |
| c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities   |      |

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

|   |   |
|---|---|
| X | = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives  |
| X | = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care   |
| X | = Data from client information provided in Homeless Management Information Systems (HMIS)   |
| X | = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region. |
| = | Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted   |
| = | Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing  |
| X | = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data   |

**End of PART 1**

## PART 2: Sources of Leveraging and Program Income

### 1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

#### A. Source of Leveraging Chart

| [1] Source of Leveraging                             | [2] Amount of Leveraged Funds | [3] Type of Contribution  | [4] Housing Subsidy Assistance or Other Support  |
|--|-------------------------------|---------------------------|--|
| Public Funding                                       |                               |                           |  |
| Ryan White-Housing Assistance                        | 12,991.00                     | Housing Support & dvocacy | <input checked="" type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support |
| Ryan White-Other                                     | 138,566.00                    | DPH                       | <input type="checkbox"/> Housing Subsidy Assistance<br><input checked="" type="checkbox"/> Other Support |
| Housing Choice Voucher Program                       |                               |                           | <input type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support            |
| Low Income Housing Tax Credit                        |                               |                           | <input type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support            |
| HOME   |                               |                           | <input type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support            |
| Shelter Plus Care                                    |                               |                           | <input type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support            |
| Emergency Solutions Grant                            |                               |                           | <input type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support            |
| Other Public: MDPH                                   | 5695.00                       | Grant                     | <input type="checkbox"/> Housing Subsidy Assistance<br><input checked="" type="checkbox"/> Other Support |
| Other Public   |                               |                           | <input type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support            |
| Other Public: SAMHSA                                 |                               |                           | <input type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support            |
| Other Public:  |                               |                           | <input type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support            |
| Other Public:  |                               |                           | <input type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support            |
| Private Funding                                      |                               |                           |  |
| Grants   | 150,216.00                    | In-kind                   | <input type="checkbox"/> Housing Subsidy Assistance<br><input checked="" type="checkbox"/> Other Support |
| In-kind Resources                                    | 59,000.00                     | Pro bono atty services    | <input type="checkbox"/> Housing Subsidy Assistance<br><input checked="" type="checkbox"/> Other Support |
| Other Private:                                       | 3,824.00                      | Fundraising for EFV & OTC | <input type="checkbox"/> Housing Subsidy Assistance<br><input checked="" type="checkbox"/> Other Support |
| Other Private:                                       |                               |                           | <input type="checkbox"/> Housing Subsidy Assistance<br><input type="checkbox"/> Other Support            |
| Other Funding  |                               |                           |  |
| Grantee/Project Sponsor/Subrecipient (Agency) Cash   | 1921.00                       | donations                 | <input type="checkbox"/> Housing Subsidy Assistance<br><input checked="" type="checkbox"/> Other Support |
| Resident Rent Payments by Client to Private Landlord |                               |                           |  |
| <b>TOTAL (Sum of all Rows)</b>                       | <b>242,133.00</b>             |                           |  |

**2. Program Income and Resident Rent Payments N/A**

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

**A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year**

| <b>Program Income and Resident Rent Payments Collected</b> |  | <b>Total Amount of Program Income (for this operating year)</b> |
|--|--|---|
| 1.   | Program income (e.g. repayments)   |   |
| 2.   | Resident Rent Payments made directly to HOPWA Program                        |   |
| 3.   | <b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b> |   |

**B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households**

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

| <b>Program Income and Resident Rent Payment Expended on HOPWA programs</b> |   | <b>Total Amount of Program Income Expended (for this operating year)</b> |
|--|---|--|
| 1.   | Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs                       |  |
| 2.   | Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs |  |
| 3.   | <b>Total Program Income Expended (Sum of Rows 1 and 2)</b>  |  |

**End of PART 2**

**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

*Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

**1. HOPWA Performance Planned Goal and Actual Outputs**

| <b>HOPWA Performance<br/>Planned Goal<br/>and Actual</b>                            |  | [1] Output: Households           |        |                      |        | [2] Output: Funding        |              |
|---|--|----------------------------------|--------|----------------------|--------|----------------------------|--------------|
|   |  | HOPWA Assistance                 |        | Leveraged Households |        | HOPWA Funds                |              |
|   |  | a.                               | b.     | c.                   | d.     | e.                         | f.           |
|   |  | Goal                             | Actual | Goal                 | Actual | HOPWA Budget               | HOPWA Actual |
| <b>HOPWA Housing Subsidy Assistance</b>   |  | <b>[1] Output: Households</b>    |        |                      |        | <b>[2] Output: Funding</b> |              |
| 1.  | Tenant-Based Rental Assistance   | 24                               | 26     |                      |        | 146,346.00                 | 146,094.00   |
| 2a.   | <b>Permanent Housing Facilities:</b><br>Received Operating Subsidies/Leased units (Households Served)  |                                  |        |                      |        |                            |              |
| 2b.   | <b>Transitional/Short-term Facilities:</b><br>Received Operating Subsidies/Leased units (Households Served)<br>(Households Served)                       |                                  |        |                      |        |                            |              |
| 3a.   | <b>Permanent Housing Facilities:</b><br>Capital Development Projects placed in service during the operating year<br>(Households Served)                  |                                  |        |                      |        |                            |              |
| 3b.   | <b>Transitional/Short-term Facilities:</b><br>Capital Development Projects placed in service during the operating year<br>(Households Served)            |                                  |        |                      |        |                            |              |
| 4.  | Short-Term Rent, Mortgage and Utility Assistance   | 60                               | 76     |                      |        | 41,600.00                  | 41,848.65    |
| 5.  | Permanent Housing Placement Services   |                                  |        |                      |        |                            |              |
| 6.  | Adjustments for duplication (subtract)   |                                  |        |                      |        |                            |              |
| 7.  | <b>Total HOPWA Housing Subsidy Assistance</b><br>(Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)    | 84                               | 102    |                      |        | 187,946.00                 | 187,942.65   |
| <b>Housing Development (Construction and Stewardship of facility based housing)</b> |  | <b>[1] Output: Housing Units</b> |        |                      |        | <b>[2] Output: Funding</b> |              |
| 8.  | Facility-based units;<br>Capital Development Projects not yet opened (Housing Units)   |                                  |        |                      |        |                            |              |
| 9.  | Stewardship Units subject to 3 or 10 year use agreements   |                                  |        |                      |        |                            |              |
| 10.   | <b>Total Housing Developed</b><br>(Sum of Rows 78 & 9)   |                                  |        |                      |        |                            |              |
| <b>Supportive Services</b>  |  | <b>[1] Output Households</b>     |        |                      |        | <b>[2] Output: Funding</b> |              |
| 11a.  | Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance                                       | 84                               | 102    |                      |        | 148,700.00                 | 145,139.13   |
| 11b.  | Supportive Services provided by project sponsors/subrecipient that only provided supportive services.  | 75                               | 101    |                      |        | 56,150.00                  | 56,150.00    |
| 12.   | Adjustment for duplication (subtract)  |                                  |        |                      |        |                            |              |
| 13.   | <b>Total Supportive Services</b><br>(Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.) | 159                              | 213    |                      |        | 204,850.00                 | 201,289.13   |
| <b>Housing Information Services</b>   |  | <b>[1] Output Households</b>     |        |                      |        | <b>[2] Output: Funding</b> |              |
| 14.   | Housing Information Services   | 50                               | 60     |                      |        | 14,560.00                  | 14,616.00    |
| 15.   | <b>Total Housing Information Services</b>  | 50                               | 60     |                      |        | 14,560.00                  | 14,616.00    |

| Grant Administration and Other Activities |   | [1] Output Households |     |  |  | [2] Output: Funding               |            |
|---|---|-----------------------|-----|--|--|-----------------------------------|------------|
| 16.                                       | Resource Identification to establish, coordinate and develop housing assistance resources |                       |     |  |  |                                   |            |
| 17.                                       | Technical Assistance<br>(if approved in grant agreement)                                  |                       |     |  |  |                                   |            |
| 18.                                       | Grantee Administration<br>(maximum 3% of total HOPWA grant)                               |                       |     |  |  | 13,406.00                         | 29,649.56  |
| 19.                                       | Project Sponsor Administration<br>(maximum 7% of portion of HOPWA grant awarded)          |                       |     |  |  | 30,644.00                         | 29,649.56  |
| 20.                                       | <b>Total Grant Administration and Other Activities<br/>(Sum of Rows 16 – 19)</b>          |                       |     |  |  | 44,050.00                         | 43,055.56  |
| <b>Total Expended</b>                     |   |                       |     |  |  |                                   |            |
|   |   |                       |     |  |  | [2] Outputs: HOPWA Funds Expended |            |
|   |   |                       |     |  |  | Budget                            | Actual     |
| 21.                                       | <b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>            | 209                   | 241 |  |  | 531,224.75                        | 524,175.92 |

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

**Data check:** Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

| Supportive Services |  | [1] Output: Number of Households | [2] Output: Amount of HOPWA Funds Expended |
|---------------------|--|----------------------------------|--|
| 1.                  | Adult day care and personal assistance   |                                  |  |
| 2.                  | Alcohol and drug abuse services  |                                  |  |
| 3.                  | Case management  | 159                              | 156,876.13                                 |
| 4.                  | Child care and other child services  |                                  |  |
| 5.                  | Education  |                                  |  |
| 6.                  | Employment assistance and training   |                                  |  |
| 7.                  | Health/medical/intensive care services, if approved<br>Note: Client records must conform with 24 CFR §574.310                                  |                                  |  |
| 8.                  | Legal services   | 79                               | 41,850.00                                  |
| 9.                  | Life skills management (outside of case management)  |                                  |  |
| 10.                 | Meals/nutritional services   |                                  |  |
| 11.                 | Mental health services   |                                  |  |
| 12.                 | Outreach   |                                  |  |
| 13.                 | Transportation   | 34                               | 2,563.00                                   |
| 14.                 | Other Activity (if approved in grant agreement).<br>Specify:   |                                  |  |
| 15.                 | <b>Sub-Total Households receiving Supportive Services<br/>(Sum of Rows 1-14)</b>   | 272                              |  |
| 16.                 | <b>Adjustment for Duplication (subtract)</b>   | 34                               |  |
| 17.                 | <b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b> | 238                              | 201,289.13                                 |

**3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary**

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

**Data Check:** The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

| Housing Subsidy Assistance Categories (STRMU) |  | [1] Output: Number of Households Served | [2] Output: Total HOPWA Funds Expended on STRMU during Operating Year |
|---|--|---|---|
| a.  | Total Short-term mortgage, rent and/or utility (STRMU) assistance                                    | 76                                      | \$69,751.00   |
| b.  | Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.        | 1                                       | \$600.00  |
| c.  | Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs. |   |   |
| d.  | Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.          | 75                                      | \$ 69,151.00  |
| e.  | Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.   |   |   |
| f.  | Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.         |   |   |
| g.  | Direct program delivery costs (e.g., program operations staff time)                                  |   |   |

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

**Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

**Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related**

**Facilities) A. Permanent Housing Subsidy Assistance**

|   | [1] Output: Total Number of Households Served | [2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year | [3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting |   | [4] HOPWA Client Outcomes                                    |
|---|---|---|---|---|--|
|   |   |   |   |   |  |
| <b>Tenant-Based Rental Assistance</b>                 | 26  | 23  | 1 Emergency Shelter/Streets   | 0 | <i>Unstable Arrangements</i>                                 |
|   |   |   | 2 Temporary Housing   | 0 | <i>Temporarily Stable, with Reduced Risk of Homelessness</i> |
|   |   |   | 3 Private Housing   | 1 | <i>Stable/Permanent Housing (PH)</i>                         |
|   |   |   | 4 Other HOPWA   | 0 |  |
|   |   |   | 5 Other Subsidy   | 0 |  |
|   |   |   | 6 Institution   | 0 |  |
|   |   |   | 7 Jail/Prison   | 1 | <i>Unstable Arrangements</i>                                 |
|   |   |   | 8 Disconnected/Unknown  | 1 |  |
|   |   |   | 9 Death   | 2 | <i>Life Event</i>  |
| <b>Permanent Supportive Housing Facilities/ Units</b> | 0   | 0   | 1 Emergency Shelter/Streets   | 0 | <i>Unstable Arrangements</i>                                 |
|   |   |   | 2 Temporary Housing   | 0 | <i>Temporarily Stable, with Reduced Risk of Homelessness</i> |
|   |   |   | 3 Private Housing   | 0 | <i>Stable/Permanent Housing (PH)</i>                         |
|   |   |   | 4 Other HOPWA   | 0 |  |
|   |   |   | 5 Other Subsidy   | 0 |  |
|   |   |   | 6 Institution   | 0 |  |
|   |   |   | 7 Jail/Prison   | 0 | <i>Unstable Arrangements</i>                                 |
|   |   |   | 8 Disconnected/Unknown  | 0 |  |
|   |   |   | 9 Death   | 0 | <i>Life Event</i>  |

**B. Transitional Housing Assistance**

|   | [1] Output: Total Number of Households Served | [2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year | [3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting |    | [4] HOPWA Client Outcomes                                   |
|---|---|---|---|----|---|
|   |   |   |   |    |   |
| <b>Transitional/ Short-Term Housing Facilities/ Units</b>   | 76  | 0   | 1 Emergency Shelter/Streets   | 0  | <i>Unstable Arrangements</i>                                |
|   |   |   | 2 Temporary Housing   | 0  | <i>Temporarily Stable with Reduced Risk of Homelessness</i> |
|   |   |   | 3 Private Housing   | 59 | <i>Stable/Permanent Housing (PH)</i>                        |
|   |   |   | 4 Other HOPWA   | 4  |   |
|   |   |   | 5 Other Subsidy   | 6  |   |
|   |   |   | 6 Institution   | 0  |   |
|   |   |   | 7 Jail/Prison   | 1  | <i>Unstable Arrangements</i>                                |
|   |   |   | 8 Disconnected/unknown  | 5  |   |
|   |   |   | 9 Death   | 1  | <i>Life Event</i>   |
| B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months |   |   | 0   |    |   |

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

**Assessment of Households that Received STRMU Assistance**

| [1] Output: Total number of households   | [2] Assessment of Housing Status   |    | [3] HOPWA Client Outcomes                                    |
|--|--|----|--|
| 76   | <b>Maintain Private Housing <u>without</u> subsidy</b><br><i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>  | 59 | <i>Stable/Permanent Housing (PH)</i>                         |
|  | <b>Other Private Housing without subsidy</b><br><i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>               | 0  |  |
|  | Other HOPWA Housing Subsidy Assistance   | 4  |  |
|  | Other Housing Subsidy (PH)   | 6  |  |
|  | <b>Institution</b><br><i>(e.g. residential and long-term care)</i>   | 0  |  |
|  | Likely that additional STRMU is needed to maintain current housing arrangements  | 0  | <i>Temporarily Stable, with Reduced Risk of Homelessness</i> |
|  | <b>Transitional Facilities/Short-term</b><br><i>(e.g. temporary or transitional arrangement)</i>   | 0  |  |
|  | <b>Temporary/Non-Permanent Housing arrangement</b><br><i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i> | 0  |  |
|  | Emergency Shelter/street   | 0  | <i>Unstable Arrangements</i>                                 |
|  | Jail/Prison  | 1  |  |
|  | Disconnected   | 5  |  |
|  | Death  | 1  | <i>Life Event</i>  |
| 1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).        |  |    | 5  |
| 1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years). |  |    | 3  |

### Section 3. HOPWA Outcomes on Access to Care and Support

#### 1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

**Note:** These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

| <b>Total Number of Households</b>   |            |
|---|------------|
| <b>1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following <u>HOPWA-funded</u> services:  |            |
| a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing   | 102        |
| b. Case Management  | 102        |
| c. Adjustment for duplication (subtraction)   | 102        |
| <b>d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)</b>   | <b>102</b> |
| <b>2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following <u>HOPWA-funded</u> service: |            |
| a. HOPWA Case Management  | 101        |
| <b>b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance</b>  | <b>101</b> |

#### 1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

**Note:** For information on types and sources of income and medical insurance/assistance, refer to Charts below.

| <b>Categories of Services Accessed</b>   | <b>[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b> | <b>[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b> | <b>Outcome Indicator</b>          |
|--|---|--|-----------------------------------|
| 1. Has a housing plan for maintaining or establishing stable on-going housing  | 102   | 101  | <i>Support for Stable Housing</i> |
| 2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management) | 102   | 101  | <i>Access to Support</i>          |
| 3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan  | 102   | 99   | <i>Access to Health Care</i>      |
| 4. Accessed and maintained medical insurance/assistance  | 102   | 95   | <i>Access to Health Care</i>      |

|  |     |    |                   |
|--|-----|----|-------------------|
| 5. Successfully accessed or maintained qualification for sources of income | 111 | 79 | Sources of Income |
|--|-----|----|-------------------|

**Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)**

|  |  |  |
|--|--|--|
| <ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or use local program name</li> <li>• MEDICARE Health Insurance Program, or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>• Veterans Affairs Medical Services</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• State Children’s Health Insurance Program (SCHIP), or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul> |
|--|--|--|

**Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)**

|   |  |  |
|---|--|--|
| <ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Veteran’s Pension</li> <li>• Unemployment Insurance</li> <li>• Pension from Former Job</li> <li>• Supplemental Security Income (SSI)</li> </ul> | <ul style="list-style-type: none"> <li>• Child Support</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Alimony or other Spousal Support</li> <li>• Veteran’s Disability Payment</li> <li>• Retirement Income from Social Security</li> <li>• Worker’s Compensation</li> </ul> | <ul style="list-style-type: none"> <li>• General Assistance (GA), or use local program name</li> <li>• Private Disability Insurance</li> <li>• Temporary Assistance for Needy Families (TANF)</li> <li>• Other Income Sources</li> </ul> |
|---|--|--|

**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

*Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.*

*Note: Do not include jobs that resulted from leveraged job training, employment assistance, and education or case management/counseling services.*

| Categories of Services Accessed                                  | [1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following: | [2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following: |
|--|--|---|
| Total number of households that obtained an income-producing job | 6  | 6   |

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

| <b>Permanent Housing Subsidy Assistance</b>                     | <b>Stable Housing</b><br>(# of households remaining in program plus 3+4+5+6) | <b>Temporary Housing</b><br>(2) | <b>Unstable Arrangements</b><br>(1+7+8) | <b>Life Event</b><br>(9) |
|---|--|---------------------------------|---|--------------------------|
| Tenant-Based Rental Assistance (TBRA)                           | 26   | 0                               | 1                                       | 0                        |
| Permanent Facility-based Housing Assistance/Units               | 0  | 0                               | 0                                       | 0                        |
| Transitional/Short-Term Facility-based Housing Assistance/Units | 0  | 0                               | 0                                       | 0                        |
| <b>Total Permanent HOPWA Housing Subsidy Assistance</b>         | 26   | 0                               | 1                                       | 0                        |

| <b>Reduced Risk of Homelessness: Short-Term Assistance</b> | <b>Stable/Permanent Housing</b> | <b>Temporarily Stable, with Reduced Risk of Homelessness</b> | <b>Unstable Arrangements</b> | <b>Life Events</b> |
|--|---------------------------------|--|------------------------------|--------------------|
| Short-Term Rent, Mortgage, and Utility Assistance (STRMU)  | 82                              | 0  | 2                            | 0                  |
| <b>Total HOPWA Housing Subsidy Assistance</b>              | 108                             | 0  | 4                            | 0                  |

**Background on HOPWA Housing Stability Codes**

**Stable Permanent Housing/Ongoing Participation**

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

**N/A**

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of Stewardship Units.*

**1. General information**

|                     |  |
|---------------------|--|
| HUD Grant Number(s) | Operating Year for this report<br>From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr<br><br><input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;<br><br><input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10; |
| Grantee Name        | Date Facility Began Operations (mm/dd/yy)  |

**2. Number of Units and Non-HOPWA Expenditures**

| Facility Name:   | Number of Stewardship Units Developed with HOPWA funds | Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year |
|--|--|--|
| Total Stewardship Units<br>(subject to 3- or 10- year use periods) |  |  |

**3. Details of Project Site**

|  |  |
|--|--|
| Project Sites: Name of HOPWA-funded project  |  |
| Site Information: Project Zip Code(s)  |  |
| Site Information: Congressional District(s)  |  |
| Is the address of the project site confidential?   | <input type="checkbox"/> Yes, protect information; do not list<br><input type="checkbox"/> Not confidential; information can be made available to the public |
| <b>If the site is not confidential:</b><br>Please provide the contact information, phone, email address/location, if business address is different from facility address |  |

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.*

|   |                                |
|---|--------------------------------|
| Name & Title of Authorized Official of the organization that continues to operate the facility:             | Signature & Date (mm/dd/yy)    |
| Name & Title of Contact at Grantee Agency<br>(person who can answer questions about the report and program) | Contact Phone (with area code) |

**End of PART 6**

**Part 7: Summary Overview of Grant Activities**

**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

*Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

**Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**

**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

| Individuals Served with Housing Subsidy Assistance   | Total |
|--|-------|
| Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance. | 102   |

**Chart b. Prior Living Situation**

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

| Category   | Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance |
|--|---|
| 1. <u>Continuing</u> to receive HOPWA support from the prior operating year  | 26  |
| <b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>   |   |
| 2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)  | 1   |
| 3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)   | 4   |
| 4. Transitional housing for homeless persons   | 1   |
| 5. <b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b> | 6   |
| 6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)  | 1   |
| 7. Psychiatric hospital or other psychiatric facility  |   |
| 8. Substance abuse treatment facility or detox center  |   |
| 9. Hospital (non-psychiatric facility)   |   |
| 10. Foster care home or foster care group home   |   |
| 11. Jail, prison or juvenile detention facility  | 2   |
| 12. Rented room, apartment, or house   | 49  |
| 13. House you own  | 1   |
| 14. Staying or living in someone else’s (family and friends) room, apartment, or house   | 16  |
| 15. Hotel or motel paid for without emergency shelter voucher  | 0   |
| 16. Other  | 1   |
| 17. Don’t Know or Refused  |   |
| 18. <b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>   | 102   |

**c. Homeless Individual Summary**

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

| Category  | Number of Homeless Veteran(s) | Number of Chronically Homeless |
|---|-------------------------------|--------------------------------|
| HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance | 1                             | 1                              |

**Section 2. Beneficiaries**

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

*Note: See definition of HOPWA Eligible Individual*

*Note: See definition of Transgender.*

*Note: See definition of Beneficiaries.*

**Data Check:** The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

**a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance**

| Individuals and Families Served with HOPWA Housing Subsidy Assistance   | Total Number |
|---|--------------|
| 1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.) | 102          |
| 2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance           | 1            |
| 3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy                    | 34           |
| <b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, &amp; 3)</b>  | 137          |

**b. Age and Gender**

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

| <b>HOPWA Eligible Individuals (Chart a, Row 1)</b>     |                                       |             |               |                           |                           |                                   |
|--|---------------------------------------|-------------|---------------|---------------------------|---------------------------|-----------------------------------|
|  |                                       | <b>A.</b>   | <b>B.</b>     | <b>C.</b>                 | <b>D.</b>                 | <b>E.</b>                         |
|  |                                       | <b>Male</b> | <b>Female</b> | <b>Transgender M to F</b> | <b>Transgender F to M</b> | <b>TOTAL (Sum of Columns A-D)</b> |
| 1.   | Under 18                              | 0           | 0             | 0                         | 0                         | 0                                 |
| 2.   | 18 to 30 years                        | 3           | 1             | 0                         | 0                         | 4                                 |
| 3.   | 31 to 50 years                        | 30          | 26            | 1                         | 0                         | 57                                |
| 4.   | 51 years and Older                    | 31          | 10            | 0                         | 0                         | 41                                |
| 5.   | <b>Subtotal (Sum of Rows 1-4)</b>     | <b>64</b>   | <b>37</b>     | <b>1</b>                  | <b>0</b>                  | <b>102</b>                        |
| <b>All Other Beneficiaries (Chart a, Rows 2 and 3)</b> |                                       |             |               |                           |                           |                                   |
|  |                                       | <b>A.</b>   | <b>B.</b>     | <b>C.</b>                 | <b>D.</b>                 | <b>E.</b>                         |
|  |                                       | <b>Male</b> | <b>Female</b> | <b>Transgender M to F</b> | <b>Transgender F to M</b> | <b>TOTAL (Sum of Columns A-D)</b> |
| 6.   | Under 18                              | 7           | 2             | 0                         | 0                         | 14                                |
| 7.   | 18 to 30 years                        | 5           | 2             | 0                         | 0                         | 11                                |
| 8.   | 31 to 50 years                        | 4           | 0             | 0                         | 0                         | 7                                 |
| 9.   | 51 years and Older                    | 3           | 0             | 0                         | 0                         | 3                                 |
| 10.  | <b>Subtotal (Sum of Rows 6-9)</b>     | <b>19</b>   | <b>4</b>      | <b>0</b>                  | <b>0</b>                  | <b>35</b>                         |
| <b>Total Beneficiaries (Chart a, Row 4)</b>            |                                       |             |               |                           |                           |                                   |
| 11.  | <b>TOTAL (Sum of Rows 5 &amp; 10)</b> | <b>183</b>  | <b>58</b>     | <b>1</b>                  | <b>0</b>                  | <b>137</b>                        |

**c. Race and Ethnicity\***

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

| Category |   | HOPWA Eligible Individuals   |  | All Other Beneficiaries  |  |
|----------|---|--|--|--|--|
|          |   | [A] Race<br>[all individuals reported in Section 2, Chart a., Row 1] | [B] Ethnicity<br>[Also identified as Hispanic or Latino] | [C] Race<br>[total of individuals reported in Section 2, Chart a., Rows 2 & 3] | [D] Ethnicity<br>[Also identified as Hispanic or Latino] |
| 1.       | American Indian/Alaskan Native                          |  |  |  |  |
| 2.       | Asian   |  |  |  |  |
| 3.       | Black/African American                                  | 5  |  | 10   | 9  |
| 4.       | Native Hawaiian/Other Pacific Islander                  | 2  |  |  |  |
| 5.       | White   | 53   | 47   | 21   | 17   |
| 6.       | American Indian/Alaskan Native & White                  |  |  |  |  |
| 7.       | Asian & White   |  |  |  |  |
| 8.       | Black/African American & White                          | 28   | 28   | 0  | 0  |
| 9.       | American Indian/Alaskan Native & Black/African American |  |  |  |  |
| 10.      | Other Multi-Racial1                                     | 14   | 14   | 4  | 4  |
| 11.      | Column Totals (Sum of Rows 1-10)                        | 102  | 89   | 35   | 30   |

*Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.*

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households**

**Household Area Median Income**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to [http://www.huduser.org/portal/datasets/il/il2010/select\\_Geography\\_mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.

| Percentage of Area Median Income |   | Households Served with HOPWA Housing Subsidy Assistance |
|----------------------------------|---|---|
| 1.                               | 0-30% of area median income (extremely low) | 94  |
| 2.                               | 31-50% of area median income (very low)     | 8   |
| 3.                               | 51-80% of area median income (low)          |   |
| 4.                               | <b>Total (Sum of Rows 1-3)</b>              | 102   |

**Part 7: Summary Overview of Grant Activities B. Facility-Based Housing Assistance**

N/A

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor/Subrecipient Agency Name (Required)**

|  |
|--|
|  |
|--|

**2. Capital Development**

**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

|    | Type of Development this operating year                                   | HOPWA Funds Expended this operating year (if applicable) | Non-HOPWA funds Expended (if applicable) | Name of Facility:   |
|----|---|--|--|---|
|    | <input type="checkbox"/> New construction                                 | \$   | \$                                       | <b>Type of Facility [Check <u>only one</u> box.]</b><br><input type="checkbox"/> Permanent housing<br><input type="checkbox"/> Short-term Shelter or Transitional housing<br><input type="checkbox"/> Supportive services only facility |
|    | <input type="checkbox"/> Rehabilitation                                   | \$   | \$                                       |   |
|    | <input type="checkbox"/> Acquisition                                      | \$   | \$                                       |   |
|    | <input type="checkbox"/> Operating  | \$   | \$                                       |   |
| a. | Purchase/lease of property:   |  |  | Date (mm/dd/yy):  |
| b. | Rehabilitation/Construction Dates:  |  |  | Date started: <span style="float: right;">Date Completed:</span>  |
| c. | Operation dates:  |  |  | Date residents began to occupy:<br><input type="checkbox"/> Not yet occupied  |
| d. | Date supportive services began:   |  |  | Date started:<br><input type="checkbox"/> Not yet providing services  |
| e. | Number of units in the facility:  |  |  | HOPWA-funded units = <span style="float: right;">Total Units =</span>   |
| f. | Is a waiting list maintained for the facility?                            |  |  | <input type="checkbox"/> Yes <input type="checkbox"/> No<br><i>If yes, number of participants on the list at the end of operating year</i>  |
| g. | What is the address of the facility (if different from business address)? |  |  |   |
| h. | Is the address of the project site confidential?                          |  |  | <input type="checkbox"/> Yes, protect information; do not publish list<br><input type="checkbox"/> No, can be made available to the public  |

**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

|  | Number Designated for the Chronically Homeless | Number Designated to Assist the Homeless | Number Energy-Star Compliant | Number 504 Accessible |
|--|--|--|------------------------------|-----------------------|
| Rental units constructed (new) and/or acquired with or without rehab |  |  |                              |                       |
| Rental units rehabbed  |  |  |                              |                       |
| Homeownership units constructed (if approved)                        |  |  |                              |                       |

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

| Type of housing facility operated by the project sponsor/subrecipient |   | Total Number of <u>Units</u> in use during the Operating Year<br>Categorized by the Number of Bedrooms per Units |        |        |        |        |
|---|---|--|--------|--------|--------|--------|
|   |   | SRO/Studio/0 bdrm  | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm |
| a.  | Single room occupancy dwelling                        |  |        |        |        |        |
| b.  | Community residence                                   |  |        |        |        |        |
| c.  | Project-based rental assistance units or leased units |  |        |        |        |        |
| d.  | Other housing facility<br><u>Specify:</u>             |  |        |        |        |        |

**4. Households and Housing Expenditures**

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

| Housing Assistance Category: Facility Based Housing |  | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient |
|---|--|------------------------------|--|
| a.  | Leasing Costs  |                              |  |
| b.  | Operating Costs  |                              |  |
| c.  | Project-Based Rental Assistance (PBRA) or other leased units                         |                              |  |
| d.  | Other Activity (if approved in grant agreement) <u>Specify:</u>                      |                              |  |
| e.  | <b>Adjustment to eliminate duplication (subtract)</b>                                |                              |  |
| f.  | <b>TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)</b> |                              |  |

Appendix 2: ESG CAPER

## CR-60 ESG (ESG recipients only)

Regulation Citation(s): 24 C.F.R. 91.520(g)

### OVERVIEW

Complete the ESG Recipient Information table and a separate Subrecipient form for each subrecipient receiving ESG funds in the program year.

### ESG RECIPIENT INFORMATION

#### Basic Grant Information

|   |  |
|---|--|
| Recipient Name  | City of Springfield  |
| Name of Organization or Department Administering Funds                                    | Office of Housing  |
| Organizational DUNS Number  | 073011921  |
| EIN/TIN Number  | 046001415  |
| Identify the Field Office   | Boston   |
| Identify the CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance | MA-504 Springfield MA  |
| City  | Springfield  |
| State   | MA   |
| Banking Flag  |  |
| Block Access Flag   |  |
| Entitlement Flag  |  |
| Organization Type   | Local Government   |
| ESG Contact Name and Address  | Gerry McCafferty, 1600 E. Columbus Ave., Springfield, MA 01103 |
| ESG Secondary Contact   | Cathy Buono  |
| Reporting Period  |  |
| Program Year Start Date   | 07/01/2013   |
| Program Year End Date   | 6/30/2014  |

### 3A SUBRECIPIENT FORM

|                                       |                              |
|---------------------------------------|------------------------------|
| Subrecipient or Contractor Name       | Hap, Inc.                    |
| City, State, and Zip Code             | Springfield, MA 01105        |
| DUNS Number                           | 087452496                    |
| Is subrecipient a VAWA-DV provider    | No                           |
| Subrecipient Organization Type        | Other Nonprofit Organization |
| ESG Subgrant or Contract Award Amount | \$77,500.00                  |

|  |                               |
|--|-------------------------------|
| <b>Subrecipient or Contractor Name</b>       | Friends of the Homeless, Inc. |
| <b>City, State, and Zip Code</b>             | Springfield, MA 01105         |
| <b>DUNS Number</b>                           | 191488806                     |
| <b>Is subrecipient a VAWA-DV provider</b>    | No                            |
| <b>Subrecipient Organization Type</b>        | Other Nonprofit Organization  |
| <b>ESG Subgrant or Contract Award Amount</b> | \$80,000.00                   |

|  |                          |
|--|--------------------------|
| <b>Subrecipient or Contractor Name</b>       | Catholic Charities       |
| <b>City, State, and Zip Code</b>             | Springfield, MA 01103    |
| <b>DUNS Number</b>                           | 60576195                 |
| <b>Is subrecipient a VAWA-DV provider</b>    | No                       |
| <b>Subrecipient Organization Type</b>        | Faith-based Organization |
| <b>ESG Subgrant or Contract Award Amount</b> | \$63,000.71              |

|  |                                 |
|--|---------------------------------|
| <b>Subrecipient or Contractor Name</b>       | Mental Health Association, Inc. |
| <b>City, State, and Zip Code</b>             | Springfield, MA 01105           |
| <b>DUNS Number</b>                           | 037682044                       |
| <b>Is subrecipient a VAWA-DV provider</b>    | No                              |
| <b>Subrecipient Organization Type</b>        | Other Nonprofit Organization    |
| <b>ESG Subgrant or Contract Award Amount</b> | \$30,000.00                     |

|  |                              |
|--|------------------------------|
| <b>Subrecipient or Contractor Name</b>       | YWCA, Inc.                   |
| <b>City, State, and Zip Code</b>             | Springfield, MA 01118        |
| <b>DUNS Number</b>                           | 066994534                    |
| <b>Is subrecipient a VAWA-DV provider</b>    | Yes                          |
| <b>Subrecipient Organization Type</b>        | Other Nonprofit Organization |
| <b>ESG Subgrant or Contract Award Amount</b> | \$10,000.00                  |

## CR-65 ESG Persons Assisted (ESG recipients only)

Regulation Citation(s): 24 C.F.R. 91.520(g)

### OVERVIEW

ESG recipients will use this page to report information about persons assisted with ESG funds. The screen contains the following sections:

- Homelessness Prevention Activities
- Rapid Re-Housing Activities
- Shelter Activities (Emergency and Transitional)
- Total for all persons served with ESG
- Gender
- Age
- Special Populations Served

### DATA ENTRY: HOUSEHOLD INFORMATION

Complete the following table for 'homelessness prevention,' 'rapid rehousing,' shelter,' and 'Total for all persons served with ESG (Unduplicated, if possible)'. Jurisdictions should use HMIS data, to the extent it is available, along with other data sources as needed, to populate these tables.

| Number of Persons in Households | Total       |
|---------------------------------|-------------|
| Adults                          | 1640        |
| Children                        | 221         |
| Don't Know/Refused              | 2           |
| Missing Information             | 0           |
| <b>Total</b>                    | <b>1864</b> |

### DATA ENTRY: GENDER INFORMATION

Complete the following table for all persons served with ESG during the program year. Jurisdictions should use HMIS data, to the extent it is available, along with other data sources as needed, to populate these tables.

|               | Total       |
|---------------|-------------|
| Male          | 1119        |
| Female        | 740         |
| Transgendered | 2           |
| Unknown       | 3           |
| <b>Total</b>  | <b>1864</b> |

### DATA ENTRY: AGE INFORMATION

Complete the following table for all persons served with ESG during the program year. Jurisdictions should use HMIS data, to the extent it is available, along with other data sources as needed, to populate these tables.

| Number of Persons in Households | Total       |
|---------------------------------|-------------|
| Under 18                        | 221         |
| 18-24                           | 241         |
| Over 24                         | 1402        |
| Don't Know/Refused              | 0           |
| Missing Information             | 0           |
| <b>Total</b>                    | <b>1864</b> |

---

**DATA ENTRY: SPECIAL POPULATIONS SERVED**

Complete the following table for all persons served with ESG during the program year. Jurisdictions should use HMIS data, to the extent it is available, along with other data sources as needed, to populate these tables.

| Subpopulation                    | Total | Total Persons Served - Prevention | Total Persons Served - RRH | Total Persons Served in Emergency Shelters |
|----------------------------------|-------|-----------------------------------|----------------------------|--|
| Veterans                         | 61    | 0                                 | 0                          | 61   |
| Victims of Domestic Violence     | 125   | 0                                 | 0                          | 125  |
| Elderly                          | 77    | 5                                 | 0                          | 72   |
| HIV/AIDS                         | 30    | 3                                 | 1                          | 26   |
| Chronically Homeless             | 682   | 0                                 | 0                          | 682  |
| Severely Mentally Ill            | 779   | 55                                | 18                         | 706  |
| Chronic Substance Abuse          | 473   | 16                                | 16                         | 441  |
| Other Disability                 | 1396  | 131                               | 24                         | 1241                                       |
| Total (Unduplicated if possible) | 1864  | 135                               | 24                         | 1705                                       |

## CR-70 ESG Assistance Provided (ESG recipients only)

Regulation Citation(s): 24 C.F.R. 91.520(g)

### OVERVIEW

ESG recipients will use this page to report on the shelter utilization rates. Jurisdictions should use local data sources to populate these tables.

For “Total number of bed-nights available”: The recipient should enter the total number of slots (beds) that are available in a year. For example, if they are all year-round beds, it would be 365 times the number of emergency shelter beds; if some are seasonal as well (e.g., only winter) then they would add in the number of nights each bed is available.

For “Total number of bed-nights provided”: The recipient should enter the number of beds that were filled each night.

Note: recipients should count all emergency shelter beds, whether or not they are ESG-funded.

### DATA ENTRY: SHELTER UTILIZATION

#### 10. Shelter Utilization

|                                      | Number of units |
|--------------------------------------|-----------------|
| Number of Beds – Rehabbed            | 0               |
| Number of Beds – Conversion          | 0               |
| Total Number of bed-nights available | 76,650          |
| Total number of bed-nights provided  | 63,145          |
| Capacity Utilization                 | 82%             |

## CR-75 ESG Expenditures (ESG recipients only)

Regulation Citation(s): 24 C.F.R. 91.520(g)

### OVERVIEW

ESG recipients will use this page to report ESG expenditures on each ESG component, as well as match sources. Each table will display the last three fiscal years. Jurisdictions do not need to provide data for all three years, when there is space to do so. Jurisdictions should use data from local financial and accounting systems to complete these tables.

### 11A. ESG EXPENDITURES FOR HOMELESS PREVENTION

#### 11a. ESG Expenditures for Homelessness Prevention

|   | Dollar Amount of Expenditures in Program Year |            |            |
|---|---|------------|------------|
|   | FY 2011                                       | FY 2012    | FY 2013    |
| Expenditures for Rental Assistance  | 0   | 0          |            |
| Expenditures for Housing Relocation & Stabilization Services – Financial Assistance | 0   | 0          |            |
| Expenditures for Housing Relocation & Stabilization Services – Services             | 0   | 0          |            |
| Expenditures for Homelessness Prevention under Emergency Shelter Grants Program     | 47,902.84                                     | 216,006.64 | 116,470.23 |
| Subtotal Homelessness Prevention  | \$47,902.84                                   | 216,006.64 | 116,470.23 |

### 11B. ESG EXPENDITURES FOR RAPID RE-HOUSING

#### 11b. ESG Expenditures for Rapid Rehousing

|   | Dollar Amount of Expenditures in Program Year |            |            |
|---|---|------------|------------|
|   | FY 2011                                       | FY 2012    | FY 2013    |
| Expenditures for Rental Assistance  | 0   | 0          |            |
| Expenditures for Housing Relocation & Stabilization Services – Financial Assistance | 0   | 0          |            |
| Expenditures for Housing Relocation & Stabilization Services – Services             | 0   | 0          |            |
| Expenditures for Rapid Rehousing under Emergency Shelter Grants Program             | 0   | 101,858.20 | 136,494.74 |
| Subtotal Homelessness Prevention  | 0   | 101,858.20 | 136,494.74 |

### 11C. ESG EXPENDITURES FOR EMERGENCY SHELTER

#### 11c. ESG Expenditures for Emergency Shelter

|                    | Dollar Amount of Expenditures in Program Year |           |           |
|--------------------|---|-----------|-----------|
|                    | FY 2011                                       | FY 2012   | FY 2013   |
| Essential Services | 23,847.14                                     | 0         | 0         |
| Operations         | 74,475.50                                     | 41,109.38 | 41,390.62 |
| Renovations        | 0   | 0         |           |
| Major Rehab        | 0   | 0         |           |
| Conversion         | 0   | 0         |           |
| Subtotal           | \$98,322.64                                   | 41,109.38 | 41,390.62 |

### 11D. OTHER GRANT EXPENDITURES

**11d. Other Grant Expenditures**

|                 | Dollar Amount of Expenditures in Program Year |           |           |
|-----------------|---|-----------|-----------|
|                 | FY 2011                                       | FY 2012   | FY 2013   |
| Street Outreach | 0   | 0         | 0         |
| HMIS            | 0   | 0         | 0         |
| Administration  | \$11,432.96                                   | 33,799.47 | 20,074.00 |

**11E. TOTAL AMOUNT OF FUNDS EXPENDED ON ESG ACTIVITIES****11e. Total ESG Grant Funds**

|                          | FY 2011      | FY 2012    | FY 2013    |
|--------------------------|--------------|------------|------------|
| Total ESG Funds Expended | \$157,658.44 | 392,773.69 | 314,429.59 |

**11F. MATCH SOURCE**

## 11f. Match Source

|                         | FY 2011        | FY 2012      | FY 2013      |
|-------------------------|----------------|--------------|--------------|
| Other Non-ESG HUD Funds | 0              |              |              |
| Other Federal Funds     | 0              |              |              |
| State Government        | 1,209,988.30   | 1,099,771.00 | 1,145,126.21 |
| Local Government        | 0              |              |              |
| Private Funds           | 98,428.00      | 9,577.00     | 87,500.00    |
| Other                   | 0              |              |              |
| Fees                    | 0              |              |              |
| Program Income          | 0              |              |              |
| Total Match Amount      | \$1,308,416.30 | 1,109,348.00 | 1,232,646.21 |

**11G. TOTAL****11g. Total ESG Grant Funds**

|  | FY 2011        | FY 2012      | FY 2013      |
|--|----------------|--------------|--------------|
| Total Amount of Funds Expended on ESG Activities | \$1,466,074.70 | 1,502,121.69 | 1,547,075.80 |

### Appendix 3: Notices of Public Meetings

## **Notice of DRAFT Availability and Public Hearing**

### **Review of DRAFT Consolidated Annual Performance And Evaluation Report (CAPER)**

**7/1/2013-6/30/2014**

The City of Springfield, through the Office of Community Development, is preparing its Draft Consolidated Annual Performance and Evaluation Report (CAPER) for the program year July 1, 2013-June 30, 2014. This Annual Report outlines how federal Community Development Block Grant (CDBG), HOME Investment Partnership Act (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) formula grant programs were utilized during the prior program year, which runs from July 1, 2013 to June 30, 2014.

Copies of the Draft CAPER will be available starting on September 12, 2014 through September 29th at:

- Office of Planning & Economic Development, 70 Tapley Street;
- Office of Neighborhood Services, 70 Tapley Street
- Office of Community Development, City Hall, 1600 East Columbus Avenue;
- Office of Housing, 1600 East Columbus Avenue, 1st Floor;
- Department of Health & Human Services, 95 State Street;
- City of Springfield's Central Library, 220 State Street;
- [www.cityofspringfield.com](http://www.cityofspringfield.com)

A Public Hearing will be held on Wednesday, September 17th, City Hall, Room 220 at 5:00 PM.

Interested parties are also invited to submit written comments to Cathy Buono, Director of Administration & Finance, Office of Community Development. Submissions must be received by the Office of Community Development no later than 4:00 PM; September 24, 2014. Please mail or email comments to the Office of Community Development, 1600 East Columbus Avenue, Springfield, MA 01103 or [cbuono@springfieldcityhall.com](mailto:cbuono@springfieldcityhall.com).

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

The City of Springfield is an Equal Employment Opportunity

## **Aviso de disponibilidad PRELIMINAR Y Audiencia Pública**

### **Revisión de Rendimiento PRELIMINAR Consolidado Anual y Informe de Evaluación (CAPER)**

**7/1/2013-6/30/2014**

La Ciudad de Springfield, a través de la Oficina de Desarrollo Comunitario, está preparando su rendimiento consolidado anual y informe de evaluación preliminar (CAPER) para el año de programa 1 de julio de 2013-junio 30 de 2014. Este informe anual delinea la Subvención en Bloque del Desarrollo Comunitario (CDBG), Asociación de Inversión para Hogar (HOME), la Subvención de Soluciones de Emergencia (ESG) y Oportunidades de Vivienda para Personas/Pacientes de SIDA (HOPWA); la fórmula de subvención se utilizó durante el programa del año anterior que se extiende desde el 1 de julio de 2013 al 30 de junio de 2014.

Copias del CAPER Preliminar estarán disponibles a partir del 12 de septiembre hasta el 29 de septiembre de 2014 en:

- La Oficina de Planificación y Desarrollo Comunitario, 70 Tapley Street;
- La Oficina de Servicios para Vecindades, 70 Tapley Street
- La Oficina del Desarrollo Comunitario, 1600 East Columbus Avenue;
- La Oficina de Vivienda, 1600 East Columbus Avenue, 1er Piso;
- El Departamento de Salud y Recursos Humanos, 95 State Street;
- La Biblioteca Central de la Ciudad de Springfield; 220 State Street
- [www.cityofspringfield.com](http://www.cityofspringfield.com)

Una audiencia pública se llevará a cabo el miércoles, 17 de Septiembre en la Casa Alcaldía, Sala 220 a las 5:00 PM.

Personas interesadas están invitadas a presentar comentarios por escrito a Cathy Buono, Directora de Administración y Finanzas, Oficina del Desarrollo Comunitario. Comentarios sometidos deben ser recibidos por la Oficina del Desarrollo Comunitario antes de las 4 de la tarde el 24 de septiembre de 2014.

Favor de enviar sus comentarios por correo a la Oficina del Desarrollo Comunitario, 1600 East Columbus Avenue, Springfield, MA 01103 o al siguiente correo electrónico: [cbuono@springfieldcityhall.com](mailto:cbuono@springfieldcityhall.com).

Para información adicional favor de comunicarse a la Oficina del Desarrollo Comunitario al 787-6050 o TTY 787-6641

La Ciudad de Springfield es Igualdad de Oportunidad de Empleo.

# A PUBLIC HEARING

## **Review & Receive Citizen Input on Springfield's DRAFT Consolidated Annual Performance & Evaluation Report (CAPER) FY 13-2014**

**Wednesday, September 17<sup>th</sup>, City Hall,  
Room 220 at 5:00 PM**

Copies of the DRAFT CAPER will be available for 15 day commentary period review beginning on September 12, 2014 at:

- Office of Planning and Economic Development, 70 Tapley Street;
- Office of Neighborhood Services; 70 Tapley Street;
- Office of Community Development, 1600 East Columbus Avenue;
- Office of Housing, 1600 E Columbus Ave, 1<sup>st</sup> Floor;
- Department of Health and Human Services, 95 State Street
- Springfield's Central Library, 220 State Street
- [www.cityofspringfield.com](http://www.cityofspringfield.com)

Interested parties are also invited to submit written comments to Cathy Buono, Director of Administration & Finance, Office of Community Development. Submissions must be received by the Office of Community Development, 1600 East Columbus Avenue, Springfield, MA 01103 or [cbuono@springfieldcityhall.com](mailto:cbuono@springfieldcityhall.com) no later than 4:00 PM on Tuesday, September 24, 2014.

Please contact the Office of Community Development at 787-6050 or TTY 787-6641 for additional information.

# UNA AUDENCIA PÚBLICA

**Revisión & Colección de Sugerencias de Ciudadanos de Springfield  
del Informe de Rendimiento Anual Consolidado y Evaluación  
PRELIMINAR (CAPER) AF 13-2014**

**Miércoles, 17 de septiembre,  
En la Casa Alcaldía,  
Sala 220 a las 5:00 de la tarde**

Copias del CAPER PRELIMINAR estarán disponibles por un periodo de comentario y revisión de 15 días comenzando el 12 de septiembre del 2014 en las siguientes oficinas:

- Oficina de Planificación y Desarrollo Económico, 70 Tapley St
- Oficina de Servicios del Vecindario, 70 Tapley Street
- Oficina de Desarrollo de la Comunidad, 1600 E. Columbus Ave
- Oficina de la Vivienda, 1600 E. Columbus Avenue
- Departamento de Salud y Recursos Humanos, 95 State Street
- Biblioteca Central de la Ciudad de Springfield, 220 State St
- [www.cityofspringfield.com](http://www.cityofspringfield.com)

Personas interesadas están invitadas a someter comentarios por escrito a Cathy Buono, Directora de Administración y Finanzas, oficina del Desarrollo Comunitario. Comentarios sometidos deben ser recibidos por la Oficina de Desarrollo Comunitario, 1600 East Columbus Avenue, Springfield, MA 01103 o [cbuono@springfieldcityhall.com](mailto:cbuono@springfieldcityhall.com) no más tarde de las 4:00 de la tarde, el martes. 24 de Septiembre del 2014.

Para más información, favor de llamar a la Oficina de Desarrollo de la Comunidad al 787-6050 o TTY 787-6641

## Open Housing of Western Massachusetts

[openhousing@gmail.com](mailto:openhousing@gmail.com)  
640 Page Boulevard, Room 104  
Springfield, MA. 01104  
(413) 731-0760

September 24, 2014

Ms. Cathy Buono  
Director of Administration and Finance  
Community Development Department  
City of Springfield  
36 Court Street  
Springfield, MA. 01103

Re: Feedback on the Draft CAPER

Dear Ms. Buono;

This follows and supplements my verbal testimony as to only attendee at the hearing on the above held at City Hall on September 17, 2014.

I was somewhat embarrassed the past Wednesday to be the only speaker at the hearing, though I must say that you and the other staff members were very gracious. As I said at the hearing, in the past these sorts of events were attended by large numbers of people. I do not believe that people do not care. I see them come to casino meetings and anti-foreclosure meetings, and other meetings in good spirits and in large numbers. I can only conclude that the lack of attendance is a result of conditioning of both the public and community leadership to the attitude that their participation in community development programs will be ignored, so that that their time would be wasted. I can only hope that, what the numbers seem to indicate, that they are all wrong, and I am right, and that people are actually listening.

That being said, the first thing I have to say, is that, though I don't see it in the report, there have been some notable improvements in the City's administration of CDBG and related programs in the past year.

After an absence of several years, a dedicated position has been created for Section 3 compliance, and an effort is being made to recognize local people and firms and encourage their inclusion in CD programs and procurement. This may not have succeeded to the degree that we might hope, but the effort is significant, and the accomplishments may be greater as time goes by.

Further, over the past year, there seems to be an increasing awareness among City staff and CD partners of fair housing and the need for economic diversity in housing, to the extent that a number of City staff members made it to the Annual Fair Housing Conference this April, rather than just Ms. McCafferty, and developers are not talking about "Market rate" and "Mixed-income" projects rather than 100% low-low-income projects as in the past. This is significant

progress as well, though it is not clear to what degree actual policy change has taken place with regard to assisted housing and other historic preservation.

Even more radical there has been the new HDIP program for market rate housing, which has been focused on the Downtown area. This was amply promoted by the City, and, even though it is a relatively small program, has been well supported. This is a very marked and very welcome change from the past almost total emphasis on low-income housing.

We can only hope that we and other fair housing advocates have made some contribution to bringing these welcome changes about.

Unfortunately, at the same time, the concepts of "Slum clearance" and "Blight removal", which should have been relegated to the status of unwelcome relics of the 1950s after the high praise for historic preservation contained in the Rebuild Springfield Plan, are still the mainstay of both our approach to "Code Enforcement" and "Foreclosure Crisis". Despite proof from around the world that renovation creates more jobs than new construction, is more sustainable, and costs less overall than new construction, CD officials continue to let some mystery factor, whether it is the inflated prices quoted by 'Insider' contractors, or some innate, unspoken fear of not keeping up with suburban 'McMansion'-builders, distort decision-making to divert resources away from renovation and restoration into demolition and the creation of vacant lots around the City. This is relieved in some cases by the construction of styrofoam-laden Urban McMansions, but this is no real consolation, as thousands of units and hundreds of thousands of dollars worth of taxable property have been and are being lost to these misguided programs.

Further, despite the above-noted bright spots with the HDIP program and mention of "Market rate" housing by various City-affiliated developers, the heavy emphasis is still on LIHTC Low-income rental housing, with \$25 million going to further intensify segregation in the South End with the Outing Park project, and additional funds going to maintain economic segregation in the Downtown area, we can see no real commitment to changing the discriminatory siting patterns of the past four decades which have made the Springfield Region the most segregated in the United States. This is not a distinction we should be spending Federal and State resources to maintain.

However, far from attempting to promote economic diversity in the past year, City officials have continued to use HUD funds to back efforts to make City neighborhoods worse, including the apparent allocation of funds to the proposed conversion of the owner-occupied Hill Home Housing Cooperative to an LIHTC project to be owned and run by HAP, Inc.. This is not only a violation of fair housing laws it involves the City in recognizing an obviously illegal so-called "Board" created by managers and consultants for the obvious purpose of exploiting a group Springfield homeowners who are guilty of nothing worse than being members of minority groups owning property

near Springfield College. The City should not be supporting this immoral scheme with HUD funds or with the promise of HUD funds.

At some point Federal officials may finally decide to peer outside the Boston Metropolitan Media sphere and officially notice these practices, which seem to us to be deliberate and habitual violations of both the Fair Housing Act and the CDBG Act of 1974. That could be as bad for the City of Springfield as the HUD demand that Westchester, County in Connecticut return millions in HUD funds is for that county. If for some reason these agencies continue not to notice these violations, it will be even worse, because Springfield will continue to use HUD funds isolate people, restrain economic development, deplete the City's tax base, and generally work to insure that the City fails to thrive. Again we would observe that these practices are not only illegal, they do not serve poor people, or the City's taxpayers, well. The only people who benefit are the outside vendors who make the money from them and take that money home with them, many time outside the City.

Finally, in order to protect this inexplicable emphasis on clearance and new construction, there is for some reason still in the past year been an apparent ban on use of any City CDBG funds to continue the historic surveys of City neighborhoods that ceased in the 1980s. Most sections of the Six Corners Neighborhood and the Old Hill, the oldest sections of the City outside Downtown, and the location of many of the oldest and most historic buildings, have not been surveyed, and this blockade undermines the ability of the neighborhoods to access funds and tax credits that would support preservation and restoration of buildings in these neighborhoods. There is unlimited funding available in the Historic Preservation Tax Credit, and these funds could assist restoration and renovation all over the South End, Maple Hill, Six Corners, and Old Hill Neighborhoods, as well as Forest Park, Indian Orchard, the Upper Hill, Hungry Hill, and the North End. Yet to all appearances CD officials regard preservation as an enemy because they have some inexplicable need to demolish buildings without having to justify their actions to anyone.

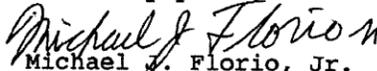
For three years we have been trying to suggest to CD program managers that, despite their obvious disdain for the capabilities of local people, there are people here who can frame roofs, build chimneys, paint houses, and carry out all the other tasks that need to be done to reclaim deteriorated housing. There are, in addition, other people who can learn these trades if we give them a chance. In employing these people to do the work we know they can do, the City has had the opportunity to use these deteriorate buildings to not only preserve the tax base but to develop the human capital that we will need to continue our construction efforts in coming years. Instead, according to the CAPER, they have chosen to demolish these resources in far greater numbers than was even projected by program planners, though the rate is decreasing.

Someone needs to communicate to the people who refuse to hear this message that they are costing the City millions, violating their

fiduciary duty to the taxpayers, and are at the same time routinely violating Section 106 of the Historic Preservation Act, by using Federal resources to demolish and prepare for and plan the demolition of historic resources without making any real effort to plan for alternatives.

In conclusion, while the past year covered by the CAPER included some improvements, there continue to be serious problems in both preservation and fair housing, in addition to the ongoing systematic exclusion of Springfield citizens from participation in CD programs in roles other than client. At the same time, considering the situation in past years, the program improvements can only give the careful observer some basis for optimism that future program can continue to be improved if both local people interested and State and Federal program review staff provide continued coordinated efforts to support that improvement, and Springfield CD staff works to rise to the challenges created by our present situation.

Sincerely yours,



Michael J. Florio, Jr.  
Co-Chairperson

cc: Sen. Elizabeth Warren  
Congressman Richard E. Neal  
State Sen. James Welch  
State Rep. Benjamin Swan  
Springfield City Council  
Pioneer Valley Labor Council  
Jobs with Justice  
Neighborhood Councils  
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